



# **WORKFORCE INNOVATION AND OPPORTUNITY ACT (WIOA) COMBINED STATE PLAN**

*for the period of*  
JULY 1, 2016 *through* JUNE 30, 2020

## **MODIFICATION 1**

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Tom Wolf  
Governor

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## Section I – Strategic Planning Elements

### Governor Wolf’s Strategic Vision for Workforce Development in the Commonwealth of Pennsylvania

Description of state's strategic vision and goals for preparing an educated and skilled workforce (including preparing youth and individuals with barriers to employment) and for meeting the skilled workforce needs of employers, including goals relating to performance accountability measures based on primary indicators of performance described in Section 116(b)(2)(A), in order to support economic growth and economic self-sufficiency, and of how the state will assess the overall effectiveness of the workforce investment system.

Describe the strategies the state will implement, including sector strategies and career pathways.

On July 22, 2014, President Obama signed the Workforce Innovation and Opportunity Act (WIOA) providing a framework for governors and states to make changes to their workforce systems. The federal law sets the parameters for the workforce system which is an integral part of the commonwealth’s ability to serve job seekers and employers. WIOA will enable the commonwealth to align workforce priorities across multiple training providers, employers, and other partners to ensure we are creating a skilled workforce for today and the future.

An effective workforce development system is built on a foundation of alignment, innovation, employer engagement, accountability, and improved data. Pennsylvania will look beyond WIOA to set broad goals for a comprehensive workforce development system that increases the number of “jobs that pay,” expands the number of “schools that teach” the skills necessary to succeed in college and careers, and is a model of “government that works.” We will do this by designing and implementing a workforce development system that addresses three core challenges facing the workforce system:

1. The workforce system, and education and training providers are not well aligned with the needs of employers who provide jobs that pay.
2. Too many workforce programs operate in their own individual silos rather than being integrated into an overall system that leverages multiple funds to better serve employers, job seekers, and incumbent workers.
3. The workforce system lacks a support structure of training programs and employer peer-learning opportunities, including Registered Apprenticeship programs and Sector Partnerships, which enables and encourages more employers to invest in their workers and implement best organizational practices that create more jobs that pay.

Pennsylvania will provide the highest quality of service to job seekers, incumbent workers, and employers by addressing these challenges through well-coordinated approaches at the state and local levels. System access will be enhanced using technology and creative partnerships with community organizations and other service providers. While access will be improved for all job seekers and incumbent workers, the provision of services and training will be focused on those most in need and hardest to serve.

Our five broad goals for the commonwealth’s workforce development system are:

1. Establish career pathways as the primary model for skill, credential, and degree attainment and provide all Pennsylvanians, with an emphasis on Pennsylvanians with barriers to employment, an opportunity to obtain a job that pays.
2. Expand public-private investment in the state's pipeline of workers and in incumbent workers for targeted industry sectors from entry-level skills to middle skills through Next Generation Sector Partnerships, the Workforce and Economic Development Network of Pennsylvania (WEDnet PA), and other innovative strategies.
3. Increase opportunities for all youth to participate in work-based learning through summer employment, pre-apprenticeship, apprenticeship, internships, and other experiences in the workplace.
4. Engage employers through multi-employer workforce partnerships to improve the connection and responsiveness of workforce programs to the demand side of the labor market, increase public-private investment in critical skills, and support the spread of employer practices that create jobs that pay.
5. Strengthen data sharing across state agencies and workforce development partners to better understand education and employment outcomes, and rely more effectively on data to improve and target our efforts.

A key factor in accomplishing our five broad goals will be to boost interagency cooperation on workforce issues to achieve a team effort to implement this WIOA plan and to amend it as necessary. The development of this WIOA State Plan is the starting point for an unprecedented effort in Pennsylvania to transcend the fragmentation of workforce programs. Overcoming silos and promoting program integration are easy goals to talk about but very difficult to achieve. In Pennsylvania today, however, the commitment to achieving these goals—from getting all workforce agencies and programs pulling toward unified goals and better outcomes for job seekers, incumbent workers, employers, and all Pennsylvanians—starts at the very top, with Governor Wolf. State agencies will work together to leverage resources, both federal and other, to achieve Governor Wolf's Strategic Vision.

### **Goal 1: Establish Career Pathways**

It is imperative that the workforce development system provide training for skills that lead to employment in [High Priority Occupations \(HPOs\)](#) or entry-level occupations that lead along a career pathway into HPOs. As defined in WIOA Section 3(7), career pathways must be diverse with multiple entry and exit points allowing individuals of varying abilities, including low-skilled adults and youth with multiple barriers to employment, to have realistic access to pathways. The commonwealth will look to support career pathways that help adults and youth advance among multiple occupations, advance within an occupation, or move to a new occupation that has similar skills to a previous occupation. The strategies outlined below will support the establishment and promotion of career pathways, with an emphasis on providing access to those with substantial barriers to employment.

Additional strategies and initiatives to support career pathways include:

- 1.1 Local Workforce Development Boards (LWDBs), in partnership with employers, multi-employer workforce partnerships, and secondary and postsecondary education providers, will develop career pathway programs meeting the requirements of WIOA. Career pathway programs will include Adult Basic Education (literacy and numeracy, English-as-Second Language, and high school equivalency instruction) and will permit participants to enter at any of these levels in addition to entering at the postsecondary level.



- 1.2 The commonwealth will create a comprehensive career pathway system that combines education, training, counseling, and support services from multiple programs, including secondary and postsecondary career and technical education, adult and literacy education, Temporary Assistance for Needy Families (TANF), Supplemental Nutrition Assistance Program (SNAP) Employment and Training, and higher education financial assistance, in addition to core programs of WIOA.
- 1.3 The commonwealth will mainstream job seekers with barriers to employment to the maximum extent possible by offering realistic entry points into career pathways and by ensuring necessary supportive services are in place and coordinated across agencies, so that those individuals with the most significant barriers to employment are successful in accessing and navigating career pathways. When appropriate, job seekers will be co-enrolled between core and other partner programs to provide the most comprehensive services possible.
- 1.4 The commonwealth will promote and support the creation of pre-apprenticeship and Registered Apprenticeship programs, particularly in non-traditional occupations and for non-traditional populations, as part of relevant career pathway models. The commonwealth will add apprenticeship opportunities to the JobGateway® and Commonwealth Workforce Development Systems (CWDS), and will promote them as career options to job seekers.
- 1.5 The commonwealth will continue to refine the High Priority Occupation (HPO) [process](#) and [list](#) to ensure career pathways are aligned to occupations that are in-demand, have higher skill needs, and are likely to pay family-sustaining wages. The commonwealth will consult with LWDBs and employers to accomplish this goal. The commonwealth will also support placement of individuals with barriers to employment into quality entry-level jobs that provide the work experience and non-technical skills necessary to lead to employment in HPOs, and will consult with LWDBs and employers to identify the career pathways for which such quality entry-level jobs can serve as “on ramps.”
- 1.6 The commonwealth will maintain a robust [Eligible Training Provider List](#) (ETPL) to include performance data for all participants receiving training in all programs, regardless of the funding source for those participants’ training. This will allow for informed customer choice in selecting training programs and training providers. The commonwealth will ensure that there are a sufficient number of training providers serving individuals with barriers to employment on the ETPL.
- 1.7 The commonwealth will establish statewide and regional lists of industry-recognized credentials with a focus on identifying credentials along established career pathways, including badges, micro-credentials, and entry level credentials appropriate for individuals with barriers to employment. The lists will include academic credentials as well as credentials demonstrating job readiness and the attainment of soft skills through workforce preparation activities. The commonwealth will consult with LWDBs and employers, including through Registered Apprenticeship programs and Sector Partnerships, to ensure that the credential lists reflect skills that are in demand.
- 1.8 The commonwealth will require On-the-Job Training (OJT) and incumbent worker training, whether provided through WIOA core programs or the Sector Partnership program, to be tied to a career pathway.
- 1.9 The commonwealth will enhance career guidance and navigation services to guide individuals, particularly individuals with barriers to employment and education, into programs and services that provide an effective pathway to their career goals. The commonwealth will add information to

JobGateway®, CWDS, and the workforce development website regarding career pathways and will enhance information provided by the Pennsylvania Department of Education around career and technical education and other postsecondary education and training programs available for jobseekers in Pennsylvania.

- 1.10 The commonwealth commits to adopting a common definition for career readiness across WIOA partner programs, where appropriate and in accordance to federal requirements. In addition, the commonwealth will explore coordination opportunities with postsecondary education systems to adopt the career readiness definition. The approach will include a focus on demonstrating skills and competencies as well as knowledge and credential attainment.

## **Goal 2: Invest in Talent and Skills for Targeted Industries in Strategic Partnership with Employers and Educational Institutions**

The commonwealth will use data and work with employers to identify the skills and competencies necessary to attain family-sustaining employment and will offer high quality training to individuals to obtain those skills. Today, it is estimated that just 48 percent of Pennsylvanians have a college credential or industry-recognized certification. By 2025, it is projected that 60 percent of good-paying, reliable Pennsylvania jobs will require these credentials. Governor Wolf has established a goal of 60 percent of Pennsylvanians in the labor force will have postsecondary credentials or certificates by 2025 to meet this expected demand. Concerted efforts will be made to ensure that individuals with barriers to employment are among those obtaining postsecondary credentials and certificates. The governor has also targeted that at least 85,000 individuals per year will be trained through Department of Community and Economic Development (DCED) and Department of Labor & Industry (L&I) training programs.

Additional strategies and initiatives to grow the talent pool include:

- 2.1 The commonwealth will establish minimum spending requirements for how much local area funding must be used for training. Spending requirements will be established based on WIOA Title I allocations, excluding administrative funds. Local Areas may use a variety of funding sources beyond Title I funds to meet spending requirements to include: other federal funds, such as TANF, Vocational Rehabilitation, National Dislocated Worker grants, and other federal discretionary grants; state funds, such as Industry Partnership funds and state discretionary grants; and local funds, such as county or city training programs, local industry partnership funds used for training and philanthropic funded training programs. For the duration of this Plan, the training benchmark was calculated as 30 percent of Title I funding. Additionally, at least 50 percent of funds utilized to meet the training benchmark are required be spent on low income individuals and individuals with other barriers to employment. Workforce System Policy 06-2015 includes a definition of training and the funding sources which can be counted toward training benchmarks. The policy provides a process for Local Areas to request that additional training types and models count toward meeting the targets. Additionally, the commonwealth will establish a technical assistance group to support Local Areas and their service providers to measure and track the intended outcome of increasing attainment credentials for Pennsylvania's workforce, as well as to assist local systems in meeting the benchmarks and allow for the sharing of best practices. The commonwealth will reassess training benchmarks and training funds targeted to individuals with barriers to employment on an annual basis.
- 2.2 The commonwealth will direct state workforce dollars through the Reemployment Fund and other sources, and will seek federal discretionary grants, to support program innovation to better meet the needs of the hardest to serve populations.

- 2.3 The commonwealth will promote and develop Next Generation Sector Partnerships (NGSPs) based on Labor Market Information (LMI) and employer need. This includes supporting the growth and expansion of the Industry Partnership program through increased state investment and braided funding from cross-agency programs.
- 2.4 The commonwealth will expand access for adult workers to skill certifications via incumbent worker training programs such as those provided by Next Generation Sector Partnerships (NGSPs) and WEDnet PA. The NGSP and WEDnet PA programs will be more closely aligned and coordinated to ensure the commonwealth is adequately serving entry-level workers and workers with more advanced training needs to support their upward mobility along career pathways.
- 2.5 The commonwealth will expand access to online education and training programs that result in industry-recognized credentials.
- 2.6 The commonwealth will work with employer partnerships, community colleges, postsecondary institutions, and LWDBs to establish micro-credentials that demonstrate job readiness, the attainment of soft skills, and measurable skill gains aligned to career pathways for individuals with barriers to employment. A component of this effort will include sharing best practices with the intent of scaling the effort statewide. This work will be supported by a Workforce Innovation Fund (WIF) grant received from the U.S. Department of Labor.
- 2.7 The commonwealth will use state grant funds to promote the development of Registered Apprenticeship programs and utilization of pre-apprenticeship standards, with a focus on non-traditional industries and occupations. The grant will also support efforts of existing Registered Apprenticeship programs to recruit female and minority apprentices. The state Apprenticeship and Training Office (ATO) will provide technical assistance to grantees and will promote the creation and growth of apprenticeship programs beyond the grantees.
- 2.8 The commonwealth will continue to foster relationships between the workforce development, post-secondary and secondary education systems, and public library system to ensure system alignment, Programs of Study that support job seeker and employer needs, and leverage resources to provide students with the best possible chance for success. This includes continuing to look for ways to partner with education through competitive grant opportunities.
- 2.9 The commonwealth will provide priority of service to veterans and eligible spouses, recipients of public assistance, other low-income individuals and individuals who are basic skills deficient as mandated by WIOA. The commonwealth will adhere to the federal guidance in [Training and Employment Guidance Letter 10-09](#).
- 2.10 “Employment First” will be the policy of all commonwealth executive branch agencies under the jurisdiction of the governor in serving persons with disabilities. This policy reflects Governor Wolf’s goal of making the commonwealth a model state in supporting people with disabilities in the workplace. Employment First requires that competitive, integrated employment is the first consideration and preferred outcome of publicly-funded services for all working-age Pennsylvanians with a disability. Career, training, and support services will be used, as necessary, to support the placement of individuals with disabilities into competitive, integrated employment.

- 2.11 The commonwealth will encourage service delivery models that provide integrated and/or accelerated learning opportunities for youth and adults lacking a high school credential and/or who are basic skills deficient.

**Goal 3: Increase Work-Based Learning Opportunities for Youth**

Unemployment rates for older youth and young adults remain substantially higher than those for the broader population. For example, although Pennsylvania's overall unemployment rate has fallen to a seasonally-adjusted 5.0 percent (as of May 2017), the rate for 20-24 year-olds stands at 7.9 percent, and at 15.0 percent for 16-19 year-olds. Further, since employment is highly correlated with educational levels, rates of employment and labor market participation for individuals with secondary credentials or less are substantially lower than for those with postsecondary credentials.

Recognizing the employment challenges faced by older youth and young adults, particularly those without postsecondary credentials, Congress and the Obama Administration prioritized WIOA employment, education, and training services for 16-24 year-old out-of-school youth (OSY), establishing an expenditure floor of 75 percent, reducing the burdens of income determination, and extending automatic eligibility for services to a range of high-risk populations.

Appreciating the importance of preparing all Pennsylvanians for active and productive citizenship, the Wolf Administration will lead and support efforts by the commonwealth's Local Boards to expand services to these high-risk young people. Consistent with provisions of the statute 20 CFR § 681, the commonwealth will place a strong emphasis on ensuring that eligible OSY have access to a full range of services across core programs, as appropriate. To ensure a strong and continuing focus on youth employment within each local workforce area, the commonwealth will also require Local Areas to have youth standing committees. In addition, the commonwealth will work closely with each LWDB to negotiate levels of performance that reflect the needs and challenges of the new service population. In this way, Local Areas will not be penalized for enrolling high-need and difficult to serve individuals who are the focus of WIOA youth activities.

The commonwealth will further support local efforts by ensuring that state agencies overseeing local and regional programs that focus on high-risk young people, including those who are in foster care and/or juvenile justice systems, are homeless, are involved in the public mental health system, or are pregnant or parenting, work together to build coordinated approaches that support Local Areas' efforts to enroll and retain these young people. Furthermore, the commonwealth will support training activities that help to equip PA CareerLink® staff with the information and skills they need to meet the needs of eligible youth.

WIOA also places a new emphasis on providing eligible youth with high-quality work experience, requiring that at least 20 percent of youth funding be dedicated to this activity. This requirement is consistent with a growing body of research demonstrating that young people who have access to jobs in their teens tend to graduate from high school, earn secondary and postsecondary credentials, and earn at higher levels than their peers who do not work. However, research also shows that young people in low-income families have more limited access to employment opportunities than their more affluent peers. Therefore, the WIOA work experience requirement represents a powerful tool to help lower-income youth, particularly those with barriers to employment, gain invaluable and potentially life-changing experience in work places. In support of this priority, the commonwealth will work closely with LWDBs to encourage employers to provide access to high-quality work experiences for eligible youth, including internships, workplace learning, transitional jobs, pre-apprenticeships, and summer jobs, especially for OSY. These opportunities will be targeted to OSY as required by WIOA. Additional efforts will be made around career

exploration strategies to provide students and their parents' access to key information in making decisions about secondary and postsecondary education options and employment goals.

Specific initiatives to support opportunities for youth include:

- 3.1 The commonwealth will identify models and effective practices for OSY, including recruitment and flexible enrollment (e.g. self-attestation, co-enrollment, career pathways and retention) to help local area staff successfully meet the needs of those individuals.
- 3.2 The commonwealth will support the development of transitional jobs, social enterprises, and other work experience strategies to help OSY with limited work histories to develop the skills needed for workplace success.
- 3.3 The commonwealth established a Youth Committee as a standing committee of the State Workforce Development Board, comprised of individuals with expertise in youth workforce and education issues, which will advise on statewide youth policy and programs, and provide technical assistance to LWDBs and their youth standing committees.
- 3.4 The commonwealth will work with Local Boards to identify on-ramps, access points, and supports to ensure OSY have access to career pathways (entering, successfully completing training, and entering employment).
- 3.5 The commonwealth will work with the U.S. Departments of Labor and Education, and with Local Boards, to ensure that statistical models and negotiated performance levels are established and calibrated to accurately reflect the profiles of OSY being served within the commonwealth and in each local area.
- 3.6 The commonwealth may use a portion of the governor's set-aside funds to identify and replicate proven models for high-risk OSY, including models that incorporate demand-driven strategies and other multi-employer workforce partnerships.
- 3.7 The commonwealth will encourage the co-enrollment of high-risk OSY, ages 18-24, as both WIOA Adult and WIOA Youth participants to allow for the leveraging of funds and provision of necessary services. Where appropriate, the commonwealth will encourage co-enrollment in Title II Adult Basic Education services, EARN, TANF, and other partner programs. The commonwealth will offer technical assistance and effective-practice sessions to ensure the co-enrollment of OSY in WIOA Adult and WIOA Youth programs and to promote their co-enrollment in partner programs. The commonwealth will also continue to encourage the use of Individual Training Accounts (ITAs) for 18-24-year-old youth, potentially combined with, either sequentially or concurrently, work-based learning opportunities. TANF, SNAP, and other partner programs will refer clients for ITAs, as appropriate. In recognition of the focus of federal Title I funds on OSY, the commonwealth will leverage TANF Youth Development Funds to increase summer employment opportunities for in-school youth.
- 3.8 The Department of Education (PDE) will explore opportunities to support the transformation and modernization of secondary and postsecondary career and technical education (CTE) and promote aligned career pathways.

- 3.9 The state Office of Vocational Rehabilitation (OVR) will continue to support initiatives serving transition-age youth with disabilities, such as: the Early Reach initiative designed to reach youth with disabilities early in their secondary school enrollment, make them aware of OVR services, and how they can leverage general and special education programming to transition from secondary education to employment and postsecondary education; the Access College-Employment Success grant awarded to D.R.E.A.M. Partnership to create college-based certificate programs for young adults with intellectual disabilities; Project SEARCH, a work-readiness program for secondary school youth providing on-the-job work experience through rotational internships; the Promoting Academic Success (PAS) program, a one-credit college course for students with disabilities to help them see if postsecondary education is an appropriate goal; and the Summer Academy, a three-week intensive training on the Penn State University campus for students who are blind or visually impaired who plan to attend college. Additionally, OVR will collaborate with employers to provide opportunities to students and youth with disabilities for career exploration that would lead to competitive, integrated employment. Students and youth with disabilities will also be given opportunities to complete work-based learning experiences to develop soft skills and work-related skills and experience.
- 3.10 The commonwealth will use the ATO to promote pre-apprenticeship and Registered Apprenticeship opportunities to youth, including establishing new partnerships with secondary and postsecondary education institutions.
- 3.11 The commonwealth will support LWDB collaboration with YouthBuild, JobCorps, and AmeriCorps sites, and will require inclusion of those partnerships in Local Plans.

**Goal 4: Engage Employers to Strengthen the Connection of Education and Training and the Economy, Increase Investment in Critical Skills and Increase Jobs that Pay**

Employers must be partners in the education and workforce system, not just end-users. It is critical for employers to be at the table to offer insights into current and future skill and occupational needs and, provide feedback on proposed and implemented career pathways, sector strategies, and training programs. It is also imperative that the workforce development system engage the right employers, those offering jobs with reasonable wages, benefits, full-time stable employment, ongoing training and advancement opportunities, paid sick days, family leave and medical leave, and predictable schedules to balance family needs. Low-quality jobs have high turnover rates and limited opportunity for advancement, questioning the return on investment of limited WIOA resources. In addition, by engaging employers that provide good jobs by the standards of their sector, the commonwealth can support formal training, and formal and informal peer learning, that grow these companies and increase the number of other employers adopting good human resource and worker retention practices.

It is also critical that the commonwealth support employers who want to come together to address their training and human resource challenges in a more efficient, multi-employer way. The fragmentation of employers when it comes to workforce issues—recruitment, training, curricula, credentialing, career pathways—makes it more difficult for workforce services to align their programs with the common needs of employers. Pennsylvania is a leader among states in strengthening the voice of employers in workforce development in building Next Generation Sector Partnerships, as well as its extensive investment in multi-employer apprenticeships. The commonwealth will build on its past experiences improve employer engagement with the workforce system.

The commonwealth will measure employer engagement through the federal measure and will also consider additional state specific measures, such as market penetration (the number of employers

actively using the public workforce system) or the number and total employment of employers engaged with active Next Generation Sector Partnerships, Registered Apprenticeship programs, or other multi-employer workforce partnerships.

Specific initiatives to support employer engagement include:

- 4.1 The commonwealth will critically consider job quality in engaging employers and will require LWDBs to prioritize funds to be used for business services activities, on-the-job training, and incumbent worker training to those employers offering high-quality jobs or jobs that are likely to lead to high-quality jobs. The commonwealth will seek to include model criteria for considering job quality in the standardized On-the-Job Training (OJT) contract described in paragraph 4.9 below. The commonwealth will also use these criteria to target Next Generation Sector Partnerships and similar state-funded workforce partnerships to employers that offer high-quality jobs or jobs that are likely to lead to high-quality jobs.
- 4.2 The commonwealth will seek to identify sustainable public and private funding, in addition to the annual state appropriation, for high-quality industry-driven sectoral workforce intermediaries, including Next Generation Sector Partnerships and apprenticeship programs, to lock in on a permanent basis a strong connection between education and training programs and the demand side of the labor market.
- 4.3 The commonwealth will implement a program of capacity building, peer learning, and evaluation to support Next Generation Sector Partnerships, apprenticeship programs, and other multi-employer workforce intermediaries in delivering greater value to: employers; job seekers; incumbent workers and new hires, including young people and rehired Dislocated Workers; adults and youth participating in work-based learning at the companies and/or hired by the companies; and to their industry and regional economies. The commonwealth will consider establishing a formal certification program for Next Generation Sector Partnerships.
- 4.4 The commonwealth will specifically require LWDBs to provide funding to Next Generation Sector Partnerships and other multi-employer workforce intermediaries that serve employers with common skill needs in their local workforce areas.
- 4.5 The commonwealth will set new standards for providing products and services to employers through enhanced agency coordination in providing business services and expanded partnerships with economic development providers, local chambers of commerce, and other associations serving the needs of employers. State agencies may act as intermediaries for organizing outreach to employers on a wider geographical basis than local regions. WIOA Regional Plans will be required to include strategies for collaborating with economic development and employer partners.
- 4.6 The commonwealth will continue to encourage employers to participate in Business-Education Partnerships. These partnerships connect schools, employers, and youth-serving community organizations with students and OSY to provide career-related experiences and exposure opportunities for youth and young adults through soft skills development, internships, workplace shadowing, and career mentoring. When possible, Business-Education Partnerships will recruit business representatives from Next Generation Sector Partnerships, Registered Apprenticeship programs, or multi-employer groups that identify common workforce needs of businesses that

provide jobs that pay. Sector Partnerships will also be leveraged to provide educator in the workplace opportunities for teachers and other educational system professionals.

- 4.7 The commonwealth will build new and strengthen existing partnerships with employers to increase work-based learning experiences such as internships and apprenticeships that provide job seekers with the skills and credentials necessary to secure and advance in employment with family-sustaining wages. The commonwealth will encourage employers that receive state funds from economic development and other programs to utilize the public workforce development system and will give priority to employers providing high-quality jobs or jobs that are likely to lead to high-quality jobs.
- 4.8 The commonwealth will rely on partnerships of employers to validate the credentials developed as part of state and regional lists of recognized credentials, career pathways, and other statewide efforts.
- 4.9 The commonwealth will streamline and standardize On-the-Job Training (OJT) contracts to provide greater consistency across LWDBs for employers hiring in multiple areas.
- 4.10 The Office of Vocational Rehabilitation (OVR) will continue to provide leadership for accessibility standards, disability talent recruitment, on-boarding expertise, and disability etiquette training for employers.
- 4.11 The commonwealth will develop a dedicated survey unit focused on soliciting targeted employer input on a wide and diverse range of workforce issues as well as gauging the labor market from the employer perspective. Survey results will be used for strategic planning, measuring impact, and discovering opportunities.
- 4.12 The commonwealth will foster employer engagement in education, training, and workforce development service delivery systems to ensure system outcomes meet the talent management needs of regional and local economies.
- 4.13 Governor's Office and agency executives will regularly meet with business leaders around the state through efforts like the Jobs that Pay Tour to solicit feedback and ideas from employers.

#### **Goal 5: Strengthen Data Sharing and More Effectively Use Data**

Investments in workforce development programs will be made based on data and return-on-investment analysis. Use of rich data will allow for continuous improvement of programs. The sharing of program information, to include common measures and other outcome data, will allow for more informed customer choice in considering programs. The governor, in consultation with the state Workforce Development Board (WDB), will establish additional performance measures which will allow for assessment of the system to drive improvement and outcomes. The commonwealth will also work with federal agencies and Local Boards to ensure that negotiated performance levels reflect the populations being served, particularly with regard to OSY and other high-risk youth and adults with barriers to employment. The WDB will develop a dashboard to track progress and success on State Plan goals and implementation.

Specific initiatives to support data sharing and more effective use of data include:

- 5.1 The commonwealth will expand upon PADataShare efforts to add additional state agencies and data sets to the database, with focus on the PA Department of Education longitudinal educational data and



agencies such as the Department of Transportation, Department of Corrections, and Department of Revenue, to assist with data validation.

- 5.2 The commonwealth will make efforts to use PADataShare as the common performance measurement reporting tool across all core programs.
- 5.3 The commonwealth will embark on a comprehensive upgrade of the Commonwealth Workforce Development System (CWDS) and JobGateway® to provide for better job seeker and employer experiences, and allow staff greater case management and performance accountability functionality within and across core programs. When possible, the commonwealth will also integrate CWDS with the case management systems of other partner programs.
- 5.4 The commonwealth will regularly conduct formal evaluations of the state's workforce development system, including the system's effectiveness in meeting employer skill needs and increasing the educational attainment, employment, and earnings of program participants.
- 5.5 The commonwealth will evaluate available data, including how it is presented and released, to ensure that job seekers, employers, and workforce development professionals have the information necessary to make informed decisions.
- 5.6 The commonwealth will seek to find ways to identify supply/demand gaps to further inform workforce policies.
- 5.7 The commonwealth will collaborate with the State WDB to develop a dashboard to track progress on the implementation of the WIOA State Plan and achievement of the plan's goals. This dashboard will aim to focus attention on the plan's big-picture, long-term goals. Some measures under consideration for inclusion in the dashboard are the share of the working-age population with postsecondary credentials or certificates, employer investment in skills, and the overall Pennsylvania labor turnover rate. The first is a priority of Governor Wolf and a measure on which Pennsylvania historically ranks poorly (between 43rd and 49th in the last dozen years). The last two measures would measure the effectiveness of the WIOA State Plan at identifying and sharing best practices that help employers increase the number of jobs that pay.

The work of local workforce development boards will support and further the governor's five goals for the workforce development system. In recognition that many system innovations originate at a local level, the commonwealth made available state discretionary funds, through a Strategic Innovation Grant opportunity, providing broad latitude for LWDBs to propose creative and innovative strategies to support the five goals outlined in the Combined State Plan. The commonwealth will continue to support innovative service designs that inform state level workforce development policy and will seek opportunities to leverage funds across state agencies.

## **Economic Analysis**

**Existing and emerging in-demand industry sectors and occupations. Employment needs of employers, including a description of the knowledge, skills, and abilities needed in those industries and occupations. Analysis of the current workforce, employment and unemployment data, labor market trends, and the educational and skill levels of the workforce, including individuals with barriers to employment (including individuals with disabilities).**

Pennsylvania's future depends upon the development of a workforce prepared to compete in today's global economy. To remain economically competitive, an understanding of the current labor market and future workforce needs of employers is necessary when developing policies and strategies for the state.

The commonwealth has a diversified economy with numerous competitive industry clusters which are further examined in the Sector Strategies section. Pennsylvania also strives to develop a skilled workforce that aligns with employer needs. The commonwealth's capacity to provide an educated workforce is evidenced by nearly 400 postsecondary educational institutions which collectively enrolled more than 770,000 students in 2015-16. A mix of urban, suburban, and rural areas, and its proximity to nearly one-half of the nation's population and strongest job markets makes Pennsylvania an ideal location for businesses and families. While these characteristics of the commonwealth's labor market contribute to its economic strength, they present workforce challenges to ensure an increasingly skilled workforce to meet the demands of employers and the evolving economy.

The availability and analysis of Labor Market Information (LMI) and trends is essential to create effective workforce and economic development strategies and policies. By utilizing information about the population, labor force, industry mix, and employment outlook, the state can enhance its existing sector strategies and ensure they align with the current and expected labor market demands.

According to IHS Global Insight's 2015-2025 Population Projections, Pennsylvania's population is projected to grow by only 1.0 percent over the next 10 years, compared to projected national growth of 7.8 percent over the same period. While the state's overall population growth will be relatively low in the years to come, the change in age distribution will be more dramatic.

Pennsylvania's population is growing older. The number of Pennsylvanians age 65 and over was 2.1 million in 2015. The state's percentage of those aged 65 and over (17.0 percent) is tied for seventh highest in the nation. This is largely a by-product of the aging of the population born during the Baby Boom period after World War II.

While the overall population will increase modestly, the labor force will shrink barring a substantial immigration of workers. Workers from the baby-boomer generation are moving into their 60s and 70s and, beginning in 2011, were expected to start the wave of retirement from the workforce in large numbers. Initially, due to the weak economy, many chose to remain in the workforce, thus complicating the jobs outlook for younger workers. Inevitably, as the economy expands, baby boomers will leave the workforce in large numbers taking with them key skills that kept industry growing and prosperous. Unfortunately, based on Pennsylvania's demographics, far fewer youth are available to enter the labor market to replace those who will be leaving. While technology and global competition will help alleviate some of the need for workers, there will still be a worker shortage, which will force greater efficiencies and competition for key skills.

Education plays vital role in preparing the workforce for the future demands of the economy. According to the American Community Survey, during the 2011-2015 period, Pennsylvania ranked 42<sup>nd</sup> among states in the share of adults (age 25 and up) with more than a high school diploma. Currently, 54.0 percent of Pennsylvanians have participated in some level of postsecondary education. Another 35.7 percent are high school graduates with no postsecondary experience and 10.3 percent have less than a high school diploma. Strategies focused on these individuals are paramount to meeting the future demands of

employers and achieving Governor Wolf's goal of 60 percent of Pennsylvanians holding a college or industry-recognized credential by 2025.

Workforce strategies must also consider the skills and abilities of individuals with barriers to employment, including individuals with disabilities, veterans, Temporary Assistance for Needy Families (TANF) recipients and those who do not speak English well or at all, among other barriers, to meet present workforce needs and future demand. In 2015, there were about 1.6 million working age individuals with disabilities living in Pennsylvania. They account for 12.8 percent of the state's working age population compared to 11.7 percent nationally. Pennsylvania's veterans numbered more than 800,000 in 2015 or 7.9 percent of the working age population. On average, more than 45,000 TANF recipients were served each month in 2016. Lastly, in 2016, there were approximately 210,000 individuals who did not speak English well or at all. Additional efforts will be made to support ex-offenders looking to re-enter the workforce and older workers who wish to remain employed. According to Current Population Survey (CPS) figures from 2016, 34.8 percent of Pennsylvanians ages 65 to 69 are currently in the workforce (employed or looking for work). In addition, studies have shown that current and future retirees have stayed in or plan to stay in the workforce in some capacity.

### The Current State of the Pennsylvania Labor Market

According to the National Bureau of Economic Research (NBER), it has been nearly 10 years since the start of the last recession (December 2007). For the first time since the peak of the recession, Pennsylvania's annual average unemployment rate increased from the previous year. The 2016 average rate of 5.4 percent was up one-tenth from the 2015 rate, yet remained more than three full percentage points below the peak rate of 2010. Despite the minor annual average increase, monthly seasonally-adjusted data has plateaued between 5.2 and 5.5 percent from late 2014 through 2016, suggesting a stable, but not robust, economy.

In addition to being steady, Pennsylvania's labor market has displayed some positive indicators. The state's average employment level rose to 6,120,000 in 2016, an increase of 35,000 from 2015, and surpassing the previous record high set in 2008. The average unemployment count was up 12,000 from the previous year, rising to 352,000. Although the count did increase in 2016, it was still the second lowest unemployment level since 2009. Effective workforce and economic development strategies are needed to ensure continued progress and the attainment of sustainable employment for the state's unemployed.

The following table provides a summary of Pennsylvania's labor force for 2016, including data for veterans and persons with disabilities, compared to the United States.

	Pennsylvania			United States		
	Total	Persons with Disabilities	Veterans	Total	Persons with Disabilities	Veterans
Labor Force (LF)*	6,472.0	274.5	421.2	159,187.0	6,005.0	10,581.0
Employment*	6,120.0	245.2	399.5	151,436.0	5,372.0	10,129.0
Unemployment*	352.0	29.3	21.7	7,751.0	633.0	453.0
Unemployment Rate	5.4%	10.7%	5.2%	4.9%	10.5%	4.3%
LF Participation Rate	63.3%	20.0%	48.1%	62.8%	20.0%	50.6%

\* Reported in thousands

Source: Local Area Unemployment Statistics (LAUS); Current Population Survey (CPS)

Among the unemployed, the long-term unemployed (those unemployed 27 weeks or more), are often the hardest to serve. From 2007 to 2010, the number of long-term unemployed in Pennsylvania and in the U.S. increased more than 500 percent. The number of the long-term unemployed (78,100 in 2016) declined both over the past year (down 24,000) and the past five years (down 116,000). In 2016, the share of those unemployed long-term dropped to 21.6 percent of the total unemployed population, a decline of 9.0 percentage points from 2015. By comparison, the U.S. long-term unemployment level decreased 13.9 percent over the past year to 2,007,000 and constituted 25.9 percent of the nation's unemployed population.

The employment/population is another indicator of an economy's strength. In 2016, the average employment/population ratio in Pennsylvania, as shown in the table below, was 59.2 percent as compared to 58.2 percent five years earlier. Overall, the nation experienced a similar increase in this ratio over this period, rising from 58.4 percent in 2011 to 59.7 percent in 2016. The employment/population ratio is affected by demographic and secular trends as well as the economic environment. In Pennsylvania, those who suffered the most in 2011, also gained the most during the past five years. Hispanic females and individuals with only a high school diploma posted the largest percentage point increases over this period.

	2011			2016		
	Total	Male	Female	Total	Male	Female
Overall	58.2%	63.9%	52.9%	59.2%	63.7%	55.0%
White	59.1%	65.3%	53.3%	60.1%	64.7%	55.8%
African-American	51.2%	52.2%	50.3%	52.7%	55.8%	50.1%
Hispanic	52.8%	59.7%	45.1%	54.6%	60.1%	49.3%
Less than a HS diploma	29.1%	N/A	N/A	35.2%	N/A	N/A
HS graduate, no college	53.1%	N/A	N/A	53.4%	N/A	N/A
Some college or Associate degree	65.7%	N/A	N/A	65.1%	N/A	N/A
Bachelor's degree and higher	74.7%	N/A	N/A	73.0%	N/A	N/A

Source: Current Population Survey (CPS)

From December 2015 to December 2016, Pennsylvania's seasonally-adjusted non-farm jobs increased by 60,900 (1.0 percent). During the same period, U.S. non-farm jobs increased 2.24 million jobs (1.6 percent) to 145.3 million. The table below shows that Pennsylvania is currently in a period of job expansion, following the job recovery period which began in early 2010. The job recovery and expansion in the state and nationally has occurred primarily in private sector jobs, while government jobs have increased minimally. Over the past five years, government jobs in Pennsylvania are down and have increased only marginally in the nation.

	Jobs Dec. 2016	Change from Dec. 2015	PA Change from December 2011		U.S. Change from December 2011
			Volume	Percent	Percent
Total Nonfarm Jobs	5,916,300	60,900	10,900	3.7%	5,916,300
Total Private Jobs	5,213,200	58,700	57,100	4.9%	5,213,200

Government	703,100	2,200	-46,200	-4.9%	703,100
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Sources: Pennsylvania and U.S. Current Employment Statistics (CES), Seasonally-Adjusted

In December 2016, seasonally-adjusted goods-producing jobs in the commonwealth were down 10,400 from December 2015. Of the three goods producing super-sectors, only Construction grew over the year, with only a marginal increase (0.4 percent). Mining & Logging posted a 21.9 percent decrease over this period, while Manufacturing showed a modest decline of 0.9 percent.

Pennsylvania's economy continues to shift from goods-producing to service-providing. Accordingly, service-providing jobs in December 2016 were up 71,300 from one year prior. Over the same period, Pennsylvania's best performing service-providing industry sectors (measured by percent increase) were Arts, Entertainment, & Recreation (6.6 percent); Educational Services (5.9 percent); Health Care & Social Assistance (2.9 percent); and Management of Companies & Enterprises (2.8 percent). Identifying and meeting the workforce needs of expanding industries is paramount to the continued success of the state's economy.

### **Future of Pennsylvania's Labor Market**

From 2014-2024, Pennsylvania's total employment is expected to increase by 346,000 (5.7 percent) to more than 6.45 million. While this projected growth rate is lower than the state's previous rate, it is more comparable to the growth rate projected for the nation (6.5 percent) than for the past several projection periods. The demographics of Pennsylvania are a key factor limiting employment growth.

Employment activity in all sectors highlight the dynamics of an aging population's need for more health care, transition of baby-boomers from worker to retiree, technological change, and continued transformation of the state's economy from that of a goods-producer to a service-provider. Growth will be greatest in the Construction, Education & Health Services, and Professional & Business Services sectors.

With an increase of more than 148,000, Education & Health Services is projected to add the most employment through 2024. Employment levels in Professional & Business Services is expected to increase by nearly 76,000. It is also important, however, to look at growth rates in addition to volume growth. Construction will continue to experience very robust growth rates in the state (16.3 percent) and is expected to surpass pre-recession employment levels. This growth can be seen across all three segments of construction: heavy & civil engineering (23.3 percent), construction of buildings (16.8 percent), and specialty trade (14.3 percent). Conversely, driven largely by the contraction of the postal service, Government is expected to decrease employment by more than 12,800 (-3.5 percent). Similar trends are expected at the national level. Together, the Manufacturing and Information sectors are projected to see an employment decrease similar in volume to Government. Even though the manufacturing growth rate in Pennsylvania shows a slightly higher decline for 2014-2024 (-1.8 percent) than in the previous projection period, it is much lower than the national rate (-6.7 percent).

Modest economic growth coupled with an aging workforce will create opportunities for job seekers in all major occupational groups. Seven of the 12 occupational groups are projected to have growth rates above the statewide overall growth rate of 5.7 percent. Healthcare Practitioners, Technicians & Support Workers will increase employment the fastest at a rate of 13.5 percent (nearly 79,000 employees). The Construction & Extraction group will add less employment, about 28,000, but at the second-fastest rate (10.6 percent). Only two occupational groups, Farming, Fishing, & Forestry, and

Production, are projected to experience a measurable employment decline through 2024 with total employment losses of only 200 (-0.5 percent) and 1,740 (-0.4 percent) respectively.

Employment growth is only one component in determining the annual need, or openings, for workers in a occupation. Each year, only 22 percent of all annual openings are due to growth. Approximately four out of every five openings exist to replace workers who leave the occupation and/or retire. The Office & Administrative Support occupational group is projected to have a large increase in annual openings (21,800), but only small percentage of those are a result of growth (2,600). The need for workers in occupational groups with a projected decline, such as Production (10,400 openings), can still outpace the overall need for workers in groups with strong growth, such as Computer, Engineering & Science (8,800 openings) or Construction (7,000 openings).

To fully understand Pennsylvania's emerging workforce needs, it is important to consider the information from all angles. Occupations with the largest employment growth by volume are often traditional occupations with a large employment base and a consistent need for workers, while occupations with the fastest employment growth rates, are often emerging occupations. Looking at occupations with the most annual openings provides yet another set of occupations to focus on. These are primarily entry-level jobs that have a sizable need to replace workers regularly and often do not pay family-sustaining wages.

<b>By Volume Growth:</b>	<b>By Percent Growth:</b>	<b>Annual Openings:</b>
Registered Nurses	Interpreters & Translators	Retail Salespersons
Combined Food Prep & Serving Workers	Occupational Therapy Assistants	Cashiers
Home Health Aides	Physical Therapist Assistants	Combined Food Prep & Serving Workers
Personal Care Aides	Physical Therapist Aides	Waiters & Waitresses
Heavy & Tractor-Trailer Truck Drivers	Nurse Practitioners	Registered Nurses
Nursing Assistants	Operations Research Analysts	Laborers & Material Movers
Laborers & Material Movers	Personal Financial Advisors	Customer Service Representatives
Construction Laborers	Home Health Aides	Office Clerks, General
Retail Salespersons	Physical Therapists	Home Health Aides
Customer Service Representatives	Biomedical Engineers	Stock Clerks & Order Fillers

Source: Center for Workforce Information & Analysis, Long-Term Employment Projections (2014-24)

Many of the occupations listed in the previous table are also found on Pennsylvania's In-Demand Occupation List (PA IDOL). The PA IDOL was developed to highlight occupations with the most significant workforce needs. Not all occupations with a large number of annual openings are attractive targets for workforce development. If high turnover rates are the main reason for a large number of annual openings in an occupation, then the more appropriate policy response may be to find ways to reduce turnover rather than to train more workers to fill the available openings.

Through 2024, industry credentials, postsecondary education, and college degrees will become more important. Pennsylvania, with nearly 400 postsecondary educational institutions, is well positioned to effectively meet the needs of the future workforce. Educational requirements of occupations will shift away from short-term and moderate-term on-the-job training lasting no more than one year, towards long-term training and some amount of formal education.

### Employment Volume and Growth by Educational Levels

Occupations requiring an advanced degree have the highest growth rate through 2024 (10.0 percent) but only employ about 356,000. This growth is primarily driven by an increasing need for Nurse Practitioners, Physical & Occupational Therapists, and Mental Health Counselors.

Conversely, most employment in the state is found in occupations that fall into the on-the-job training category (3.2 million), but that category will have the slowest growth rate (4.3 percent). The shift from on-the-job training to some postsecondary education or an Associate degree is strongly connected with growth in Healthcare, Transportation, and Construction jobs such as Diesel Engine Mechanics, Electricians, Imaging Technicians, Industrial Machinery Mechanics, Physical & Occupational Therapy Assistants, and Web Developers.

The most significant change from the previous set of projections occurred in the Associate degree group with a current growth rate (7.8 percent), about half of the previous projection (15.8 percent). This decrease is driven primarily by the reclassification of Registered Nurses educational requirements from an Associate degree to a Bachelor degree.

### Sector Strategies

Pennsylvania has been engaged in sector-driven workforce activities for more than a decade. Industry clusters, known as sectors, have been defined to enable data-driven workforce development policies.

An industry cluster consists of a group of industries that are closely linked by common product markets, labor pools, similar technologies, supplier chains, and/or other economic ties. Clusters can take on strategic importance because activities that benefit one group member will generally have positive effects on other members of the cluster. They are used to:

- Create a consistent definition that allows for workforce comparison across regions.
- Identify major employers for building Sector Partnerships.
- Provide a basis for occupational and skills analysis of shared workforce needs.
- Direct resource allocation for jobs in demand that will offer family-sustaining wages.

Currently, the commonwealth concentrates workforce strategies around 12 industry clusters. These clusters account for nearly 82 percent of all employment in the. Along with employment trends and average wages, the strength of a cluster is evaluated using a location quotient (LQ) which compares a cluster's share of local employment with its share of national employment. A LQ greater than one implies a competitive advantage in an area. While the table looks at statewide LQs, many industries are highly regionalized and are even more competitive than the statewide LQ suggests. WIOA Regional and Local Plans will pick up on this fact as partners develop regional and local priorities.

		2015 Annual Average		
Cluster (or sub-cluster) Name		Employment	National LQ	Wages
Advanced Manufacturing (AM)		441,102	1.03	\$55,930
	AM - Chemicals, Rubber & Plastics (AM - CRP)	75,320	1.18	\$56,219
	AM - Electronics (AM - E)	65,259	0.80	\$62,306
	AM - Metals (AM - M)	136,173	1.46	\$59,378
	AM - Vehicles & Vehicle Equipment (AM - VVE)	164,350	0.87	\$50,408



Agriculture & Food Productions (AFP)		171,819	0.84	\$45,030
Bio-Medical (BM)		74,831	1.14	\$103,014
Building & Construction (BC)		349,685	0.95	\$55,130
Business Services (BSV)		721,191	0.99	\$82,571
	BSV - Media, Advertising & Marketing (BSV - MAM)	132,507	0.90	\$81,248
	BSV - Operational Services (BSV - OPER)	225,716	0.96	\$49,539
	BSV - Professional & Consulting Services (BSV - P&C)	362,968	1.06	\$103,595
Education (ED)		551,078	1.01	\$49,358
Energy (ENGY)		139,020	1.14	\$77,755
Health Care (HC)		1,005,828	1.21	\$51,842
Hospitality, Leisure & Entertainment (HLE)		596,385	0.87	\$20,120
Logistics & Transportation (LT)		227,791	1.15	\$48,297
Real Estate, Finance & Insurance (REFI)		296,083	0.95	\$82,182
Wood, Wood Products & Publishing (WWP)		106,410	1.24	\$50,836

Equally important to Pennsylvania's sector strategy is the identification of occupations that are critical to the success of the clusters and a policy to enable Pennsylvanians to acquire the necessary skills for those careers. The High Priority Occupation (HPO) policy establishes a process to identify occupations that are in demand by employers, pay a family-sustaining wage, and have higher skill requirements. Entry-level jobs that serve as an "on-ramp" to high-quality occupations may be considered as HPOs if the career pathway to existing HPOs is clearly documented. This innovative approach combines labor market data with regional stakeholder input allowing for a more complete picture of the actual workforce needs across the commonwealth. HPOs guide the allocation of training dollars for WIOA programs.

Industry clusters have also served as the basis for the creation of Industry Partnerships (IPs), employer/worker consortiums that bring together companies with similar products, markets, and human resource needs. The intent of IPs, through prudent investments, is for Pennsylvania to develop the human capital necessary for greater productivity, thereby helping these industries flourish while creating career opportunities for its workforce.

Pennsylvania was among the first states to extend training and career-building efforts beyond individual companies to networks of companies in specific industries. IPs foster industry collaboration on incumbent worker training, school-to-career and other workforce pipeline initiatives, career pathways, recruitment and retention, and other human resource challenges. These collaborations help alleviate skill gaps in businesses, increase entry-level employment opportunities, and lead to the creation of more high-paying jobs. Moving forward, Pennsylvania will use the Next Generation Sector Partnership model to address not only other common workforce challenges identified by employers (e.g. finding qualified entry-level workers, recruitment of low-income individuals and workers from other targeted groups, including veterans and individuals with disabilities, and the re-employment of Dislocated Workers within an industry sector), but other shared competitiveness needs of an industry.

Pennsylvania's Next Generation Sector Partnerships efforts and HPO processes have also served as a method of evaluating occupational workforce needs. Employer input received through regional stakeholders provides insight into potential skills mismatches. Some employers continue to struggle to fill critical workforce positions, while some individuals remain unemployed for extended periods of time or have difficulty finding employment. Many of the skills today's workers need are expected to be obtained



through advanced education and training, resulting in relevant credentials that are valued by employers. However, feedback from many employers suggests that candidates lack the soft skills necessary to gain and/or maintain employment.

## **Workforce Development System – Analysis**

**Existing and emerging in-demand industry sectors and occupations. Employment needs of employers, including a description of the knowledge, skills, and abilities needed in those industries and occupations. Analysis of the current workforce, employment and unemployment data, labor market trends, and the educational and skill levels of the workforce, including individuals with barriers to employment (including individuals with disabilities).**

**Analysis of the workforce development activities (including education and training), including an analysis of the strengths and weaknesses of such activities and the capacity of state entities to provide such activities, to address the identified education and skill needs of the workforce and employment needs of employers.**

The Pennsylvania WIOA Combined State Plan includes the six core WIOA programs and the following optional programs: Career and Technical Education Programs Authorized under the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins); the Temporary Assistance for Needy Families (TANF) Program; the Trade Adjustment Assistance (TAA) Program; programs under the Jobs for Veterans State Grant (JVSF); the Senior Community Service Employment Program (SCSEP); the Community Services Block Grant (CSBG); and the Reintegration of Ex-Offenders (REO) Program. Collectively, the thirteen programs included in the Combined State Plan work to serve target populations, including individuals with barriers to employment and incumbent workers, in finding and maintaining employment, while concurrently meeting the talent needs of employers, as follows:

WIOA Title I Adult: The Adult program serves participants age 18 or older in need of employment or career advancement, with priority of service given to participants deemed to be recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient. If a participant is also an eligible Veteran or spouse of a Veteran, the priority of service will be extended to the covered person. Individuals deemed ready for work are provided career services to assist them in finding existing employment opportunities. Individuals not ready for employment or looking for employment in a new field are provided training to prepare them with the skills necessary to meet the needs of employers.

WIOA Title I Dislocated Worker: The Dislocated Worker program assists workers before or after a layoff to help facilitate rapid reemployment. Dislocated Workers with requisite skills may be directly referred to employers with hiring needs. Other Dislocated Workers may require training and other services to meet the skill requirements of employers.

WIOA Title I Youth: The Youth program serves eligible youth and young adults through high-quality case management support toward educational attainment that includes career guidance and exploration, the provision of summer and/or year-round work experience opportunities such as internships and pre-apprenticeships, and skills training along a career pathway for in-demand industries and occupations, along with any necessary supportive services. The attainment of education, skills, and work experience can make youth participants more attractive candidates for employers to hire.

WIOA Title II Adult Basic Education: Adult Basic Education is a critical partner in establishing career pathways for adults who lack basic skills. Funded programs provide a full range of Adult Basic Education services from beginning level literacy through high adult secondary and transition activities to support college and career readiness, including English language acquisition activities if needed. Programs provide basic skills instruction in the context of work readiness, incorporate workplace preparation activities, and career awareness and planning in instruction and services. Adult Basic Education program administrators and other staff develop relationships and partnerships at the local level to support alignment of Adult Basic Education services with the services of the other programs and workforce development system partners to include working with local Business Service Teams (BSTs). Funded programs will provide integrated education and training whenever possible and appropriate. Integrated education and training requires three components offered concurrently and contextualized to an occupation or occupational cluster: Adult Basic Education, workforce preparation, and workforce training. Integrated education and training activities will be aligned with local workforce needs, prepare students for realistic, existing employment opportunities, and be developed in consultation with LWDBs, employers, and training providers.

WIOA Title III Wagner-Peyser: Wagner-Peyser staff provides employment services to both job seekers and employers with the goal of placing individuals in employment and helping employers recruit workers.

WIOA Title IV Vocational Rehabilitation: The state Office of Vocational Rehabilitation (OVR) provides vocational rehabilitation services to help persons with disabilities prepare for, obtain, and/or maintain employment. The OVR Single Point of Contact (SPOC) model is designed to help any employer hire and on-board talented individuals with disabilities. The model connects the employer to one individual responsible for coordinating all program supports to include pre-screened talent recruitment and on-boarding supports to ensure new hire success.

Perkins: Perkins funds support career and technical education programs at the secondary and postsecondary levels. Perkins programs work with businesses to identify relevant technical standards to include national industry standards and credentialing opportunities where appropriate, to ensure that program completers have the skills employers need.

TANF: To increase work participation rates and to promote self-sufficiency, Pennsylvania has adopted a work-first approach to help TANF clients succeed in their pursuit of self-sufficiency. Pennsylvania requires each adult, minor head of household, or minor child age 16 or 17 who is not in school to engage in work or an approved employment and training activity. Individuals who have some work experience are referred to the EARN program, which assists with job placement and job training opportunities. At this stage of service, it is anticipated that individuals will be able to access WIOA services such as training programs aligned with a career pathway, an On-The-Job training program or a Transitional Job Program. Individuals who need to rectify some barriers such as literacy, soft skills development, and support services such as child care and transportation assistance are referred to a Work Ready provider. This contracted provider assists the individual with barrier removal strategies and then refers participants to the EARN program. Individuals who could benefit from a training opportunity at a community college are referred to the KEYS program. This program enrolls individuals who are ready to learn in a college setting. Once the individual has completed their education at the community college, he or she will be connected to the PA CareerLink® system for job placement assistance. All activities are aimed at increasing the skills and employability of TANF recipients so that employers can hire them.

TAA: TAA eligible workers are provided services and training to assist them in returning to the workforce. Services and training provided ensure that TAA recipients have the skills needed to find reemployment within the industry they were laid off from or to enter a new industry where employment opportunities exist.

JVSG: Through the JVSG program, Disabled Veterans Outreach Program (DVOP) Specialists assist veterans with significant barriers to employment such as long-term unemployment, previous incarceration, and low-income status. Another element of the program is carried out by Local Veterans Employment Representatives (LVERs) who meet with employers, plan and participate in job and career fairs, and conduct job development with employers on behalf of veterans. LVERs work with the PA CareerLink® BSTs in promoting qualified veterans to employers seeking candidates.

SCSEP: SCSEP promotes part-time employment opportunities in community serve activities for unemployed low-income persons who are age 55 or older and who have poor employment prospects. SCSEP utilizes Labor Market Information (LMI) to identify occupations most likely to hire older workers and prepares participants for those jobs. Some SCSEP grantees already work with PA CareerLink® BSTs to develop employment opportunities for older workers; additional partnerships with BSTs will be pursued. Employers often desire to hire older workers, who are generally viewed as reliable, loyal, and ethical with well-established critical thinking, leadership, teamwork, and communication skills.

CSBG: CSBG funded programs provide opportunities for participants to attain basic soft skills, occupation-specific skills, and work experience necessary to meet employer talent needs.

REO: Increased collaboration and alignment of reentry planning and service activities among system partners can improve the justice-involved individual's ability to attain and retain a job that pays family-sustaining wages. Efforts described in the Combined State Plan will allow for greater coordination of services and activities provided by REO grantees with the workforce development system. Activities seek to not only get the justice-involved individual employed, but to provide the necessary supports to allow employment retention, thus reducing turnover and costs for employers providing job opportunities to justice-involved individuals.

Service delivery is coordinated and integrated among core and partner programs in several ways. One method of integration is through partnership in PA CareerLink® centers. PA CareerLink® centers are the physical locations where, at a minimum, the services associated with each WIOA-mandated partner's core programs are provided. In addition, many partner program participants can receive services required under Pennsylvania's Perkins plan and TANF programs, among others, through the PA CareerLink® offices. Integration of PA CareerLink® service delivery is critical for effective and efficient service to customers. All partners have itemized the types and availability of services to be provided in the PA CareerLink® Memorandum of Understanding.

Within the PA CareerLink® system, program staff are aligned functionally, rather than by program. Aligned functions include: welcome function to include the greeting and initial intake and assessment of customers; skill and career development function to include the provision of career and training services; and business services function to include building relationships with employers through regional initiatives, including but not limited to Next Generation Sector Partnerships and business alliances, and identifying opportunities to address the human resource needs of employers. This alignment offers direct access to a broader range of services that can be adapted and leveraged to address each customer's unique needs.

Coordinated service delivery is also achieved through the development of career pathways as described in Goal 1. Career pathway development will be led by Local Workforce Development Boards in partnership with employers, multi-employer workforce partnerships, secondary and postsecondary education providers, Title I, Adult Basic Education providers, vocational rehabilitation program providers, and other combined plan partner programs capable of providing supportive services, such as TANF. Goal 1.4 stresses cross-program funding and programmatic integration of workforce preparation activities to develop soft-skills, Adult Basic Education and occupational training, supplemented by supportive services, as part of career pathways models to facilitate access for individuals with barriers to employment. Goal 1.5 emphasizes co-enrollment of individuals in core and other partner programs as another means of achieving the best outcomes for those with barriers.

It is also imperative that the workforce system is responsive to the employment needs of employers. Goal 4 focuses on engaging employers as critical partners in the education, workforce, and economic development systems, not just end-users. One emerging method of identifying and responding to the needs of employers is through the Next Generation Sector Partnership model. Pennsylvania was one of the first states to establish Industry Partnerships, which were funded initially to deliver incumbent worker training because that was where employers saw the biggest gaps. Moving forward, Next Generation Sector Partnerships will be used to address not only other common workforce challenges identified by employers (finding qualified entry-level workers, recruitment of low-income individuals and workers from other targeted groups, including veterans and individuals with disabilities, and the re-employment of Dislocated Workers within an industry sector) but other shared competitiveness needs of an industry. Pennsylvania will also place additional emphasis on establishing new and expanding existing Registered Apprenticeship programs and pre-apprenticeship standards as a means of addressing employer talent needs. The recently established state Apprenticeship and Training Office (ATO) will work closely with Combined State Plan core and partner programs to promote and support pre-apprenticeship and Registered Apprenticeship programs as part of relevant career pathway models.

Business Service Teams (BSTs) in the PA CareerLink® centers work with area employers to identify their talent needs and refer qualified candidates to fill those positions. This includes referring candidates for on-the-job or customized training where the referred individual is not immediately ready to take on the full duties of the position. BSTs also have access to Labor Market Information (LMI) that can be helpful to employers in setting wages and benefits that will attract high-quality candidates. BSTs communicate and collaborate with other agencies of state government which may have direct liaison activities with employers and regional economic development partners to avoid business fatigue from multiple contacts and ensure coordination of services. This also includes coordination and connection with school districts, career and technical centers and post-secondary providers who can serve as a source of talent for employers.

Further detail regarding the alignment of programs, including how services are coordinated for both individuals and employers, can be found in the Operational Planning Elements section of the Combined State Plan.

Implementation of WIOA provided the commonwealth a new opportunity to fully evaluate the workforce development system and establish new policies, procedures and strategies to better meet the skill needs of the workforce and the talent needs of employers. The evaluation also allowed for the identification of strengths and areas for improvement at a state level.

Identified areas of strength include:

- Strong relationships among agency executive leadership allowing for collaboration on numerous initiatives and grant applications and frequent sharing of information and best practices.
- Diverse secondary and postsecondary education and training opportunities in the state.
- A decade of experience working with sector strategies through programs like the Industry Partnership program and Next Generation Sector Partnerships, which has trained over 100,000 employees since its inception and has been modeled by other states.
- A history of successfully applying for and administering competitive federal grants.
- Ample Labor Market Information (LMI) through the Center for Workforce Information and Analysis (CWIA) and other sources to inform decisions and strategies.

Identified areas for improvement include:

- Increasing the share of working-age adults who have postsecondary education or industry-recognized credentials.
- Increasing the quantity and quality of online, self-service PA CareerLink® options, along with direct customer access to live-person assistance via phone; Internet coaches and access points in recognition of an increasingly tech-savvy society; and limited funding which makes it difficult to maintain the existing physical one-stop presence.
- Refining the Commonwealth Workforce Development System (CWDS), the system of record used by the commonwealth to collect participant data and report performance outcomes, to allow for more effective case management for job seekers, employers, agency, and partner staff. This includes attempts to integrate CWDS with the case management systems of other partner programs.
- Integration and alignment of educational services, especially Adult Basic Education services, with the workforce development system, including through co-enrollment of participants in Titles I and II. Development and utilization of contextualized literacy programs are crucial to helping TANF/SNAP Able Bodied Adults without Dependents (ABAWD) clients and will be a component of alignment of educational services.
- Integration and alignment of workforce programs designed to serve specific populations and administered by other state agencies (e.g., programs for TANF and SNAP recipients operated by the Department of Human Services) with workforce programs administered by the Department of Labor and Industry.

The state will make significant investments in technology to improve service delivery and allow for collection of information necessary to support WIOA implementation. Multiple focus groups are in place to drive technology investment decisions.

## **Workforce Development System – Alignment Strategy**

**Taking into account analyses described in (b)(1)(A-C), a strategy for aligning the core programs, as well as other resources available to the state, to achieve the strategic vision and goals described in (b)(1)(D)**

The commonwealth strives to consistently provide excellent service to workforce development customers, both individuals and businesses, in the 23 workforce development areas across the state. To that end, the commonwealth will establish minimum requirements for PA CareerLink® career services orientation, minimum requirements for PA CareerLink® basic career services customer flow, a customizable initial intake form, and a customizable template for developing Individual Employment

Plans. In addition to ensuring a level of consistency across regions, these minimum requirements and standard forms will also support alignment of services across programs by reducing duplicate data collections and allowing partners to all work from the same basic customer information.

The commonwealth will also foster program and resource alignment by requiring each local workforce development area to establish local Memoranda of Understanding (MOUs) among, at minimum, the core programs, specifying how program eligibility will be determined and identifying what partners will deliver what basic and individualized career services. This will ensure there are clearly defined roles and responsibilities among the partner programs.

Another key aspect of cross-program alignment is well-trained staff. The commonwealth will support improved and more frequent customer service and cross-program staff training to increase staff knowledge and allow for better service delivery.

## Desired Outcomes

### WIOA Performance Goals for Core Programs

*Note: The table was revised from the version submitted for public comment as the result of final federal guidance issued February 18, 2016 titled: Required Elements for Submission of the Unified or Combined State Plan and Plan Modifications under the Workforce Innovation and Opportunity Act. The guidance instructed states to indicate “baseline” for certain program measure. A “baseline” indicator is one for which states will not propose an expected level of performance and will not come to agreement with the Departments on adjusted levels of performance.*

	<b>PY 2016/ FY2017</b>	<b>PY 2017/ FY2018</b>
	<b>Negotiated</b>	<b>Negotiated</b>
<b>Employment (Second Quarter after Exit)</b>		
<b>Adult</b>	65.0%	65.0%
<b>DW</b>	74.0%	74.0%
<b>Youth</b>	65.0%	65.0%
<b>W-P</b>	61.0%	61.0%
<b>Adult Basic Ed</b>	Baseline	Baseline
<b>Voc Rehab</b>	Baseline	Baseline
<b>Employment (Fourth Quarter after Exit)</b>		
<b>Adult</b>	65.0%	65.0%
<b>DW</b>	75.0%	75.0%
<b>Youth</b>	57.0%	57.0%
<b>Wagner-Peyser</b>	62.0%	62.0%
<b>Adult Basic Ed</b>	Baseline	Baseline
<b>Voc Rehab</b>	Baseline	Baseline

<b>Median Earnings (Second Quarter after Exit)</b>	<b>PY 2016/ FY2017 Negotiated</b>	<b>PY 2017/ FY2018 Negotiated</b>
<b>Adult</b>	\$5,000	\$5,000
<b>DW</b>	\$7,000	\$7,000
<b>Youth</b>	Baseline	Baseline
<b>W-P</b>	\$5,000	\$5,000
<b>Adult Basic Ed</b>	Baseline	Baseline
<b>Voc Rehab</b>	Baseline	Baseline
<b>Credential Attainment Rate</b>		
<b>Adult</b>	55.0%	55.0%
<b>DW</b>	57.0%	57.0%
<b>Youth</b>	70.0%	70.0%
<b>W-P</b>		
<b>Adult Basic Ed</b>	Baseline	Baseline
<b>Voc Rehab</b>	Baseline	Baseline



	<b>PY 2016/ FY2017</b>	<b>PY 2017/ FY2018</b>
	<b>Negotiated</b>	<b>Negotiated</b>
<b>Measurable Skill Gains</b>		
<b>Adult</b>	Baseline	Baseline
<b>DW</b>	Baseline	Baseline
<b>Youth</b>	Baseline	Baseline
<b>W-P</b>		
<b>Adult Basic Ed</b>	44.0%	44.0%
<b>Voc Rehab</b>	Baseline	Baseline
<b>Effectiveness in Serving Employers</b>		
<b>Adult</b>	Baseline	Baseline
<b>DW</b>	Baseline	Baseline
<b>Youth</b>	Baseline	Baseline
<b>W-P</b>	Baseline	Baseline
<b>Adult Basic Ed</b>	Baseline	Baseline
<b>Voc Rehab</b>	Baseline	Baseline

## Section II – Operational Planning Elements

WIOA is designed to help all job seekers access employment, education, training, and supportive services to succeed in the labor market and to match employers with the skilled workers they need to compete in the global economy. Pennsylvania's effective workforce development system ([Appendix I](#)) will be built on a foundation of alignment, innovation, employer engagement, accountability structures, and improved data. This strong foundation will aid in achieving Governor Wolf's overarching goals of jobs that pay, schools that teach, and government that works.

The commonwealth recognizes that it is only through the collective efforts of the various agencies that touch workforce development that optimal outcomes can be achieved. Coordination, communication, leveraging of resources, and partnerships are stressed throughout the Operational Planning section. The commonwealth will reinforce the vision guiding Pennsylvania's WIOA Combined State Plan, understanding that shared excitement around a common vision is a critical ingredient for breaking down agency silos. In partnership with the State Workforce Development Board (WDB), the commonwealth will conduct a comprehensive assessment of programs in other states that promote the formal integration of workforce programs (such as those in New Jersey, Texas, Utah, and Wisconsin) and/or deeper interagency cooperation.

The commonwealth will focus resources on those individuals defined in WIOA to be provided priority of service as well as those individuals with barriers to employment. This will be accomplished through the establishment of minimum spending requirements of Title I training funds on those with barriers to employment, interagency collaboration in serving target populations, and seeking of discretionary grant funds that can be used to serve those most in need. State agencies will work together to leverage resources, both federal and other, to achieve the Governor Wolf's Strategic Vision. Best practices in serving target populations will be compiled and shared amongst LWDBs.

The Operational Planning section includes information about policies, operating systems, and procedures, both from a system-wide and program-specific perspective. There is recognition among all partner agencies that policies and procedures need to be better coordinated to ensure program changes in one area do not produce unintended consequences elsewhere. Additionally, efforts will be made to better integrate data systems and case management systems to better serve system customers and evaluate programs.

### State Board Functions

**Describe how the State Board will implement its functions under WIOA Section 101(d) (i.e. provide a description of Board operational structures and decision making processes to ensure such functions are carried out.**

The Pennsylvania Workforce Development Board (PA WDB) is the governor's employer-driven workforce development advisor for programs and policies under WIOA, and serves in an advisory capacity for the implementation of the governor's Strategic Vision for workforce.

The PA WDB is governed by bylaws and accomplishes its work through an active standing committee structure. Standing committees may only be formed on the occasion that a matter requires long-term, systems change or is established under law. Ad hoc committees and workgroups may be formed at any

time to address short-term, focused goals, and deliverables. Members serve three-year, staggered terms. The bylaws require members to accept the following duties and responsibilities:

1. Contribute his or her knowledge on substantive Board issues and participate actively in Board discussions.
2. Assist in preparation and planning efforts to effectively coordinate and integrate resources to achieve workforce and economic development goals. This plan will be developed jointly with the state departments which administer Pennsylvania's core training and education programs.
3. Actively participate on one or more of the board's standing committees.
4. Act as an ambassador of the board with community groups and businesses.
5. Be generally familiar with Pennsylvania's federal and state workforce programs and the laws that govern them.
6. Encourage the involvement of businesses, organized labor, educational institutions and foundations in the commonwealth's workforce initiatives.
7. The Board meets quarterly and members are expected to attend a majority of the meetings annually.
8. Be willing to provide the information required by the Pennsylvania Financial Disclosure and State Ethics forms. This is required annually of all board members.
9. Recuse themselves from their official board duties if there is a conflict of interest.
10. Advise the board of any potential conflicts of interest.
11. Ask the board for an opinion if they have any doubts that a specific situation involves a conflict of interest.

Official actions of the board, with the exception of bylaw amendments, must be supported by a majority of members. In the event of a quorum, all action will be taken by a vote of a majority of the members present. At meetings without a quorum, the board shall present and second a desired motion. After the meeting, a vote on the motion shall take place electronically. Should the motion pass, it shall be announced at the subsequent meeting. Amendments to the bylaws must be supported by a super-majority (three-quarters) of board members.

## **Core Program Alignment**

**The activities that will be funded by the entities carrying out the respective core programs to implement the strategy and how such activities will be aligned across the programs and among the entities administering the programs, including using co-enrollment and other strategies**

The core programs – Adult, Dislocated Worker, Youth, Adult Basic Education, Wagner-Peyser, and VR – will work in concert to effectively serve job seekers and employers across the commonwealth. CWIA and

other sources will gather, analyze, and provide Labor Market Information (LMI) to inform workforce development strategies. While each program has clearly defined activities, as defined by law, the commonwealth will leverage services and resource to achieve outcomes.

The commonwealth's alignment of core programs will include establishing effective career pathways that combine guidance, education, training, and support services that prepare individuals for careers. The pathway system will include coaches that help guide individuals to appropriate programs and services given their needs and career goals. The commonwealth will promote co-enrollment to align services and will encourage shared roles for guidance and support services within each career pathway program to prevent duplication of services among the core programs.



PA CareerLink® offices are the physical locations where, at a minimum, the services associated with each WIOA-mandated partner's core programs are provided. In addition, many partner program recipients can receive services required under Pennsylvania's Perkins plan and TANF programs, among others, through the PA CareerLink® offices. Integration of PA CareerLink® service delivery is critical for effective and efficient service to customers. All partners have itemized the types and availability of services to be provided in the PA CareerLink® Memorandum of Understanding.

Within the PA CareerLink®, program staff is aligned functionally, rather than by program. Aligned functions include: welcome function to include the greeting and initial intake and assessment of customers; skill and career development function to include the provision of career and training services; and business services function to include building relationships with employers through regional initiatives, including but not limited to Next Generation Sector Partnerships and business alliances; and identifying opportunities to address the human resource needs of employers. This alignment offers direct access to a broader range of services that can be adapted and leveraged to address each customer's unique needs.

Comprehensive PA CareerLink® offices use a triage approach to service delivery. Persons seeking assistance through the system can access services online or in person. Staff is available to provide direct assistance to customers in both group and individual settings, and self-service resources are available in

## Career Resource Areas.

As discussed in the Strategic section of the plan, the commonwealth will establish minimum requirements for PA CareerLink® career services orientation, minimum requirements for PA CareerLink® basic career services customer flow, a customizable initial intake/assessment/triage form, and a customizable template for developing Individual Employment Plans. The state also held statewide meetings to discuss operation of the PA CareerLink® centers and has arrived at processes for providing and aligning services including Perkins postsecondary partners and additional workforce programs. This will ensure a level of consistency across regions and support alignment of services across programs by reducing duplicate data collections and allowing partners to all work from the same basic customer information.

A brief discussion of the activities to be funded by each of the core programs and alignment strategies follows.

### WIOA Adult

The Adult program is one of three Title I core programs authorized under WIOA designed to assist participants to attain employment. The Adult program is specifically targeted toward participants, age 18 or older, in need of employment or career advancement. Priority of service is given to participants deemed to be recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient. If the participant is also to be an eligible Veteran or spouse of a Veteran, the priority of service will be extended to the covered person.

Generally, two groups of services are made available through the Adult program (as well as the WIOA Dislocated Worker program). The first group of services is known collectively as career services and the second is training services. A participant may need training services if, after an interview, evaluation, or assessment, and career planning, is determined the participant is unlikely or unable to obtain or retain employment which leads to economic self-sufficiency or wages comparable to or higher than wages from previous employment. The participant must have the skills and qualifications to successfully participate in the selected training program and be eligible in accordance with the priority of service system.

### WIOA Dislocated Worker

Dislocated Worker programs assist workers before or after layoff in identifying basic skills training, on-the-job or customized training programs, and apprenticeship opportunities to help facilitate rapid reemployment. Dislocated Workers are triaged at the point of entry to identify potential program eligibility. This initial intake and triage allows for the development of a streamlined service strategy that maximizes the funding of all entities in carrying out core reemployment activities. Job search and relocation allowances help eligible individuals to look for and obtain suitable employment outside of their normal commuting area when suitable employment is not available within their normal commuting area.

### WIOA Youth

Youth programming is designed to serve eligible youth and young adults through high-quality case management support toward educational attainment that includes career guidance and exploration, the provision of summer and/or year-round work experience opportunities such as internships and pre-apprenticeships, and skills training along a career pathway for in-demand industries and occupations, along with any necessary supportive services. The goal for program participants is either advancement into post-secondary education or the attainment of employment with a family-sustaining or self-sustaining wage. Youth program services are prioritized for out-of-school youth (OSY) and youth with

significant barriers to success, such as youth with a disability, pregnant or parenting youth, or those youth subject to the juvenile/adult justice system.

#### Wagner-Peyser

Wagner-Peyser staff provides employment services to job seekers and employers in PA CareerLink® centers. Services to job seekers include, but are not limited to: job search and job placement assistance, career counseling, needs and interest assessments, proficiency testing, workshops, development of an individual employment plan, and case management. Services to employers include assistance in developing and posting job orders, referral of qualified job seekers to job openings, and organizing job fairs. Both job seekers and employers are also provided with robust Labor Market Information (LMI) to help inform their decisions.

#### Adult Basic Education

Adult Basic Education is a critical partner in establishing career pathways for adults who lack basic skills. Funded programs provide a full range of Adult Basic Education services from beginning level literacy through high adult secondary and transition activities to support college and career readiness; these services include English language acquisition activities where needed. Programs provide basic skills instruction in the context of work readiness and incorporate workplace preparation activities and career awareness and planning in instruction and services. Programs provide case management services to their students in two key areas: 1) helping students address barriers to participation in Adult Basic Education programming, and 2) helping students prepare and plan for entry onto a career pathway through employment and/or postsecondary education/training. Case managers connect students with support services to address needs such as child care, transportation, housing, health care, and other services. They also provide information on employment and postsecondary education/training opportunities and assist students in completing the necessary steps to take advantage of those opportunities. These services support persistence and success in Adult Basic Education services and connect students to partner programs and entities in the workforce development system.

Adult Basic Education program administrators and other staff develop relationships and partnerships at the local level to support alignment of Adult Basic Education services with the services of the other programs and workforce development system partners to include working with local business service teams. Applicants for Title II funds will be required to describe how they will align services with the local workforce development plan. To support the commonwealth's goal of increasing opportunities for youth, funded programs will be encouraged to co-enroll out of school youth by providing basic skills instruction.

Funded programs will provide integrated education and training whenever possible and appropriate. Integrated education and training requires three components offered concurrently and contextualized to an occupation or occupational cluster: Adult Basic Education, workforce preparation, and workforce training. To make this possible, the PA Department of Education (PDE) has developed guidance, aligned with federal regulations, that establishes criteria for integrated education and training offered under Title II. Furthermore, the commonwealth will develop policy and guidance governing the use of Title I training funds and other funding sources to support the costs of the workforce training component of integrated education and training opportunities, including both pre-employment and incumbent worker training. Integrated education and training activities will be aligned with local workforce needs, prepare students for realistic, existing employment opportunities, and be developed in consultation with LWDBs, employers, and training providers.

LWDBs are required to include a Title II representative to support overall alignment among core programs and other workforce development system partners. Per WIOA Section 107(b)(6), in Local Areas with more than one Title II provider, the Title II representative “shall be appointed from among individuals nominated by local providers.” PDE further requires its Adult Basic Education providers to meet regularly to coordinate their services and to make a formal presentation to the LWBD at least annually.

#### Office of Vocational Rehabilitation (OVR)

OVR provides vocational rehabilitation services to help persons with disabilities prepare for, obtain, or maintain employment. OVR provides services to eligible individuals with disabilities, both directly and through a network of approved vendors. Services are provided on an individualized basis. The OVR Counselor, during face-to-face interviews, assists customers in selecting their choice of vocational goals, services, and service providers. An Individualized Plan for Employment (IPE) is developed, outlining a vocational objective, services, providers and responsibilities. Counseling and guidance, diagnostic services, assessments, information and referral, job development and placement, and personal services such as readers or sign language interpreters are provided at no cost to the individual. OVR will continue to meet with individuals with disabilities and business representatives in the PA CareerLink® centers to provide vocational rehabilitation services and outreach. OVR will collaborate with partners in the workforce and education systems to develop strategies for streamlining and enhancing service planning and delivery.

Organizational charts for Labor & Industry workforce development programs and Adult Basic Education can be found in [Appendix II](#) and [Appendix III](#).

### **Alignment with Other Partner Programs**

**How the activities described in (i) will be aligned with activities provided under employment, training, education, including career and technical education, and human services programs not covered by the plan, as appropriate, assuring coordination of, and avoiding duplication among, the activities referred to in this clause**

The Pennsylvania workforce development system extends far beyond the core programs to include programs administered by numerous state agencies and quasi-governmental entities. An overview of major partners and programs is included on page 45. Core and partner programs will collaborate to ensure that resources are leveraged and services are not duplicated.

An interagency work group has been established and meets on a regular basis to consider ideas, discuss agency initiatives and goals, how partner agencies can help in achieving them, and share best practices. The interagency work group is an ad hoc group comprised of executive and program level staff from the Departments of Aging, Education, Human Services, Labor & Industry, Agriculture, Community and Economic Development, Military and Veterans Affairs, and Corrections, as well as the Governor’s Office. The work group is coordinated by the Governor’s Office and led by the Deputy Secretary of Workforce Development within the Department of Labor & Industry. Additionally, DHS, DCED, and the Department of Agriculture have Special Assistants focused on workforce development initiatives, all of whom participate in the interagency work group and help in facilitating alignment of activities.

The State WDB is another body that works to promote alignment and collaboration across programs. The Secretaries of L&I, DCED, DHS, PDE, and the Department of Agriculture all serve on the Board. Other agency secretaries are invited to participate in Board meetings when populations they serve are expected

to be discussed, such as the Secretary of the Department of Corrections (DOC) for discussions involving ex-offenders and re-entry programs, the Secretary of Aging when discussing older workers, or the Secretary of the Department of Military and Veterans Affairs (DMVA) for discussions involving veterans. In addition to its other committees, the State WDB will retain a standing Youth Committee that will provide leadership and information to Pennsylvania's local standing youth committees in promoting greater connections between workforce development boards, community organizations with experience and expertise in youth workforce preparation, and the young people that they serve.

There are numerous examples of specific collaborations among partner programs beyond the high level strategic and planning efforts taking place through the interagency work group and State WDB including:

- OVR works extensively with education partners including Local Education Agencies (LEAs) to coordinate the provision of pre-employment and other transition services for students with disabilities under the 15 percent reserve.
- The Secretaries of PDE, DHS and L&I, along with other commonwealth agencies and executive office officials, created a written plan that implements Employment First as the policy of all commonwealth executive branch agencies; aligns funding, policy, and practice toward an emphasis on competitive, integrated employment; prioritizes competitive, integrated employment as the first consideration and preferred outcome of all publicly-funded services for all Pennsylvanians with a disability; and creates the conditions that lead to a material increase in the number of Pennsylvanians with a disability who are employed in a competitive, integrated job.
- WIOA Youth service providers coordinate with LEAs, adult education agencies, county human service offices, county assistance office income maintenance caseworkers, Keystone Education Yields Success (KEYS) student coordinators, EARN contractors, juvenile justice and local law enforcement agencies, local housing authorities, OVR, economic development entities, and other community and faith-based organizations to ensure youth participants have access to all the services they need to be successful in training activities and employment.
- WIOA Youth are notified of opportunities to co-enroll in the TANF program and are also referred to the Education Leading to Employment and Career Training (ELECT) program as appropriate.
- The braiding of WIOA Title I-B funded programs with other youth-directed state and local entities allows comprehensive services to be offered to all eligible low-income populations under WIOA. Available TANF funding will continue to support WIOA year-round services and summer employment activities.
- Applicants for Title II funds are required to describe how they will align services with WIOA Local Plans and how they will coordinate with other available education, training, and social services in the community.
- Perkins postsecondary providers assist job seekers in identifying their interests and abilities and aligning these skills needs to training and financial resources to assist with training. Training is linked to the state's HPO list and is designed to lead to credential attainment. Both credential attainment and HPO alignment assist job seekers in securing employment with family-sustaining wages.



- The commonwealth co-enrolls all trade-impacted workers in the WIOA Dislocated Worker program to ensure that all individuals receive the full range of assistance available to Dislocated Workers.
- The Community Education Councils (CECs) network, as designated by PDE, will align training development efforts with the overarching strategies of the commonwealth to increase the education and training delivery to residents of rural communities.
- PDE will work with representatives from the PA Department of Corrections (DOC) and other education stakeholders to identify best practices to support the requirements of Section 225 of Title II.
- The PA DOC is working closely with L&I, PDE, DHS, DCED, and other partners to align its Career Pathways in Reentry Initiative with on-going efforts in the workforce and education systems.
- The DOC is partnering with LWDBs and PA CareerLink® service providers to build staff capacity in reentry employment and offender workforce development services.
- PDE will partner with DOC to improve coordination of resources and systems at the state level, including providing professional development for Bureau of Correction Education (BCE) staff on key issues such as career pathways, rigorous academic standards, and the development of college- and career-ready skills. PDE's Bureau of Career & Technical Education (BCTE) offers regional meetings with secondary schools to discuss best and promising practices related to workforce readiness and will extend these opportunities to DOC BCE staff and others who are committed to improving educational and employment outcomes for students in the criminal justice system. In addition, PDE will work to connect DOC with institutions of higher education and other partners who can provide opportunities for offenders to enhance skills and earn post-secondary credentials.
- South Central WDB and local economic development entities are cooperating to ensure better business health. Members of the local economic development group and representatives of the WDB meet with all new and expanding businesses within the region to discuss their future needs. From the workforce side this helps connect the WDB with new companies and can provide detailed information about current workers in the region as well as give the WDB the opportunity to learn about future needs. At the same time, it also introduces the company to the local economic development system that can help to connect the business with programs that can help them continue to grow. This partnership helps to identify issues and create innovative solutions especially in a region where the demand for workforce is very high and can be competitive.
- The Area Agencies on Aging (AAAs) and seven national Senior Community Service Employment Program (SCSEP) sponsors refer all individuals ages 55 and older to the PA CareerLink® centers to enroll in CWDS. PA CareerLink® staff reciprocates by referring SCSEP eligible individuals to the AAAs and SCSEP partners as appropriate. In some cases, SCSEP staff are co-located at PA CareerLink® centers on a full- or part-time basis. SCSEP is the largest federal workforce development program targeted to serve older workers.
- SCSEP sponsors seek to co-enroll participants in WIOA, OVR, and veterans programs, as appropriate, to efficiently leverage available federal and state workforce development funds.

- When appropriate, SCSEP sponsors pursue the placement of SCSEP participants to serve as greeters, resource room aides, job developers, custodians, clerical aides, and customer service representatives in PA CareerLink® centers.
- PADataShare is an integrated data platform that links human service, workforce, and wage record data to measure the outcomes of workforce development programs and identifies the supply of skilled workers in relation to employer demand. DHS and L&I were the initial partner agencies in the project with analysis of Adult, Dislocated Worker, Youth, Wagner-Peyser, TANF E&T, and SNAP E&T program outcomes at the forefront of project goals. PDE is currently working with L&I to join PADataShare and align PDE longitudinal data with L&I and DHS data.
- The PA Centers for Independent Living (CILs) play an important role in helping individuals with disabilities achieve or maintain independence through supportive services and programs, including four core services: information and referral, peer support, advocacy, and independent living skills. WIOA provides new opportunities for PA CareerLink® to partner with CILs leading to improved employment outcomes for job seekers with disabilities. The comprehensive services provided by CILs to advocate for and support the independence of individuals with disabilities is a perfect match with the focus of the public workforce system. CILs, OVR, and PA CareerLink® centers are natural partners committed to improving the employment and economic advancement of all job seekers, including in-school and out-of-school youth, and adults with disabilities through collaboration.
- The PA Link to Aging and Disability Resources (PA Link) is a resource for elderly Pennsylvanians and adults with disabilities. The PA Link, through its collaborative network of partners including state and local public and private agencies improves access to information and provides referrals to long term living supports and services. Examples of services accessible through the PA Link network include assisted living and nursing home services, vocational rehabilitation services, and transportation services.
- OVR collaborated with Penn State's AgrAbility Program and the U.S. Department of Agriculture in developing the Farming and Agriculture Rehabilitation Management (F.A.R.M.) policy to address the rehabilitation needs of farmers and ranchers with disabilities. VR Counselors, with technical assistance and guidance from Pennsylvania's AgrAbility Program, assess the rehabilitation needs of farmers and ranchers with disabilities in order to provide the specialized rehabilitative and assistive technologies they may need to maintain their employment.
- OVR continues to build new and strengthen existing partnerships with the PA DOC to increase and improve the agency's involvement in re-entry employment training to assist individuals with disabilities in the correctional system.
- The Fast Track to Employment initiative in Mercer County, supported by state grant funds, utilizes the six public libraries in the county to provide resources to job seekers, including career guidance; resume preparation and assistance; information about schools, financial aid, scholarships, and internships. The commonwealth will look to further utilize libraries and other community-based organizations as access points to the workforce development system. The commonwealth will

leverage the resources available in the over 600 public libraries across the state to include basic computer skills training, access to the Internet, and other digital education resources.

- Through a recently approved Memorandum of Understanding (MOU) between L&I-OVR and DHS-Office of Developmental Programs (ODP), the agencies will pool their resources to increase the amount of financial resources available to assist individuals with intellectual disabilities secure and maintain community integrated employment. DHS-ODP will direct state funds in the amount of \$500,000 to L&I-OVR and L&I-OVR will use those state funds to match available federal vocational rehabilitation funds at the allowable federal-to-state-ratio. This collaborative effort between L&I-OVR and DHS-ODP promotes competitive employment for individuals with an intellectual disability and allows the possibility of expanded job-training programs, paid work experience for high school students, employment demonstration projects, and family outreach. This MOU is dependent on the availability of funds and the pooling of financial resources is a year-to-year endeavor.
- The PA Department of Agriculture invited representatives of groups interested in using agriculture education as a rehabilitation modality to come together to discuss existing programs and the possibility of collaborating to build new ones. The PA Department of Labor & Industry, the Office of Vocational Rehabilitation, the Department of Drug and Alcohol Programs, the Department of Corrections, the Department of Human Services, and providers of similar services in Local Areas gathered to discuss some best practice examples and the possibilities for replication around the commonwealth. This group plans to continue meeting on a regular basis under the leadership of the PA Department of Agriculture.
- The PA Department of Agriculture works with the Department of Education (PDE) in administering agriculture education programs (a part of career and technology education) as well as the accompanying FFA programs and 4-H (in cooperation with Penn State University). The Department of Agriculture plans an evaluation of the agriculture education system in the commonwealth in conjunction with PDE and the Pennsylvania Association of Agriculture Educators in 2016.
- The PA Department of Agriculture invited representatives from the veterans' services system within state government and around the commonwealth to a meeting to discuss jobs in the agriculture and food industry as a career pathway for people returning to the job market from active duty. This informal collaborative group plans to continue meeting on a regular basis under the leadership of the PA Department of Agriculture.
- In the process of outreach to urban farming groups in Philadelphia and Pittsburgh, the PA Department of Agriculture discovered an interest in additional training for participants in urban farming projects. A meeting at the W.B Saul High School of Agriculture in Philadelphia drew representatives from 25+ groups into a conversation about technical training in soil remediation, season extension, and composting as well as formal apprenticeship programs. This collaboration will continue with meetings and tours planned on a regular basis.
- The Department of Military and Veterans Affairs-Office of Veterans Affairs (DMVA-OVA) will work to establish and participate in Regional Veterans' Employment and workforce development initiatives that are focused on combating veteran unemployment. DMVA will collaborate with the

United States Department of Veterans Affairs, the PA Department of Labor & Industry, the State Civil Service Commission, other Veterans' service organizations, and community partners to lead efforts that improve veteran employment within the commonwealth. DMVA recognizes that the emerging needs of veterans and their families are unique within several distinct regions. DMVA will work with sister departments and community partners to develop regional initiatives that both maximize available resources and focus on the economic and industry needs within those defined regions. This initiative will include utilizing new and innovative techniques that help veterans develop required skills, prepare for job interviews and attain employment opportunities. DMVA will publicize efforts, provide systemic updates on new opportunities, share successes, and facilitate access to regional employment opportunities with the end goal to pair job seeking veterans with employers who are ready to hire.

The commonwealth will take further actions to coordinate services between WIOA core programs and non-core programs and services to create an effective career pathway system. These actions will include the following:

- The State WDB and LWDBs will work with education agencies and educational institutions to build career pathways that include secondary and postsecondary career and technical education Programs of Study.
- The commonwealth will expand skill-building services funded through Supplemental Nutrition Assistance Program Employment and Training (SNAP E&T), including leveraging federal funding available through SNAP E&T 50/50 funds for employment, training, and related support services, and coordinate those services with WIOA core programs.
- The commonwealth will increase the integration of TANF employment and training services with WIOA core programs.
- The commonwealth will design career pathways to have an entry point along the pathway that meets the adult education and workforce program alignment requirements in the "ability to benefit" provision of Title IV of the federal Higher Education Act, to enable eligible participants without a high school diploma to qualify for Pell Grants and other federal student financial assistance.

#### Department of Labor & Industry

- Trade Act; Veterans Programs (Chapter 41 of Title 38); Unemployment Insurance; PennSERVE; Industry Partnerships; Rapid Response Coordination Services; Trade Adjustment Assistance Act Services

#### Department of Education

- Career and Technical Education Programs; K-12 Secondary Education; Office of Commonwealth Libraries

#### Department of Human Services

- Temporary Assistance for Needy Families (TANF) Employment & Training (E&T); Supplemental Nutrition Assistance Program E&T (SNAP); Keystone Education Yields Success (KEYS); PA Work Wear; Juvenile Justice Services

#### Department of Community and Economic Development

- WEDnetPA; Partnerships for Regional Economic Performance; Community Services Block Grant; PA Local Government Training Partnership; Powdered Metals Program

#### Department of Aging

- Senior Community Service Employment Program (SCSEP)

#### Department of Corrections

- Vocational Education; Secondary Education/GED; Adult Basic Education Services; Career Pathways in Reentry Initiative

#### Pennsylvania Board of Probation and Parole

- Returning Citizen Re-entry Programs

#### Department of Military & Veterans Affairs

- Veterans Programs

#### Department of Agriculture

#### Pennsylvania State System of Higher Education

- 4-year universities

#### Pennsylvania Community Colleges

- 14 Independent Community Colleges

#### Pennsylvania Higher Education Assistance Agency

- Student Financial Aid Provider; PA-TIP; Loan Forgiveness Program; Federal Teacher Loan Forgiveness Program

## Coordination, Alignment and Provision of Services to Individuals

### How the entities carrying out the respective core programs will coordinate activities and provide comprehensive, high-quality services including supportive services, to individuals

As described in the Alignment Strategy in Section I of the plan, the commonwealth strives to provide consistently excellent service to workforce development customers across the state through minimum standards, clearly defined roles and responsibilities, and well-trained staff.

The commonwealth will establish minimum requirements for PA CareerLink® career services orientation and basic career services customer flow, a customizable initial intake/assessment/triage form, and a customizable template for developing Individual Employment Plans. In addition to ensuring a level of consistency across regions, these minimum requirements and standard forms will also support alignment of services across programs by reducing duplicate data collections and allowing partners to all work from the same basic customer information.

Trained and informed staff is another critical element to providing comprehensive, high-quality service. An expanded curriculum of standardized courses, technical courses, conferences and workshops enhance knowledge, skills and the professional development of workforce professionals in PA CareerLink® centers, LWDBs and partnering agencies and promote a collaborative and consistent service delivery through information exchange and learning.

The commonwealth also recognizes the need to provide supportive services to customers, particularly those with barriers to employment, to achieve successful outcomes. While many of these supportive services can be provided by the core programs, Local Boards are encouraged to secure other services through partner programs and community and faith-based organizations based on local needs. Examples of work with partners to provide necessary supportive services include:

- Participants determined to be eligible under WIOA's Title I core programs are characterized as having barriers to employment. Title I Adult and Dislocated Worker funds allow Local Areas to provide supportive services while Title I Youth providers offer supportive services based on findings from needs assessments. Referrals and/or assistance with transportation, housing, child and dependent care are found at all PA CareerLink® centers and can also often be leveraged from TANF and SNAP Employment and Training programs. LWDBs also coordinate with partnering agencies, human services entities, and community-based organizations to ensure a robust variety of services.
- Case management in Adult Basic Education programs connects students with other services, both social services to address barriers to participation in Adult Basic Education programming and services to support transition to employment and/or postsecondary education and training.
- Perkins postsecondary programs are among the eligible training programs. The programs are part of career pathways aligned to HPOs and credentials.
- OVR partners with local providers to increase resources for extended services for individuals with the most significant disabilities in Supported Employment.

- OVR collaborates with the Department of Labor and Industry's Bureau of Workforce Partnership and Operations (BWPO) via PA CareerLink® centers to better assist veterans who have disabilities in obtaining assistive technology (AT) to remove barriers in locating and maintaining employment. OVR staff attends PA Cares meetings to learn AT and training programs offered by various community and state agencies and higher education institutions and disseminate this information statewide to OVR Veteran Coordinators and Veteran Counselors who provide AT equipment and training to OVR customers who are veterans with disabilities.

## **Coordination, Alignment and Provision of Services to Employers**

**How the entities carrying out the respective core programs coordinate activities and resources to provide comprehensive, high-quality services to employers to meet their current and projected workforce needs. The activities shall conform to the statutory requirements of each program.**

The commonwealth is committed to providing high-quality service to employers as evidenced by one of the five state goals being specific to employer engagement. Employers will be prominent participants in the development of workforce development strategies through forums like the employer-led PA WDB and through ongoing meetings with business leaders as part of the Governor's Jobs that Pay Tour.

At the local level, Business Service Teams (BSTs) in the PA CareerLink® centers work with area employers to identify their talent needs and refer qualified candidates to fill those positions. This includes referring candidates for on-the-job or customized training where the referred individual is not immediately ready to take on the full duties of the position. BSTs also have access to Labor Market Information (LMI) that can be helpful to employers in setting wages and benefits that will attract high-quality candidates. BSTs will communicate and collaborate with other agencies of state government which may have direct liaison activities with employers and regional economic development partners to avoid business fatigue from multiple contacts and ensure coordination of services. This will also include coordination and connection with school districts, career and technical centers, and post-secondary providers who can serve as a source of talent for employers.

Employers also have access to the JobGateway® online job-matching portal. The initial system was developed based on feedback from employers received through meetings and surveys. The commonwealth will continuously seek employer feedback to enhance JobGateway® functionality and features to better meet employer needs.

The commonwealth welcomes the addition of an employer satisfaction measure to the federal common measures. Measuring employer engagement and satisfaction provides LWDBs with actionable intelligence to identify best practices and weaknesses in serving employers allowing constant system improvement.

Additional efforts to ensure the provision of comprehensive, high-quality service to employers include:

- The OVR Single Point of Contact (SPOC) model, which is designed to help any employer hire and on-board talented individuals with disabilities. The model connects the employer to one individual responsible for coordinating all program supports to include pre-screened talent recruitment and on-boarding supports to ensure new hire success.
- The commonwealth-funded Industry Partnership (IP) program has been successful at enlisting businesses participating in the same general economic sector to collaborate and cooperate. In

2017, the commonwealth is providing seed funding for Next Generation Sector Partnerships, with the goal of building capacity statewide.

- The Pennsylvania Department of Aging has partnered with the Pennsylvania Homecare Association to improve the skills of current and future workers and consequently improve the home care provided to older individuals. This has been accomplished through the creation of 55 online Direct Care Worker training courses and through a grant to Westmoreland County Community College to train older individuals to become direct care workers.
- The Pennsylvania Department of Education's secondary and postsecondary career and technical education (CTE) programs will collaborate and partner with employers who will assist in: informing and developing career pathways for targeted HPOs; developing work-based learning opportunities for CTE students and career exploration activities for middle school students; developing pathways to high value industry certificates and credentials; developing a system of micro-credentials; and improving STEM focused career exploration.
- The PA Department of Agriculture is working with local industry partnerships to identify industry needs and develop relevant training programs to include seeking to establish apprenticeship programs for positions such as Agriculture Equipment Service Technicians and in other occupations, particularly in the dairy industry and organic farming.
- The Work Opportunity Tax Credit program (WOTC) provides tax credits to employers for wages paid to individuals from targeted populations.
- The Strategic Early Warning Network (SEWN), a program managed by the Steel Valley Authority, offers layoff aversion services to manufacturing companies, upon request, at no cost. Core services include financial restructuring, operational restructuring and cost management, ownership transition, high performance workplace strategies and new market opportunities.
- Pennsylvania's Small Business Procurement Initiative designates a portion of state government contracts for companies with 100 or fewer employees that do not exceed established revenue ceilings. Allowing these small businesses to compete for contracts will, in turn, provide opportunities for them to add to their labor force.
- PA CareerLink® centers help employers fulfill part of the US DOL requirements for Foreign Labor Certification by helping them recruit U.S. workers and determining whether or not there are any qualified job applicants available to fill their job postings. If U.S. workers are not available Migrant Seasonal Farm Workers are considered.
- Adult Basic Education programs provide contextualized basic skills instruction and workforce preparation activities to support incumbent worker training.
- Career and technical education programs provide soft skills instruction, workforce preparation and technical skills instruction to support new worker and incumbent worker needs.
- The public library system can provide programs and tools, particularly for small business owners and entrepreneurs.



## Engagement with Educational Institutions

**How the state's strategy will engage the state's community colleges and area career and technical educational schools as partners in the workforce development system and enable the state to leverage other Federal, state and local investments that have enhanced access to workforce development programs at those institutions**

The commonwealth's workforce development strategy is integrally tied to education, starting with the secondary school system, to include career and technical schools, and continuing through postsecondary education to include community colleges, and public and private colleges and universities. All core programs have a history of engaging with the education system, in particular the career and technical schools and community colleges, and will continue to develop those relationships.

The core programs will work with the education system to support and develop career pathways identified by employers and the workforce system. Using a Workforce Innovation Fund (WIF) grant, the commonwealth is partnering with seven community colleges to develop micro-credentials that demonstrate measurable skill gains and are part of a clearly defined career pathway for individuals with barriers to employment. The community colleges are Perkins postsecondary recipients. The models developed by the seven community colleges will serve as models that can be replicated by all of the Perkins postsecondary recipients. In addition, many of the approved secondary career and technical education (CTE) Programs of Study offer badges to recognize incremental attainment of skills. Badges are earned when a student achieves a minimum score on the technical assessment. A digital badge is an electronic indicator of one's skills, performance, and achievement.

In collaboration with PDE, providers of CTE Programs of Study have worked to align industry credentials to high priority occupations (HPOs). Secondary CTE centers make appropriate micro-credentials available through end-of-program assessment. Students graduating from a secondary CTE program exit high school with digital skill badges. The digital skill badge is a means to validate individual achievements and verify technical competence through projects, programs, and assessments.

There has also been significant collaboration between the workforce development system and community colleges on Trade Adjustment Assistance Community College and Career Training (TAACCCT) grants. The TAACCCT I grant received by the Community College of Philadelphia and shared among all of the state's fourteen community colleges was used to develop curriculum and customize programs to re-train Dislocated Workers in the Advanced Manufacturing; Energy Distribution, Production and Conservation; and Healthcare Technology industries. Career coaches at each college aided students with wrap-around supportive services, as necessary. A TAACCCT II grant was received by Montgomery County Community College to develop prior learning assessment which became the online tool used by all community colleges ([ccfasttrack.org](http://ccfasttrack.org)). The college also developed a free online entrepreneurial course ([Be the Boss](#)). A TAACCCT III grant was received by Pennsylvania College of Technology, in partnership with Westmoreland County Community College, Navarro Community College (Texas), and Stark State College (Ohio) to support the ShaleNET US consortium. The project provides for entry-level certifications, credit-based stackable credentials, degree programs, and job match system services to job seekers looking to work in the oil and gas industry. Most recently, Northampton Community College, in partnership with Lehigh Carbon Community College and Luzerne County Community College, received a TAACCCT IV grant to prepare Dislocated Workers and other unemployed individuals for careers in advanced manufacturing, health care, and transportation and logistics building on successful strategies from prior rounds of funding with an emphasis on technology-enhanced learning techniques. The commonwealth will analyze the

results of each project and utilize best practices and lessons learned to help improve the broader workforce development system.

Another key area of collaboration and cooperation is the transition from the workforce development program to postsecondary education. Title II case managers, OVR Counselors, KEYS student coordinators, and WIOA and Wagner-Peyser employment specialists have established relationships with educational staff to support the successful transition of their customers to postsecondary programs. The postsecondary programs include those offered by Perkins postsecondary recipients.

All Pennsylvanians exiting basic education services, both through the traditional K-12 system and through Adult Basic Education, will be prepared to participate successfully in postsecondary level instruction without remediation. To support this vision, Title II Adult Basic Education providers are implementing the College and Career Readiness Standards for Adult Education. At the local level, many adult education providers developed relationships with postsecondary education providers. Students who do not earn a high enough score on placement tests at the postsecondary institution are referred to a local Adult Basic Education program for remedial work. After the student has demonstrated sufficient academic progress at the local adult education program, as determined by an approved standardized test, the student is referred back to the postsecondary institution. The commonwealth will build on best practices developed through these relationships. For example, in the most successful partnerships, both the adult education program and the postsecondary institution have points of contact for referrals. Also, the adult education and postsecondary programs have worked together to identify key content areas for the adult education program to cover to support successful transition to the postsecondary program.

The commonwealth will strive to connect youth and adults seeking postsecondary education with available financial aid to include Pell and Pennsylvania Higher Education Assistance Agency (PHEAA) grant programs. The commonwealth will also encourage those students without a high school diploma or recognized equivalent deemed eligible under ability-to-benefit for financial assistance to enroll in eligible career pathway programs. Contextualized GED programming will also be used as a mechanism for encouraging students without a high school diploma or recognized equivalent to enroll in eligible career pathway programs.

Postsecondary career and technical education is a critical partner in providing occupational training for adults and a proven skills-building strategy. Postsecondary career and technical education programs will collaborate with Adult Basic Education programs to develop service delivery models that support adults with basic skills deficiencies to successfully take advantage of these training opportunities.

In serving individuals with disabilities, OVR will continue to work collaboratively with local community colleges, career and technical schools and other Perkins recipients to explore the development of training programs that are implemented with universal design to train individuals with disabilities for competitive, integrated employment in jobs that meet local labor market demand. This will include working to replicate successful programs such as Project PAS and Work Partners to expand postsecondary education options for youth with disabilities. OVR will also work to develop and implement interagency agreements with community colleges and career and technical schools to fill unmet needs within the local workforce delivery system.

In serving veterans, DMVA-OVA will continue to work closely with the PDE and student veteran organizations at colleges, universities, trade schools, and other institutions of higher learning to create “veteran friendly” learning environments. DMVA will support partners in education with focused outreach

and coordination with community partners while supporting veterans and their family members to take full advantage of educational benefits that they have earned. DMVA will leverage these education and training platforms to focus on job skills that meet the needs of employers within the regions. DMVA will coordinate with partners to link employers to these educational institutions and programs to ensure that we graduate skilled applicants who have the greatest potential to move successfully into employment.

## **Partner Engagement with Other Education and Training Providers**

**Describe how the State's Strategies will engage the State's other education and training providers, including providers on the state's eligible training provider list, as partners in the workforce development system to create a job-driven education and training system.**

As mentioned in the Strategic section of the plan:

Goal 1.6: The commonwealth will promote and support the creation of pre-apprenticeship and Registered Apprenticeship programs, particularly in non-traditional occupations and for non-traditional populations, as part of relevant career pathway models. The commonwealth will add apprenticeship opportunities to JobGateway® and Commonwealth Workforce Development System (CWDS) and will promote them as career options to job seekers.

Goal 1.8: The commonwealth will maintain a robust Eligible Training Provider List (ETPL) to include performance data for all students receiving training in all programs, regardless of the funding source for those students' training. This will allow for informed customer choice in selecting training programs and training providers. The commonwealth will ensure that there are a sufficient number of training providers serving individuals with barriers to employment on the ETPL.

The commonwealth issued [Workforce System Policy No. 04-2015](#) detailing initial implementation of eligible training provider provisions of WIOA. The policy outlines the requirements for becoming and remaining an eligible training provider in accordance with federal law and regulations. Guidelines may be revised at a later time to ensure that eligible training providers and programs are aligned with career pathways once they are established.

New providers and programs seeking initial eligibility must, in general: have been in operation at least 12 months; provide program information; assure compliance with nondiscrimination, equal opportunity, and ADA; demonstrate effectiveness; and agree to collect and provide performance data. In order to maintain eligibility a provider or program must meet the minimum established performance criteria. Full details regarding initial and continued eligibility requirements can be found in the policy.

Procedures for applying for initial eligibility are as follows:

1. Local workforce development boards solicit training providers in their local area to become an eligible training provider; training providers may independently apply to be an eligible training provider of WIOA Title I-B services.
2. Providers seeking eligibility must complete an online application in CWDS.
3. Upon receipt of completed application and required information, the appropriate local workforce development board will review the application and each proposed program.

4. The local workforce development board will make a recommendation for approval or denial to the Department through CWDS; the Department will make the final eligibility determination and add the program(s) to the ETPL if the determination is favorable.
5. Once the Department has approved eligibility, the local board must ensure the provider completes a Memorandum of Understanding covering use of Personally Identifiable Information (PII) in student data, PA wage records, PA employment records, WRIS2, and FEDES, as applicable.
6. New providers will be added to the eligible training provider list as they become eligible. Initial eligibility remains in effect at least one (1) year.

The renewal process for continued eligibility generally follows steps 2 through 6 of the initial eligibility process described above. Training providers seeking to remain on the statewide ETPL must meet at least seven of the ten minimum performance criteria established by the PA Department of Labor & Industry (L&I).

Registered Apprenticeship programs will also be part of the ETPL. Although Registered Apprenticeship programs are not subject to the same review procedures as other providers, the commonwealth must verify the status of programs to ensure they remain registered and in good standing. Initially, all Registered Apprenticeship programs in the commonwealth will receive a letter with instructions on how to opt-in to the ETPL.

All registered apprenticeship programs are required to provide the following information to L&I as part of their procedures for inclusion on the statewide ETPL:

- Occupations included within the registered apprenticeship program;
- The name and address of the registered apprenticeship program sponsor;
- The name and address of the related technical instruction provider, and the location of instruction if different from the program sponsor's address;
- The method and length of instruction; and
- The number of active apprentices.

Registered Apprenticeship programs are not subject to the same information reporting requirements as other training programs. However, if Registered Apprenticeship programs volunteer to report performance information, L&I will accommodate such submissions.

L&I will verify the registration status of Registered Apprenticeship programs. Once the registration status has been confirmed, the entity will be added to the ETPL and L&I will notify the appropriate LWDB(s). Programs will remain on the list so long as the entity's registration status remains valid or until a program sponsor requests to have a program removed.

## **Leveraging Resources to Increase Educational Access**

**How the State's strategies will enable the state to leverage other Federal, State and local investments that have enhanced access to workforce development programs at the educational institutions described in section E.**

In recent years, there has been significant collaboration between workforce development programs and educational institutions, particularly community colleges. The PA Department of Labor & Industry (L&I) partnered with a number of Pennsylvania community colleges to apply for federal grants to increase access to post-secondary education for non-traditional, harder to serve individuals. Each of the community colleges is a Perkins postsecondary recipient and each is expected to leverage investments to enhance access to career pathways.

The WIF grant is a partnership between seven LWDBs, seven community colleges, OVR, the PA Department of Education (PDE)-Division of Adult Education, and the PA Department of Human Services (DHS), which administers the SNAP E&T and TANF programs. The project is targeting out-of-school youth, adults with low basic skills, and other learners with significant barriers to education and employment. The project aims to establish several career pathway models that include multiple industry-recognized micro-credentials along the pathway. The project will also seek to catalog available interventions and supportive services to assist students in danger of not completing. The ultimate goals of the project are to improve the educational and employment outcomes of students with barriers and to make micro-credentials an integral part of career pathways for individuals.

The commonwealth has also leveraged state workforce development resources to promote new opportunities for students to access post-secondary education. In May 2014, the Access College-Employment Success (ACES) grant was awarded to Dreams Realized through Education Aspiration Model (D.R.E.A.M.) Partnership to create college-based certificate programs for young adults with intellectual disabilities. D.R.E.A.M. Partnership is in the process of developing and implementing programs at two Pennsylvania colleges or universities per year over a three-year period. Programs will provide a mix of credit and non-credit course offerings and extracurricular activities that provide accessible, integrated and developmental opportunities with the goal of increasing participants' opportunities for community-integrated, customized, or competitive employment following program completion. The grant is being funded through the state Reemployment Fund.

Additionally, Community Education Councils (CEC) have historically leveraged other state and local funding to implement sector based training provided through a variety of educational institutions in rural communities where campus locations are non-existent. CECs serve as an intermediary and broker of training programs based on identified need and demand.

The commonwealth will continue to work closely with post-secondary education partners, including all Perkins postsecondary recipients, to leverage federal, state, and local resources, including financial aid programs and veterans' benefits, to enhance access to educational opportunities. These efforts are essential in order to meet Governor Wolf's goals for postsecondary credential or certificate attainment.

Lastly, Individual Training Accounts (ITAs) can be utilized by eligible participants to gain access to and participate in appropriate training programs offered by eligible providers, including education institutions. The ITA may be leveraged with Pell Grants and state financial assistance to increase individual's participation and affordability for training activities.

## Improving Access to Postsecondary Credentials

**How the state's strategy will improve access to activities leading to a recognized postsecondary credential (including a credential that is an industry-recognized certificate or certification, portable, and stackable).**

As discussed in the Strategic section of this plan, the commonwealth will use data and work with employers to identify the skills and competencies necessary to attain family-sustaining employment and will offer high quality training to individuals to obtain those skills. The commonwealth will also work closely with employers, Next Generation Sector Partnerships, and LWDBs to identify or develop the badges, micro-credentials, certifications, and other credentials that adequately represent attainment of those skills.

Governor Wolf has set a goal that 60 percent of Pennsylvanians in the labor force will have postsecondary credentials or certificates by 2025 in order to meet expected employer demand. The commonwealth will work to identify and align credentials to career pathways for high priority occupations (HPOs). The commonwealth is also exploring the use of micro-credentials, particularly for individuals with barriers to employment, as a means to allow for the attainment of skills in shorter segments and the receipt of an industry-recognized credential to demonstrate skill competency to potential employers. In addition, students enrolled in PA Department of Education (PDE)-approved career and technical education (CTE) programs are able to earn badges. Badges are earned when a student achieves a minimum score on the technical assessment. A digital badge is an electronic indicator of one's skills, performance, and achievement.

All 14 of Pennsylvania's community colleges use a website and e-portfolio platform called [College Credit FastTrack](#) to translate prior educational, workforce, and life experiences into college credit and to establish common standards for prior learning assessment within the community college sector. The website guides students through developing and submitting a portfolio that highlights their prior learning experiences, including transfer credit, military service, workforce training, and badges. A community college faculty member then reviews the portfolio and determines how many credits are awarded. Led by Montgomery County Community College, College Credit FastTrack was made possible by a \$2.5 million Trade Adjustment Assistance Community College and Career Training (TAACCCT) grant from the U.S. Department of Labor.

In accordance with the federal Carl D. Perkins Career and Technical Education Improvement Act of 2006, Pennsylvania requires postsecondary institutions receiving Perkins funding, including the 14 community colleges, to articulate with secondary schools that offer PDE-approved Career and Technical Education (CTE) Programs of Study. The Students Occupationally and Academically Ready (SOAR) Program allows qualified students enrolled in an approved career and technical Program of Study to receive college credit toward a diploma, certificate or degree in a similar program at a postsecondary institution. The SOAR program serves as a seamless pathway from secondary to postsecondary education and ensures students transition from level to another without delays or duplication of learning. Colleges use an electronic transfer and articulation system provided by the state to indicate the number of credits awarded to each program of study.

The commonwealth will promote the attainment of postsecondary credentials through several programs and initiatives. Examples include:

- All training providers seeking inclusion on the ETPL will be required to describe the credential(s) to be earned. All Perkins postsecondary recipients report on credential, certificate, or degree earned as part of their required accountability measures.
- The Next Generation Sector Partnership program encourages trainings that result in a postsecondary credential by requiring all proposals to identify credentials/certifications to be obtained and making credentials a required outcome measure.
- The PA-TIP program, administered by the Pennsylvania Higher Education Assistance Agency (PHEAA) provides need-based awards to students enrolling in certificate programs less than two years in length for high priority occupations (HPOs) in several industry sectors.
- The Office of Vocational Rehabilitation (OVR) will continue to analyze labor market demand and model service delivery systems to identify opportunities for industry-recognized certificates that lead to increased and improved employment outcomes for individuals with disabilities.
- The Keystone Education Yields Success (KEYS) program, funded by the PA Department of Human Services (DHS), provides support and guidance to TANF and SNAP recipients attending any of Pennsylvania's 14 community colleges. A KEYS student facilitator at each college assists eligible students with identifying career goals, scheduling courses, applying for financial aid, transportation, and child care needs.
- Education programs, including secondary and postsecondary Perkins and Adult Basic Education, will provide professional development opportunities to help providers understand and navigate the full credentialing spectrum and develop expertise in occupational counseling.
- All postsecondary institutions with Perkins funding have advanced credit offerings aligned with at least one approved CTE program of study and offer additional advanced credit opportunities. Students can earn between two and 22 college credits for their secondary technical coursework, before high school graduation, as permitted in the specific CTE approved program of study and the Perkins IV Statewide Articulation Agreement.
- The CECs will extend program development activities with postsecondary institutions to ensure that residents of rural communities have access to credential bearing training opportunities.

## **Coordinating with Economic Development Strategies**

### **How the activities described in (i) will be coordinated with economic development strategies and activities in the state**

Commonwealth economic development strategies are primarily developed and implemented by the PA Department of Community and Economic Development (DCED). DCED staff work closely with local community and economic development entities located throughout the state. To promote coordination and collaboration among these partners, DCED established the Partnerships for Regional Economic Performance (PREP), a network of business assistance partners designed to encourage regional coordination in community and economic development efforts, yielding superior customer service to the business community and a comprehensive, efficient statewide delivery strategy. PREP partners are



organized in ten regions across the state offering one-on-one counseling, specialized workshops, online training, and financial incentives to new business ventures and existing companies looking to expand and grow. The commonwealth established that the state WIOA planning regions under WIOA will also serve as the ten PREP regions to promote and ensure coordination between economic and workforce development at the regional level.

PREP partners use Executive Pulse, a cloud based customer relation management (CRM) software platform, to help manage and coordinate partner outreach with employers. Community colleges, the PA CareerLink® centers, and LWDBs are joining the economic and community development agencies as PREP partners. Additionally, several LWDBs are considering the use of Executive Pulse to aid in coordinating business engagement between workforce and economic development partners on the regional and state level. The commonwealth encourages this coordination. The commonwealth will also encourage LWDBs to become PREP workforce providers. In order to become a PREP workforce provider, the LWDB must register in the Executive Pulse System. Once registered in the program, the LWDB is required to use the database in Executive Pulse to share contacts and action items for each business contact.

At a state level, the Secretary of DCED serves as a member of the PA Workforce Development Board (WDB), ensuring that workforce development strategies align with economic development efforts. Additionally, the DCED Deputy Secretary-Office of Administration, is a member of the interagency workgroup previously discussed. In addition to driving state economic development strategies, DCED also administers the Workforce and Economic Development Network of Pennsylvania (WEDnet PA) Guaranteed Free Training Program. The WEDnet PA program provides qualified companies with funding for essential skills and advanced technology training. Since the program's inception, over 1.1 million workers have been trained from 18,437 companies. In Program Year 2015-16, 81 percent of survey respondents reported improved product/service quality as a result of the training, 69 percent saw increased employee morale, 65 percent reported improved communications and/or teamwork, and 55 percent reported a safer workplace/fewer accidents due to the training. In keeping with the commonwealth's goal of making multi-employer partnerships the primary means of connecting the workforce development system to the needs of employers, DCED will collaborate with L&I to link WEDnet PA more closely to those partnerships during the coming years.

## **Section III – State Operating Systems and Policies**

**The plan shall describe the state operating systems and policies that will support the implementation of the strategy described in the strategic portion of the plan, including a description of State operating systems that support coordinated implementation of state strategies (e.g. Labor Market Information (LMI) systems, data systems, communication systems, case-management systems, job banks); Data collection and reporting processes used for all programs and activities, including those present in one-stop centers; the state policies that will support implementation of the state's strategies (e.g. co-enrollment policies and universal in-take processes).**

Pennsylvania has strong operating systems and policies in place to support implementation of the Governor's Strategic Vision.

JobGateway® is the commonwealth's job-matching system linking job seekers to employment opportunities and employers to available talent. The system has numerous additional features for job seekers to include skills assessments, career exploration tools and videos, Labor Market Information (LMI),



interview training, and listings of eligible training providers. Similarly, employers have access to recruiting tools and LMI.

The Commonwealth Workforce Development System (CWDS) serves as the primary database and system of record for numerous workforce development programs tracking and recording services, activities and outcomes. Activities are recorded by funding stream, enabling the system to identify the resultant outcomes for each investment made. CWDS facilitates dual and multi-program enrollment and service strategies, as customers provide intake information once to register for services while staff triage the individual to the program(s) and funding stream(s) most applicable to the individuals' needs. CWIA uses data collected through CWDS to generate performance reports for programs. While CWDS is the case management system for L&I workforce development programs, there are other workforce and education programs that use different systems of record.

The PA Department of Education Division of Adult Education (DAE) uses the e-Data v2 web-based system to collect Title II program data for federal and state reporting purposes. e-Data v2 is a real-time data system with a web-based design that allows users and DAE staff access to individual programs' adult education and family literacy data. DAE staff and local program staff also use a corresponding Access template to further analyze program data to improve and expand services to participants. For example, reports in the template identify students who have demonstrated measurable skill gain and may be ready for referral to the local PA CareerLink® site for employment or training. This Access template can be expanded to include additional reports that support implementation of the State's strategies to establish career pathways, increase opportunities for out of school youth and adults with basic skills deficiencies, and engage employers.

Federal Perkins recipients submit data into the Pennsylvania Information Management System (PIMS). Data is collected at the recipient, program, and student level for each of the mandated performance measures. Data reports are provided on an aggregate level. The entities can pull student level reports. PDE works with CWIA on an annual basis to align Perkins Programs of Study to HPOs. The resulting product is a CIP/SOC crosswalk. Federal Perkins recipients also utilize the data produced by CWIA to connect with regional and local employers. Perkins recipients are required to work with the LWDBs to interpret the data and to apply the data to educational program needs of the region. The LWDB also provides assistance to the Perkins recipients in identifying employers they can partner with.

The TANF system of record is the Department of Human Services-Customer Information System (CIS). CIS sends referral information to DHS business partners who then utilize CWDS to enroll DHS recipients in contracted Employment and Training programs. The DHS services and related information entered in CWDS are transferred back to CIS via a nightly batch process. Participants may be dual enrolled in a DHS and an L&I program. Duplication of services is avoided due to the integration of the PA CareerLink® centers and DHS EARN centers and by using specific service codes to identify WIOA funded services.

Beyond case management systems, the commonwealth has established the PADataShare reporting system to link data from multiple data sets. To date, the system includes human services, workforce, and wage record data that can be linked in a secure way while protecting confidentiality. The linking of data allows for the measuring of program return on investment and the identification of the supply of skilled workers to meet employer demand. The commonwealth will explore additional efforts to allow a greater level of integration between CWDS and other data collection and case management systems. PDE is working to join the PADataShare system and align its longitudinal education data to the existing data sets.

PA CareerLink® customers are universally offered Wagner-Peyser or labor exchange services. Co-enrollment in Wagner-Peyser and WIOA Title I occurs and is encouraged, and Title I eligibility is assessed and determined at the local level. A Common Measure Program Activity Log has been created within CWDS which tracks periods of participation by program for all US DOL workforce programs. Activities of all programs are tracked in this central location, which allows for the creation of reporting and program participation cycles. Participants who are co-enrolled exit for measurement once 90 days has passed since the provision of services from any program in which they are participating.

Relevant state guidance and policies include, but are not limited to:

- Guidance for WIOA Title I-B Programs, which aides staff in determining program eligibility and appropriately entering services into CWDS;
- Labor Exchange Policies and Procedures, which discusses CWDS system use policies, how to enter customer information (both job seeker and employer), how to post jobs, labor exchange services, and other pertinent information;
- Eligible Training Provider Policy, which addresses initial and continued eligibility requirements and processes for the statewide Eligible Training Provider List;
- e-Data v2 Instruction Manual and Glossary, which provides technical guidance for using the e-Data v2 system; and
- PDE's PIMS Secondary and Postsecondary Instruction Manual, which outlines each data element and reporting requirements.

**The state's process for developing guidelines for state-administered one-stop partner programs' contributions to a one-stop delivery system, including benchmarks, and its guidance to assist Local Boards, CEOs and local one-stop partners in determining equitable and stable methods of funding infrastructure. State must also include such guidelines.**

In January of 2017, the PA Department of Labor & Industry (L&I) provided guidance to all LWDBs regarding the development of Resource Sharing Agreement Budget (RSAB) guidelines, including Infrastructure Funding Agreement (IFA) instructions. In April of 2017, a representation of LWDBs discussed the criteria and weighting of measures in the State Funded Mechanism, for consideration of the State Board in the event non-consensus arises at the local level. Additionally, the commonwealth provided the LWDBs with a MOU template, which included the RSAB-IFA template. On July 21, 2017, the commonwealth provided further technical assistance to address Frequently Asked Questions regarding the IFA. Although the U.S. DOL requires a December 31, 2017, deadline for the IFA, several of Pennsylvania's Local Boards have submitted budgets with the IFA accomplished as early as July of 2017.

## **State Program and State Board**

**State Agency Organization - describe the organization and delivery systems at the state and local levels for the programs covered in the plan, including the organizational structure. Include an organizational chart.**

The operation of the workforce development system is a shared responsibility among the commonwealth, LWDBs, state and local elected officials, the core WIOA partner programs, other partner programs and PA CareerLink® operators. Five of the six core programs are administered by the PA Department of Labor & Industry (L&I) with the PA Department of Education (PDE) administering Adult Basic Education. An overall workforce development system organizational chart, L&I workforce development programs

organizational chart and PDE Adult Basic Education organizational chart are included as Appendices I, II and III. Local organizational charts will be required elements of local workforce development plans.

**State Board - provide a description of the State Board to include a membership roster, including all members' organizational affiliations and a description of the activities that will assist State Board members and staff in carrying out State Board functions effectively.**

The Pennsylvania Workforce Development Board (PA WDB) is the governor's principal private-sector advisory and oversight body for programs and policies under the authority of the WIOA. Implementation of WIOA provisions aligned with Pennsylvania's election of a new governor and provided an additional incentive to restructure and re-establish the PA WDB. Only four members of the previous board were retained, with 43 new persons being appointed. The board's membership includes: the governor; five cabinet secretaries; three members of the legislature; two local elected officials; eight representatives of labor organizations; one representative of a joint apprenticeship and training council; two representatives from institutions of higher education; and 21 representatives of business. The Governor's office is in the process of filling seats that are vacant due to member resignations.

Members are given a thorough orientation to their roles and responsibilities, including Governor Wolf's Strategic Vision for the workforce development system in the commonwealth. The governor's Strategic Vision calls for the board to assist in guiding the system, specifically through: 1) creating strong policies and standards on Local Boards and governance; 2) re-designating local workforce areas to more closely align with labor markets while also maximizing resources for direct service delivery; 3) providing direction and building policies surrounding local and regional planning to ensure connection with economic and community development priorities; and, 4) designating grant eligibility for regional efforts.

The PA WDB has a diverse membership. It is comprised of a variety of business representatives (small-, large-, women-, and minority-owned) in a variety of industries (technology, manufacturing, retail, logistics, healthcare, energy, biotechnology, and agriculture). This diversity enhances the board's ability to look at workforce issues from multiple perspectives. A PA WDB membership roster is available on the [PA WDB website](#).

Subcommittees of the PA WDB have included multiple agency representatives including staff from the PA Department of Education-Office of Elementary and Secondary Education, and Office of Postsecondary and Higher Education. The diversity of the representatives ensures secondary, career and technical education, and postsecondary policies are addressed in the PA WDB subcommittees.

## **Assessment of Programs and One-Stop Partners**

**How the respective core programs will be assessed each year, including an assessment of the quality, effectiveness, and improvement of programs (analyzed by local area, or by provider) based on state performance accountability measures described in Section 116(b).**

The commonwealth will at a minimum produce the six common performance measures described in Section 116(b) on a quarterly basis for each of the core programs under Titles I, III, and IV in accordance with the reporting templates proposed under 1205-ONEW. Outcomes will be compared to negotiated levels of performance for each measure. Once Title II data is fully integrated into CWDS, the commonwealth will explore the feasibility of producing these quarterly performance reports for Title II programs. Participant-specific reports that provide the pool of people included in the six common

performance measure(s) for Titles I, III, and IV will be compiled in conjunction with these quarterly reports and distributed to LWDBs in support of program management and analysis. Assessment of effectiveness measures are also being developed to allow the state and LWDBs to make better informed decisions about programming and the use of funds. The commonwealth will be developing additional performance measures to the degree they provide meaningful and actionable information and data can feasibly be collected. The PA WDB has established a standing committee to review recommendations for Performance and Accountability standards and measures.

The commonwealth will negotiate performance levels for core programs under Title I with LWDBs and local programs based on the state negotiated levels. PA Department of Education (PDE) will establish agency performance outcome measures and targets for local programs. The agency performance measures will encompass those outcomes on which adult education and literacy activities have the greatest and most direct impact: educational functioning level gain, attainment of a high school equivalency credential, and transition to postsecondary education or training. In addition, the division will establish targets for employment in the second quarter after exit and median earnings in the second quarter after exit. In addition, Title II programs will be required to report on the number of outcomes per enrolled student. All core programs will be monitored on a regular basis to ensure progress toward meeting or exceeding state and local WIOA negotiated performance levels.

#### **How other one-stop partner programs will be assessed each year.**

The PA CareerLink® operator plays an instrumental role in assessing all one-stop partner programs. Beyond traditional program-specific performance metrics, the operator will consider how well all the one-stop partner programs coordinate and integrate service delivery, promote the seamless transition of customers from one partner to another, and demonstrate the capacity to meet the needs of customers accessing the PA CareerLink® system. Program-specific performance is addressed in the response to the effectiveness of programs in the preceding two-year period question that follows.

#### **The results of an assessment of the effectiveness of the core programs and other one-stop partner programs during the preceding 2-year period.**

Pennsylvania is supporting WIOA core programs through effective communication and information sharing among partners. The combined WIOA State Plan has already increased the frequency and quality of interactions among partners through shared vision and goals.

These groups will continue to meet regularly to share information, discuss programs, and collaborate wherever possible to improve outcomes for jobseekers and various programs.

This behavior will be modeled at the state level and shared and replicated within each of the LWDAs with the intent that they establish similar type of meetings and information exchanges at the local level. The LWDAs will be responsible for adding local partners who can specifically help outcomes for workforce program participants.

Effectiveness of core programs, one-stop partners, and combined State Plan partners will also be supported through monitoring and evaluation. State agencies overseeing the various programs and partners regularly review data from services provided at the local level. In addition, on-site monitoring visits are conducted as needed and appropriate. Information gathered from such monitoring is used to determine need for additional guidance and technical assistance for program improvement.

Pennsylvania met or exceeded its negotiated goals for WIA common measure performance in PY 2014 and PY 2015. Title II has exceeded its negotiated performance targets annually since 2011-12. Pennsylvania is in the top two quartiles of performance in the majority of Title II outcome measures.

Under the federal Perkins grant, the Pennsylvania Department of Education (PDE) provides technical assistance focused on improving the effectiveness of the Perkins recipients' delivery of the career and technical education programs. Under the combined plan, the PDE will continue to review and analyze data collected under Perkins and WIOA to determine appropriate technical assistance for each of the recipients. The technical assistance will assist the Perkins recipients to increase effectiveness.

OVR has met or exceeded its goals during the preceding 2-year period. For Federal Fiscal Year (FFY) 2016, OVR served more than 72,000 individuals with disabilities, worked with over 6,000 employers, and helped place more than 8,300 individuals with disabilities into competitive, integrated employment. During 2016, OVR and its partner providers delivered an extensive array of pre-employment transition services to students with disabilities across Pennsylvania. In order to align the Vocational Rehabilitation (VR) program (which operates on a FFY basis) with the other five WIOA core programs (which operate on a program year, PY, basis), to the extent practicable, VR agencies must report participant data in a manner consistent with the jointly-administered requirements set forth in the final joint WIOA regulations and the WIOA Common Performance Reporting Information Collection Request (ICR). In accordance with WIOA Section 506(b), the performance accountability system requirements of WIOA Section 116 took effect July 1, 2016. At that time, VR agencies were expected to begin the process of implementing the final RSA-911-16 data collection. The U.S. Department of Education exercised its transition authority under WIOA Section 503 to ensure the orderly transition from the requirements under the Act, as amended by the Workforce Investment Act of 1998, to the requirements of WIOA. The primary indicators of performance are calculated on a PY basis (i.e., July 1-June 30). Because the VR program's FFY (i.e., October 1-September 30) spans two different PYs by an overlap of one quarter (July 1-September 30) and, therefore, many participants are served by the VR program for more than one PY, the data must be reported on a quarterly basis to ensure the required data are available for the entire PY. In so doing, the VR program can ensure compliance with the performance accountability requirements of WIOA Section 116 and data comparability with the other core programs. OVR will begin collecting Common Performance Measure data on July 1, 2017, and the first quarterly reports containing Common Performance Measure data and other 911 client data are due November 15, 2017. In addition to the quarterly reports, OVR will submit the Statewide and Local Performance Report annually with their WIOA Core Partners.

U.S. DOL assesses the Pennsylvania Department of Aging and seven national sponsors providing Senior Community Service Employment Program (SCSEP) services in the commonwealth each year against six core SCSEP performance measures. These six measures are: entered employment, retention, average earnings, community service, service level, and most in need. The U.S. DOL establishes goals for each performance measure that its grantees, such as the Department of Aging, must meet or exceed. In both FY 2014-15 and FY 2015-16, the Department of Aging met or exceeded each performance measure.

Trade Act programs are focused on getting participants reemployed and ensuring those individuals maintain employment. In FY 2015 a total of 2,859 participants received Trade services of which 82 percent entered employment and 94.03 percent of those who entered employment retained employment. In FY 2016 a total of 3,598 participants received Trade services of which 78.2 percent entered employment and 93.94 percent of those who entered employment retained employment. The commonwealth will continue to emphasize and reinforce case management services to maintain performance levels for Trade Act

participants. Re-employment services will also be enhanced as a component of case management services for participants who have completed Trade Act training prior to exiting the program. This will ensure that participants are receiving the necessary assistance to enter the workforce with suitable employment in place.

**Describe how the state will conduct evaluations and research projects on activities under WIOA core programs; how such projects will be coordinated with, and designed in conjunction with, State and Local Boards and with State agencies responsible for the administration of all respective core programs; and, further, how the projects will be coordinated with the evaluations provided for by the Secretary of Labor and the Secretary of Education under WIOA.**

The commonwealth will conduct evaluations and research projects on WIOA core program activities. Such projects will be coordinated and designed in conjunction with the state and local WDBs and relevant state agencies (L&I and PDE) responsible for the administration of the core programs. Projects will be coordinated with the evaluations provided for by the PA Secretary of Labor & Industry and the PA Secretary of Education.

Effectiveness of core programs, one-stop partners, and combined State Plan partners will also be supported through monitoring and evaluation. State agencies overseeing the various programs and partners regularly review data from services provided at the local level. In addition, on-site monitoring visits are conducted as needed and appropriate. Information gathered from such monitoring is used to determine need for additional guidance and technical assistance for program improvement.

## **Distribution of Funds for Core Programs**

**A description of the policies that establish the State's methods and factors used to distribute funds to Local Areas for Youth activities.**

**A description of the policies that establish the State's methods and factors used to distribute funds to Local Areas for Adult and training activities.**

### Allocation of WIOA Adult and Youth Funds to Local Areas:

The three-part formula for allocating WIOA Adult and Youth funds to Local Areas is as follows:

#### Step 1

The process begins by determining the average number of individuals in the civilian labor force and unemployed for the most recent twelve-month reference period of July 1st through June 30<sup>th</sup>, by Local Area.

Once these numbers are calculated, the areas of substantial unemployment (ASU) are identified. By definition, an ASU is a contiguous area with a population of at least 10,000 individuals and an unemployment rate of at least 6.5 percent.

For Local Areas that have unemployment of 6.5 percent or greater, the civilian labor force and unemployment data is inserted directly into the allocation formula. For Local Areas that have unemployment less than 6.5 percent, an ASU search is performed. For reference, the ASU search process,

as described below, is completed through a joint working venture between L&I's Center for Workforce Information and Analysis (CWIA) and the Bureau of Workforce Development Administration (BWDA).

An ASU search is a procedure developed by the federal Bureau of Labor Statistics to identify ASUs. County-level unemployment data, along with either Census tract or municipal level unemployment data from the most recent Census, is used to determine the current unemployment rates for cities, townships, or Census tracts. Through this procedure, which can utilize a subtraction or addition method, cities, townships, or Census tracts that border each other are joined together until the definition of an ASU is achieved. A Local Area can have more than one ASU within their geographic border, if the building method is used.

Once the ASU(s) is designated, the civilian labor force and unemployed totals for each Local Area's ASU are then inserted into the computer based allocation formula.

#### Step 2

The first third of funds is allocated on the basis of the number of unemployed in ASUs compared to the statewide total of such individuals (as determined in Step 1). The percentage share of funds is determined by dividing the number of unemployed in each Local Area with unemployment of 6.5 percent or greater, by the statewide total of unemployed in areas with unemployment of 6.5 percent or greater. The resulting percentage is then multiplied by one-third of the State's available allotment.

#### Step 3

The next third of funds is allocated on the basis of the number of unemployed individuals in excess of 4.5 percent of the civilian labor force in each Local Area compared to the total number of such individuals in the State. As required by WIOA the "higher of" either the number of unemployed individuals in excess of 4.5 percent of the civilian labor force in the Local Area; **or** the number of unemployed individuals in excess of 4.5 percent of the civilian labor force in areas of substantial unemployment in the Local Area is calculated.

The excess number of unemployed over 4.5 percent of the civilian labor force is then totaled for the State. This statewide total is then divided into the number of excess unemployed in each Local Area to determine each Local Area's percentage share of funds. This percentage is then multiplied by one-third of the State's available allotment.

#### Step 4

The final third of funds is allocated based on the number of economically disadvantaged individuals in each Local Area compared to the statewide total of such individuals. The number of economically disadvantaged individuals is derived from the most recent federal Census. Economically disadvantaged youth (age 16-21) and economically disadvantaged adults (age 22-72) are used for each respective funding stream.

Using federal Census data, the percentage share is determined by dividing the number of economically disadvantaged for each Local Area by the statewide economically disadvantaged total. The resulting percentage for each Local Area is then multiplied by the final one-third of funds. The amount of funds for each third of the formula is then totaled for each Local Area.

#### Step 5

Note that prior to the minimum allocation percentage, commonly known as "hold-harmless" provision, being applied, the total amount determined in the prior steps would be each Local Area's allocation.

Briefly, the hold-harmless provision ensures that a Local Area will not be allocated funds that are less than 90 percent of their average allocation percentage for the prior two years. Utilization of this authority, combined with WIOA's requirement that a hold-harmless percentage be applied, means that Local Area's allocations will continue to include the critical hold-harmless provision. The utilization of the hold-harmless provision will stabilize Local Area funding and minimize service disruptions.

The next step is then to apply the hold-harmless provision to the allocations. This is done by first combining each Local Area's allocation, excluding transfers or unexpended funds, for the previous two years. The Local Area's two-year combined allocation is then divided by the statewide two-year combined allocation to determine the relative share percentage of funds that each Local Area received for the two reference years.

Ninety percent of the relative share percentage is then calculated to establish the minimum percentage share that each Local Area must receive in the formula allocation process.

#### Step 6

Using the base allocations determined in steps 2, 3 and 4, each Local Area's percentage share of funds is calculated by dividing each Local Area's allocation amount by the statewide total.

#### Step 7

This step calculates the minimum dollar amount of funds each Local Area is to receive based on the hold-harmless provision. This is determined by multiplying the 90 percent relative share percentage determined in Step 5, by the statewide allotment. The resulting figure establishes the minimum amount of funds that each Local Area will receive under the formula allocation process.

#### Step 8

Local Areas with a relative share percentage that is less than the 90 percent minimum hold-harmless percentage are identified by comparing the percentage share determined in Step 6, to the 90 percent minimum hold-harmless percentage determined in Step 5.

The funds needed to bring the Local Areas with percentages below the mandated minimum into compliance comes from the Local Areas who have a percentage share of funds which is above the mandated minimum percentage. Funds are subtracted, on a prorated basis, from the Local Areas that exceed the minimum percentage to bring the other Local Areas that are below the minimum percentage into compliance with the hold-harmless provision.

The Local Area allocations and relative share percentages are then recalculated to determine whether each Local Area received the 90 percent minimum allocation percentage.

This step is repeated as many times as is necessary to comply with the minimum allocation percentage provision. Compliance with this provision completes the formula allocation process.

Additionally, Temporary Assistance for Needy Families (TANF) Youth Development Funding (YDF) is allocated to LWDA's by the PA Department of Labor & Industry (L&I). L&I currently utilizes TANF caseload numbers (broken down by county), provided each year by the PA Department of Human Services (DHS), to determine the percentage of TANF YDF that will be distributed to each local area.



## A description of the policies that establish the State's methods and factors used to distribute funds to Local Areas for Dislocated Worker employment and training activities

The following factors will be utilized when allocating Dislocated Worker funds to Local Areas:

FACTOR	DATA SOURCE	PERCENTAGE WEIGHT
Insured Unemployed	Continued Unemployment Compensation Claims	10%
Unemployment Concentrations	Number of Unemployed Over 4.5%	20%
Plant Closing and Mass Layoff	# of Workers affected by WARN notices (CWDS).	15%
Declining Industries	Employment in Industries Projected to Lose 10% of Employment Between 2012-2022	10%
Farmer-Rancher Economic Hardship	Employment Levels in the Agriculture Industry based upon American Community Survey (US Census Bureau)	5%
Long-Term Unemployment	Number of Claimants Exhausting Unemployment Compensation Benefits	17%
Dislocated Worker [State added factor]	Estimated Number of Dislocated Workers	23%

The data used to calculate the Dislocated Worker Local Area allocations is provided by the L&I's Center for Workforce Information and Analysis (CWIA).

County-level data for the most recent 12-month program year is entered into the computerized formula.

In order to provide a balanced distribution of funds, one-half of the funds are allocated on a relative share percentage basis and one-half on a proportional basis. Specifically, the insured unemployment, unemployment concentrations, plant closings, mass lay-off, and farmer-rancher factors are calculated on a relative share percentage basis. For example, the number of insured unemployed for the Local Area is divided by the statewide number of insured unemployed to determine the relative share percentage for that factor. Conversely, the declining industries, long-term unemployment, and Dislocated Worker factors are calculated on a proportional percentage basis of each Local Area's civilian labor force. For example, the number of Dislocated Workers for the Local Area is divided by the civilian labor force for that Local Area to determine the percentage of Dislocated Workers in proportion to that area's civilian labor force.

Using the Local Area data, the computerized formula calculates the Local Area's relative or proportional percentage share for each factor. For the relative factors, the percentage share for each Local Area is first multiplied by the percentage weight assigned to each factor and then by the statewide Dislocated Worker allocation figure to determine the dollar amount for each relative factor. For the proportional factors, the percentage share for each Local Area is totaled. The resulting percentage is then divided into each Local Area's proportional percentage share and then multiplied by the percentage weight assigned to each factor and then the statewide allocation to determine the Local Area dollar amount for each factor. The Local Area's allocation amount for each factor is then added together to determine the Local Area's total Dislocated Worker allocation.

Note that prior to the minimum allocation percentage, commonly known as "hold-harmless" provision, being applied, the total amount determined in the prior steps would be each Local Area's allocation.

The hold-harmless provision ensures that a Local Area will not be allocated funds that are less than 90 percent of their average allocation percentage for the prior two years. Utilization of this authority,

combined with WIOA's requirement that a hold-harmless percentage be applied, means that Local Area's allocations will continue to include the critical hold-harmless provision. The utilization of the hold-harmless provision will stabilize Local Area funding and minimize service disruptions.

The next step is then to apply the hold-harmless provision to the allocations. This is done by first combining each Local Area's allocation, excluding transfers or unexpended funds, for the previous two years. The Local Area's two-year combined allocation is then divided by the statewide two-year combined allocation to determine the relative share percentage of funds that each Local Area received for the two reference years.

Ninety percent of the relative share percentage is then calculated to establish the minimum percentage share that each Local Area must receive in the formula allocation process.

Using the base allocations, each Local Area's percentage share of funds is calculated by dividing each Local Area's allocation amount by the statewide total. This step calculates the minimum dollar amount of funds each Local Area is to receive based on the hold-harmless provision. This is determined by multiplying the 90 percent relative share percentage by the statewide allotment. The resulting figure establishes the minimum amount of funds that each Local Area will receive under the formula allocation process. Local Areas with a relative share percentage that is less than the 90 percent minimum hold-harmless percentage are identified by comparing the percentage share to the 90 percent minimum hold-harmless percentage previously determined. The funds needed to bring the Local Areas with percentages below the mandated minimum into compliance comes from the Local Areas who have a percentage share of funds which is above the mandated minimum percentage. Funds are subtracted, on a prorated basis, from the Local Areas that exceed the minimum percentage to bring the other Local Areas that are below the minimum percentage into compliance with the hold-harmless provision. The Local Area allocations and relative share percentages are then recalculated to determine whether each Local Area received the 90 percent minimum allocation percentage. This process is repeated as many times as is necessary to comply with the minimum allocation percentage provision. Compliance with this provision completes the formula allocation process.

**How the Title II agency will award multi-year grants or contracts on a competitive basis to eligible providers in the state, including how eligible agencies will establish that eligible providers are organizations of demonstrated effectiveness.**

**How the Title II agency will ensure direct and equitable access to all eligible providers to apply and compete for funds and how the eligible agency will ensure that it is using the same grant or contract announcement and application procedures for all eligible providers.**

The PA Department of Education (PDE) Division of Adult Education will conduct a competition for multi-year grants for Title II direct service funds as required by WIOA. State adult education direct service funds will be competed through the same process. Grants funds will be awarded through yearly contracts contingent on availability of federal and state funds. Each year's renewal option and grant amounts will be based on the following criteria: contract compliance, including success in meeting contracted enrollment and providing the contracted services; evidence of sufficient progress in meeting the state imposed targets for the agency performance outcome measures; evidence of continuous program improvement; compliance with fiscal and programmatic policies and guidelines; and the amount of state and federal awards.

For the competition, PDE will use a needs-based funding formula to allocate funds to the local workforce development areas. This formula was developed in 2010 by PDE and a group of program administrators with technical assistance provided through a national leadership activity. The formula includes five data sets weighted equally: 1) the number of individuals age 18 and over who are not enrolled in school and who do not have a high school diploma; 2) the number of individuals age 16 and over who are in the labor force and are unemployed; 3) the number of individuals age 18 and over with less than a ninth grade education; 4) the number of people below poverty level; and 5) the number of on-the-job training openings per year. In addition to the criteria in the funding formula, which are based on population numbers, the division will consider the size of the Local Areas in determining funding to address the rural nature of many sections of the commonwealth. In Local Areas comprised of multiple counties, PDE anticipates further breaking out funding by county to ensure services are available throughout the area.

Grant applications will be submitted through PDE's eGrants system. The content of the grant application will address the seven requirements and thirteen considerations identified in the Act. As part of the application, all eligible providers will be required to submit evidence of demonstrated effectiveness. To demonstrate effectiveness, applicants that have received Title II AEFLA funds and/or state matching funds through PDE Division of Adult Education in the program years immediately preceding the competition will be evaluated based on their performance against the state's negotiated targets during those years. Applicants that did not receive funds through the Division of Adult Education will be required to provide data that show their past ability to achieve success in comparable measures. For both groups, these measures include effectiveness in helping students develop their academic skills, achieve high school equivalency, and transition into employment and/or postsecondary education/training. Also as part of the application process, eligible providers will be required to demonstrate alignment of proposed activities and services to the strategies and goals of the local workforce development plan and describe how the activities and services provide learning in context, including through integrated education and training services when and where appropriate. Providers seeking to provide integrated education and training services will be required to supply evidence of their ability to do so either directly or in partnership with other providers.

Through a standardized process developed by PDE Division of Adult Education, the LWDBs will review applications for alignment with the Local Plan. Eligible providers will submit their applications to PDE Division of Adult Education through the eGrants system. The division will then distribute the applications to the appropriate LWDBs for review. The division will establish a timeline for the review process and provide a review/scoring rubric to all Local Boards, which will include a section in which Local Boards can provide recommendations to improve alignment of proposed Title II services with the Local Plans. PDE will take the recommendations and results of the review into consideration when scoring the related sections of the applications.

PDE has guidelines in place to ensure a fair and open competition. In accordance with these guidelines, grant applications will be evaluated by teams of at least three individuals. Team members will include staff from the Division of Adult Education, other PDE staff, other qualified commonwealth staff, and, as needed, peer reviewers, subject matter experts, and/or consultants. Division staff will create a scoring rubric for each grant type, which will address all items in the grant application including demonstrated effectiveness and the results of the local board reviews with recommendations. Review team members will be trained prior to beginning evaluation and scoring of applications. Once the applications have been reviewed and scored, they will be ranked from highest to lowest scoring. PDE will award grants to the highest scoring applicant in each of the local workforce development areas and will continue to award

funds until the amount allocated to the area under the needs-based formula is reached or until all applications for service in the local area with a sufficient score have been funded.

PDE has policies and procedures in place to ensure that the same grant announcement is made available to all eligible providers. All grant information will be posted to the PDE website, which is accessible to the public. Additional options for publication of grant announcement(s) include the Pennsylvania Bulletin and Penn\*Link. PDE will conduct the grant competition through its eGrants system. Through this system all eligible providers have access to the same grant application for each project being competed.

PDE will use a needs-based funding formula previously developed with input from local administrators to ensure fair distribution of funds to local workforce development areas. Title II distribution of funds is further discussed in the “Program Specific Requirements” section of the State Plan.

**In the case of a state that designates a state agency to administer the part of the VR services portion of the State Plan under which VR services are provided for individuals who are blind, describe the process and factors used by the State to determine the distribution of funds among the two VR agencies in the State.**

PA Department of Labor & Industry Office of Vocational Rehabilitation (OVR) is a combined agency and consists of the Bureau of Vocational Rehabilitation Services (BVRS) and Bureau of Blindness and Visual Services (BBVS). Funds are distributed based on historical spending patterns of specific regions covered by offices. OVR also maintains a reserve fund in case offices experience an increase in demand for services and funds are redistributed as necessary so that no one single office ever runs out of funds if other offices have remaining funds.

## **Program Data**

**How the lead state agencies with responsibility for the administration of the core programs will align and integrate available workforce and education data on core programs, unemployment insurance programs, and education through postsecondary education. The description should include the State's goals for achieving integration and any progress to date.**

**Describe the state's plans to make the management information systems for the core programs interoperable to maximize the efficient exchange of common data elements to support assessment and evaluation.**

The commonwealth currently has a data system, PADataShare, which incorporates all PA Department of Labor & Industry (L&I) workforce program data, Unemployment Compensation (UC) wage record data, and TANF and SNAP participant data from the PA Department of Human Services. The proposed plan is to incorporate Adult and Basic Literacy Education and Office of Vocational Rehabilitation data as well. In addition, the PA Department of Education (PDE) is working to integrate its current pre-k through grade 12 statewide longitudinal student level data into PADataShare and develop more robust postsecondary data. This will enable the production of performance metrics via one data platform with reporting and analysis capabilities.

**Describe the state's plans to integrate data systems to facilitate streamlined intake and service delivery to track participation across all programs included in the plan.**

Five of the core partners utilize CWDS to collect and track participant data. PDE is in the process of working with L&I to connect the Title II data collection system of record (*eData v2*) with CWDS.

**Explain how the State board will assist the governor in aligning technology and data systems across mandatory one-stop partner programs (including design and implementation of common intake, data collection, etc.) and how such alignment will improve service delivery to individuals, including unemployed individuals.**

The Pennsylvania WDB has established a standing Performance and Accountability Committee. In considering performance and accountability standards, the committee will contemplate the technology and data systems used to obtain, store and analyze customer information and report performance measures. In addition, the WDB created a Digital Strategies Ad Hoc Committee, which will make recommendations on ways to improve customer interaction, technology, and data systems.

The full WDB engaged in a planning retreat featuring a lab session that allowed board members to analyze the comprehensive workforce delivery system from within and from the vantage point of both job seekers and employers. The WDB will use the lab session as a spring board to future initiatives and recommendations to better serve workforce development system customers.

**Describe the State's plans to develop and produce the reports required under Section 116, performance accountability system.**

The commonwealth will utilize the PADataShare management information system described above to develop and produce the reports required under Section 116 (b).

**How such agencies will use the workforce development system to assess the progress of participants that are exiting from core programs in entering, persisting in, and completing postsecondary education, or entering or remaining in employment.**

PADataShare has the potential to longitudinally track workforce development system participants as they enter and complete postsecondary education but the system currently lacks postsecondary education data at an individual level. Discussions have been ongoing to try to bring postsecondary education data into the system while protecting the confidentiality of personally identifiable information. In the interim, programs will continue to track postsecondary program enrollment and completion through manual processes.

**How the State will meet the requirements to utilize quarterly UI wage records for performance accountability, evaluations, and as a source for workforce and Labor Market Information (LMI), consistent with Federal and State law.**

The quarterly UI wage records will be merged with participant data from all core partners. The proposed platform for the combined data is the PADataShare management information system described above. Access to the quarterly UI wage records for program evaluation will be granted within the constraints of Pennsylvania's regulations governing the use of such personally identifiable information.

UI wage records will supplement current Labor Market Information (LMI) by providing additional insight into the performance metrics. Analyses may include the evaluation of industries that are hiring workforce participants, a comparison of workforce participant wages compared to other workers within an industry,

industries that are more apt to hire special population participants, and training programs that seem to be the most effective for positive employment outcomes.

**The privacy safeguards incorporated in such system, including safeguards required by Section 444 of the General Education Provisions Act and other applicable federal laws.**

The state follows confidentiality requirements for wage and education records as required by the Family Educational Rights and Privacy Act of 1974 (FERPA), as amended, WIOA, and other applicable federal and state laws and regulations.

CWDS is a role-based system with specific permissions granted depending on an individual's role. Confidential information is protected within the system. Access to CWDS by commonwealth employees, as well as by business partners, requires the completion of a CWDS User Agreement and Access Form. The agreement outlines the policy for the disclosure of confidential data and information maintained in CWDS. The form authorizes staff access to an integrated system involving data and information, which is processed, stored, maintained, or transmitted on CWDS for the commonwealth.

The Title II *eData* v2 system has multiple security levels to access data and has protocols in place to ensure that any sharing of data is compliant with federal and state privacy rules and regulations.

PADaShare produces reports of aggregate, de-identified data to ensure that no confidential information, including personally identifiable information, is shared or released.

## **Priority of Service for Veterans**

**How the state will implement the priority of service provisions for veterans in accordance with the requirements of Section 4215 of title 38, United States Code.**

Priority of service is provided in accordance with the commonwealth's Workforce System Policy (WSP) 05-PY2015, [Priority of Service-Initial Implementation of the Workforce Innovation and Opportunity Act](#), December 23, 2015. The priority of service for veterans and eligible spouses applies across all qualified employment and training programs. The priority of service for public assistance recipients, other low-income individuals, and individuals who are basic skills deficient is a statutory priority that applies only to the receipt of individualized career services and training services in the WIOA Title I Adult program. Local Boards may establish a local discretionary priority that also gives priority to other individuals specifically for the receipt of individualized career services and training services in the WIOA Title I Adult program. Regarding the priority of service for veterans and eligible spouses, priority of service for the WIOA Title I Adult program must be applied in the following order:

1. Veterans and eligible spouses who meet the *statutory* priority (public assistance recipient, other low-income individuals including the underemployed, or basic skills deficient) and Adult program eligibility must receive the highest level of priority for services;
2. Other individuals (not veterans or eligible spouses) who meet the *statutory* priority (public assistance recipient, other low-income individuals including underemployed, or basic skills deficient) and Adult program eligibility then receive the second level of priority for services;

3. All other veterans and eligible spouses who meet Adult program eligibility, then receive the third level of priority for services;
4. Other individuals (not veterans or eligible spouses) who do not meet the statutory priority (public assistance recipient, other low-income individuals including underemployed, or basic skills deficient), but do meet a local discretionary priority and Adult program eligibility, then receive the fourth level of priority for services.
5. Other individuals (not veterans or eligible spouses) who do not meet the statutory priority (public assistance recipient, other low-income individuals including underemployed, or basic skills deficient) and do not meet the local discretionary priority, but do meet Adult program eligibility, then receive the fifth level of priority for services.

When a customer appears for PA CareerLink® services, the receptionist determines if the customer is a veteran and eligible for priority of service. If the customer self-discloses veteran status, the receptionist provides the veteran customer with an intake form. This form allows the veteran customer to disclose whether he/she possesses significant barriers to employment, including lacking a high school diploma, transitional service member, or otherwise qualifies to receive intensive case management services from a Disabled Veterans Outreach Program (DVOP) Specialist, if present.

Upon determining that a veteran qualifies for intensive case management services from a DVOP Specialist based upon self-disclosed veteran status and the completed intake form, the receptionist immediately refers the veteran to the DVOP Specialist, if available. If the DVOP Specialist cannot see the veteran immediately, the receptionist gives the veteran the choice of making an appointment with the DVOP Specialist or exercising priority of service.

If the veteran exercises the latter, the veteran will see the next available PA CareerLink® staff member (i.e., will go to the front of the line for assistance and case management services). If a PA CareerLink® office does not have a DVOP Specialist, then priority of service automatically occurs and the veteran will see the next available PA CareerLink® staff member.

Upon meeting the veteran for the first time, the DVOP Specialist or PA CareerLink® staff member will ensure that the veteran's CWDS record contains the appropriate veteran service code and that the veteran is otherwise eligible for DVOP Specialist services. Thereafter, the DVOP Specialist will provide the necessary intensive case management services to assist with removing those barriers that inhibit full employment.

Priority of service also comes into play with respect to veterans who seek to enter workforce programs such as On-the-Job Training. In these instances, the veteran receives the next available training slot. Additionally, each PA CareerLink® office holds all job orders for twenty-four hours, which allows staff members to first identify qualified veterans. When employers conduct candidate searches, JobGateway® places a United States flag adjacent to a veteran's name.

## **Priority of Service for Recipients of Public Assistance, Other Low-income Individuals, and Individuals who are Basic Skills Deficient**

**How the state will implement priority of service provisions for recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient in accordance with WIOA Section 134(c)(3)(E).**

Priority of service requirements will no longer be triggered by limited funds, but instead, precedence will be provided based on an established grading of priority. The commonwealth, LWDBs, and PA CareerLink® centers shall provide priority for Title I Adult and Youth services. Additionally, the commonwealth shall allow for a local discretionary priority of service to be applied to individuals with barriers of employment for the provision of individualized career services and training services funded through the Adult program. Lastly, the commonwealth has established measures to monitor the implementation and assess the effectiveness of priority of service for this program.

“Priority of service” is the right to take precedence over non-covered persons in obtaining services.

“Taking precedence” means:

- The covered person receives access to the service earlier in time than the non-covered person; or
- If the service is limited, the covered person receives access to the service or resource before the non-covered person.

“Covered persons” (as it applies to the Adult program priority of service) are veterans and eligible spouses; and individuals who are included in the WIOA priority groups, who are given priority over other individuals for receipt of individualized career services and training services funded by WIOA title I Adult Program.

“WIOA priority groups” (in relation to the Adult program priority of service) are the categories of individuals who are eligible to receive priority of service (i.e., recipients of public assistance; other low-income individuals; individuals who are basic skills deficient; and individuals who are both underemployed and low-income), and may include other categories of individuals with barriers to employment as established through a LWDB’s local discretionary priority.

“Recipients of public assistance” includes individuals who receive, or in the past six months have received, or are a member of a family that is receiving or in the past six months has received, assistance through one or more of the following:

- Supplemental Nutrition Assistance Program (SNAP);
- Temporary Assistance for Needy Families (TANF) program;
- Supplemental Security Income (SSI) program; or
- State or local income-based public assistance

“Low-income individual” means an individual who:

- Receives, or in the past 6 months has received, or is a member of a family that is receiving or in the past 6 months has received, assistance through the SNAP, TANF, SSI, or State or local income-based public assistance;
- Is in a family with total family income that does not exceed the higher of: (I) the poverty line, or (II) 70 percent of the lower living standard income level;
- Is a homeless individual (as defined in the Violence Against Women Act) or a homeless child or youth (as defined under the McKinney-Vento Homeless Assistance Act);



- Receives or is eligible to receive a free or reduced-price lunch under the Richard B. Russell National School Lunch Act;
- Is a foster child on behalf of whom State or local government payments are made; or
- Is an individual with a disability whose own income meets the income requirement of clause (ii), but who is a member of a family whose income does not meet this requirement.

“Individual who is basic skills deficient” means an individual who is:

- A youth who has English reading, writing, or computing skills at or below the 8th grade level on a generally accepted standardized test; or
- A youth or adult who is unable to compute or solve problems, or read, write, or speak English, at a level necessary to function on the job, in the individual’s family, or in society.

Basic skills may be assessed using instruments that are considered valid and appropriate and/or via case manager observation and documented case notes. Standardized assessments will be administered following published guidelines, and locators/appraisals will be used to determine the appropriate level of use of such assessments. This assessment method must include reading, writing, or computing skills. Soft skills deficiency or occupation skills deficiency shall not be used to determine otherwise proficient individuals as basic skills deficient. Case notes and other documents must provide an auditable trail back to the source of the verified information. This assessment method includes assessment questions, test results, school records, and/or referrals. Reasonable accommodation must be provided during the assessment process, if necessary, for individuals with disabilities.

“Individual who is both underemployed and low-income” is both:

- “Underemployed” is an individual who is:
  - employed less than full-time and is seeking full-time employment;
  - employed in a position that is inadequate with respect to his/her skills and training;
  - employed but whose current job’s earnings are not sufficient compared to his/her previous job’s earnings from their previous employment, per state and/or local policy; and
- A “low-income individual” as defined in WIOA Section 3(36).

“Local discretionary priority” is a priority group established in addition to WIOA priority groups provided above; such priority may be used by LWDBs to meet the needs and vision of their respective local area.

The commonwealth allows LWDBs to identify and apply one local discretionary priority of service group to the established priority hierarchy. If a LWDB elects to develop a local discretionary priority, this priority group must include individuals with barriers to employment as defined in WIOA Section 3(24), may be created by adding a local requirement to one of the four required WIOA priority groups, and must last no less than one program year.

The following examples illustrate how priority of service will work:

- (i) Three individuals apply for training services. One is receiving public assistance, one is low-income, and the other does not fall into a priority category. There are two training slots available. In this scenario, the first two individuals take precedence over the third, meaning that the public assistance recipient and low-income person will receive training services and the non-covered person will not.

- (ii) The local board purchases ten slots for a short-term training program. Fifteen persons apply, seven of whom are eligible for priority of service, eight of whom are not. All seven of those entitled to priority of service will receive training slots; only three of the non-covered persons will receive training slots.
- (iii) Under the above example, with ten short-term training slots available, if only three of the fifteen people who apply are eligible for priority of service, those three will receive training slots. In addition, the local board or PA CareerLink® will make affirmative efforts to conduct effective outreach to other individuals eligible for priority of service to ensure that at least 70 percent of slots are provided to those with priority of service.

The commonwealth will monitor data reported by LWDBs and PA CareerLink® centers to determine the percentage of those individuals in the four WIOA priority groups who are being served through the Adult program in comparison to all other individuals (i.e., individuals who are not receiving required WIOA priority) who are being served through the Adult program. For this assessment, only individuals included in the four WIOA priority groups described above receiving value-added services through the Adult program funds will be counted as “individuals who are receiving priority.” Individuals made eligible for priority of service through local discretionary priority of service will be counted as “individuals who are not receiving any priority of service.” Should the percentage of “individuals who are receiving priority” be less than 51 percent, the commonwealth shall provide the LWDB or PA CareerLink® center with technical assistance to ensure that effective affirmative outreach efforts to individuals with priority of service are made. The 51 percent requirement applies to all individuals who receive Adult services. Note that 51 percent does not equate with satisfaction of priority of service requirements but is simply an indicator of whether affirmative outreach efforts are needed to ensure that those with priority of service are served.

Reflective of federal guidance in [Training and Employment Guidance Letter 10-09](#), veterans and eligible spouses who are also recipients of public assistance, low income, basic skills deficient, or both underemployed and low income will receive first priority. Non-veterans who are recipients of public assistance, low-income, basic skills deficient, or both underemployed and low income will receive second priority. Veterans and eligible spouses not included in priority groups will receive third priority. Non-veterans participating in the Adult program who are not included in any priority group but who meet a local discretionary priority will receive fourth priority. All other individuals will receive last priority.

In their Local Plans, LWDBs, and PA CareerLink® centers will continue to be required to state the additional methods by which they will apply the WIOA priority of service requirements and the commonwealth’s policy regarding priority of service, and identify the local area’s discretionary priority and any additional requirements, if a local discretionary priority group exists. LWDBs must communicate in their plans the methods to be used to apply priority of service requirements and any local discretionary priority, to include a description of the following:

- How they will obtain data reflecting each of the four groups of persons entitled to priority of service in their service area and the approximate numbers in each category.
- The outreach they will do to inform the public of Pennsylvania’s priority of service policy and how they will target this outreach to best reach those potentially eligible for priority of service and any agencies that serve them.
- How they will inform individuals seeking to access WIOA services of their priority of service, such as through posters and prominent placement of other information.

- When otherwise deemed eligible for program participation, how they will affirmatively identify persons entitled to priority of service and inform those individuals of the full array of services available.
- The assessments they will use to identify barriers to employment among those entitled to priority of service and the services needed to address them.
- The process by which they will develop Individual Employment Plans for persons entitled to priority of service that will address, in addition to career service and training needs, any barriers to employment they may have.
- How they will ensure that they are serving the spectrum of persons entitled to priority of service, including those, such as English language learners, who may require more resources to serve.
- How they will address the special needs of individuals with barriers to employment in the delivery of services, such as by assuring that appropriate career planning services are provided. This should include a detailed description of how appropriate career planning services will be provided, or arranged for, through collaboration with other agencies if the LWDB or PA CareerLink® center lacks the required expertise.
- How they will ensure access to services, i.e., interpreters and translated documents, for English language learners, as well as for persons who are deaf or hard of hearing.
- How they will train staff to ensure that staff members understand who is entitled to priority of service and that the office is responsive to the needs of these groups.
- What, if any, local discretionary priority of service has been established for a specific program year and what data will be used to support the need or benefit for the discretionary priority.

LWDBs and PA CareerLink® centers will be required to collect data for each of the three categories of persons with priority of service that show the numbers of persons who were served and the levels of performance achieved.

More information regarding WIOA Title I Adult program priority of service is provided in the commonwealth's priority of service policy, Workforce System Policy (WSP) 05-2015. The commonwealth's priority of service policy will be revised to align with the State Plan and Federal regulations.

#### **Describe the State's criteria regarding local area transfer of funds between the adult and Dislocated Worker programs.**

WIOA provides flexibility to local WDBs to provide services in the areas of greatest need by allowing fund transfers of up to 100 percent of a program year allocation between the local adult and the local Dislocated Worker allocations. In accordance with WIOA's regulations that require the governor to establish factors for approving such transfers, the commonwealth provides the following criteria for local WDBs to request transfers between these funding streams:

- Local Boards must seek approval for transferring funds between the adult and Dislocated Worker funding allocations by submitting a transfer request to the Department of Labor & Industry's (L&I) Bureau of Workforce Development Administration (BWDA). For a transfer request to be approved and fully executed prior to June 30, all transfer requests must be received no later than April 15. Written requests must be submitted to L&I on a Funds Request Form as directed in the Workforce System Policy WSP 03-2015, [Financial Management Guide](#), page 5-8 through 5-9 and Appendix A.
- A local area must consider how it will meet adjusted levels of performance for the primary indicators before requesting such transfer since the negotiated levels of performance for the primary indicators remain in effect.
- Local Boards must attest to the following when submitting a transfer request:
  - Employment and training activities of the program that funds are being transferred from or the program that funds are being transferred will not be adversely impacted.
  - Any transfer of funds from the Dislocated Worker program to the adult program to be adult program funds fall under the priority requirements of the adult program. Likewise, any transfer of funds from the adult program to the Dislocated Worker program will fall under the requirements of the Dislocated Worker program;
  - A local area is still responsible for meeting the adjusted levels of performance for any participants that it is required to serve; and
  - When funds are transferred from one program to another, the transferred funds adopt the identity of the new fund source and are bound by all requirements of that source.

As indicated in the commonwealth's workforce system policy (WSP) on financial management, the maximum dollar amount that a local area can transfer is calculated against the total base annual allocation (excluding any transfers or other adjustments to the allocation amount) for the funding stream that the funds are being transferred from. It should also be noted that administrative funds must be included with the transferred program funds based upon a 90/10 percent program to administrative split. Therefore, Local Areas must have adequate administrative funds available before BWDA will approve a transfer request.

For reference, transferred funds retain their federal year-of-appropriation identity and must be accounted for and reported accordingly. For example, PY 2016 WIOA Adult funds can only be transferred to the PY 2016 WIOA Dislocated Worker program. All transfers assume the identity and applicable requirements of the funding stream receiving the transfer. Expenditures associated with the transferred funds are tracked or accounted for separately. Transferred funds are accounted for, and reported, as part of the total available funds in the originally allocated program.

Transfer requests approved will result in a separate Notice of Obligation (NOO) and contract number being issued for the transferred amount, while the program in which the amount is being transferred from will be revised to reflect the reduced allocation. The issuance of said NOOs will serve as a local area's official notification that the transfer is approved. Conversely, Local Areas will receive notification of any transfer request that is not approved.

## **Non-Discrimination and Accessibility**

**How the one-stop delivery system, including one-stop operators and the one-stop partners, will comply with Section 188, if applicable, and applicable provisions of the Americans with Disabilities Act regarding the physical and programmatic accessibility of facilities, programs, services, technology, and**

**materials for individuals with disabilities, including complying through providing staff training and support for addressing the needs of individuals with disabilities. Describe the State's one-stop center certification policy, particularly the accessibility criteria.**

The commonwealth's Methods of Administration (MOA) document provides written assurance that the state complies with all nondiscrimination and equal opportunity requirements provided for in federal and state law and regulations. The MOA describes the actions and policies the state takes to ensure compliance. The Office of Equal Opportunity within L&I is responsible for implementing and monitoring compliance with nondiscrimination and equal opportunity provisions of WIOA, the Americans with Disabilities Act (ADA), and other relevant laws and regulations.

PA CareerLink® centers strive to be physically and programmatically accessible to all customers, including individuals with disabilities. PA CareerLink® centers use principles of universal design and human-centered design, such as flexibility in space usage; the use of pictorial, written, verbal, and tactile modes to present information for customers with disabilities or limited English proficiency; providing clear lines of sight to information for seated or standing users; providing necessary accommodations; and providing adequate space for the use of assistive devices or personal assistants. To further support service to individuals with limited English proficiency, many PA CareerLink® centers employ bilingual staff, the PA CareerLink® website utilizes Google translation services to allow the site to be viewed in dozens of languages, and the JobGateway® website can be utilized in English or Spanish.

The commonwealth mandates that all PA CareerLink® centers provide reasonable accommodations, reasonable modifications, architectural accessibility, programmatic accessibility, and CWDS website accessibility for persons with disabilities. Each office is equipped with assistive technologies and accessibility features including a computer with common accessibility devices such as JAWS, Zoom Text, enabled sticky keys, modified keyboards, and other input devices. Staff members are trained on the maintenance and operation of available assistive technology devices.

In collaboration with one or more Centers for Independent Living (CILs) and the Office of Deaf and Hard of Hearing, the commonwealth will evaluate the physical accessibility and information technology accessibility of all PA CareerLink® centers and services to ensure that Pennsylvanians with a disability are able to fully avail themselves of PA CareerLink® services.

The PA Department of Labor & Industry (L&I) Office of Equal Opportunity evaluates each PA CareerLink® center at least every three (3) years to ensure compliance with ADA standards. This is done as part of the PA CareerLink® certification process. The commonwealth's [Workforce Delivery SystemPA CareerLink® Certification and Continuous Improvement Policy](#), WSP 121-05, outlines all of the criteria for certification and re-certification of its PA CareerLink® offices.

PA CareerLink® staff members receive refresher training on disability awareness, sensitivity and etiquette, outreach for employers, and guidance concerning Social Security and related topics. Each office is required to develop an Enhancement Plan for providing services to persons with disabilities.

CWDS offers a variety of resources and information on services available to persons with disabilities including: information on training opportunities and links to online training; technology guides for using screen enlargement software, screen reading software, Windows Accessibility features, and the Text Telephone or Teletypewriter for the Deaf (TTY); information on the ADA and accessibility; alternate format handbooks; links to service providers and resources to assist persons with disabilities in removing barriers

to employment; and links to information for employers interested in hiring a person with a disability including tax benefits, the ADA and accommodations.

The PA Department of Education Division of Adult Education requires all Title II programs to be ADA compliant and mandates that programs have reasonable materials available for students to use to accommodate learning differences. The Division of Adult Education also supports various professional development activities on providing services to address learning differences.

To further promote programmatic accessibility, the PA Department of Labor & Industry Office of Vocational Rehabilitation (OVR) has developed a comprehensive disability awareness and etiquette training that is delivered across the commonwealth to interested PA CareerLink® partners and businesses. OVR has also made available to all commonwealth employees an “Introduction to OVR” training to inform staff about the services OVR can offer to individuals with disabilities. OVR staff has received Leadership Training on the Americans with Disabilities Amendments Act (ADAA) to include strategies and modules for training businesses and customers on the Act.

## Section IV – Program Specific Requirements

Identify the regions and the LWDAs designated in the State. The process used for designating Local Areas, including procedures for determining whether the local area met the criteria for “performed successfully” and “sustained fiscal integrity.” Describe the process used for identifying regions and Planning Regions under Section 106(a), including a description of how the state consulted with the Local Boards and Chief Elected Official(s) in determining the Planning Regions.

The appeals process referred to in Section 106(b)(5), relating to the designation of Local Areas.

The appeals process referred to in Section 121(h)(2)(E), relating to determinations for infrastructure funding.

### Local Area Designation

Pennsylvania issued a revised [Workforce System Guidance 02-2014](#) on June 30, 2015, providing initial designation to Pennsylvania’s 23 local workforce investment areas that existed under WIA. A list of the 23 local workforce development areas is included as [Appendix IV](#). The guidance requires Chief Elected Official(s), in collaboration with local workforce development boards and other workforce system stakeholders, to evaluate their Local Areas on a series of factors to include:

- Natural labor market areas;
- Regional economic development areas;
- Existence of education and training providers, such as institutions of higher education and career and technical education schools;
- Service delivery and resources available toward the provision of services;
- Benefits of multiple resources within areas that are based on labor markets and natural travel patterns of local residents;
- Capacity and access to public/private transportation resources;
- Education leaders, business leaders, government officials, stakeholder buy-in;
- Availability of Federal and non-federal resources necessary to effectively administer workforce investment activities and other provisions of the WIOA to include resources beyond the 10 percent allowed for administrative expenditures allotted to Local Areas;
- Strategies to provide enhanced quality services to employers and individuals; and
- Local capacity to manage funds, provide oversight of programs, and provide for the proper stewardship of public funds.

The Chief Elected Official(s) and local workforce development board chair were required to submit a formal letter to the Department by March 1, 2016 describing the evaluation process and addressing each of the factors above. The review was intended to serve as the basis for a local workforce development area either seeking continued designation in its current form or seeking designation as part of a newly proposed workforce development area. A newly proposed workforce development area had to include more than one unit of local government. A request for new area designation had to address the factors listed above and the impact on the workforce development area from which it was withdrawing (if applicable). The request was required to be signed by all Chief Elected Official(s) within the new local workforce development area. Final approval of newly requested workforce development areas rests with the governor. The governor reserves the right to make additional changes regarding local area designation.

The local area is determined to have performed successfully if it has met or exceeded locally negotiated levels of performance and has not failed any individual measure for the last two consecutive program years before the enactment of WIOA for initial designation and in the first two years of enactment for subsequent designation. The terms “met or exceeded” and “failed” are defined as consistent with how those terms were defined at the time the performance levels were negotiated.

The local area is determined to have sustained fiscal integrity if, within the last two (2) consecutive years preceding the determination of fiscal integrity, a formal determination has not been made that either the grant recipient or administrative entity of the local area inappropriately expended funds due to willful disregard of the requirements of the provisions involved, gross negligence, or failure to comply with accepted standards of administration.

The commonwealth maintained local area designations, so an appeals process has not yet been established; however, an appeal process will be provided for through policy should the commonwealth re-designate Local Areas.

In cases where Local Areas cannot agree to infrastructure funding and the state funding mechanism is used, an appeals process will be outlined in the commonwealth’s Financial Management policy.

## **Local and Regional Planning**

In accordance with WIOA, the commonwealth has established ten (10) regional planning areas. The ten regions mirror the existing Partnership for Regional Economic Performance (PREP) regions used by the PA Department of Community and Economic Development to promote collaboration among economic development partners. A list of the ten regional planning areas is included as [Appendix V](#). Prior to WIOA, the commonwealth began to encourage the inclusion of workforce development partners in PREP region strategic planning through the issuance of Regional Partnership grants. The goal of the grants was to: strengthen workforce and economic development collaboration at a regional level and to develop a highly skilled workforce to support business growth and attraction. Using the PREP regions as the commonwealth’s regional planning areas logically follows the aims of WIOA to increase partnerships with economic development. Regional Plans will look at how workforce development, economic development, education, and other partners interact to serve the citizens and employers of the region.

While the PREP regions will be the defined regions for purposes of WIOA, the commonwealth strongly supports and encourages additional regional planning for specific purposes to include alternate contiguous in-state regions, non-contiguous regions, and multi-state regions. Additional regional planning could be done in support of sector strategies, grant applications, economic development initiatives or other initiatives that arise.

Each LWDB and its Chief Elected Official(s) collaborated with the other Local Boards and Chief Elected Official(s) within its planning region to prepare and submit a transitional one-year Regional Plan for PY 2016. Additionally, a transitional one-year PY 2016 Local Plan for each local workforce development board within a workforce planning region was developed by the Local Boards and their respective chief elected official(s), in alignment with the transitional Regional Plan, and submitted as a component of that Regional Plan. The commonwealth issued final guidance in the form of [Workforce System Policy No. 108-01, Regional/Local Planning - Multi-Year Plans on May 5, 2017](#).



## State Policies and Guidance

**State policies or guidance, for the statewide workforce development system and for use of state funds for workforce investment activities.**

Pennsylvania issued Workforce Investment Information Notices (WIINs) and workforce policies that provided guidance for the workforce system from the time the Workforce Investment Act of 1998 (WIA) was enacted up until the time it was replaced by WIOA. Such notices and policies administered direction to the commonwealth's 23 Local Boards as well as other statewide and local workforce system stakeholders.

Upon the enactment of WIOA, the commonwealth established seven workgroups composed of various stakeholders throughout the commonwealth to provide recommendations to the PA Department of Labor & Industry (L&I) and inform key elements of the State Plan, as well as future policies and guidance. Pending fully complete federal guidance, the commonwealth has had an obligation to issue interim guidance to maintain federal compliance, which may change due to additional guidance from the federal government. That guidance included, but was not limited to:

- [Workforce System Policy No. 02-2014, V002: Workforce Delivery System, Version 002](#)
- [Workforce System Guidance No. 02-2014: Process and Timeline for Designation of Pennsylvania's Local Workforce Development Areas – Initial Implementation of the Workforce Innovation and Opportunity Act of 2014](#)
- [Workforce System Policy No. 01-2015: Pennsylvania's Workforce System of Record](#)
- [Workforce System Guidance No. 01-2015: Implementation of the Workforce Innovation and Opportunity Act – Transition Provisions](#)
- [Workforce System Policy No. 02-2015: Local Governance Policy](#)
- [Workforce System Guidance No. 03-2015: Youth Eligibility Definitions – Initial Implementation of the Workforce Innovation and Opportunity Act](#)
- [Workforce System Policy No. 03-2015: Financial Management Policy](#)
  - [Resource Sharing Agreement Budget Resource Guide](#)
  - [Local Memorandum of Understanding Template](#)
- [Workforce System Guidance No. 04-2015: Self-Certification and Telephone/Document Inspection Verification – Initial Implementation of the Workforce Innovation and Opportunity Act](#)
- [Workforce System Policy No. 04-2015: Eligible Training Providers – Initial Implementation of the Workforce Innovation and Opportunity Act](#)
- [Workforce System Policy No. 05-2015: Priority of Service - Initial Implementation of the Workforce Innovation and Opportunity Act](#)
- [Workforce System Guidance No. 06-2015: Incumbent Worker Training Activities - Initial Implementation of the Workforce Innovation and Opportunity Act](#)
- [Workforce System Policy No. 06-2015: Training Expenditure Targets and Definitions of Training for Benchmarks](#)
- [Workforce System Policy No. 07-2015: Oversight - Initial Implementation of the Workforce Innovation and Opportunity Act](#)
- [Workforce System Policy No. 121-06, Change 1: Local Workforce Delivery System – Common Identifier](#)
- [Workforce System Policy No. 121-05: Local Workforce Delivery System – PA CareerLink® Certification and Continuous Improvement](#)
- [Workforce System Policy No. 108-01: Regional/Local Planning – Multi-Year Plans](#)

- [Workforce System Policy No. 121-04, Change 1: Local Workforce Delivery System – PA CareerLink® System Operator](#)
- [Workforce System Policy No. 184-02: Sanctions - Initial Implementation of the Workforce Innovation and Opportunity Act](#)

The Department did not develop a policy regarding the 2015 version of the Trade Act but does follow [U.S. DOL Training Employment Guidance Letter \(TEGL\) No. 5-15](#), dated September 4, 2015.

## **Governor’s Set-Aside Funding**

**Describe how the state intends to use Governor’s set-aside funding.**

Governor’s set-aside funds will generally be used to promote Governor Wolf’s vision of jobs that pay, schools that teach, and government that works with more specific emphasis on the five goals for the workforce development system articulated in the Strategic Vision portion of the State Plan.

The commonwealth used a portion of Governor’s set-aside funds to support the creation of an Apprenticeship and Training Office (ATO) with the goal of promoting and growing Registered Apprenticeship and pre-apprenticeship programs across the state. The ATO is described in greater detail in the response to how the state will incorporate Registered Apprenticeships into its strategies and services.

The commonwealth also uses set-aside funds to expand and provide additional support for the Next Generation Sector Partnership program as well as similar multi-employer partnerships that conduct workforce development activities that are within the scope of WIOA.

Additionally, the commonwealth intends to use set-aside funds to provide discretionary grants to LWDBs to support programs and activities that better serve targeted groups of workforce development system customers.

The commonwealth also plans to use set-aside funds to identify and replicate proven models for high-risk out-of-school youth, including models that incorporate demand-driven strategies and multi-employer workforce partnerships such as Next Generation Sector Partnerships.

Lastly, a portion of set-aside funds will be used to provide incentives to LWDBs whose performance exceeds negotiated levels and meets the criteria in state policy.

## **Rapid Response Services**

**Describe how the state will utilize Rapid Response funds to respond to layoffs and plant closings and coordinate services to quickly aid companies and their affected workers. States should also describe layoff aversion strategies they have implemented to address at risk companies and workers.**

Rapid Response is an early intervention business service that assists workers and employers during the entire business cycle. Rapid Response coordinates layoff aversion and outplacement services for employers and workers affected by layoffs, plant closures, or natural disasters. It is not always event-driven; it is a pro-active approach to planning for and managing economic transitions. At its best, Rapid Response assist employers with their layoffs by coordinating outplacement services prior to layoff, while

supporting the business by working with other state and local stakeholders who can then assist in job expansion. Rapid Response is an introduction to the workforce and economic development systems and helps workers and employers navigate the Commonwealth's system of user-friendly resources and information to help transition workers into reemployment, and assist businesses.

The primary objective of rapid response is to provide workers with the resources and services necessary to allow them to find new jobs or get the training and education needed for new careers so they can return to work quickly.

Rapid Response Coordination Services (RRS) also helps communities develop proactive and coordinated strategies to access Pennsylvania's economic development systems that help businesses at risk of closing to keep their doors open.

The PA Department of Labor & Industry (L&I) Deputy Secretary for Workforce Development is responsible for executive oversight of Pennsylvania's RRS activity under WIOA. The Rapid Response/Trade Coordination Services Unit within the Bureau of Workforce Partnership & Operations is responsible for the policy development and implementation of the state's rapid response program.

The commonwealth uses a regional approach to deliver rapid response assistance. There are L&I regional bureau staff assigned to geographic areas covering one or more LWDBAs. Regional representatives work closely with PA CareerLink® staff as well as the local board or Chief Elected Official(s), and local and state economic development agencies, as appropriate. They meet with the LWDB staff periodically to share information and to discuss major dislocation events. RRS provides information and access to a comprehensive menu of available services and resources to avert or reduce the effect of plant closings and mass layoffs to businesses, communities and individuals.

Early intervention services provided through RRS offer workers affected by downsizing the best chance to reenter the workforce as quickly as possible. Since inception, RRS has become nationally recognized, often cited by the U.S. Department of Labor as a model for service delivery.

RRS, in concert with partners operating as a single business services team (BST) provides strategic planning that helps area employers become more globally competitive and connect with area economic development and educational institutions to meet their workforce needs. RRS is the state's lead unit delivering a business-focused approach, working with employers to coordinate layoff aversion activities in coordination with the Strategic Early Warning Network (SEWN) and other economic development stakeholders working with the affected employer and supply chain companies, as applicable.

L&I, through a competitive process, contracted with the Steel Valley Authority to design and manage SEWN, which provides layoff aversion services to help businesses, particularly in the manufacturing sector, remain competitive and keep workers employed. SEWN and RRS obtain referrals to struggling companies through a variety of sources, including workforce development professionals, LWDBs, financial institutions, company customers, suppliers or vendors, industrial resources centers, local and state economic development agencies, unions, or affected workers themselves. Within 48 hours of receiving a referral, SEWN staff and/or RRS will contact the company and attempt to establish a meeting and plant tour. SEWN staff has expertise in several areas of business turnaround and layoff aversion, including: financial restructuring; buyouts; succession planning and ownership transition; labor-management relations; high-performance workplace strategies; operations; and cost management.

### Informational and Direct Reemployment Services for Workers

Rapid response activities are triggered when L&I becomes aware of a planned closure or layoff, either by receiving a notice under the Federal Worker Adjustment and Retraining Notification (WARN) Act, through the media, or by information provided by community and business leaders. Services may also be offered when Pennsylvania experiences mass job dislocation as the result of a natural disaster. There is no charge to the employer or employee for these services, and they are provided regardless of the reason for the layoff or closing.

RRS initiates a fact-finding meeting to plan for coordination of services within 48 hours of receipt of notice. Contact is made with the employer and any union to develop a preliminary service strategy. Through rapid response, orientation meetings are held either at the workplace or at a convenient site to provide workers with a wide range of information about benefits and services that are critical for a successful transition to new employment or training for a high-priority occupation, based on assessment of their skills and background. When possible, orientation meetings are held prior to the layoff date and on company time. Information is typically provided on the following topics:

- Unemployment insurance;
- Transition teams;
- Outplacement services;
- Health and pension benefits;
- Job-search activities;
- Education services;
- Training programs;
- Trade Adjustment Assistance;
- Social services programs;
- Community and economic development activities;
- Emergency assistance; and
- Crisis counseling.

Working in close partnership with CWIA, along with PA CareerLink® and LWDBs, RRS developed an outplacement curriculum delivered to affected workers that uses comprehensive, customizable workshops and a proven “Surviving a Layoff” publication. The customized workshops include information about the realities of job loss, job-search strategies, local Labor Market Information (LMI), using social media as a job-search and networking tool, resume development, job-search resources, household budgeting, and job-interviewing tips.

Crucial to the program’s success is the ability of RRS to gather and synthesize real-time LMI and conduct needs-profiling of Dislocated Workers accomplished through surveys distributed, completed, and collected at RRS informational sessions with affected employees. By understanding the local, regional, and in some cases statewide cause and effect related to a dislocation event, along with the way these circumstances directly affect individual workers, RRS has become an essential component in the commonwealth’s layoff-aversion and reemployment network. The sharing of this information between the workforce development and economic development agencies will enhance the state’s ability to be proactive with services and provide earlier intervention with our employers.

RRS realizes its full potential for employers when it is managed as a business service during the entire business lifecycle. The commonwealth will continue to invest rapid response dollars to fund a layoff-aversion system targeting small- and medium-sized manufacturing firms. RRS staff sits on local PA

CareerLink® and regional economic development BSTs to help employers with turnaround and to market Dislocated Workers to employers, enhancing the value of business services provided by the workforce and economic development systems. RRS, as well as the regional SEWN representatives, will also receive technical training to effectively market the Shared-Work Program operated by the Office of Unemployment Compensation Benefits & Services as a component of layoff-aversion strategy.

RRS staff will maintain a comprehensive set of partnerships to provide customers the services, resources, and benefits they are eligible for and need. To complement the current network of partnerships, RRS will continue outreach efforts to other entities such as local chapters of the Society for Human Resource Management, manufacturing associations, state and local economic development entities, chambers of commerce, Next Generation Sector Partnerships and sector-based associations and business groups, central labor councils and area labor federations increasing awareness of rapid response services as a business-cycle service linking workforce and economic development.

Rapid Response Additional Assistance (RRAA) funding will be directed to serve LWDAs when local funding balances are insufficient to ensure a continuum of services for Dislocated Workers. Funds may be provided to LWDAs that experience increased numbers of unemployed individuals due to natural disasters, plant closings, mass layoffs, or other events for provision of direct services to participants.

Through the fact-finding process, Rapid Response staff gather information from the employer related to the layoff/closure. At times, the information shared by the employer reveals that the loss of business is due to foreign trade impacts. When such information is shared, the RR staff informs the employer, employees, and union (if applicable) to apply for Trade Act benefits. RR works with any entity (employer, union, workers) willing to file a Trade petition with the U.S. DOL. RR provides the technical assistance to complete the Trade petition application and file it with the U.S. DOL accordingly.

The fact-finding information gathered is shared with all partners in the LWDA to ensure that planning for such dislocation occurs in a timely manner. It also provides the opportunity for RR to recommend to the LWDAs to request additional RR funds to ensure that services can be provided for the Dislocated Workers (DW). When a company has applied for Trade benefits, the additional RR funds can be used to provide “on-site” DW services prior to the company closure. RR and LWDA staff develop a comprehensive plan with the company and union, if applicable, to provide workers with services such as JobGateway® registration and access to various workshops related to topics such as healthcare, retirement, training opportunities in the workforce area, and soft skills to re-enter the workforce.

Funds can also be provided to develop customized pre-employment/training services. Local partnerships with community colleges and organizations will help with development and planning of basic computer skills training and pre-GED/basic skills workshops. Planning these services in advance enables the DW to obtain or refresh skills that are needed for the current job market. It may also serve as a platform for more in depth training.

The additional funds will also be used to re-enforce the partnerships established with local employers in the respective workforce area. The RR staff, with the collaboration of LWDA partners, can opt to arrange various job-fairs for the Trade affected Dislocated Workers. Companies in the workforce area may benefit in filling current open positions and/or take the opportunity to engage in on-the-job-training for workers with transferable skills.

Ultimately, RRS have substantial benefits for the impacted employees, employers and community at large.

RRS helps Dislocated Workers turn the challenge of a layoff into an opportunity by providing information about, and access to, the following services:

- Unemployment compensation;
- Career counseling, resume preparation and job-search assistance;
- Education and training opportunities, including Trade Adjustment Assistance;
- Locally available supportive services;
- Referrals and information about English as a second language or Limited English Proficiency classes;
- Referrals and information about Adult Basic Education and GED classes;
- Referrals and information about services available through the Office of Vocational Rehabilitation;
- Dislocated Worker transition teams;
- Surviving a layoff, resume preparation, and interviewing skills workshops;
- Information about the local labor market;
- Information about retirement-plan benefits, Social Security and health-insurance options; and
- Services exclusively for veterans and adults with disabilities.

When employers contact RRS, they can expect:

- A quick response to transition planning needs;
- Confidentiality concerning business decisions;
- Help throughout their entire business cycle;
- Help understanding government regulations;
- Information about alternatives that may reduce or avoid layoffs;
- For small- to medium-sized businesses, referral to agencies that can help in re-structuring to avoid layoffs or closing the business; and
- Higher productivity and worker morale and lower absenteeism during the layoff event due to reduced stress.

Lastly, community involvement forms the basis for some of the most dynamic and effective transition services available. The benefits of rapid response to the community include:

- Working with elected officials at the state and local levels;
- Helping to save the local tax base by keeping workers employed;
- Lessening adverse economic effects on other businesses within the community;
- Responding to job and business loss when a natural disaster occurs;
- Coordinating available resources by tapping into the community's service providers;
- Reducing emotional and financial stress on the community by offering workshops for unemployed workers, and local affected supply-chain or vendor companies; and
- Coordinating support groups and transition teams for unemployed workers.

**Describe the state policies and procedures to provide Rapid Responses in cases of natural disasters including coordination with FEMA and other entities.**

The PA Department of Labor and Industry (L&I) Rapid Response Unit works as the initial point of contact for businesses, emergency management teams to assist the businesses, and Dislocated Workers that are affected by a natural disaster. The Rapid Response Unit works in conjunction with Federal, State, and Local Emergency Coordinators to respond to affected businesses. Regional Rapid Response Coordinators work with their regional and local emergency teams to develop contingency plans. Rapid Response works with

the business through the business downturn cycle regardless if the disaster is PEMA or FEMA designated. If the disaster has an immediate impact on local business operations, Rapid Response coordinates the efforts with their local teams including Unemployment Compensation, local workforce development and economic development entities, and other stakeholders to develop a strategic plan to assist the affected business and Dislocated Workers.

Rapid Response Coordinators work with LWDBs, fiscal agents, and operators to assure delivery of services and assist in the application for additional workforce funds when necessary. WIOA permits states to provide Rapid Response Additional Assistance funds to Local Areas with an increased number of unemployed individuals, due to natural disasters, plant closings, mass layoffs, or other events, if there are not adequate local funds to assist the Dislocated Workers.

## Trade Adjustment Assistance

**Describe how the State provides early intervention (e.g. RR) to worker groups on whose behalf a Trade Adjustment Assistance (TAA) petition has been filed. The description must include how the State disseminates benefit information to provide trade-affected workers in the groups identified in the TAA petitions with an accurate understanding of the provision of TAA benefits and services in such a way that they are transparent to the trade-affected DW applying for them. Describe how the State will use funds that have been reserved for RR to provide services for every worker group that files a TAA petition.**

The PA Department of Labor & Industry (L&I) placed Trade Act Services, which administers the Trade Adjustment Assistance (TAA) program and RRCS within the Bureau of Workforce Partnership and Operations to align early intervention with TAA program administration. RRS markets TAA to companies, workers, and unions (if applicable) through the rapid response process. Within 48 hours of receiving a WARN notice (or any other form of Public Notice) from a company that is either closing or laying-off, a RR representative contacts the employer and initiates a fact-finding session. Information is gathered on the following items:

- Reason for closure/layoff
- Size of the workforce
- Demographics of the workforce
- Supply chain
- Timeframe in which closure/layoff will take place.

Following this information gathering, the RR representative provides a summary of workforce services that will benefit the affected workers such as on-site workshops covering resume writing, job search skills, interviewing techniques, managing a household budget, and Unemployment Compensation. These pre-layoff workshops serve as a precursor for the workers in preparing for the upcoming layoff. Further, the RR representative explains the benefits of having the company apply for Trade Act benefits on behalf of their workforce. The RR representative reviews the benefits that the Trade Act offers to workers, emphasizing the training opportunities available for a higher skilled workforce in the respective area. The RR representative assists the employer with completing a Trade Act petition application on site and encourages sending the completed application via the US DOL website (<https://doleta.gov/tradeact/>). Finally, the employer is left with an informational folder providing a summary of what has been reviewed during the fact-finding meeting and schedules a follow-up meeting for next steps.

With the information gathered during the fact-finding meeting, the RR representative assembles a Rapid Response Team that can meet the needs of the workers in a particular dislocation. The demographic information gathered during fact-finding allows for Rapid Response to focus in on particular needs of the workers. This includes, but is not limited to:

- Limited English Proficiency (LEP);
- Workers near Retirement Age;
- Workers lacking a High School Diploma;
- Workers with limited or no post-secondary education; and
- Transportation barriers.

The RR representative encourages the local Workforce Development Board to request additional Rapid Response funds to ensure that enough funds for supportive services are available to serve the workers in question, specifically in areas that are identified as barriers to employment. A Rapid Response Team will include specialists that will cover:

- Unemployment Insurance;
- Health and Pension Benefits;
- Job Search Activities;
- Education Services;
- Trade Adjustment Assistance;
- Social Service Programs;
- Community and Economic Development; and
- Other members deemed necessary to serve a specific dislocation.

The Rapid Response representative follows up with employer to schedule pre-layoff workshops as well as a Rapid Response Information Session (RRIS) with the affected workers. Various dates can be scheduled to accommodate large dislocations. The Rapid Response representative makes it a priority to involve the employer and union (if applicable) in planning the RRIS to cover subjects including, but not limited to, location of the event, amount of invitees, and information that will be presented. The RRIS is usually scheduled four to six weeks prior to layoff/closure date. The RR representative will also follow up with the employer to check the status of the Trade petition application. If the employer has not moved ahead with the application, the RR representative will encourage the union (if applicable) and/or workers to submit a Trade petition application. In situations where three workers are unable to come together to complete a Trade petition application, the State Agency will submit it on the workers' behalf.

The Rapid Response representative meets with the assembled RR Team to provide an overview of how the event will unfold to include order of presenters and general flow of the event. A strong emphasis is given to providing the participant with up to date information on UC, health benefits, and workforce services. Workers are given an overview of what their local PA CareerLink® offers, include training, one-on-one job search assistance, career assessment, and case management services built on an Individual Employment Plan tailored to the worker needs. PA CareerLink® staff is present at the RRIS to schedule one-on-one appointments with workers who want to access workforce benefits. Each worker present at the RRIS completes a survey that gathers critical information for ongoing follow-up services (contact information, education background, work history, skills, interest in training/job search, etc.) These surveys are reviewed by Rapid Response staff and shared with local PA CareerLink® case managers who will provide follow-up services to these workers. The surveys provide a solid foundation for the case managers to build a plan with the worker that leads to employment/training opportunities. Additionally, the surveys also provide good indicators on what employment barriers exist (limited education, job skills, LEP, etc.)



and allow for appropriate referrals to PA CareerLink® partners that can assist workers overcome such barriers. RR representatives maintain contact with the employer during the layoff/closure period to ensure that all workers receive the information presented at the RRIS. The RR representative also encourages the formation of a Dislocated Worker Transition Team. These teams are mostly made up of volunteer workers within the company that can serve as “a body” representing the voice of the workforce. Emerging issues can be addressed, including further information on specific topics, development of newsletters, and arrangement of smaller worker groups that address specific topics of interest.

Upon receiving notification from US DOL that a TAA petition has been approved/certified, the RR representative contacts the employer and union (if applicable). The federal program obtains a worker-list from the employer and sends out notification to all workers of the potential eligibility for Trade Act benefits. Next, the RR representative collaborates with local PA CareerLink® Trade, Title I and Unemployment Compensation service staff to set up a Trade Benefits Rights Interview (BRI) meeting date convenient for the employer and workers. The RR representative recommends BRI sessions to be held at the actual company site to maximize participation. Workers receive a personal invitation to the BRI session and obtain a copy of the information that will be reviewed during the meeting.

Rapid Response staff highlights the benefits that the Trade Act offers to assist in returning to the workforce by providing a detailed timeline for workers to follow. It emphasizes the services provided at the PA CareerLink® as a staging point to access Trade benefits in a timely manner. A strong emphasis is given to TAA training, High Priority Occupations, and important deadlines that have an impact on time sensitive benefits. Case managers are present to schedule one-on-one appointments with TAA eligible workers who have an immediate interest in Trade benefits.

Rapid Response staff will also recommend holding an Enrollment Assessment (EA) session to take place one to two weeks after the BRI is held, specifically for larger dislocations. EA sessions are a collaborative effort among PA CareerLink® partners present at the different stations. These stations serve the purpose of:

- Explaining Trade Act benefits on an individual basis;
- Full-enrollment on the JobGateway® system;
- Completion of dual-enrollment;
- Initial skills assessment;
- WIOA supportive services;
- Initiating the IEP;
- Overview of the Reemployment Trade Adjustment Allowance (RTAA) for workers 50 years of age or older;
- Scheduling comprehensive assessment for participants interested in training
- Reviewing the training programs and providers in the Local Area; and
- next steps/follow-up appointments.

The EA sessions allow for a TAA eligible worker to go through a smooth process where there is a clear understanding of expectations and results. Furthermore, having the dual-enrollment completed at an early stage of case management allows for the TAA eligible worker to take full advantage of all workforce services offered under the PA CareerLink® umbrella. Once the EA session is completed, the worker identifies a case manager, who assists in making sure the worker accesses the TAA benefit(s) prior to the deadline. The EA session allows for the Local Area to pull additional resources by having staff from other parts of the commonwealth assist with the logistics of such an event. It also allows for the case managers

to identify TAA workers with a strong interest in using Trade Act benefits to secure suitable employment. The RR representative encourages the involvement of the DWTT to encourage workers to use Trade benefits and engage with the PA CareerLink® staff.

The commonwealth implemented an online application for TAA training, job-search, and relocation allowances, the Alternative Trade Adjustment Assistance (ATAA), and Reemployment Trade Adjustment Assistance (RTAA) programs. This online application is accessed via the Internet, and Dislocated Workers, PA CareerLink® Merit staff and training providers add information online. PA CareerLink® Merit staff helps trade-affected workers complete their applications, and assess workers' skills and experiences.

The commonwealth uses Wagner-Peyser resources to provide career services for all job seekers. Pennsylvania's service delivery system provides greater choice and focuses resources where Dislocated Workers most need them. Dislocated Workers receive all WIOA services in a comprehensive PA CareerLink® center. Pennsylvania co-enrolls all TAA-eligible workers in the WIOA program to ensure that all Trade participants receive the full range of assistance available to Dislocated Workers.

## **Eligible Training Provider List (ETPL) and Training Models**

**If the state is utilizing alternative training models (OJT, incumbent worker training, transitional jobs, CJT) as part of its training strategy and these strategies are not discussed in other sections of the plan, describe the State's strategies for how these models ensure high quality training for the participant and employers.**

The commonwealth allows for and encourages on-the-job training (OJT), transitional jobs, and customized training as integral parts of the workforce development strategy. OJT, transitional jobs, and customized training have been used to get job seekers employed for many years. These training models allow job seekers to hone existing skills, learn new skills and technologies, and gain experience to meet the requirements of a new occupation or industry. Work-based training will generally be aligned with the Pennsylvania High Priority Occupation (HPO) list to ensure that jobs are in-demand, have higher skill needs, and are likely to result in family-sustaining wages. In cases of individuals with significant barriers to employment, the commonwealth will support OJT, customized training, and transitional jobs in quality entry-level positions that provide the work experience necessary to lead to employment in HPOs. All OJT, transitional jobs, and customized training is provided through contracts with employers in the private sector.

Incumbent worker training has long been a hallmark of Pennsylvania's workforce development system through Next Generation Sector Partnerships and WEDnet PA. Both programs are funded through state budget appropriations. Each year, thousands of Pennsylvania incumbent workers receive training through these programs, allowing those individuals to be promoted along a career ladder and earn higher wages. Moving incumbent workers into higher positions also produces entry-level openings for other job seekers looking for employment opportunities. In 2017, the commonwealth provided seed and implementation funding for Next Generation Sector Partnerships, with the goal of building capacity statewide.

**Describe how the state will incorporate Registered Apprenticeship (RA) into its strategies and services.**

The commonwealth established a state Apprenticeship and Training Office (ATO) within the PA Department of Labor & Industry (L&I). The ATO promotes registered apprenticeship and pre-apprenticeship programs, provides technical assistance to employers and facilitates regional partnerships

around the expansion of demand-driven registered apprenticeship programs across the commonwealth. The commonwealth is particularly interested in promoting the creation of non-traditional apprenticeship programs in industries such as health care and agriculture; in occupations such as food processing and information technology jobs; and in the recruitment and training of women, minorities, individuals with disabilities, and other individuals with barriers to employment.

In 2015, the commonwealth released a Nontraditional Apprenticeship grant availability using state Reemployment Funds to support the creation of new apprenticeship programs and the recruitment of women, minorities, individuals with disabilities and other targeted populations into existing apprenticeship programs. In 2017, the commonwealth solicited proposals and awarded \$1,400,000 in funds to support pre-apprenticeship programs with an established relationship to registered apprenticeship and registered apprenticeship programs themselves.

ATO staff continue to provide outreach to PA CareerLink® centers to mentor state business service team staff in the benefits and promotion of apprenticeship as a viable training option for employers. Business service team staff will use their training to inform employers about apprenticeship opportunities, and in turn business service team members will forward information from interested employers to ATO staff, who will follow up on the leads to help employers create or expand registered apprenticeship programs. ATO staff will also assist community colleges and universities to register their programs with the Registered Apprenticeship College Consortium. The commonwealth also intends to add registered apprenticeship opportunities to JobGateway® and allow job seekers to search specifically for apprenticeship options.

The commonwealth partnered with unions, employers, and community colleges as part of a federal grant application for apprenticeship funding. In 2017, the commonwealth applied for and was awarded grant funds from the US Department of Labor Employment and Training Administration under the ApprenticeshipUSA State Expansion Grant solicitation. As part of the proposal, the commonwealth requested \$875,000 to conduct a competitive grant solicitation for existing Registered Apprenticeship programs to supplement existing expenditures toward the classroom training costs of their programs, to include costs of tuition, books, materials, and supplies. As a result, eleven (11) programs were awarded funds.

The commonwealth will continue to pursue collaborative models with the workforce development partners. These collaborative models will provide successes and best practices which ATO will apply to creating or expanding additional registered apprenticeship programs, particularly within nontraditional career pathways. Through collaboration with state agency partners and programs, including PDE, DCED, OVR, DHS, DOC, the Department of Agriculture, PennSERVE, JobCorps, and YouthBuild, the commonwealth will also be able to augment and enhance Registered Apprenticeships, as well as ensure the connection to career advancement, seamless transitions to postsecondary education and training, and a skilled workforce for Pennsylvania's employers.

#### **Provide the procedure for determining training provider eligibility, including RA programs.**

The commonwealth issued [Workforce System Policy No. 04-2015](#) detailing initial implementation of eligible training provider provisions of WIOA. The policy outlines the requirements for becoming and remaining an eligible training provider in accordance with federal law and regulations. Guidelines may be revised to ensure that eligible training providers and programs are aligned with career pathways once they are established.

New providers and programs seeking initial eligibility must, in general: have been in operation at least 12 months; provide program information; assure compliance with nondiscrimination, equal opportunity and ADA; demonstrate effectiveness; and agree to collect and provide performance data. To maintain eligibility, a provider or program must meet the minimum established performance criteria. Full details regarding initial and continued eligibility requirements can be found in the linked policy.

Procedures for applying for initial eligibility are as follows:

1. Local workforce development boards solicit training providers in their local area to become an eligible training provider; training providers may independently apply to be an eligible training provider of WIOA title I-B services.
2. Providers seeking eligibility must complete an online application in CWDS.
3. Upon receipt of completed application and required information, the appropriate local workforce development board will review the application and each proposed program.
4. The local workforce development board will make a recommendation for approval or denial to the Department through CWDS; the Department will make the final eligibility determination and add the program(s) to the ETPL if the determination is favorable.
5. Once the Department has approved eligibility, the local board must ensure the provider completes a Memorandum of Understanding covering use of Personally Identifiable Information (PII) in student data, PA wage records, PA employment records, WRIS2, and FEDES, as applicable.
6. New providers will be added to the eligible training provider list as they become eligible. Initial eligibility remains in effect at least one (1) year.

The renewal process for continued eligibility generally follows Steps 2 through 6 of the initial eligibility process described above. Training providers seeking to remain on the statewide ETPL must meet at least seven of the ten minimum performance criteria established by the PA Department of Labor & Industry (L&I).

Registered apprenticeship programs will also be part of the ETPL. Although registered apprenticeship programs are not subject to the same review procedures as other providers, the commonwealth must verify the status of programs to ensure they remain registered and in good standing. Initially, all Registered Apprenticeship programs in the commonwealth will receive a letter with procedures to opt-in to the ETPL. All Registered Apprenticeship programs are required to provide the following information to L&I as part of their procedures for inclusion on the statewide ETPL:

- Occupations included within the registered apprenticeship program;
- The name and address of the registered apprenticeship program sponsor;
- The name and address of the related technical instruction provider, and the location of instruction if different from the program sponsor's address;
- The method and length of instruction; and
- The number of active apprentices.

Registered Apprenticeship programs are not subject to the same information reporting requirements as other training programs. However, if Registered Apprenticeship programs volunteer to report performance information, L&I will accommodate such submissions.

L&I will verify the registration status of apprenticeship programs. Once the registration status has been confirmed, the entity will be added to the ETPL and the L&I will notify the appropriate LWDB(s). Programs will remain on the list so long as the entity's registration status remains valid or until a program sponsor requests the program be removed.

## Youth Activities

WIOA increases the minimum out-of-school youth (OSY) expenditure rate from the 30 percent required under WIA to 75 percent, a greater focus of both dollars and resources on the OSY population, especially for those OSY who face the greatest challenges to attain meaningful employment. This conversion also allows for greater support for the educational and career success of OSY.

This paradigm shift requires adjustments at every layer of the workforce system. Early in WIOA's implementation process, the commonwealth developed several WIOA workgroups comprised of stakeholders from various levels of Pennsylvania's workforce system. An overall goal of the WIOA Youth Service Workgroup was to provide recommendations that would serve to help build a workforce system to meet the demands of today's customers and employers, as well as future demands.

As workgroup members, representatives from Pennsylvania's youth-serving organizations examined multiple strategies focused around intensive outreach and the engagement of disconnected youth and young adults, which resulted in recommendations around co-enrollment, partnerships, career pathways/sector strategies, retention, and performance measures. L&I is developing its overall youth strategy, in part, out of the workgroup's recommendations.

One such strategy has led to the issuance of a guidance document that provided new definitions for youth to enable a larger number of OSY to be more efficiently served, a policy embraced by each of Pennsylvania's core partners.

In addition to the work being done at the state level, Local Boards are to prioritize the adoption of the following strategies to effectively serve their youth population:

- Recruitment and Outreach: Conduct activities, including outreach to local government facilities, non-profit, and faith-based organizations that provide support services to disconnected youth and young adults, including the use of word-of-mouth referrals and social media platforms. Other suggested activities for youth services provider staff include:
  - Visiting local parks, tattoo parlors, smoke shops, homeless shelters, and other places that disconnected young people visit, connecting with them one-on-one in that environment, and in terms that make them the most comfortable.
  - Going into low-income communities and neighborhoods, knocking on doors, and speaking to friends, families, and young adults about available services and opportunities.
  - Creating a program application process that is easy to complete by removing as many of the administrative hurdles as possible.
  - Using text messages and social media. While this strategy may not be as effective for recruitment, it is a highly effective strategy for keeping participants engaged, as well as reconnecting those participants who have disconnected from the program.

- Considering the tailoring of strategies for young adults by age group, 18-21 and 22-24. Younger individuals may be more ready to enroll in programs when compared to older individuals. Young adults, ages 22-24, are more likely to have been unemployed or separated from educational institutions longer than those in the younger age group. This older group requires more resource-intensive outreach and recruitment efforts. An emphasis on available work experiences and employment services, rather than education and basic skill building, is preferred for this older group. However, when older youth and young adult clients have low literacy and numeracy levels, Local Areas should consider integrated, contextual strategies that blend work experience and education.
- Engagement: Many disconnected young adults face challenges and life obstacles that result in the cycling in and out of program services. Such challenges are many and varied. They may include: periods of homelessness, issues with the criminal justice system, pressures to provide for their families, and substance abuse, among others.

Recognizing the unique hardships of each individual and working to establish trust between participants and staff, that is respectful of roadblocks preventing regular attendance, creates an atmosphere where participants feel staff is invested in their success.

L&I has recommended the following to be considered as part of a Local Area's youth strategy:

- Once a participant is enrolled, communicate regularly and effectively. Explain the program's available services and activities, the expectations of the participant, and any available support services that may be necessary.
- If a participant disengages from the program at any point, calls, texts, visits, and messages on social media are ways to find out why the participant is no longer engaged and let the participant know that he or she can return whenever he or she is ready. Engage adult mentors along with case managers. Such mentors can play a key role in keeping participants engaged with the program.
- Set achievable goals with the participant early in the process and communicate the status of progress towards meeting those goals often.
- Engage peers. Placing young adults in cohorts allows individuals to move through the program and receive services with others. Participants often become friends and gain a sense of togetherness and accountability within the cohort. Such engagement may also open the door for young adults to take a leadership role, such as tutoring other participants, giving presentations, etc.
- Ensure that supportive services are made available to participants to help remove barriers that would prevent them from continuing with the program.
- Encourage Re-engagement. If an individual leaves the program, the case manager/youth provider staff and adult mentor should remain in contact with that individual and encourage re-engagement through phone calls, texts, social media, or in-person visits at their 'hangouts' or homes.

**With respect to youth workforce investment activities authorized in Section 129, information identifying the criteria to be used by Local Boards in awarding grants for youth workforce investment activities and describing how the Local Boards will take into consideration the ability of the providers to meet performance accountability measures based on primary indicators of performance for the youth program as described in Section 116(b)(2)(A)(ii) in awarding such grants.**

Every local workforce development board will be required to have a youth standing committee, whose activities may include but are not limited to the following:

- Providing information and assisting with planning, operational oversight, and other issues relating to the provision of services to youth;
- Recommending policy direction to the local board for the design, development, and implementation of programs that benefit all youth;
- Recommending the design of a comprehensive community workforce development system;
- Recommending ways to leverage resources and coordinate services among schools, public programs, and community-based organizations serving youth;
- Recommending ways to coordinate youth services and recommend eligible youth service providers;
- Providing on-going leadership and support for continuous quality improvement for local youth programs; and
- Overseeing eligible youth providers and other aspects of youth programs.

The commonwealth will strongly encourage local workforce development boards and their standing youth committees to think comprehensively and systematically about youth programs and services described in WIOA Section 129, and to establish youth priorities in the WIOA Local Plans that allow for the service of as many eligible youth as possible, especially OSY, older youth, and those young people who are most at risk of not acquiring the necessary skills and abilities to attain meaningful employment.

The success of a solid youth program that fulfills the intent of WIOA largely depends on the full and openly competitive procurement of qualified providers of the youth workforce investment activities outlined in WIOA Section 129, and active oversight of their performance in accordance with WIOA Section 116.

This begins with the incorporation of WIOA's youth program requirements into future Requests for Proposals (RFPs) and subsequent contracts, as well as existing youth provider contracts.

Such requirements include:

- The expenditure of a minimum of 75 percent of funding on services to out-of-school youth;
- The expenditure of 20 percent of funding on the provision of work experiences for youth, including those with significant barriers to employment;
- The development of strong career pathways for youth;
- The co-enrollment of eligible youth into appropriate partner programs and activities, including TANF;
- The provision of the 14 required youth program design elements;
- The provider's past record of success with the retention of youth participants in education, training activities, or unsubsidized employment during the second and fourth quarters after program exit; and
- A detailed description of the local board's negotiated performance goals with the commonwealth for which the provider has a role in meeting and/or exceeding.

To ease burdens on both applicants and providers and serve out-of-school youth who are most in need, the commonwealth will allow self-attestation for out-of-school youth under WIOA eligibility determination, consistent with federal rulemaking. The commonwealth will require LWDBs and youth service providers to accept such self-attestation. An applicant will become eligible for youth services by providing a statement that addresses the required element that may make the applicant eligible to receive services as an out-of-school youth, signing and dating a form acknowledging this status, followed by verification conducted by workforce staff that such information meets WIOA eligibility criteria for out-of-school youth. The key elements for self-attestation are: the participant identifying his or her status for permitted elements and signing and dating a form attesting to this self-identification. The commonwealth will work with LWDBs to develop additional self-attestation policies and procedures that are consistent with the commonwealth's self-attestation policy.

Additionally, Local Areas are strongly encouraged to employ single-year provider contracts with the inclusion of a renewal option for additional years, rather than multi-year contracts. Local Boards will establish criteria to ensure that newer provider entities with less professional experience in the provision of youth services are afforded the opportunity to compete with more established providers for a contract. Criteria will also be established and included in contracts that outline the right of the local board to terminate a provider's contract for cause, such as fraud or failure to meet established performance standards.

The commonwealth will also work with LWDBs to explore the use of pay-for-performance approaches, as appropriate, when they appear to have the potential to produce positive returns on investment and result in better services for the target population.

Finally, the commonwealth will encourage LWDBs to assist with Next Generation Sector Partnerships and other multi-employer workforce partnerships, where relevant, on industry-specific career awareness, school-to-work, and other pipeline activities for youth.

#### **How the State will use funds to carry out Youth Program elements described in WIOA Section 129(c)(2).**

Since 2014, the commonwealth has supported Business-Education Partnerships with \$6.2 million in grant funding to connect local businesses with school districts to promote job opportunities and career pathways. These programs increase awareness of in-demand technical careers for students, their parents, and educators, and engage more students on the technical skills required by employers. The partnerships connect schools and employers to provide career-related experiences and exposure opportunities for students through soft skills development, internships, workplace shadowing, and career mentoring. To the extent feasible, Business-Education Partnerships include representatives (both staff and employers) of area Next Generation Sector Partnerships and Registered Apprenticeship programs that connect to multiple employers with good jobs. The commonwealth will continue its support of Business-Education Partnership activities going forward.

In 2016 and 2017, the commonwealth made \$9.6 million and \$10 million, respectively, in special appropriation funding available to Local Boards, as a supplement to the customary \$15 million full program year allocation of TANF Youth Development Funds (YDF). These TANF special appropriations were designated to continue the provision of summer youth workforce investment activities for even more TANF-eligible youth.



During the summer of 2016, the commonwealth hosted an 8-week paid summer internship program for disadvantaged in-school youth ages 16-18. Three state agencies and their offices, including PA CareerLink® centers, served as worksites providing opportunities for valuable work experiences, with a strong focus on soft skills to area youth. State staff acted as supervisors/mentors of the youth participants. A total of 46 youth successfully completed the pilot year of this summer program.

During the summer of 2017, the PA Department of Labor & Industry (L&I) partnered with 14 Local Boards and their staff to offer another 8-week paid internship opportunity to disadvantaged in-school and out-of-school youth ages 16-24, including youth with disabilities. State and local agencies, including PA CareerLink® centers and OVR offices, hosted approximately 40 summer interns around the commonwealth.

L&I continued to be the lead state agency in the development of the internship program framework, which included the oversight of training plan development, the local recruitment and hiring of eligible youth, and the assignment of those youth to worksites. Approximately, \$800,000 has been designated over the last two years to provide these summer work experience opportunities.

In addition to WIOA's emphasis on out of school youth (OSY), the new legislation revises the delivery of youth services through the addition of new programmatic elements. WIOA added five new program elements to ones originally outlined under WIA, including: financial literacy education; entrepreneurial skills training; the provision of labor market and employment information about in-demand industry sectors or occupations available in a local area; activities that help youth prepare for and transition to post-secondary education and training; and education offered concurrently with and in the same context as workforce preparation activities and training for a special occupation or occupational cluster.

L&I highly encourages Local Boards and their standing youth committees to focus their allocated youth funds in support of a service delivery system that aligns with the governor's goals and priorities. One of the required elements focuses specifically on work experiences for youth. WIOA requires Local Areas to spend a minimum of 20 percent of WIOA youth funds on work experience activities, including paid and unpaid work experiences such as: summer and other employment opportunities throughout the year; pre-apprenticeship programs; internships and job shadowing; and on-the-job training opportunities for youth and young adults.

With WIOA's emphasis on OSY, especially disconnected youth and those young people who face barriers to employment, Local Boards are required to develop stronger year-round programs and work experiences that create opportunities for those young adults who are most in need of the services.

Such programs and experiences may include, but are not limited to:

- Programs that combine *"learn and earn"* opportunities that maximize part-time employment as students build academic skills.
- Transitional jobs. Such opportunities allow young adults to gain meaningful work experience, create a work history, and develop the skills needed to promote workplace success.
- Pre-apprenticeships and apprenticeships. These programs combine work-based opportunities for out-of-school youth.
- Utilizing work-based training opportunities such as OJT, internships and job-shadowing for this youth population.

Additionally, Local Boards must develop solid working relationships with service providers that have demonstrated experience in working with an older youth population, especially those young people who

face barriers to employment. Such relationships will lead to a strong system of workforce investment programs and activities aimed at meeting the needs of Pennsylvania's youth and young adults, while fulfilling the requirements outlined in WIOA.

**Provide the language contained in the State policy for "requires additional assistance to complete an education program or to secure and hold employment" criterion specified in WIOA Section 129(a)(1)(B)(i) and 129(a)(1)(C)(iv)(VII).**

Due to the uniqueness of Pennsylvania's 23 Local Areas and the varying levels of resources available, LWDBs are each required to establish a definition, along with reasonable, quantifiable, and evidence-based eligibility documentation requirements for the "requires additional assistance to complete an education program or to secure and hold employment" criterion (if this "additional assistance" barrier category is to be utilized locally). This policy was to be developed by each applicable Local Board, no later than September 1, 2017, and was required to be summarized within the WIOA Multi-Year Regional/Local Plan submissions to the commonwealth. To assist the Local Boards with policy development, the PA Department of Labor & Industry (L&I) facilitated discussions during the Spring of 2017, that included state representatives from WIOA partner agencies, to help assist local representatives to develop recommendations on definitions and with interpretations of the term "requires additional assistance to complete an education program or to secure and hold employment."

**Provide the state's definition of "alternative education."**

Pennsylvania law and regulations discuss "alternative education" in the context of alternative education for disruptive youth. School districts are obligated to educate students of compulsory school age who have been excluded from school (expelled). The courts have ruled that local school officials may determine the amount and type of alternative instruction necessary and appropriate in each case involving an expelled student. Pennsylvania law defines a "private alternative education institution" as an institution operated by an individual or a for-profit or not-for-profit entity to provide alternative education programs as defined in Section 1901-c (1).

**Include the state's definition, as defined in law, for not attending school and attending school as specified in WIOA Section 129(a)(1)(B)(i) and Section 129(a)(1)(C)(i).**

The commonwealth's Workforce System Guidance No. 03-2015, [Youth Eligibility Definitions-Initial Implementation of the Workforce Innovation and Opportunity Act](#), December 23, 2015, provides definitions to ensure compliance with law and other federal guidance, expand the flexibility of Local Areas to expend funds appropriately, and eliminate barriers to the provision of services to individuals most in need.

Under WIOA, a key element of youth eligibility determination is whether the applicant is attending school or not attending school, as defined by State law. An in-school youth (ISY) must be attending school, while an out-of-school youth (OSY) must not be attending school. Unfortunately, Pennsylvania law does not define these terms, but the policy is guided by how the PA Department of Education defines schools. In Pennsylvania, providers of youth services must establish whether a youth is an ISY or OSY by utilizing the criteria outlined below:

- **Attending Any School:** Youth receiving services from any one of the 'public' or 'non-public' institutions to include: school districts; charter schools; cyber charter schools; area vocational

technical schools; sectarian schools; and private schools, as well as those being home-schooled or privately tutored, would be considered “attending school” for the purpose of WIOA Title I-B youth eligibility determination. A youth enrolled at a post-secondary institution is considered “attending school,” unless the youth is enrolled at the post-secondary institution as part of an integrated education and training program.

- **Not Attending Any School:** Youth not receiving services from any one of the ‘public’ or ‘non-public’ institutions listed above would be considered “not attending school” for the purpose of WIOA Title I-B youth eligibility determination.

For purposes of WIOA eligibility, L&I does not consider providers of adult education under Title II of WIOA, YouthBuild programs, the Job Corps program, high school equivalency programs, or dropout re-engagement programs to be schools. Therefore, in all cases except the one provided below, WIOA Youth programs may consider a youth to be an OSY for purposes of WIOA youth program eligibility if he or she attends adult education provided under title II of WIOA, YouthBuild, Job Corps, high school equivalency programs, or dropout re-engagement programs regardless of the funding source of those programs. Youth attending high school equivalency programs funded by the public K-12 school system who are classified by the school system as still enrolled in school are an exception; they are considered ISY. Youth must be categorized as OSY or ISY at the time of registration in the Commonwealth Workforce Development System (CWDS).

**If not utilizing the portion of the basic skills deficient definition contained in WIOA Section 3(5)(B), include the specific State definition.**

The commonwealth will utilize the “basic skills deficient” definition contained in WIOA Section 3(5)(B) as follows:

- **Basic skills deficient:**
  - A youth, that the individual has English reading, writing, or computing skills at or below the 8<sup>th</sup> grade level on a generally accepted standardized test; or
  - A youth or adult that is unable to compute or solve problems, or read, write, or speak English, at a level necessary to function on the job, in the individual’s family, or in society.

The commonwealth will convene panels of local representatives to assist LWDBs in interpreting and implementing this definition.

## **Wagner-Peyser Act Program**

**Describe how the State will utilize professional development activities for Employment Service staff to ensure staff is able to provide high quality services to both job seekers and employers.**

Within the PA Department of Labor & Industry Bureau of Workforce Partnership and Operations, Staff Development Services will continue to develop, facilitate, and provide a training curriculum that strengthens the professional skills of co-located partners’ staff in PA CareerLink® centers and Local Workforce Development Board offices, as well as the bureau’s staff in headquarters and regional locations, who provide programmatic expertise, guidance, and support to the one-stop career centers and Local Areas. The curriculum is designed, delivered, and evaluated to ensure staff from all partnering organizations to acquire consistent information and practical skills to effectively provide integrated programs and services to jobseeker and business customers. In addition, the Staff Development unit will

continue to assist the bureau's programmatic managers and staff in creating procedural and informational products that ensure accuracy and consistency among the commonwealth's PA CareerLink® centers.

**Describe strategies developed to support training and awareness across core programs and the Unemployment Insurance program and the training provided for Employment Services and WIOA staff on identification of Unemployment Insurance (UI) eligibility issues.**

The PA Department of Labor & Industry Office of Unemployment Compensation Benefits Policy (OUCBP) will provide annual training to PA CareerLink® staff on the identification of UI eligibility issues. This training will include how to identify and refer a potential issue to the UC service center. The Profile Re-Employment Program (PREP) and the Re-Employment Services & Eligibility Assessments (RESEA) program provide an ideal platform to introduce UI claimants to the workforce services available to them at the PA CareerLink® while at the same time inform the UC Service Centers of any potential issues on claimant UI eligibility. The Department will monitor the number of cases being referred to the UC service center to ensure that the PA CareerLink® staff is fulfilling this requirement.

**Explain how the State will provide information and meaningful assistance to individuals requesting assistance in filing a claim for unemployment compensation through one-stop centers, as required by WIOA as a career service.**

The PA Department of Labor & Industry uses a sophisticated automated call distribution (ACD) system to receive calls from UI customers. The ACD system allows the Department to prioritize calls. The system is designed to give calls from the PA CareerLink® courtesy telephone the highest priority. When calling from a courtesy telephone located at the PA CareerLink®, the customer hears the greeting and makes a service selection. The caller is then placed at the top of the queue and routed to the next available customer service agent in the UC service center. This keeps call wait time for these customers to a minimum. All customer service agents are fully trained merit staff capable of handling the caller's questions and taking and processing UI claims.

**Describe the State's strategy for providing reemployment assistance to UI claimants and other unemployed individuals.**

Reemployment services are available to all UI claimants and other unemployed individuals through PA CareerLink® centers and JobGateway®. Information about reemployment services and instructions for enrollment are printed on the UI claim confirmation letter and in the Pennsylvania Unemployment Compensation Handbook sent to every UI claimant. Pennsylvania implemented formal work search and registration requirements for UI claimants effective January 2012. Pennsylvania UC law requires claimants to register for employment search services with the PA CareerLink® system within 30 days of filing for benefits. Beginning with the third week of the benefit year for which a claimant for compensation is filed and each week thereafter, the claimant must apply for two positions and participate in one work search activity each week and maintain records of their work search efforts.

**Describe how the State will use W-P funds to support UI claimants, and the communication between W-P and UI, as appropriate including the following:**

- **Coordination of and provision of labor exchange services for UI claimants as required by the Wagner-Peyser Act;**
- **Registration of UI claimants with the State's employment service if required by State law;**

- **Administration of the work test for the State unemployment compensation system, including making eligibility assessments (for referral to UI adjudication, if needed), and providing job finding and placement services for UI claimants; and**
- **Provision of referrals to and application assistance for training and education programs and resources.**

As mentioned above, Pennsylvania UC law requires claimants to register for employment search services with the PA CareerLink® system within 30 days of filing for benefits. When a claimant applies for UC benefits, s/he is immediately directed to JobGateway® to register for employment search services where occupational skills information is collected. The JobGateway® portal matches the claimant's skills with those required for jobs posted in CWDS to help satisfy job-search requirements. The system can also suggest training and education programs that may assist the individual in increasing his or her reemployment prospects. Claimant participation in reemployment services is tracked until exhaustion of UC benefits or termination of reemployment services.

In addition to PA CareerLink® services available to all job seekers, the Profile Reemployment Program is Pennsylvania's worker profiling/reemployment services collaborative program to expedite reemployment services for UI claimants while eliminating duplicative services. The database systems rank claimants according to those most likely to exhaust their UI benefits before returning to work. The ranking algorithms, based on common characteristics found in similar unemployed workers (such as employment history and/or educational levels), exclude claimants with a recall date, union affiliation, those involved in a work stoppage and claimants who are working part-time. Collaboration among PA CareerLink® partners makes the Profile Reemployment Program an effective means for UI claimants to obtain reemployment and training services across all service levels, including: orientations; job search planning, assistance, and workshops; Labor Market Information (LMI); résumé preparation; program assessment; vocational and career guidance; testing; remedial or prerequisite training (e.g., GED or ESL); and skills training. All Profile Reemployment Program customers receive an employment needs assessment and an appropriate employment plan is developed. Participation in the program is tracked through CWDS until exhaustion of UI benefits or termination of reemployment services.

In four PA CareerLink® centers (Scranton, Wilkes-Barre, Hazleton and Tannersville), are piloting the Re-Employment Services & Eligibility Assessments (RESEA) program. RESEA is supported by a federal grant to fund additional services to assist UI claimants in becoming reemployed. The Profile Reemployment Program will be suspended in the four PA CareerLink® centers piloting RESEA. The PA Department of Labor & Industry (L&I) will select and notify UC claimants to participate in RESEA. Those chosen to participate are identified as mostly likely to exhaust UC, with military (UCX) claimants receiving first priority as required by the grant. A UC-24, RESEA Notice will be mailed to those claimants selected to participate in RESEA in the pilot region. The notice informs claimants that participation in RESEA activities is mandatory and to watch for additional mailings from their local PA CareerLink® center.

The RESEA will consist of a group orientation and an individual meeting with PA CareerLink® staff. The group orientation will consist of an introduction to the RESEA participation requirements and the services provided by the PA CareerLink®. Following the group orientation, claimants will be provided a one-on-one interview to further assess reemployment services needed, development of an Individual Employment Plan (IEP) and review and confirmation of the information the claimant provided on the UC eligibility assessment. PA CareerLink® staff will summarize the RESEA meeting on an outcome form, complete a checklist, and provide this information to UC. Each RESEA participant will be scheduled for a subsequent RESEA four weeks later. During the subsequent appointment, conducted by telephone, PA CareerLink®

staff will review and update the IEP, provide additional reemployment assistance, and determine if additional services are needed through the PA CareerLink®. Any claimant remaining unemployed four weeks later will be scheduled for a second subsequent RESEA, also to be conducted by telephone. Any UC eligibility issues identified during the RESEA initial or subsequent interviews will be promptly reported to UC for adjudication.

The Department will implement the RESEA program in all PA workforce areas by October 2018. The RESEA program will be replacing PREP as it provides a more one-on-one in-depth approach to serving Dislocated Workers, specifically those with employment barriers.

## **Agricultural Outreach Plan**

### Assessment of Need

**Provide an assessment of the unique needs of farmworkers in the area based on past and projected agricultural and farmworker activity in the State. Such needs may include but are not limited to: employment, training, and housing.**

The Agricultural Outreach Plan discusses Pennsylvania efforts related to serving Migrant and Seasonal Farmworkers (MSFW), temporary foreign labor obtained through the H-2A program and in serving agricultural employers through the PA CareerLink® system. The Department of Agriculture, Department of Labor & Industry, and other relevant state agencies as necessary, will work together to ensure that the needs of agricultural workers and employers are met. As part of that collaboration, the two departments will encourage agricultural employers to create multi-employer workforce partnerships and apply for funding through the Next Generation Sector Partnership program.

**An assessment of the agricultural activity in the State means: 1) identifying the top five labor-intensive crops, the months of heavy activity, and the geographic area of prime activity; 2) Summarize the agricultural employers' needs in the State (i.e. are they predominantly hiring local or foreign workers, are they expressing that there is a scarcity in the agricultural workforce); and 3) Identifying any economic, natural, or other factors that are affecting agriculture in the State or any projected factors that will affect agriculture in the State.**

Agriculture is one of Pennsylvania's leading industries, with diverse animal and crop production throughout the commonwealth. Pennsylvania ranks first in the nation in mushroom production, second in Christmas tree farms, third in apple production, and fifth in terms of the number of dairy cows. Lancaster County is the top agricultural county in the state.

In Pennsylvania, the agriculture footprint is unique to certain geographical areas. The southeast part of the state produces 62 percent of the nation's mushrooms. The eastern part of the state has considerable blueberry and cherry production. Bucks, Montgomery, and Lancaster Counties are hubs for wholesale nursery production. Lancaster County leads the commonwealth in animal agriculture including dairy, poultry, and swine. The southcentral part of the state is considered the fruit belt with Adams and Franklin Counties leading in the production of apples, peaches, cherries, and pears. Bedford and Blair Counties also have numerous apple growers. Central Pennsylvania is the heart of the Christmas tree industry while northwestern Pennsylvania leads a burgeoning wine industry that also has pockets throughout the commonwealth. Grapes, cherries, vegetables, and nursery production fills out production categories in the western part of the state.

According to the 2012 Census of Agriculture, the five leading labor-intensive crops (where the most hired farm labor is employed) are Greenhouse, Nursery, and Floriculture Production (NAICS code 1114) (16,628 employed); Dairy Cattle and Milk Production (NAICS code 11212) (4,045); Other Crop Farming (NAICS code 1119) (6,818); Fruit and Tree Nut Farming (NAICS code 1113) (6,434); and Oilseed and Grain Farming (NAICS code 1111) (5,683). The commonwealth 65,487 workers in total employed as hired farm labor.

Counties that led in the employment of hired farm labor include Lancaster (8,768); Chester (8,530); Adams (3,191); Franklin (2,652); York (2,476); Erie (2,204); Schuylkill (1,292); Cumberland (1,175); Bedford (1,046); and Snyder (1,014).

Further, using the Census, Adams (1,109); Chester (880); Franklin (441); Erie (171); Lancaster (162); Cumberland (158); Bedford (156); York (130); Columbia (105); and Washington (62) are the top counties where the migrant workforce has been deployed. The five leading crops where the migrant workforce is employed include Fruit and Tree Nut Farming (NAICS code 1113) (119); Dairy Cattle and Milk Production (NAICS code 11212) (90); Greenhouse, Nursery, and Floriculture Production (NAICS code 1114) (71); Vegetable and Melon Farming (NAICS code 1112) (43); and Oilseed and Grain Farming (NAICS code 1111) (29). Companies in the commonwealth employed 4,288 migrant workers in total.

The agriculture community uses migrant farmworkers to supplement its local workforce. There is greater percentage of the workforce in some counties such as Adams and Franklin than there is in the larger agriculture counties such as Lancaster and Chester. There is also a higher percentage of workers in crops that are more seasonal, presumably helping with planting and harvest at key times.

Records related to the H-2A program confirm that assumption in that the busiest times for applications are from December to January with consistent activity continuing through June and July.

In general, employers in the agriculture and food industry express the need for more workers, particularly in the seasonal parts of the business. There is intense competition for low-skill, low-wage workers between the agriculture, retail, hospitality, health care, and other industries.

Temporary help services and farm labor contractors compete for the migrant workforce in the agriculture, construction and landscaping industries. This competition is reflected in the low unemployment rate in many of the counties that use hired farm labor.

This overall scarcity of workers will be the factor which most dramatically affects the agriculture and food industry in the future. Housing and transportation as well as training for agriculture tasks as the work gets more sophisticated will be additional challenges. The industry itself is experimenting with technology that may allow machines to replace some of the manual labor that is currently required.

**An assessment of the unique needs of farmworkers means summarizing Migrant and Seasonal Farm Worker (MSFW) characteristics (including if they are predominantly from certain countries, what language(s) they speak, the approximate number of MSFWs in the State during peak season and during low season, and whether they tend to be migrant, seasonal, or year-round farmworkers). This information must take into account data supplied by WIOA Section 167 National Farmworker Jobs Program (NFJP) grantees, other MSFW organizations, employer organizations, and State and/or Federal agency data sources such as the U.S. Department of Agriculture and the U.S. Department of Labor (DOL) Employment and Training Administration.**



The PA Department of Labor & Industry, working with the PA Department of Agriculture, the National Agriculture Statistical Service of the US Department of Agriculture, PathStone (the WIOA Section 167 National Farmworker Jobs Program grantee for the commonwealth), Penn Ag Industries, and other agriculture employer organizations and agriculture employers themselves, has assembled this profile of the MSFW in Pennsylvania.

Of all enrolled eligible farmworker participants, 76 percent are of Hispanic descent (Mexico, Haiti, and Puerto Rico), while 24 percent are of Haitian, African-American, or Caucasian descent. The first languages most commonly spoken are Spanish and Creole, with 44 percent of all farmworkers being limited English proficient and illiterate in their own native tongue. Just fewer than 4,300 farmworkers are employed annually with the peak months for employment in southcentral Pennsylvania from May through November and in southeastern Pennsylvania from September through April. These differences can be attributed to the differing needs of the mushroom and fruit industries. Of all farmworkers, 76 percent are seasonal and 24 percent are migrants.

BWPO recognizes that some farmworkers possess specific needs and face certain barriers that result from cultural, educational, linguistic, scheduling, logistic and other dynamics. The Administration will continue to seek stakeholder and customer input and will engage Migrant Seasonal Farmworker (MSFW) service providers to identify and address needs, explore solutions and collaborate to ensure quality living and working conditions.

Farmworker needs include, but are not limited to, the following:

- Timely Labor Market Information (LMI) to facilitate planning for continuous employment;
- Occupational guidance and training to maintain a job or transition into a different occupation or industry;
- Transportation to seek employment or supportive services;
- Affordable and adequate housing;
- Information from various jurisdictions on labor laws and worker rights, protections, and responsibilities;
- Supportive services to enable MSFWs to obtain and maintain employment;
- Fair and equitable conditions and supervision from trained and committed field foremen, supervisors, and farm labor contractors;
- Fair and equitable pay that includes health benefits. This is a necessity for the laborers, as well as for the safety of our nation's food supply, and for reducing health and public assistance cost; and
- Pesticide and heat stress prevention training.

Barriers that at times confront farm workers include, but are not limited to, the following:

- Lack of timely, reliable data, and information pertinent to intrastate and interstate job openings and supportive services;
- Provider service delivery hours of operation that conflict with MSFWs work schedules;
- Lack of transportation, limiting access to jobs and supportive services;
- Underemployment or unemployment due to limited literacy education, and lack of non-agricultural job experience;
- Limited participation in support programs due to lack of understanding of eligibility and other factors, such as impact on immigration status for having utilized certain services;



- Lack of full-time, year-round work, combined with low wages, that relegates MSFWs to living below the poverty line;
- Competition from undocumented workers who work for less pay and substandard living and working conditions;
- Competition from intrastate and interstate farm labor contractors who follow migrant streams accompanied by their own farm worker crews;
- Limited educational opportunities, including a lack of short-term, skilled-based training offered bilingually, for MSFWs; and
- Limited knowledge of job search techniques for finding employment outside of agriculture.

### Outreach Activities

**The local offices outreach activities must be designed to meet the needs of MSFWs in the State and to locate and contact MSFWs who are not being reached through normal intake activities. Describe the State agency's proposed strategies for:**

The commonwealth projects 40,000 MSFWs for the coming year as estimated by WIA 167 National Farmworker Jobs Program (NFJP) grantees. This number remains static because similar crop activities are anticipated.

In PY 2017, PA CareerLink® centers estimate they will receive approximately 925 agricultural job openings and will refer applicants to approximately 85 percent of them. The offices estimate they will continue to receive approximately 152 clearance orders for the PY 2017 harvest season from other states and anticipate these to be primarily requests for H-2A workers.

**Contacting farmworkers who are not being reached by the normal intake activities conducted by the employment service offices.**

Currently, every PA CareerLink® center in Pennsylvania has an employee, who may have other duties, designated as the "Agricultural Specialist." That employee serves as a liaison to agriculture employers and the placement needs of MSFW. Agricultural Specialists will utilize, when feasible, the Agriculture Recruitment System to recruit and refer qualified MSFW to job openings. The commonwealth is considering reducing the number of staff designated as Agriculture Specialists and concentrating staff efforts to the areas with a high concentration of agriculture employment while ensuring all areas can be covered when needed. The State Monitor Advocate position is housed within the PA Department of Labor & Industry Central Office. The position is full-time and monitors outreach activities and the coordination of outreach across the commonwealth.

**Providing technical assistance to outreach workers. Technical assistance must include trainings, conferences, additional resources, and increased collaboration with other organizations on topics such as one-stop center services (i.e. availability of referrals to training, supportive services, and career services, as well as specific employment opportunities), the employment service complaint system, information on the other organizations serving MSFWs in the area, and a basic summary of farmworker rights, including their rights with respect to the terms and conditions of employment.**

As a component of the collaboration between the PA Department of Labor & Industry (L&I) and the PA Department of Agriculture, including the NJFP grantee, outreach workers will be aware of training, development as well as technical assistance resources available to them. The Foreign Labor Certification

(FLC) program is overseen by the L&I Bureau of Workforce Partnership & Operations (BWPO) with a dedicated staff to implement FLC requirements, not including the Agriculture Specialists located in the PA CareerLink® centers. Through the development of a new relationship with the Department of Agriculture and the development of training plans, the BWPO will ensure outreach workers and the Agriculture Specialists clearly understand the technical assistance resources available to them. Specific WIOA services available to MSFW and employers may vary locally, and therefore are catalogued and shared at a local level with area outreach workers.

#### **Increasing outreach worker training and awareness across core programs including the Unemployment Insurance (UI) program and the training on identification of UI eligibility issues.**

The PA Department of Labor & Industry (L&I) will incorporate a training plan into the outreach worker activities, to include training requirements such as PA CareerLink® 101, a two-day in-person classroom training that reviews the foundations of workforce development, including the WIOA core programs and other commonwealth initiatives, or similar workforce foundation training activities that meet the needs of the worker, such as webinars and staff symposiums. Unemployment activities are also under the purview of the L&I, Wagner-Peyser staff assigned as Agriculture Specialists in the PA CareerLink® are also trained to directly engage UI claimants in the one-stop with re-employment services and are able to provide assistance to individuals seeking to file and/or appeal UI claims. Agricultural Specialists are trained to understand and offer employment services, therefore domestic and MSFW jobseekers and workers are afforded universal access to employment services.

#### **Providing State merit staff outreach workers professional development activities to ensure they provide high quality services to both jobseekers and employers.**

In partnership with the PA Department of Agriculture, the PA Department of Labor & Industry will establish an annual training plan for PA CareerLink® staff serving as Agriculture Specialists and outreach workers. Staff will receive training and development opportunities specific to the agriculture industry and MSFWs.

#### **Coordinating outreach efforts with NFJP grantees as well as with public and private community service agencies and MSFW groups.**

The NFJP grantee in Pennsylvania is PathStone Corp. Each PathStone office serves as the focal point for outreach and recruitment to remote and less accessible areas of the state. In addition, PathStone Corp. is a Title I provider and/or PA CareerLink® partner in select locations across the commonwealth. The PA Department of Labor & Industry will reach out to PathStone, in partnership with the PA Department of Agriculture to identify areas for improvement, opportunities for collaboration. and coordination of outreach efforts. As a result, an outreach plan will be developed that addresses the needs of the MSFW population and agriculture employers.

Services provided to farmworkers and agricultural employers through the one-stop delivery system. Describe the State agency's proposed strategies for providing the full range of employment and training services to the agricultural community, both farmworkers and agricultural employers, through the one-stop delivery system.

#### **How career and training services required under WIOA Title I will be provided to MSFWs through the one-stop centers;**

## How the State serves agricultural employers and how it intends to improve such services.

### Services Provided to MSFWs through the PA CareerLink® Delivery System

In the PA CareerLink® office in Adams County, which is deemed a “Significant Bilingual MSFW Office” according to conditions in 20 CFR 651.10, designated staff provides MSFWs with in-depth services including:

- Referrals to agricultural and non-agricultural jobs;
- Information on training and supportive services;
- Testing, counseling and job development services; and
- An explanation of basic farm worker rights and the complaint system.

These services are provided regardless of a MSFW’s ability to communicate in English (see available LEP services in other areas of this plan).

Farmworkers seeking permanent agricultural employment are apprised of opportunities, such as the dairy industry, which also offer opportunities for advancement. Many companies, particularly in food processing, provide training opportunities for workers to advance to become managers, supervisors, and technicians. The system also provides MSFWs the opportunity to file complaints when violations are believed to have been committed. Services provided at PA CareerLink® centers are measured using the indicators of compliance reports as mandated by federal regulation at 20 CFR 653.112.

Other non-significant agricultural offices covered by LEP requirements may have bilingual staff, but are not necessarily designated as MSFW specialists. As proposed, outreach to MSFW workers and agriculture employers will be coordinated and implemented by the PA Department of Labor & Industry and CareerLink® staff. Full-time capacity for outreach will occur for the Adams County Office throughout the peak season from September to November.

MSFWs receive a basic summary of their rights when they receive services in the PA CareerLink® or through outreach. Outreach workers shall explain to MSFWs at their working, living, or gathering areas services available from the PA CareerLink®, including the availability of referrals to agricultural and non-agricultural employment, training, supportive services, testing, counseling, and other job development service; types of specific employment opportunities available in the PA CareerLink®; information on the agriculture complaint system; and information on other organizations serving MSFW, including PathStone. Outreach workers will encourage the MSFW to visit their local PA CareerLink® to access the full range of employment services. In the instance the MSFW cannot or will not visit the local PA CareerLink®, the worker can assist the MSFW in the preparation of applications, assistance in obtaining referral to employment opportunities currently available, as well as information regarding the type of employment opportunities which will become available; assistance in the preparation, receipt and referral of complaints; referral to supportive services; and transportation assistance to appointments at local PA CareerLink® offices or appropriate partners. Outreach tools include printed material, flyers, cellular phone, business cards, and posters. Printed materials range from business cards, listings of services, provider agencies, worker rights, and topical information on everything from pesticides to heat stress.

### Services Provided to Agricultural Employers through the PA CareerLink® System

Pennsylvania growers are offered the full range of PA CareerLink® services. Through the Agricultural Recruitment System (ARS), the PA CareerLink® centers help employers meet their needs for permanent,

temporary, and/or seasonal labor by placing job postings in CWDS and by marketing openings to job seekers.

In addition to Pennsylvania's high-quality business services offered to all employers as outlined throughout the State Plan, agricultural employers are also offered our services when met in person on MSFW outreach, at agricultural meetings, and other business-related events.

Job posting requirements, as specified under federal regulations at 20 CFR 653, and housing standards, as specified at 20 CFR 654 and 29 CFR 1910.142, are complied with in the PA CareerLink® centers. Additional requirements, as outlined in ETA Manual No. 3398, which pertain to requests from employers for employing temporary alien agriculture workers under the H-2A Program, are also followed. Along with these requirements, PA CareerLink® staff conduct Prevailing Wage and Prevailing Practice surveys where there is significant MSFW activity, and where there are requests for seasonal or temporary farm workers under the H-2A Program. The PA Department of Labor & Industry will consult with the PA Department of Agriculture on survey content and timing to try to obtain the highest response possible.

#### **Marketing the employment service complaint system to farmworkers and other farmworker advocacy groups.**

There are several points in the system where the employment service complaint system is publicized to MSFWs. Every PA CareerLink® prominently displays an ETA-approved employment service complaint system poster in each local office and satellite or district office. The posters are also made available in retail and service establishments frequented by MSFWs in communities that have a high concentration of farmworkers. PathStone and farm labor contractors that are involved in the recruitment process are another outlet for providing information about the employment service complaint system as mandated by federal regulation at 20 CFR 653.112.

#### **Marketing the Agricultural Recruitment System to agricultural employers and how it intends to improve such publicity.**

Working together, the PA Department of Labor and Industry, the PA Department of Agriculture (PDA), and PathStone provide an array of service to MSFWs and agriculture employers and are planning to upgrade those services in the coming year.

Currently, there is an Agriculture Specialist in each of the PA CareerLink® centers in Pennsylvania responsible for receiving job postings from employers and posting them on the state labor exchange system. The PA CareerLink® is then tasked with helping employers meet their needs for permanent, temporary, and/or seasonal labor by marketing openings to jobseekers. This process forms the core of the Agriculture Recruitment System function.

This system is complemented by the work of PathStone which conducts a Farmworker Forum comprised of local, regional, and state organizations to engage agriculture employers in supporting services to MSFWs.

Within the existing system, the PA Monitor Advocate offers quality assurance and monitoring of the activities occurring within the system.

Currently, the role of PDA is primarily limited to inspecting the housing that is offered by agriculture employers to MSFWs. PDA has broad regulatory responsibilities within the agriculture and food industry with nearly half its staff complement involved in field activities. It has extensive contact with agriculture and food employers in these roles. It will serve as a conduit between the employers and the PA CareerLink® centers, promoting the ARS and encouraging employers to use it. PDA will also provide training and technical advice to the Agriculture Specialists in the PA CareerLink® centers as well as liaison activities to farm labor contractors that serve the industry. Strengthening the link to employers will support a demand-driven strategy to improve and increase outreach efforts to MSFW and better align job opportunities with MSFW seeking employment.

### Other Requirements

#### *Collaboration*

**Describe any collaborative agreements the state workforce agency (SWA) has with other MSFW service providers including NFJP grantees and other service providers. Describe how the SWA intends to build upon/increase collaboration with existing partners and in establishing new partners over the next four years (including any approximate timelines for establishing agreements or building upon existing agreements).**

Currently, the State Monitor Advocate, in partnership with the 167 NFJP grantee, holds an annual MSFW Coordinating Committee meeting and invites all MSFW agencies and groups along with US DOL and the PA Department of Agriculture (PDA) to attend. These meetings are also open to the public. Working more closely with PDA, the PA Department of Labor & Industry (L&I) expects to increase the number of agriculture employers involved in planning related to the utilization of MSFWs. L&I also intends to reach out to farm labor contractors who are important parts of the system and engage them in planning as well.

There also needs to be closer future collaboration with the local representatives of PathStone, the Section 167 National Farmworker Jobs Program grantee for the commonwealth; the PA Department of Agriculture Business Outreach Specialists; and the local PA CareerLink® offices on an ongoing basis. These are the three main components of a local and statewide system that work for agriculture employers and MSFWs alike.

#### *Review and Public Comment*

**In developing the AOP, the SWA must solicit information and suggestions from NFJP grantees, other appropriate MSFW groups, public agencies, agricultural employer organizations, and other interested organizations. In addition, at least 45 calendar days before submitting its final AOP, the SWA must provide a proposed plan to NFJP grantees, public agencies, agricultural employer organizations, and other organizations expressing an interest and allow at least 30 days for review and comment. The SWA must: 1) Consider any comments received in formulating its final proposed AOP; 2) Inform all commenting parties in writing whether their comments have been incorporated and, if not, the reasons therefore; and 3) Transmit the comments and recommendations received and its responses with the submission of the AOP. The AOP must include a statement confirming NFJP grantees, other appropriate MSFW groups, public agencies, agricultural employer organizations and other interested employer organizations have been given an opportunity to comment on the AOP. Include the list of organizations from which information and suggestions were solicited, any comments received, and responses to those comments.**

The commonwealth ensured that the WIOA Section 167 NFJP grantee, other appropriate farmworker groups, public agencies, agricultural employer organizations, and other interested employer organizations were aware that the Combined State Plan had been posted for public comment. The commonwealth responded to all comments received during the public comment period through an addendum to the final submission of the Combined State Plan.

#### *Data Assessment*

**Review the previous four years Wagner-Peyser data reports on performance. Note whether the State has been meeting its goals to provide MSFWs quantitatively proportionate services as compared to non-MSFWs. If it has not met these goals, explain why the State believes such goals were not met and how the State intends to improve its provision of services to meet such goals.**

Pennsylvania previously received a waiver from the US DOL to pilot the Common Measures Management System. As such, some of the MSFW data elements are no longer captured and the ability to directly compare quantitatively proportionate services of MSFW to non-MSFW is limited. Less than one percent of estimated MSFWs received PA CareerLink® services in the last four years. The PA Department of Labor and Industry (L&I) has recently incorporated the MSFW characteristic for customers receiving labor exchange services and will be able to more accurately report MSFWs receiving services under WIOA. L&I expects MSFW service goals to improve and increase as a result of the partnership with the PA Department of Agriculture in outreach in staff training.

#### *Assessment of Progress*

**The plan must include an explanation of what was achieved based on the previous AOP, what was not achieved and an explanation as to why the State believes the goals were not achieved, and how the State intends to remedy the gaps of achievement in the coming year.**

#### **PY 2016 MSFW Activity**

During PY 2016, there were an estimated 45,000 migrant workers in the commonwealth, with 101 MSFWs registered for services with PA CareerLink®. Since only a small percentage of MSFWs use the PA CareerLink® computerized system, the 101 registered farm workers represent less than one percent of all MSFWs. These numbers are not unique to Pennsylvania or to Federal Region II. One of Pennsylvania's neighboring states listed in its AOP zero (0) as the total number of registered farm workers on their system. While MSFW usage of state one-stop services are constantly low through the entire East coast migrant stream, Pennsylvania welcomes and encourages MSFWs to take full advantage of the wide range of services.

The following table shows estimated numbers of MSFWs involved in the harvest of labor intensive agricultural communities for some of the highest volume crops during PY 2016. Estimates have changed only slightly over the last several years.

#### **Estimates of Migrant and Seasonal Farm Workers**

<b>Crops</b>	<b>MSFW Estimates</b>	<b>Geographic Area</b>
Apple/Peach	4,000 Workers	Southcentral PA
Green Wrap Tomatoes	150 Workers	Northcentral PA
Mushroom Harvest	5,000 Workers	Southeast PA
Vegetable Harvest	4,000 Workers	Southcentral & Western PA



Nursery/Landscape/Trees	150 Workers	Western & Central PA
Cut-Christmas Trees	250 Workers	Central & Eastern PA

The difference between the estimated 40,000 MSFWs in Pennsylvania and the numbers of estimated workers provided in Table 5.1 (13,550) can be attributed to all other crop activities, many of which are too small to have their own category and breakdown. Cherries, strawberries, grapes, raspberries, blueberries, nuts, pears, Asian pears, apricots, pumpkins, sweet corn, potatoes, beets, asparagus, carrots, garlic, and a full range of other crops comprise a large agricultural industry spread out across the state. The 40,000 number of farm workers on the AOP was provided to the commonwealth by the WIOA Section 167 grant recipient, PathStone, which collects data and comes up with the total number of MSFWs on an annual basis.

Pennsylvania has received a waiver from the U.S. DOL to pilot the Common Measures Management System. Some of the MSFW data elements are no longer captured. Therefore, to account for those missing elements, data from prior years has been used, factoring in current agricultural trends in the state. Data that continues to be captured reflect the stability and accuracy of the report.

Between July 1, 2016, and June 30, 2017, CWDS listed 79 temporary H-2A agricultural job postings. Sixty-eight (68) U.S. workers applied for these jobs in person at PA CareerLink® centers. A considerably larger number of U.S. applicants' self-referred for those same jobs, but the exact numbers are not captured. Total numbers are captured by the Chicago National Processing Center. Of the 68 known applicants to these jobs, six (6) U.S. workers were hired. During this same period, PA CareerLink® offices received 7,457 temporary non-agricultural job openings and 46,898 permanent non-agricultural job openings. PA CareerLink® offices placed 5,987 job applicants against the temporary non-agricultural job postings and 33,547 against the permanent non-agricultural job postings.

The 5148 Labor Exchange Agricultural Reporting System (LEARS) statistical activities require little explanation. Although MSFW numbers are low due to extenuating circumstances, Pennsylvania consistently meets or exceeds U.S. DOL-ETA goals. All five "Equity Indicators," i.e., referrals to jobs, staff assisted services, supportive services, career guidance, and job development contacts were met. That means that in each of the above categories MSFWs received equal or greater service than the general population. Regarding the seven "minimum service level indicators," which are job placement, placed at \$0.50 above the minimum wage, placed in long term non-agricultural job, reviews of significant offices, field checks conducted, outreach contacts per staff day worked, and timely process of ES complaints, all service levels consistently met or surpassed U.S. DOL-ETA goals for the year.

Unlike areas of growth industries, agriculture in Pennsylvania remains robust yet static. Therefore, our goals are set accordingly. From year to year there is practically no change in the numbers of growers, labor camps, and ultimately MSFWs. The same holds true for the H-2A guest worker program. The different numbers of H-2A orders from year to year generally vary by single digits. Thus field checks, field visits, outreach, monitoring, and equity ratio indicators remain constant. During the 2016 growing/harvest season, L&I received a total of 376 agricultural interstate clearance orders for a total of 1,975 farm workers.

*State Monitor Advocate*

**The plan must contain a statement confirming the State Monitor Advocate has reviewed and approved the AOP.**

Pennsylvania's State Monitor Advocate assisted in the development of the PY 2015 Agricultural Outreach Plan (AOP) Section of the Pennsylvania Combined State Plan and approved of its contents.

## **Title II Programs**

**How the eligible agency will, by July 1, 2016, align content standards for adult education with state-adopted challenging academic content standards, as adopted under Section 1111(b)(1) of the Elementary and Secondary Education Act of 1965.**

The content standards for adult education are currently in full alignment with state-adopted challenging academic contents standards. The Pennsylvania State Board of Education adopted the Pennsylvania Core Standards in November 2013, which went into effect March 1, 2014. The PA Department of Education (PDE) Division of Adult Education began formal statewide implementation of the College and Career Readiness Standards for Adult Education (CCRS) during PY 2014-15. Prior to implementation of the CCRS, Division of Adult Education staff completed a crosswalk of the CCRS to the Pennsylvania Core Standards. All items included in the CCRS are also in the Pennsylvania Core Standards; in many cases, the wording of the standards is identical.

PDE Division of Adult Education implemented the use of CCRS in Title II programs as part of a three-year plan. The plan began in PY 2014-15 with the goal of full implementation in PY 2016-17. The CCRS now serves as the foundation for instruction in all Title II programs, including English language acquisition programs. For PY 2017-18 and beyond, adult education programs will continue to incorporate CCRS into all aspects of instruction, including student assignments and formative assessments.

### **Local Activities**

**Describe how the State will, using the considerations specified in Section 231(e) of WIOA, fund each eligible provider to establish or operate programs that provide adult education and literacy activities, including programs that provide such activities concurrently. The Unified or Combined State Plan must include at a minimum the scope, content, and organization of local activities. Adult Education and Literacy Activities (Section 203 of WIOA) Adult education; Literacy; Workplace adult education and literacy activities; Family literacy activities; English language acquisition activities; Integrated English literacy and civics education; Workforce preparation activities; or Integrated education and training that— 1. Provides adult education and literacy activities, concurrently and contextually with both, workforce preparation activities, and workforce training for a specific occupation or occupational cluster, and 2. Is for educational and career advancement. Special Rule. Each eligible agency awarding a grant or contract under this section shall not use any funds made available under this title for adult education and literacy activities for the purpose of supporting or providing programs, services, or activities for individuals who are under the age of 16 and are enrolled or required to be enrolled in secondary school under State law, except that such agency may use such funds for such purpose if such programs, services, or activities are related to family literacy activities. In providing family literacy activities under this title, an eligible provider shall attempt to coordinate with programs and services that are not assisted under this title prior to using funds for adult education and literacy activities under this title for activities other than activities for eligible individuals.**

Once all required conditions are in place, the PA Department of Education (PDE) will conduct a full and open competition for new grants to be awarded July 1, 2018. The grant application will address, at a minimum, the thirteen considerations and seven requirements established in Title II. The competition will be open to all eligible providers. All eligible providers will be required to submit evidence of demonstrated



effectiveness. To demonstrate effectiveness, applicants that have received Title II AEFLA funds and/or state matching funds through PDE Division of Adult Education in the program years immediately preceding the competition will be evaluated based on their performance against the state's negotiated targets during those years. Applicants that did not receive funds through the Division of Adult Education will be required to provide data that show their past ability to achieve success in comparable measures. For both groups, these measures include effectiveness in helping students develop their academic skills, achieve high school equivalency, and transition into employment and/or postsecondary education/training. The competition will be conducted through PDE's eGrants system.

As part of the application review process, LWDBs will review applications for alignment with the Local Plan. Eligible providers will submit their applications to PDE Division of Adult Education through the eGrants system. The division will then distribute the applications to the appropriate local workforce boards for review. The division will establish a timeline for the review process and provide a review/scoring rubric to all Local Boards, which will include a section in which Local Boards can provide recommendations to improve alignment of proposed Title II services with the Local Plans. PDE will take the recommendations and results of the review into consideration when scoring the related sections of the applications.

PDE anticipates a four-year grant period with yearly grant contracts. Each year's renewal option and grant amounts will be based on the following criteria: contract compliance, including success in meeting contracted enrollment and providing the contracted services; evidence of sufficient progress in meeting the state imposed targets for the agency performance outcome measures; evidence of continuous program improvement; compliance with fiscal and programmatic policies and guidelines; and the amount of state and federal awards. All funds will be used in compliance with the supplement not supplant stipulation of the law.

Prior to the competition, PDE will use a needs-based funding formula to distribute funds to the local workforce areas. The funding formula was developed in 2010 by PDE and a group of Adult Basic Education program administrators under a technical assistance activity provided by US Department of Education. In addition to the criteria in the funding formula, which are based on population numbers, the division will consider the size of the Local Areas in determining funding to address the rural nature of many sections of the commonwealth. Local Areas consisting of multiple counties, PDE anticipates further breaking out funding by county to ensure services are available throughout the local area.

All adult education programs funded under Section 231 and state matching funds will be required to be full-service adult education programs. They must provide instruction at all six Adult Basic Education (ABE) and adult secondary education (ASE) educational functioning levels (EFL), plus English language acquisition (ELA) activities and integrated English literacy and civics (IEL/Civics) education where there is a need. Programs providing ELA and/or IEL/Civics services must support transition of those students to ABE/ASE instruction. All programs must use a portion of their state funds to support a tutoring program that offers one-on-one and/or small group instruction or volunteer classroom aides. Programs must also prepare students for and support them in achieving successful transition to postsecondary education/training or employment. Programs will be allowed to provide workplace literacy activities as appropriate. Family literacy activities will be supported with state funds.

Programs will integrate digital literacy, employability skills, and workforce preparation activities into services. Basic skills instruction will incorporate activities that require the use of technology, promote critical thinking, and build self-management skills, such as utilizing resources and information,

understanding systems, and working with others. Programs will have an intake and orientation process that helps students and staff determine an individual's readiness for participation in the program. This includes activities to help the individual assess their schedule and potential barriers to successful participation in instruction. It also includes a discussion of the individual's reasons and goals for participation. Programs must have attendance and other policies that are consistently enforced to help students develop the self-management skills to persist in services, with the goal that those skills will transfer to employment situations. All programs will integrate career awareness and career planning activities into the services provided to students, including using those activities as the context for basic skills instruction. Programs must have at least one case manager who works with students to address barriers to participation and to plan and prepare for transition to postsecondary education and/or training. Case management activities in support of transition will be offered in a variety of ways: integrated into instruction, stand-alone group activities, and one-on-one case management support. The activities will include introducing students to and helping them use the resources available through L&I and the PA CareerLink®; helping students identify employment and career pathways that align with their skills and interests and also lead to jobs that pay; and supporting students through the application process for employment or training. Case managers will help students identify and address potential barriers to successful transition, such as child care, transportation, and funding to pay for training, among others. Case managers will work closely with staff at the local PA CareerLink® and refer students to PA CareerLink® career services as appropriate. Case managers will also coordinate with TANF or Title I or Title IV career counselors to support co-enrollment.

When and where appropriate, programs will be encouraged to develop integrated education and training that provides adult education and literacy activities, concurrently and contextually with both workforce preparation activities and workforce training for a specific occupation or occupational cluster, and for educational and career advancement. Integrated education and training programs will support the needs of the local labor market and will be developed in consultation with LWDBs, employers, and training providers. The occupational training provided as part of an integrated education and training program will be connected to realistic, existing employment opportunities in the local area and provide an "on ramp" to a career pathway for the participants. The commonwealth will develop policy and guidance further clarifying allowable and required activities in an integrated education and training program. In addition, the commonwealth will research funding opportunities to cover the costs of the occupational training whenever possible.

### **Corrections Education and other Education of Institutionalized Individuals**

**Describe how the State will establish and operate programs under WIOA Section 225 for corrections education and education of other institutionalized individuals, including how it will fund, in accordance with the requirements of title II subtitle C, any of the following academic programs for: Adult education and literacy activities; Special education, as determined by the eligible agency; Secondary school credit; Integrated education and training; Career pathways; Concurrent enrollment; Peer tutoring; and Transition to re-entry initiatives and other post release services with the goal of reducing recidivism. Each eligible agency using funds provided under Programs for Corrections Education and Other Institutionalized Individuals to carry out a program for criminal offenders within a correctional institution must give priority to serving individuals who are likely to leave the correctional institution within 5 years of participation in the program.**

The PA Department of Education (PDE) Division of Adult Education will compete funds for services under Section 225 as part of the overall application for Section 231 funds. Eligible providers submitting applications under Section 231 will have the option to include corrections education under Section 225 as

part of the services to be provided. Thus, Section 225 funds will be included in the multi-year grants for Title II direct service funds where there is a local need. All aspects of the grant competition as described in Sections III.b.5.B.i and ii and Title II Local Activities of the State Plan apply to Section 225 funds. The competition will be open to all eligible providers. PDE has policies and procedures in place to ensure that the same grant announcement is made available to all eligible providers. All grant information will be posted to the PDE website, which is accessible to the public. Additional options for publication of grant announcement(s) include the Pennsylvania Bulletin and Penn\*Link. PDE will conduct the grant competition through its eGrants system. Through this system all eligible providers have access to the same grant application for funds, including Section 225 funds. The applications in which Section 225 funds are included will address the seven requirements and thirteen considerations. All applications will be reviewed by teams of at least three using the same rubric and scoring criteria. The rubric will include special consideration to eligible providers that prioritize corrections education services to individuals who are likely to leave the correctional institution within five years of participation in the program. As appropriate, PDE will include individuals with knowledge of corrections education on application review teams.

Through the competition, PDE will ensure that there is at least one eligible provider offering corrections education services in the state. Entities funded to provide corrections education will provide at least one of the allowable activities listed in Section 225 and will be required to ensure that priority of service is given to those individuals who are likely to leave the correctional institution within five years of participation in the program. PDE will work with representatives from the PA Department of Corrections and other education stakeholders to identify best practices to support the requirements of Section 225 of Title II and use the information to inform development of the related section of the grant application.

### **Integrated English Literacy and Civics Education Program**

**Describe how the State will establish and operate Integrated English Literacy and Civics Education programs under WIOA Section 243, for English language learners who are adults, including professionals with degrees and credentials in their native countries.**

**Describe how the State will fund, in accordance with the requirements of title II, subtitle C, an Integrated English Literacy and Civics Education program and how the funds will be used for the program.**

**Describe how the Integrated English Literacy and Civics Education program will be delivered in combination with integrated education and training activities.**

**Describe how the program is designed to (1) prepare adults who are English language learners for, and place such adults in, unsubsidized employment in in-demand industries and occupations that lead to economic self-sufficiency and (2) integrate with the local workforce development system and its functions to carry out the activities of the program.**

PDE will hold a separate competition for funds under WIOA Section 243 for IEL/Civics with integrated education and training activities, which will be open to all eligible providers. Grant applications will be submitted through PDE's eGrants system. The content of the grant application will address the seven requirements and thirteen considerations identified in the Act. As part of the application, all eligible providers will be required to submit evidence of demonstrated effectiveness. To demonstrate effectiveness, applicants that have received Title II AEFLA funds and/or state matching funds through PDE Division of Adult Education in the program years immediately preceding the competition will be evaluated based on their performance against the state's negotiated targets during those years. Applicants that did

not receive funds through the Division of Adult Education will be required to provide data that show their past ability to achieve success in comparable measures. For both groups, these measures include effectiveness in helping students develop their English language skills, achieve high school equivalency, and transition into employment and/or postsecondary education/training. While these measures will be considered as evidence of demonstrated effectiveness, greatest weight will be placed on demonstrated effectiveness in improving English language skills of English language learners.

In addition to addressing the seven requirements and thirteen considerations identified in the Act, applicants for IEL/Civics funds under Section 243 will be required to provide extensive data to demonstrate the need for and potential for success of IEL/Civics activities in conjunction with integrated education and training in the proposed service area. Such data will include, but not be limited to, immigration trends, demographics of the English language learners in the area where the program will be provided and employment and labor market statistics for the area. In addition, applicants will need to provide evidence that they have developed or will be able to develop in a timely fashion, partnerships that support the purpose of the program. Applicants will also need to provide evidence of communication with and input from social service organizations serving the target population, the LWDB, and local businesses/employers in identifying the occupational training opportunities to be included in the integrated education and training portion of the program. Applicants will provide evidence that such occupational training is in in-demand industries and has the potential to lead to economic self-sufficiency. Applicants will be required to provide assurances that the occupational training included as part of the program will be provided by qualified providers.

As part of the application review process, LWDBs will review the Section 243/IELCE applications for alignment with the Local Plan. Eligible providers will submit their applications to PDE Division of Adult Education through the eGrants system. The division will then distribute the applications to the appropriate local workforce boards for review. The division will establish a timeline for the review process and provide a review/scoring rubric to all Local Boards, which will include a section in which Local Boards can provide recommendations to improve alignment of proposed Title II services with the Local Plans. PDE will take the recommendations and results of the review into consideration when scoring the related sections of the applications.

For the initial competition, PDE anticipates a two-year grant period with yearly grant contracts. Renewal of the grant for the second year will be based on the following criteria: contract compliance, including success in meeting contracted enrollment and providing the contracted services; evidence of sufficient progress in helping students improve English language skills; evidence of continuous program improvement; compliance with fiscal and programmatic policies and guidelines; and the availability of funds determined by the amount of the federal award. Funds awarded under WIOA Section 243 will be used, at a minimum, to support IEL/Civics programming, including instruction on the rights and responsibilities of citizenship and civic participation; adult literacy and education activities; and workplace preparation activities of the programs. Applicants will be encouraged to identify alternative funding opportunities to cover the costs of the occupational training portion of the integrated education and training program; however, use of Section 243 funds for such training will be allowable. PDE does not anticipate mandating a specific model for all programs that receive Section 243 funds to provide IEL/Civics with integrated education and training. Applicants will propose a model that ensures provision of all required components in compliance with WIOA regulations. In cases in which the grant recipient does not provide all components itself, it will be responsible for ensuring full integration of all activities.

Toward the end of the second year of the grant period, PDE will hold another competition for Section 243 funds. The details of that competition will be determined later and will be informed by the results of the programming at the agencies that receive Section 243 funds in the initial competition. PDE will ensure that the competition meets all the requirements of a competition identified in the Act.

PDE has policies and procedures in place to ensure that the same grant announcement for Section 243 funds is made available to all eligible providers. All grant information will be posted to the PDE website, which is accessible to the public. Additional options for publication of grant announcement(s) include the Pennsylvania Bulletin and Penn\*Link. PDE will conduct the grant competition through its eGrants system. Through this system all eligible providers have access to the same grant application for IEL/Civics funds under Section 243.

PDE has guidelines in place to ensure a fair and open competition. In accordance with these guidelines, grant applications will be evaluated by teams of at least three individuals. Team members will include staff from the Division of Adult Education, other PDE staff, other qualified commonwealth staff, and, as needed, peer reviewers, subject matter experts, and/or consultants. Division staff will create a scoring rubric specific to the Section 243 IEL/Civics grant application, which will address all items in the grant application including demonstrated effectiveness and the results of the local board reviews with recommendations. Review team members will be trained prior to beginning evaluation and scoring of applications. Once the applications have been reviewed and scored, they will be ranked from highest to lowest scoring. PDE will award grants to the highest scoring applicant and will continue to award funds until the total amount available for grants has been awarded.

### **State Leadership**

**Describe how the State will use the funds to carry out the required State Leadership activities under WIOA Section 223.**

**Describe how the State will use the funds to carry out permissible State Leadership Activities under WIOA Section 223, if applicable.**

The overarching goal of the PA Department of Education's (PDE) investment of state leadership funds is to support a system of professional development and technical assistance to ensure a high-quality Adult Basic Education system that aligns with the goals of the state, regional and local workforce development plans to meet the needs of workers and employers in the commonwealth. The system emphasizes program improvement based on data and high-quality research-based technical assistance and professional development opportunities that promote such program improvement.

PDE intends to fund one or more activities that provide technical assistance and support to Title II programs to be effective partners in the workforce development system. Such work will focus on the required activities: 1) align adult literacy activities with other core programs and with one-stop partners, as appropriate, to implement strategies in the State Plan; 2) participation in the development of career pathways that provide access to employment and training for individuals in adult education programs; 3) understanding and fulfilling Title II's role as a one-stop partner to provide access to employment, education, and training services. These activities will also support permissible activities such as developing content and models for integrated education and training and career pathways; and promoting linkages with employers to promote workplace adult education and literacy activities and integration of adult education and literacy activities into occupational training.

PDE intends to fund one or more activities to support the use of technology. PDE will continue to support the use of technology to disseminate information about models and promising practices for instruction, staff development, and program improvement; instructional and programmatic practices based on rigorous and scientifically valid research; and other information relevant to high-quality adult education and literacy services. PDE will also fund a project to provide technical assistance to eligible providers on the use of technology to improve system efficiencies and provide staff training, as well as on the integration of technology into instruction to promote learning and digital literacy.

PDE intends to fund several activities that, together, comprise a high quality professional development system that identifies and provides training in the following: instruction in areas such as reading, writing, speaking, mathematics, and English language acquisition; use of data for program monitoring and evaluation for continuous program improvement; training for volunteer instructors; and development of lesson plans, resources, and curricula that align with the CCRS.

PDE will ensure that procurement of both required and permissible state leadership activities complies with state procurement policy. PDE anticipates using a combination of contractual tools, including both grants and purchase of services.

The quality of Pennsylvania's state leadership and professional development activities will be assessed using both formal and informal methods of evaluation. These evaluations will be completed by participants in the activities, by the providers of professional development and technical assistance (referred to collectively as the professional development system, PDS), and by PDE staff. The goal of evaluation is to support continuous improvement of these professional development activities and to improve instructional practices.

Local program staff will evaluate and provide feedback through several means. Participants will complete evaluations at the end of every formal professional learning opportunity. The evaluations will follow a standard format that includes questions about participant satisfaction and impact on practice, as well as open-ended questions seeking suggestions for improvement. Local program staff across the state will be invited to participate in the annual formal needs assessment conducted by the PDS. In addition to these formal methods, local program staff will provide feedback via their interactions with the PDS during the consultant meetings held at individual agencies and in the monthly Agency Professional Development Team webinars. While the main purpose of these meetings is to support the programs' progress in implementing skills and knowledge from professional development and assist agencies in completing improvement at their programs, the discussions also help the PDE and PDS determine if the professional development and technical assistance activities are helping to improve the use of new knowledge and skills by instructors and are positively impacting student outcomes.

In addition to input from local program staff, PDE has several tools available to directly evaluate the work of the projects funded to provide professional development and technical assistance activities. All projects will submit quarterly and final reports, which will provide evidence of progress toward and achievement of the goals specified in their grant narratives. Evidence will include both quantitative and qualitative data. Additionally, each project will write a program improvement/professional development plan similar to that required of local programs. In the first grant year, each project will identify professional development activities for project staff that support the goals and objectives of the project. In subsequent grant years, each project will examine its prior year quarterly and final reports and submit an improvement plan that includes areas of focus and a description of the professional development in which project staff will participate to meet their program improvement goals. At the end of each year, the projects will submit to

PDE an evaluation with appropriate documentation of whether they met their goals for the year. PDE staff will also use its weekly meetings with the leaders of each funded project to monitor and evaluate work.

From PYs 2012-13 through 2014-15, an outside consultant conducted a formal external evaluation of the PDS. The evaluation was framed using Thomas Guskey's "Five Levels of Professional Development Evaluation," and each year's findings and recommendations were used to inform the next year's PDS activities. To build on that evaluation, PDE is examining three options. The first option is an internal evaluation using a logic model approach. In PY 2016-17, PDE and PDS staff will develop a logic model to evaluate leadership project effectiveness and pilot it with one project. If it is useful, PDE will expand its use to all PDS projects in PY 2017-18. The second option is a project focused on data collection and evaluation of the effectiveness of professional learning opportunities. This work would be conducted by an entity that had no role in the provision of professional development and technical assistance to the field other than assistance with data collection. The third option is another traditional external evaluation.

### **Assessing Quality**

**Describe how the eligible agency will assess the quality of providers of adult education and literacy activities under title II and take actions to improve such quality, including providing the activities described in Section 223(a)(1)(B) of WIOA.**

The PA Department of Education (PDE) Division of Adult Education will establish agency performance outcome measures and targets for monitoring, assessment, and evaluation of local programs. PDE's agency performance measures will encompass those outcomes on which adult education and literacy activities have the greatest and most direct impact: educational functioning level gain, attainment of a high school equivalency credential, and transition to postsecondary education or training. In addition, the division will establish targets for employment in the second quarter after exit and median earnings in the second quarter after exit. Further, the division will apply an additional performance measure: the number of outcomes achieved per student. The outcomes are addressed in the agency performance measures, including educational functioning level gain, employment in the second quarter after exit, attainment of a high school equivalency credential, and transition to postsecondary education or training. The numerator for this performance measure is the unduplicated number of successful outcomes an agency has for all enrolled students. The denominator is the unduplicated number of enrolled students in the agency.

The Division of Adult Education will conduct formal ongoing monitoring, assessment, and evaluation of local programs through both desk monitoring and onsite monitoring visits. Regional advisors will keep track of agency progress toward key markers of performance, such as contracted enrollment, appropriate assessment, employment outcomes and student persistence, using a range of tools. When data show areas for concern, advisors will contact the local program administrators to discuss the concerns and provide guidance and technical assistance. After the end of the program year, advisors will contact each agency individually to discuss its performance, focusing on areas in which the program has shown progress and areas in which it must improve.

In addition to this ongoing desk monitoring, division staff will conduct comprehensive, onsite monitoring visits. Every year, the division will use a risk rubric to determine which agencies to monitor. Agencies with the highest scores will be selected for onsite monitoring visits. These visits will be conducted by teams of two (2), four (4), or six (6) people. Each visit will be guided by a monitoring tool to ensure standardization of the visits. Monitoring visits will include an initial meeting with the administrator of the adult education program, class visits, a student focus group, a staff focus group, a review of data and student records,

program compliance review, fiscal review, and a meeting at the end of the visit for the presentation of commendations, findings with required actions, and recommendations.

After the monitoring visit, the division will send a written monitoring report to the agency explaining the commendations, findings with required actions, and recommendations. The agency will submit a corrective action plan (CAP), which must address all findings with required actions, at a minimum. Agencies will be strongly encouraged to address recommendations as well. The regional advisors will be available to provide technical assistance during the development of the CAP and during implementation of the approved actions for correction and improvement. In addition, programs will be referred to the services of state leadership-sponsored activities where appropriate. The program will be required to provide updates on progress and documentation/evidence of completed actions. When necessary, the regional advisor will conduct a follow up visit approximately six (6) months after the CAP is submitted.

The state office will also conduct ongoing informal evaluation of technical assistance for continuous program improvement. Initial focus will be on three areas: 1) students who had sufficient attendance hours to be post-tested but were not; 2) students who completed intake, orientation, and initial assessment but did not reach enrollment status (i.e. 12 hours of instruction); and 3) students who reached enrollment status but did not stay long enough to be post-tested within the appropriate timeframe. Other topics will be determined based on the results of future assessment and evaluation.

Local programs will also be supported by state office staff and state leadership activities to engage in required, ongoing self-monitoring, assessment and evaluation. Each program year, they will be required to develop a program improvement/professional development plan based on data and aligned with state office goals. They will be provided with tools similar to those used by the state office staff to track their own progress toward key markers of performance.

## **Vocational Rehabilitation Programs**

**The Vocational Rehabilitation (VR) Services Portion of the State Plan must include the following descriptions and estimates, as required by Section 101(a) of the Rehabilitation Act of 1973, as amended by WIOA.**

### **Input of State Rehabilitation Council**

**All agencies, except for those that are independent consumer-controlled commissions, must describe the following:**

- **input provided by the State Rehabilitation Council, including input and recommendations on the VR services portion of the Unified or Combined State Plan, recommendations from the Council's report, the review and analysis of consumer satisfaction, and other Council reports that may have been developed as part of the Council's functions;**
- **the Designated State unit's response to the Council's input and recommendations; and**
- **the designated State unit's explanations for rejecting any of the Council's input or recommendations.**

The Pennsylvania Rehabilitation Council (PaRC) used the following sources to develop its recommendations to the PA Department of Labor & Industry Office of Vocational Rehabilitation (OVR):

1. The PaRC annual report (FFY 2016)
2. PaRC Customer Satisfaction Survey



3. Review of items in 2016's Description (a) (formally know as Attachment 4.2(c))
4. Comments received at 2017 State Plan Meetings
5. Rehabilitation Services Administration (RSA) Monitoring Report
6. Statewide Needs Assessment
7. Comprehensive Statewide Needs Assessment FFY 2017 & 2018 Office of Vocational Rehabilitation and Institute on Disabilities at Temple University Project Proposal
8. Workforce Development Statistics
9. Local Citizen Advisory Committees (CAC) meetings and/or minutes as well as calls and meetings with CAC Chairs and the PaRC Executive Committee

#### **Commendations:**

The PaRC's efforts have benefited from a strong partnership with the leadership of OVR. The PaRC commends OVR's leadership for:

- Encouraging and supporting stakeholder input, based on their involvement in the Pennsylvania Disability Employment & Empowerment Summit (PADES) and transition conferences, and the customer satisfaction advisory committee meetings;
- The number of hours they have committed to learn and implement new WIOA regulations and provide the PaRC with WIOA Wednesday updates;
- The creation and implementation of Innovation and Expansion Grants;
- OVR participation in and support of local CAC meetings, participating in and developing minutes for calls and meetings with CAC Chairs and the PaRC Executive Committee; and
- Their leadership of postsecondary education opportunities for the intellectual disability community through the Access College-Employment Success (ACES) grant to Dream Partnership.

#### **The Designated State Unit's response to the PaRC's input and recommendations:**

##### **1. Transition**

**Issue:** Support for students with disabilities age 14-21 is critical to creating an expectation of competitive, integrated employment as they transition into adulthood. For example, post-secondary education programs are beginning to demonstrate successful employment outcomes for people with intellectual disabilities. It is therefore critical that programs be available throughout the state to help transition age youth become working adults. The State Plan specifically highlights the work of ACES grant and Dream Partnership's work in developing PSE programs. In a 2016 Executive Order, Governor Wolf specifically recommends the continuation of the ACES grant.

#### **Recommendations:**

- Work with the Youth Leadership Network and Workforce Investment Board Youth Committees (OVR Response: no change, refer to initial plan);
- Continue developing and strengthening relationships with the PA Department of Human Services, including the Office of Developmental Programs, and the PA Department of Education to provide services to transition age youth (OVR Response: no change, refer to initial plan);
- Provide data assessing the effectiveness of the Early Reach program and other programs that target transition age youth (OVR Response: no change, refer to initial plan); and

- Award innovation grants to programs developing pre-employment transition services for students with disabilities.

**OVR Response:** OVR developed and implemented Innovation and Expansion Contracts across the state which include a variety of programs for students with disabilities ranging from work-based learning experiences, family engagement series, community based vocational instruction, and post-secondary exploration. OVR will continue to monitor these programs for successful practices for replication in the future.

- Continue to fund the ACES grant for continued expansion of PSE programs in PA. The ACES grant should contain student/parent outreach opportunities for students age 14 to 21.

**OVR Response:** In 2017 OVR received roll over Re-Employment Funds in the amount of \$100,000 to support the ACES grant for an additional year. Re-Employment Funds end in 2018, unless legislatively renewed, so no additional renewals are anticipated to reauthorize the ACES grant beyond that additional year. The ACES grant's primary purpose was to increase the proliferation of college-based certificate programs also known as Comprehensive Transition Programs (CTPs) for young adults with intellectual disabilities in Pennsylvania. OVR updated the college policy to be able to fund students at established CTP programs and continued work on other similar programs through LOU/Administrative Memos (ex: AACHIEVE).

- Document and share "Success Stories" to show youth and parents how services can help create positive employment outcomes.

**OVR Response:** OVR utilizes social media, including Twitter, Facebook, LinkedIn, and Instagram, to highlight success stories from across the state. Many of our staff will be presenting on effective programs and practices at the Annual PA Transition Conference. OVR will continue to look for opportunities to present to families and students on successful transition practices.

## 2. Training for OVR Staff and Counselors of Consumer Customers

**Issue:** The public face of OVR is and should remain one that is understanding and helps people with disabilities to achieve competitive, integrated employment goals.

### **Recommendations:**

- Continue working to improve consumer customer satisfaction. (OVR Response: no change, refer to initial plan).
- Develop and test strategies for improving response rates for the consumer customer satisfaction survey. (OVR Response: no change, refer to initial plan).
- Train placement counselors reinstated or VR Counselors to do federal resumes and Schedule A paperwork to get some customers placed in highly competitive employment.

**OVR Response:** OVR Business Services Division will continue to provide an annual conference and training so that all Business Services Counselors (BSCs, formerly placement counselors), Business Services Representatives (BSRs), Business Services Supervisors, and any District Administrator (DA) or Assistant District Administrator (ADA) local point of contact are trained and updated on federal resumes, USA Jobs web site, Schedule A federal civil service procedure and new Section 504 2 percent federal individual with a disability (IwD) workforce representation rule which becomes effective January 2018. The Central Office

business services staff and District Office Business Services Supervisors and BSCs will train all counseling staff on Federal Schedule A. District Office counseling staff will provide guidance and counseling to customers on federal job and career opportunities.

### 3. Citizen Advisory Committees (CACs)

**Issue:** CACs are a critical connection between OVR and consumer customers. Each OVR District Office has their own process for CAC meetings and meeting notification.

#### **Recommendations:**

- Take a more active role in the guidance and development of the CACs and have an open line of communication with the PaRC when issues arise. (OVR Response: no change, refer to initial plan).
- Issue a memo to DAs on expectations for utilizing and promoting CACs. (OVR Response: no change, refer to initial plan).
- Gather and share best practices among the CACs. (OVR Response: no change, refer to initial plan).
- Continue to advise the CACs on using the PaRC's website for publically posting CAC agendas and minutes. (OVR Response: no change, refer to initial plan).
- Implement the recommendations on CACs sent by the PaRC to the OVR Executive Director in 2016.

**OVR Response:** OVR's strategic goals have not changed; they continue to be: 1) Customer Service; 2) Transition; 3) Stakeholder Relations; 4) Workforce Development Collaboration; and 5) Public Awareness/Outreach. OVR will send those goals out to each CAC chairperson and ask them to share with their members. OVR also participated in the PaRC/OVR CAC meeting in March 2017, at which time the basic organization of the CACs and guidance for future CACs was discussed. OVR executive staff participated in this meeting with the expectation for collaboration and strengthening of relationships. During each CAC committee call, Best Practice ideas are shared regarding increasing member participation and recruitment. Copies of OVR's Annual Report, containing data for the year, will be shared with each local OVR office to provide to their CACs. Although each local District Office activity varies, DAs are encouraged to share activity information with their CACs and extend an invitation to participate. OVR values the CACs' work and support, and continues to maintain that the intent of the CAC is to be locally-run, consumer-driven and responsive to CAC member recommendations.

- Discuss CAC progress and activities at DA meetings and training activities.

**OVR Response:** In March 2017, the PaRC brought together PaRC members, OVR staff, and CAC Chairs to discuss the purpose, roles and goals of the CACs, and to brainstorm ways to increase engagement and improve collaboration. The issues discussed at this meeting were summarized and shared with all DAs and ADAs.

- Consider using OVR's social media platforms to advertise CAC meetings.

**OVR Response:** As OVR is informed of dates of local CAC meetings, these dates can be posted on OVR's Facebook and Twitter pages. PaRC has formed a Social Media committee, and these dates will be forwarded to them as well, so that dates can be shared via PaRC's social media outlets.

- Establish guidelines that allow CAC members to communicate with OVR central office staff when they need assistance.

**OVR Response:** CAC members can contact the OVR Specialist who serves as liaison to the PaRC and CACs. This staff member also participates in the PaRC CAC Committee calls on a regular basis.

#### 4. Information Sharing

**Issue:** Accessible and timely information is important to consumer customers (Pennsylvania's Workforce Development Plan [PY 2016-PY 2019], Page 110) because it allows greater engagement and involvement and leads to a positive customer experience.

**Recommendations:**

- Use current technologies, such as e-mail and text messages, along with older communication technologies to communicate with consumer customers. (OVR Response: no change, refer to initial plan).
- Make sure all OVR communications are accessible. (OVR Response: no change, refer to initial plan).
- Make sure that accommodations such as captioning are available at all public OVR meetings. (OVR Response: no change, refer to initial plan).
- Review lead times for dissemination of information to ensure enough advanced notice so all stakeholders have sufficient time to review, react and respond to opportunities.

**OVR Response:** OVR will continue to strive to provide information at least two weeks prior to meetings.

#### 5. Partnership with the PaRC

**Issue:** Collaboration between PaRC and OVR has provided mutual benefit for reviewing policies and priorities.

**Recommendations:**

- Continue OVR leadership's collaboration with the PaRC. (OVR Response: no change, refer to initial plan).
- Continue PaRC involvement in developing, reviewing, implementing, and evaluating OVR. (OVR Response: no change, refer to initial plan).
- Include the PaRC in OVR training and activities.

**OVR Response:** The OVR Policy, Staff Development, and Training Division will extend an invitation via e-mail message to PaRC members to participate in relevant video conference trainings as well as relevant In-Service/Out-Service training opportunities.

#### 6. OVR Staff and Counselors as Role Models

**Issue:** OVR Counselors, managers, and other staff with disabilities provide positive role models and examples for people with disabilities. This is a benefit to both consumer and business customers because it demonstrates the employment potential of people with disabilities.

**Recommendations:**

- Continue to recruit people with disabilities and other underrepresented groups into counselor and leadership roles within OVR. (OVR Response: no change, refer to initial plan).
- Review available hiring data and report on how the number of OVR staff with disabilities is changing over time. (OVR Response: no change, refer to initial plan).
- Have OVR Counselors share OVR position openings with customers as appropriate.

**OVR Response:** OVR VR Specialist for Recruitment prepares quarterly updates and advertisements, in addition to collaborating with PA Civil Service to disseminate information to OVR staff and OVR customers. OVR customers who express interest in learning about employment opportunities within vocational rehabilitation are provided information as part of the vocational counseling process. District Office business services staff will distribute monthly OVR vacancies or internship opportunities to counselors so that qualified customers can be recruited and encouraged to take PA State Civil Service Commission tests to qualify for the eligible candidate list for OVR employment opportunities.

## 7. Policy Evaluation

**Issue:** Rigorous assessment of policy impacts is critical when OVR makes a policy change intended to achieve certain goals (or for which there is a concern about adverse consequences).

### **Recommendations:**

- For any substantive policy change, decide whether an evaluation is needed and if it is, create an evaluation plan.

**OVR Response:** OVR will consult with the PaRC Policy/State Plan Committee and Client Assistance Program (CAP), when reviewing new policy or changes to existing policy, to determine what level of evaluation would be appropriate based on available resources. If a policy evaluation is considered appropriate, OVR will propose a plan for consideration to the PaRC Policy Committee and CAP before implementation.

- Monitor the outcomes of those affected by policy changes for a long enough period to understand the changes' longer term effects.

**OVR Response:** The OVR Systems and Evaluation Division will work along with the OVR Policy Specialist to monitor outcomes and report findings to the PaRC Policy/State Plan Committee and CAP, as determined according to established policy evaluation.

## 8. Customer Choice

**Issue:** Long waiting times for orientation and mobility training create a barrier to timely, effective provision.

### **Recommendations:**

- Customers who require Orientation and Mobility (OM) training should receive those services within 45 days of service approval.

**OVR Response:** The Bureau of Blindness and Visual Services (BBVS) endeavors to provide services to customers in a timely manner across each of our program areas. While the provision of OM services can

at times be somewhat problematic, customers in the Vocational Rehabilitation program have priority for OM services, especially when there is an issue of maintaining employment or starting a new position. As a result, most VR customers receive services within 45 days of service approval. Customers of other BBVS programs such as the Independent Living Older Blind program can experience longer waits in some areas of the state due to staff shortages. There is a national shortage of OM Specialists. We have experienced that shortage in the commonwealth also. We continue to recruit nationally to fill these positions and are currently in the process of developing innovative programs with commonwealth universities who train OM Specialists to provide paid internship opportunities that will lead to BBVS employment. BBVS is currently working with the PA Department of Labor & Industry to investigate salary structure as it relates to agencies and entities that we are in competition with in the hiring of OM Specialists. We are also investigating opportunities to cross train current employees which will result in their becoming certified OM Specialists.

- OVR should work to approve enough OM training vendors so that it can provide services within the goal of 45 days.

**OVR Response:** BBVS does contract with outside agencies to provide OM training when District Offices have unfilled OM Instructor positions. However, outside vendors also have difficulty recruiting OM Specialists due to the nationwide shortage.

#### **The Designated State Unit's explanations for rejecting any of the Council's input or recommendations.**

OVR did not reject any of PaRC's input or recommendations

#### **Request for Waiver of Statewideness**

**When requesting a waiver of the statewideness requirement, the designated State unit must identify the types of services to be provided by the program on a non-statewide basis. The waiver request must also include written assurances that:**

- (1) a local public agency will provide the non-Federal share of costs associated with the services to be provided in accordance with the waiver request;**
- (2) the designated State unit will approve each proposed service before it is put into effect; and**
- (3) requirements of the VR services portion of the Unified or Combined State Plan will apply to the services approved under the waiver.**

1. OVR entered into an Interagency Agreement with Berks Career and Technology Center (BCTC) effective October 2014 to provide school-to-work transition services to OVR eligible youth with disabilities who are enrolled in approved training programs at the BCTC and attend one of 16 public school districts within Berks County.

The interagency agreement, named "Work Partners," provides for joint funding (including salary and benefits) of two positions which did not previously exist at BCTC:

- School-to-Work Coordinator (Professional)
- Job Trainer (Paraprofessional)

Services to be provided through this interagency agreement are career and technical education instruction, work-based experiences, job development, placement and follow-up and job coaching.

The goals of the Work Partners Interagency Agreement are:

- To serve 50-65 OVR eligible youth with disabilities during FFY 2015, 2016, and 2017;
- To achieve greater than 50 percent successful competitive, community-integrated job placements among those served; and
- To increase the number of students served in the Service Occupations Cluster.

The Interagency Agreement was approved by the Berks CTC Board of Directors in May 2014 and signed by the school's authorized representative. Berks CTC provides 21.3 percent of project funding which serves as local/state match. OVR provides the remaining 78.7 percent from federal VR funds.

OVR is optimistic that this program has the potential for statewide replication. Pennsylvania has 67 counties, 15 OVR District Offices, and 85 Career & Technology Centers.

A second interagency agreement was developed with Greene County Human Services (GCHS), Intermediate Unit #1 (IU1) and OVR to provide school-to-work transition services for OVR eligible youth with intellectual disabilities enrolled in local education agencies within Greene County.

OVR, GCHS, and IU #1 will jointly provide funding in the amount of \$60,000 per year for a two (2) year period to be used exclusively for the operation, and administration of "School-to-Work" services for OVR eligible youth with intellectual disabilities who are enrolled in local education agencies throughout Greene County.

Services to be provided through this interagency agreement are work-based educational experiences, on-the-job support through a job coach, independent living skills development and community involvement all oriented toward competitive, community-integrated employment.

The goals of the Greene County program are:

- During fiscal years 2014-2016 this pilot project will serve approximately 20 unduplicated OVR eligible and IDD waiver program eligible youth through this interagency agreement; and
- Resulting from the services provided through this agreement, it is expected that OVR will successfully place and vocationally rehabilitate approximately 54 percent of those individuals served.

For the Greene County Agreement, OVR will provide 78.7 percent of the funding (Federal- VR funding) and GCHS and IU #1 will each provide 10.65 percent of the funding, transferring to OVR 21.3 percent of the total (Local/ State match) funding.

Statewide replication of this program will provide OVR with opportunities to braid funding to serve OVR eligible youth with disabilities and to collaborate with the Departments of Education & Human Services more effectively.

2. Each Interagency Agreement may be amended only by written consent of all parties. Such amendments become part of the Interagency Agreements upon the signature of the respective designees. Signatories on the Work Partners project are Berks CTC and OVR. Signatories on the Greene County project are Greene County Human Services (GCHS), IU1 and OVR. The parties to these agreements shall provide the services as specified and described in Appendix A of each of the Agreements. Such services shall be provided consistent with the budget as described in Appendix B of said Agreements.

3. All other State Plan requirements of the VR services portion of the State Plan will apply to the services approved under the waiver.

### **Cooperative Agreements with Agencies Not Carrying Out Activities Under the Statewide Workforce Development System**

**Describe interagency cooperation with and utilization of the services and facilities of agencies and programs that are not carrying out activities through the statewide workforce development system with respect to:**

#### ***(1) Federal, State, and local agencies and programs;***

- Memorandum of Understanding (MOU) currently exist between OVR and the PA Department of Education and the PA Department of Human Services Office of Developmental Programs (ODP); based on the Workforce Innovation and Opportunities Act (WIOA) changes, discussion has been initiated to update the MOUs.
- Memorandum of Understanding has been developed between OVR and the Department of Human Services, Office of Office of Children, Youth and Families, Bureau of Juvenile Justice Services (BJJS).
- Institute of Higher Education (IHE) Agreements with the 14 State owned Universities referred to as the PA State System of Higher Education (PASSHE) have entered into a second five year MOU with OVR that will remain in effect through January 31, 2020.

**Describe interagency cooperation with and utilization of the services and facilities of agencies and programs that are not carrying out activities through the statewide workforce development system with respect to:**

#### ***(1) Federal, State, and local agencies and programs;***

- Memorandum of Understanding (MOU) currently exist between OVR and the PA Department of Education and the Department of Human Services (DHS) Office of Developmental Programs (ODP); based on the Workforce Innovation and Opportunities Act (WIOA) changes, discussion has been initiated to update the MOUs.
- Memorandum of Understanding has been developed between OVR and the Department of Human Services, Office of Office of Children, Youth and Families, Bureau of Juvenile Justice Services (BJJS).
- Institute of Higher Education (IHE) Agreements with the 14 State owned Universities referred to as the PA State System of Higher Education (PASSHE) have entered into a second five year MOU with OVR that will remain in effect through January 31, 2020.
- Partnership with PA Centers for Independent Living (CILs) in the form of grants, contracts, and LOUs are on-going.
- Interagency Agreements are being developed with local Career and Technology Centers; Berks County is already established.

#### ***(2) State programs carried out under Section 4 of the Assistive Technology Act of 1998;***

- Pennsylvania's Initiative on Assistive Technology (PIAT), a program of the Institute on Disabilities at Temple University, is Pennsylvania's statewide program under Section 4 of the Assistive Technology Act. Activities that are proved by PIAT are: device demonstrations; device lending; device reuse; public awareness activities; and training to



raise awareness and knowledge of AT topics. Any of these activities are available to OVR staff and customers, mostly at no charge.

- OVR has multiple Assistive Technology (AT) Providers on the fee schedule for customer services; some providers have LOUs.
- OVR establishes an annual contract via Invitation for Bid (IFB) with an AT provider for staff services.

**(2) Programs carried out by the Under Secretary for Rural Development of the Department of Agriculture;**

- Currently, OVR does not have any agreements with the U.S. Department of Agriculture.
- In 2017 OVR and the Pennsylvania State University, College of Agricultural Sciences, Penn State Extension approved an Administrative Memo for the AgrAbility program. The AgrAbility Project is a statewide project whose staff provides direct services for farmers and farm family members with a disability or long-term health condition who want to remain in production agriculture. This agreement does not guarantee a specific amount of total funds or total number of participants to be funded by OVR. OVR has modeled this agreement and payment structure after existing rates and services where applicable, but has taken into consideration additional supports and services that will be needed to ensure a successful partnership.

**(A) Noneducational agencies serving out-of-school youth; and**

- OVR has numerous LOUs with a variety of service providers serving all populations, including out-of-school youth.
- OVR has developed an On-the-Job Training (OJT) initiative specifically targeting youth.
- OVR continues to develop more programs to partner with community service providers to target transition aged youth.

**(B) State use contracting programs.**

- *As noted above, OVR has a MOU with ODP and is in the discussion stage of updating it.*
- Memorandum of Understanding (MOU) between OVR and ODP is being finalized to exchange information on the services delivered to individuals enrolled in DHS-ODP programs who also receive or received services through the PA Department of Labor & Industry OVR.
- Memorandum of Understanding has been developed between OVR and the Department of Human Services, Office of Office of Children, Youth and Families, Bureau of Juvenile Justice Services (BJJS).
- OVR oversees funding supplied by the Pennsylvania Utility Commission (PUC) to Temple University for the TDDP program.
- OVR oversees funding supplied by the PUC to the National Federation of the Blind for the Newsline Print Media Access Program.
- OVR has supported Temple University's efforts to begin a Wireless Expansion Initiative financially supported by the PUC.

## **Coordination with Education Officials**

### **Describe:**

- (1) The designated State unit's plans, policies, and procedures for coordination with education officials to facilitate the transition of students with disabilities from school to the receipt of VR services, including pre-employment transition services, as well as procedures for the timely development and approval of individualized plans for employment for the students.**
- (2) Information on the formal interagency agreement with the State educational agency with respect to:**
  - A. consultation and technical assistance to assist educational agencies in planning for the transition of students with disabilities from school to post-school activities, including VR services;**
  - B. transition planning by personnel of the designated State agency and educational agency that facilitates the development and implementation of their individualized education programs;**
  - C. roles and responsibilities, including financial responsibilities, of each agency, including provisions for determining State lead agencies and qualified personnel responsible for transition services;**
  - D. procedures for outreach to and identification of students with disabilities who need transition services.**

WIOA reauthorizes the Rehabilitation Act of 1973 and places significant emphasis on the provision of services to students and youth with disabilities. OVR estimates there are over 100,000 students with IEP's between 14-21 (transition age in PA) that are eligible for Transition Services and Pre-Employment Transition Services (PETS) in 2016. OVR is active with data collection and updating its case management system, the Commonwealth Workforce Development System (CWDS), to capture the delivery of PETS, therefore the numbers were reported did not reflect actual service delivery. It is estimated that 35,000 students received PETS in 2016.

OVR continues to review existing policies and procedures to determine what additional updates will be necessary to ensure compliance with the final regulations. OVR's Systems and Evaluation Unit have been diligently updating our CWDS case management system to account for all the new federal reporting requirements. Over the next 8 months there are four new system releases that will address Pre-Employment Transition Services (PETS), Section 511 Tracking, RSA 911 reporting, as well as, other system upgrades and enhancements. The June 2017 release of CWDS included a revamp of the application and eligibility process that include necessary data tracking elements for RSA 911 reporting for the new reporting cycle that started 7/1/2017. Ongoing development and design of future CWDS enhancements remains a significant undertaking for the agency that requires system testing, multiple staff trainings, and the creation of reference materials and forms.

OVR's Transition Policy is targeted to be updated in the fall of 2017 to reflect changes specific to transition services and PETS as outlined in the final regulations authorized under title I of the Rehabilitation Act of 1973, as amended by WIOA Title IV. Ongoing training and technical assistance will be provided to ensure OVR is effectively providing PETS to students with disabilities who are eligible or potentially eligible for VR services. Ongoing training is especially important due to the number of new OVR employees.

The [PA State Act 26](#), the Work Experience for High School Students with Disabilities Act, is about collaboration with local education and public agencies to ensure that students with disabilities are prepared to enter the workforce after graduation. In June 2017, notice of the appropriation of sufficient

funds to carry out the provisions of this act was published in the [Pennsylvania Bulletin](#). Act 26 charges OVR with providing PETS and facilitating the process of job and career development between local education agencies and public and private employers, focusing on job skills training; job coaching; competitive, integrated work-based learning experiences; and counseling on opportunities for enrollment in post-secondary education programs.

OVR is now required to report on Individualized Education Program (IEP) meeting attendance for PA State Act 26. We have been running preliminary numbers looking at both the PETS Potentially Eligible IEP meetings (entered in the PETS Screens) that have been attended, as well as, the Open Case IEP meetings that have been attended (entered on the Case CPN Screens). To help improve reporting on the number of IEP meetings attended by OVR staff, a future CWDS release enhancement will automatically default the Case Progress Note Type to PETS Student Services CPN for individuals with the student indicator. When added, the Case CPN PETS Service Student IEP meeting function will help improve reporting accuracy of IEP meeting attendance and demonstrate all the good work staff are doing for students with disabilities in collaboration with LEAs. Until then, staff are encouraged to change CPN note type to PETS Student Service CPN and select IEP meeting when appropriate. OVR staff continue to develop the infrastructure and procedures to deliver effective services that are allowable under the PETS requirements.

OVR has about 130 provider agreements for PETS and about 30 Innovation and Expansion Projects related to PETS delivery. All PETS provider agreements require providers to enter the student information that is required by the RSA 911. They also indicate the total number of days and hours at the student attended PETS so that OVR can provide accurate payment for services. OVR completes quarterly monitoring with its contractors as well.

In May 2017 a webinar between OVR and the Department of Education's Bureau of Special Education, Pennsylvania Training and Technical Assistance Network (PaTTAN) focused on agency collaboration, coordination, and on how to assist transition age students in accessing and engaging in PETS during the 2017-18 school year. The webinar provided a comprehensive review of the many aspects of OVR with education, including an overview of the VR process, Early Reach, business services, HGAC and Specialized Children's Services in BBVS.

2017 PA Community on Transition Conference, Pathways to Success-Transitioning into Tomorrow Together, was held at the Penn State Conference Center & Hotel State College, PA in August 2017. This year's pre-conference theme, targeted for OVR staff, is "The Ever-Changing Landscape of VR in Pennsylvania" and will focus on WIOA and its impact on service delivery. More than 30 conference proposals/sessions were presented by OVR staff, in collaboration with our partners, particularly school districts, in promoting the successful transition of youth/young adults with disabilities to post-school outcomes of employment, post-secondary education and training, community participation and healthy lifestyles.

A three-year contract (7/2017-6/2020 with two single year renewals) will continue to bring a Mobile FABLAB experience to OVR customers, including students and youth with disabilities, comprised of off-the-shelf, industrial-grade fabrication and electronic tools used to demonstrate a hands-on-approach to Science, Technology, Engineering & Math (STEM) applications. The two providers of the Mobile FABLAB experience are Carnegie Science with a statewide contract and the IU#1 with a regional contract. In FFY 2016-17, OVR hosted FABLAB at the Transition Conference, the PADES Conference and the Summer Stem Academy at the Hiram G. Andrews Center.

The Project SEARCH High School Transition Program is a unique, business-led, one-year school-to-work program that takes place entirely at the workplace. Total workplace immersion facilitates a seamless combination of classroom instruction, career exploration, and hands-on training through worksite rotations. Project SEARCH began in Pennsylvania through an RSA grant and ten (10) sites were started over the five-year grant program. Currently OVR has 14 student sites and 3 young adult sites. In September 2017, two additional sites opened: Glaxo Smith Kline in Collegeville, PA and UPMC Jameson in Lawrence County (I&E project). In 2018, OVR will add new sites at Wayne Memorial Hospital, Penn State Physician's Group (Reading), and Mercyhurst University (Autism Curriculum). Glaxo Smith Kline and Kalihari Resorts have already indicated their wish to replicate and this will add an additional site to Philadelphia and Pocono Summit, respectively in the 2018-19 school year. Additionally, OVR will have two sites dedicated to serving those on the autism spectrum (Drexel University and Mercyhurst University).

Project SEARCH statistics kept by the Cincinnati Hospital indicate that as of the close of the 2015-16 school year, OVR had served 529 students. We have a 91.1 percent completion rate and an 80 percent placement rate. The placement rate is determined at the end of the Project SEARCH yearly program. It does not reflect those students who were placed after they had completed the Project SEARCH program.

In 2017, the National Project SEARCH conference was held at the Kalahari Resort and Convention Center in Pocono Summit, PA. This year's conference was attended by over 700 Project SEARCH team members from across the contiguous U.S., Alaska, Hawaii, England, Wales, and Scotland. This was the highest attendance figure in the conference's 11-year history.

### **Cooperative Agreements with Private Nonprofit Organizations**

**Describe the manner in which the designated State agency establishes cooperative agreements with private non-profit VR service providers.**

OVR maintains negotiated Letters of Understanding (LOUs) with more than 200 community service providers covering more than 1,000 different rehabilitation, independent living, and related services. Many of those organizations are private and/or not-for-profit community rehabilitation providers.

Over the course of the past year, OVR, Pennsylvania Academic, Career and Technical Training (PACTT) team members, and staff from the Bureau of Juvenile Justice Services (BJJS) joined together in development of a Memorandum of Understanding (MOU) to leverage state and federal funding to further support efforts. In May 2017, OVR received notification of final approval of the proposal and the result of that collaboration is access to \$3.36 million to be utilized exclusively for PACTT youth during the coming fiscal year. The partners will collaborate with PACTT Affiliate agencies and county juvenile probation offices to assist youth and students with disabilities who are involved with juvenile court. These funds may be applied to a wide range of services identified through Agency Support Plans, including but not limited to: the creation of summer programming, increased pre-employment transition services, paid work experiences, joint training programs, outreach to families and community partners focused on employment/education objectives, targeted curriculum and training development, and a host of other opportunities designed to expand innovative programming designs that aim toward improved education and employment outcomes for youth.

### **Arrangements and Cooperative Agreements for the Provision of Supported Employment Services**

*Describe the designated State agency's efforts to identify and make arrangements, including entering into cooperative agreements, with other State agencies and other appropriate entities in order to provide*

*supported employment services and extended employment services, as applicable, to individuals with the most significant disabilities, including youth with the most significant disabilities.*

OVR will coordinate with other State agencies such as the Office of Developmental Programs, Office of Mental Health and Substance Abuse Services, Office of Long Term Living, and other entities to provide supported employment services and extended employment services. OVR will continue to communicate and coordinate with these agencies to provide quality supported employment services and provide referrals and information regarding mutual customers. OVR will provide up to date information and training to state agencies and other entities to ensure the provision of supported employment services and extended services.

### **Coordination with Employers**

**Describe how the designated State unit will work with employers to identify competitive, integrated employment and career exploration opportunities in order to facilitate the provision of:**

- (1) VR services; and**
- (2) transition services, including pre-employment transition services, for students and youth with disabilities.**

The PA Department of Labor & Industry (L&I) Office of Vocational Rehabilitation (OVR) is routinely engaged with employer/business customers to better understand their workforce needs and how public VR can build our supply side of talent, that is how the job seekers' industry skills to meet the demands required to help our business customers to be more successful in competing in today's global marketplace.

OVR, with its state and local governmental partners, community service providers, and employers have created various innovative and successful program strategies to address employment challenges for its customers with disabilities. As a result, effective programs have been developed with nationally recognized employers such as SAP, Lowes, Starbucks, and Hershey, in addition to others.

OVR created and repurposed staff for the establishment of a stand-alone business services unit (BSOD). This has allowed OVR to build greater capacity for statewide business customer engagement in a dual customer model. These steps included:

- OVR has imbedded non-counseling business services staff to support the work of all vocational rehabilitation counselors in direct engagement with business to increase placement results for VR talent (customers).
- OVR created a bureau district office specific talent pipeline report to better understand the available customer talent pipeline and to align it with the needs of businesses for their workforce.
- OVR bureau district office counseling staff and business services teams, use a point of contact model to insure consistent communications with business customers replicated after the CSAVR VR-NET single point of contact model (SPOC). This allows our combined district office bureau staff to initiate and maintain local business engagement, while regional business services division specialists and the BSOD Chief introduce new business customers from referral sources with the VR-NET, PA workforce partners, or through general outreach activities.
- In establishing customer relationships with business OVR has focused on assisting employers with high volume jobs and, if a federal contractor, on referring qualified, pre-screened OVR talent for

all levels within their organization to meet U.S. Department of Labor Office of Federal Contract Compliance (OFCCP) aspirational goals to achieve a 7 percent person with disability (PwD) representation within their workforce units under Section 503 of the Rehabilitation Act of 1973.

- OVR has trained our business services combined bureau and BSOD staff on Americans with Disabilities Act (ADA) as amended leadership network modules from Cornell University through the mid-Atlantic ADA TransCen technical assistance center, for use in business consultation to create opportunities for employment, advancement within an organization and to create a workforce environment that is welcoming to all talent.

OVR has a strong tradition of collaboration with our colleagues in CareerLinks® and LWDBs. The following demonstrate increased level of collaboration between OVR and workforce various partners:

- OVR and the state WF partners have maintained a memorandum of understanding for vocational rehabilitation counselors to meet PwD in job centers, called CareerLinks® in PA. Both OVR and state Workforce CareerLinks® programs share a common data management system called Job Gateway.
- OVR and CareerLinks® business services staff collaborate on job fairs with employer partners and community rehabilitation agencies across all regions. Business services teams routinely communicate on providing VR and WF talent, requests for ADA compliance or accessibility consultation and disability etiquette training.
- OVR is working closely with the PA Department of Education and L&I's Apprenticeship office to identify post-secondary technical schools, community colleges, universities and local trade organizations that offer job training, micro and stackable skill credentials driven by employer demands for skilled workers.
- OVR considers both federal and state set aside community rehabilitation agency (CRP) job opportunities for VR customers when these positions/jobs pay competitive wages and offer employment and career advancement in integrated settings.

During the next two years OVR Business Services will continue to build employer engagement capacity through:

- Annual training for all combined bureau and the Commonwealth Technical Institute (CTI) at the Hiram G. Andrews Center (HGAC) business services staff on best practices and the common measures of joint Workforce (WF), OVR, and Title II Adult Education performance indicators.
- Implement the use of a collaborative WF, OVR CWDS/Job Gateway business design tool to communicate and document cross system business contacts, services and collaboration in supplying qualified pre-screened talent, on-boarding supports, accessibility consultation and disability etiquette information and training.
- Refinement of data collection on key measures of WIOA Indicator six performance measures of:
  - Repeat Business Customers (percentage of repeat employers using services within the previous three years).
  - Employer Penetration Rate (percentage of employers using services out of all employers in the State).

OVR continues to expand its collaboration with employers, Next Generation Sector Partnerships, and other multi-employer workforce partnerships to provide students and youth with disabilities opportunities for career exploration that would lead to competitive, integrated employment. Students and youth with disabilities will be given opportunities to complete work based learning experiences to develop soft skills and work-related skills. Students and youth with disabilities may be afforded opportunities to complete mock interviews with employers, job shadowing experiences, career days, disability mentoring days with employers, and other pre-employment transition services to prepare students for competitive employment. Last summer, the Pittsburgh BVRs rolled out the #MYWork Initiative: Municipalities & Youth Summer Employment Program, a pilot that matches high school students with disabilities to paid, summer work experiences in their communities.

Last Summer the SAP Autism at Work Enterprise Readiness Training Program 10 university students and four graduates completed a six-week paid summer apprenticeship. Throughout this apprenticeship program at SAP's headquarters in Newtown Square, made possible by the collaboration of the Arc of Philadelphia, SAP and OVR, participants, all of whom happen to have autism, learned the skills and strategies they need to be successfully employed at an international corporate enterprise, like SAP.

### **Interagency Cooperation**

**Describe how the designated State unit will collaborate with the State agency responsible for administering each of the following programs to develop opportunities for competitive, integrated employment, to the greatest extent practicable:**

- (1) the State Medicaid plan under title XIX of the Social Security Act;**
- (2) the State agency responsible for providing services for individuals with developmental disabilities; and**
- (3) the State agency responsible for providing mental health services.**

WIOA Section 511, which amends the Rehabilitation Act of 1973, added new requirements that place limitations on the payment of subminimum wages by entities holding special wage certificates under Section 14(c) of the Fair Labor Standards Act. The provisions of Section 511 went into effect two years after the enactment of the statute on July 22, 2016. As a direct result of WIOA, certain criteria must now be satisfied before an employer hires youth with disabilities who are age 24 or younger at subminimum wage or continues to employ individuals with disabilities of any age at the subminimum wage level. The purpose of Section 511 is to ensure that individuals with disabilities have access to information and services that will enable them to achieve competitive, integrated employment. Section 511 includes requirements for state VR agencies, subminimum wage employers, and local and/or state educational agencies, including specific requirements for youth prior to their participation in subminimum wage employment.

During the first year of employment at subminimum wage, the individual with a disability must receive career counseling, information, and referrals that facilitate independent decision-making and possible pursuit of other employment choices every six months. Additionally, the individual must also be informed of self-advocacy and peer mentoring training opportunities. After the first year, such counseling and information and referral services must be provided at least annually. OVR's Section 511 Career Information and Referral Sessions focus on: reassuring individuals that they do not need to make any changes; promoting informed choice and independent decision making about competitive, integrated employment; increasing awareness of OVR Services; and providing information about other agencies that can help.



Since December 2016, OVR's successful implementation of Section 511 has been conducted by providing the Career Information and Referral Sessions to all persons engaged in subminimum wage employment in PA. In addition, clerical support tracks 511 data and issues letters of attendance documentation to the participants. To date, over 160 facilities have been visited and 990 Career Information & Referral Sessions have been delivered to more than 9,950 participants.

The Pennsylvania Statewide Independent Living Council's (PA SCIL) is primarily funded through the U.S. Department of Health and Human Services Administration for Community Living, and collaborates with the 17 PA Centers for Independent Living (CILs) and other disability organizations across the commonwealth to promote independent living for individuals with disabilities. Last year, PA SILC and the CILs collaborated on initiatives with OVR to employer youth and students with disabilities to access employment opportunities and post-secondary education. At the Early Reach Academy, PA SILC Executive Director and Disability Empowerment Center (DEC) Executive Director participated on a panel of successfully employed adults who have disabilities. Each of the panel members shared their personal stories, expertise and experiences in an open-ended discussion with students how happen to have disabilities and are preparing to attend college.

In June 2016, PA SILC, OVR and DEC were three of the partners who participated in a Youth Transition Summit at Millersville University, where high school students with disabilities had the opportunity to hear from representatives from the PA Department of Human Services, the Arc of Lancaster County, Goodwill Keystone, Self-Determination Housing Project of PA, Lebanon Transit, Red Rose Transit Authority, PA SILC, DEC, OVR and local providers about the resources, tools and services available for successful transition to employment and independence.

### **Comprehensive System of Personnel Development; Data System on Personnel and Personnel Development**

**(Formerly known as Attachment 4.10) Describe the designated State agency's procedures and activities to establish and maintain a comprehensive system of personnel development designed to ensure an adequate supply of qualified State rehabilitation professional and paraprofessional personnel for the designated State unit, including the following:**

#### **1. DATA SYSTEM ON PERSONNEL AND PERSONNEL DEVELOPMENT A. QUALIFIED PERSONNEL NEEDS**

**Describe the development and maintenance of a system for collecting and analyzing on an annual basis data on qualified personnel needs with respect to:**

- (i) the number of personnel who are employed by the State agency in the provision of VR services in relation to the number of individuals served, broken down by personnel category;**
- (ii) the number of personnel currently needed by the State agency to provide VR services, broken down by personnel category; and**
- (iii) projections of the number of personnel, broken down by personnel category, who will be needed by the State agency to provide VR services in 5 years based on projections of the number of individuals to be served, including individuals with significant disabilities, the number of personnel expected to retire or leave the field, and other relevant factors.**

As of December 31, 2016, OVR had 1,162 filled salaried positions and 135 vacancies. This figure includes 232 filled salaried positions at the Hiram G. Andrews Center (HGAC). Statewide, OVR had a total of 394 filled vocational rehabilitation (VR) counselor positions, 14 Vision Rehabilitation Therapist positions, and



7 Orientation and Mobility Specialists positions. The current complement of VR Counselors requires that OVR maintain an Order of Selection to adequately serve and meet the current needs of eligible VR customers. (Data provided is from a District Office survey conducted in January 2017 and complement report dated March 2017.)

- VR Counselor: 375 filled positions; 29 vacancies; 92 projected vacancies in next five years
- VR Counselor Deaf and Hard of Hearing: 15 filled positions; 2 vacancies; 4 projected vacancies in next five years
- VR Counselor Placement: 4 filled positions; 0 vacancies; 1 projected vacancy in next five years
- Vision Rehabilitation Therapist: 14 filled positions; 4 vacancies; 3 projected vacancies in next five years
- Orientation and Mobility Specialists: 7 filled positions; 3 vacancies; 6 projected vacancies in next five years

OVR projects that in the next five years, approximately 97 VR Counselors will become eligible to retire. This will be an average of 19 VR Counselors retiring per year. However, additional numbers of new VR Counselors will be needed due to promotions of VR Counselors to higher positions within OVR. OVR projects that an average of 20-25 new VR Counselors will be hired per year in the next 5-10 years. To assist with recruitment of VR Counselors, OVR plans to continue its expanded utilization of the “VR Counselor Internship” Program to attract qualified VR Counselors during their last semester of graduate school. There is expected to be an adequate number of VR Counselor candidates to fill vacancies over the next 5-10 years. However, certain locations within the state may require targeted recruitment efforts at any given time.

During FFY 2016, 28,051 individuals applied for VR services, 17,261 individuals were found eligible for VR services, and approximately 41,180 individuals received VR services from OVR. As a result, 8,438 individuals were placed in employment in FFY 2016. In the same period, 3,742 individuals received Independent Living Older Blind Services and 872 individuals were referred to the Hiram G. Andrews Center.

## **B. PERSONNEL DEVELOPMENT**

**Describe the development and maintenance of a system for collecting and analyzing on an annual basis data on personnel development with respect to:**

- (i) a list of the institutions of higher education in the State that are preparing VR professionals, by type of program;**
- (ii) the number of students enrolled at each of those institutions, broken down by type of program; and**
- (iii) the number of students who graduated during the prior year from each of those institutions with certification or licensure, or with the credentials for certification or licensure, broken down by the personnel category for which they have received, or have the credentials to receive, certification or licensure.**

CORE/CACREP-accredited university programs in Pennsylvania include: Pennsylvania State University, the University of Pittsburgh, the University of Scranton, and Edinboro University of Pennsylvania. These programs graduate approximately 52 students per year with a Master’s Degree in Rehabilitation Counseling.

<b>Institution</b>	<b>Students Enrolled</b>	<b>Employees sponsored by agency and/or RSA</b>	<b>Graduates sponsored by agency and/or RSA</b>	<b>Graduates from the previous year</b>
Penn State University	11	6	0 OVR & 6 RSA	19
University of Pittsburgh	32	0	0 OVR & 0 RSA	14
Edinboro University of PA	12	0	0 OVR & 0 RSA	9
University of Scranton	19	0	0 OVR & 0 RSA	10

By providing the number of individuals who have obtained a master's degree in rehabilitation counseling, we are suggesting that this is also the number of personnel who are eligible for certification (CRCC) or licensure.

## **2. PLAN FOR RECRUITMENT, PREPARATION AND RETENTION OF QUALIFIED PERSONNEL**

**Describe the development and implementation of a plan to address the current and projected needs for qualified personnel including, the coordination and facilitation of efforts between the designated State unit and institutions of higher education and professional associations to recruit, prepare, and retain personnel who are qualified, including personnel from minority backgrounds and personnel who are individuals with disabilities.**

In addition to the 52 available students graduating from in-state graduate degree programs, Pennsylvania OVR can recruit students from CORE/CACREP-approved and other universities that offer a Master's Degree in Rehabilitation Counseling nationwide. Pennsylvania residency requirements are waived under the State Civil Service Commission for the VR Counselor Internship position and other VR Counselor classifications in OVR. This waiver provides OVR with an opportunity to recruit students nationwide and offer paid VR Counselor Intern positions to interested master's degree students who are in their final semester of graduate school. This paid internship allows OVR to attract and recruit candidates for VR Counselor positions who have a Master's Degree in Rehabilitation while they are still in school and before they are recruited by other agencies. The residency waiver and the paid internship position also assist in OVR's efforts to recruit and hire individuals with disabilities and those from diverse and minority backgrounds.

OVR's VR Counselor Internship program continues to serve as an example amongst state VR agencies that seek to attract rehabilitation counseling students for employment. While surrounding states offer internship opportunities to students with competitive compensation, none within the northeastern region offer permanent positions to interns upon satisfactory completion of the probationary period and/or successful completion of the rehabilitation counseling graduate degree.

Through collaborative initiatives with higher education institutions, OVR will continue to expand recruitment and outreach to students with disabilities for entry-level VR Counselor positions. Collaboration with universities on federal RSA grant applications that seek to provide scholarship opportunities for students with disabilities will further this initiative. This includes supporting the efforts of university programs to apply for and implement Long Term Training Grant programs to train VR

Counselors to work for the public VR program in Pennsylvania. In addition, OVR will continue the following activities as part of its overall VR Counselor recruitment initiative:

- Expand and maintain OVR's partnership with Historically Black Colleges and Universities (HBCU's) that offer a Master's Degree Rehabilitation Counseling Program.
- Maintain appointed membership on Coppin State University (CSU) and the University of Maryland Eastern Shore (UMES) Rehabilitation Counseling Program Advisory Committees.
- Maintain active membership with the National Association of Multicultural Rehabilitation Concerns (NAMRC) and the National Council on Rehabilitation Education (NCRE).
- Visit colleges, universities, and appropriate job fairs in conjunction with the Office of Equal Opportunity in the PA Department of Labor & Industry recruit potential job applicants who are from diverse cultural backgrounds and applicants with disabilities.

Orientation and Mobility Specialists and Vision Rehabilitation Therapists: Accredited university programs in Pennsylvania that train Orientation and Mobility Specialists and/or Vision Rehabilitation Therapists include: Salus University, the University of Pittsburgh's Vision Studies Program, and Kutztown University. These programs graduate a combined total of approximately 40 students per year. Graduates of these approved university programs are eligible for certification in Vision Rehabilitation Therapy or in Orientation and Mobility from the Academy for the Certification of Vision Rehabilitation and Education Professionals (ACVREP). In addition, OVR can recruit and hire Vision Rehabilitation Therapists and Orientation and Mobility Specialists who are being trained at universities nationwide and who reside outside of Pennsylvania.

Civil service test announcements for the Orientation and Mobility Specialist (O&M) Intern and Vision Rehabilitation Therapist (VRT) Intern positions were made available in July 2015. The Bureau of Blindness and Visual Services now offers paid internships for O&M and VRT students during their last semester of study. The O&M and VRT hourly wage is equivalent to the VRC Intern at Group 5 Step 1. This initiative will likely increase the candidate pool of available O&M and VRT professionals who are available for employment with OVR BBVS upon successful completion of their academic training.

OVR continues to use creativity in outreach strategies to attract and recruit new VR professionals nationwide. Several new initiatives have been added to already successful practices that have increased OVR's recruitment visibility.

- Palm card advertisements are a quick reference to showcase employment opportunities and attract potential applicants during career and internship fairs, conferences, and university presentations.
- The S.M.A.R.T. (social, media, alternative recruitment tool) Campaign was launched in August 2016 to increase visibility on a variety of professional positions (Vocational Rehabilitation Counselor, Orientation & Mobility Specialist, Vision Rehabilitation Therapist, Rehabilitation Counselor for the Deaf, Early Reach Coordinator, and Business Services Representatives). The campaign motto is "Our Journey Equals Your Success." Select OVR staff were video recorded to share the message of their journey within OVR and these recordings can be found on the OVR Facebook page at <https://www.facebook.com/PAVocRehab/>.
- OVR partnered with the CRCC-ASPIRE (Commission on Rehabilitation Counselor Certification) and AER (Association for Education and Rehabilitation of the Blind and Visually Impaired) Job Exchanges to advertise key positions. Participation in these activities has increased our exposure and allowed our dedicated Recruitment Specialist to connect with additional candidates outside of our traditional focus.

- Quarterly electronic correspondence continues between OVR and CACREP/CORE and the ACVREP programs to attract entry level and intern opportunities.
- OVR continues close collaborations with the PA State Civil Service Commission and PA Bureau of State Employment to announce internship opportunities through regular announcements that feature information on salary and benefits.
- “New Staff Orientation” training continues to be a popular program to acclimate new employees with the Hiram G. Andrews Center (HGAC), OVR’s training facility, located in Johnstown PA. Participation in this program also provides an opportunity for interaction between employees and to promote a sense of inclusion.
- OVR’s Annual Report for 2016-2017 also features a variety of internship and entry level opportunities to attract potential candidates.

### **3. PERSONNEL STANDARDS**

**Describe the State agency's policies and procedures for the establishment and maintenance of personnel standards consistent with Section 101(a)(7)(B) and to ensure that designated State unit professional and paraprofessional personnel are adequately trained and prepared, including:**

**(i) standards that are consistent with any national or State-approved or -recognized certification, licensing, registration, or other comparable requirements that apply to the profession or discipline in which such personnel are providing VR services; and**

Section 101(a)(7) of the Rehabilitation Act, as amended, or the Comprehensive System of Personnel Development (CSPD), requires that State VR agencies establish personnel standards for rehabilitation staff, including VR Counselors, that are consistent with any national or state-approved or recognized certification, licensing or registration that applies to a specific profession. The purpose of an agency’s CSPD is to ensure the quality of personnel who provide VR services and who assist individuals with disabilities to achieve competitive employment outcomes through the VR program.

In 2002, OVR raised the entry-level requirements for VR Counselor positions to reflect the requirements established by the Commission on Rehabilitation Counselor Certification (CRCC) for Certified Rehabilitation Counselors (CRC). Specifically, all newly hired VR Counselors are required to possess a Master’s Degree in Rehabilitation Counseling, Rehabilitation Administration or Rehabilitation Education, or CRC credentials or documented proof from CRCC of eligibility to obtain CRC credentials. The revised Civil Service Announcement for VR Counselor positions became effective in October 2002. As of January 2017, a total of 742 VR Counselors and VR Counselor Interns were hired under the new entry-level requirements. All 742 new VR Counselors and Interns met CSPD standards for qualified rehabilitation professionals upon being hired.

The change in entry-level standards for VR Counselors, requiring a Master’s Degree in Rehabilitation or CRC credentials or CRC eligibility, includes the following components that seek to ensure an adequate supply of VR Counselors to meet OVR’s staffing needs for the next 5-10 years: Waiver of Residency; Review of Experience and Training; Designated Recruitment Specialist; Paid Internship Program; Salary Incentives for VRCs and VRC Interns hired to work in Norristown and Philadelphia District Offices; and Salary Incentives for VRCDHHs hired in offices statewide.

OVR continues to maintain its primary standards in hiring candidates with Master’s Degree in the mentioned fields; however, to assist with vacancies, OVR has collaborated with Human Resources, the

Office of Administration and Pennsylvania State Civil Service in developing a new job classification, Vocational Rehabilitation Counselor Trainee and Vocational Rehabilitation Counselor Trainee, Deaf and Hard of Hearing. Graduation from an accredited college or university with a master's degree in social work, clinical mental health counseling, community counseling, career counseling, psychology, disability and human development, special education, or rehabilitative science, which includes three graduate credits in theories and techniques of counseling, is required for this classification. Pennsylvania residency is also requirement. An employee in this job will receive formal and on-the-job training designed to develop technical skills and knowledge in rehabilitation counseling. An employee may initially provide counseling services of limited scope and difficulty to individuals with disabilities who are customers of OVR to prepare for and engage in competitive, integrated employment consistent with their unique strengths, priorities, concerns, abilities, interests, and informed choice. Training and development activities will be directed by a VR Supervisor who assures that trainee progress is monitored and that scheduled activities develop competence in conformance with federal regulations, departmental policies and procedures, and in the specialized area of vocational rehabilitation. Upon successful completion of the training program, trainees will be promoted to the VR Counselor position.

This classification continues to be under development with a projected posting in mid-Fall of 2017 or early Spring 2018.

**(ii) the establishment and maintenance of education and experience requirements, to ensure that the personnel have a 21st century understanding of the evolving labor force and the needs of individuals with disabilities.**

OVR ensures that personnel have a current understanding of the evolving labor force and the needs of individuals with disabilities through staff training, professional development, and continuing education on Labor Market Information (LMI) and trends, as well as, training on assessment, rehabilitation technology, Social Security work incentive programs, including programs under the Ticket to Work Incentives Improvement Act of 1999, training to facilitate informed choice under this program, and training to improve provision of services to culturally diverse populations.

#### **4. STAFF DEVELOPMENT**

**Describe the State agency's policies, procedures, and activities to ensure that, consistent with Section 101 (a)(7)(C) of the Rehabilitation Act, all personnel employed by the designated State unit receive appropriate and adequate training in terms of:**

**(i) a system of staff development for professionals and paraprofessionals within the designated State unit, particularly with respect to assessment, vocational counseling, job placement, and rehabilitation technology, including training implemented in coordination with entities carrying out State programs under Section 4 of the Assistive Technology Act of 1998; and**

**(ii) procedures for the acquisition and dissemination of significant knowledge from research and other sources to designated State unit professionals and paraprofessionals.**

OVR is committed to offering a plan for a comprehensive system of staff development and training. The goal is to ensure staff development for OVR personnel in areas essential to the effective management of OVR's program of VR services. OVR will continue to provide for the training and development of personnel necessary to improve their ability to provide VR services leading to employment outcomes for individuals with disabilities, especially those with the most significant disabilities.

With the implementation of WIOA, training topics include:

- Functional Limitations, Employment Implications, and Accommodations;
- Vocational Assessment: Tools, Interpretation, and Application;
- Counseling and Guidance;
- Caseload Management, Case Practices, and Service Planning;
- Employer Engagement, Job Development and Job Placement;
- Diversity, Inclusion, and Multicultural Competency; and
- Leadership Development.

In addition, OVR is committed to focusing on certain priorities identified as “Customer Service,” “Ethics,” “Confidentiality,” and “Transition” as they relate to the primary training topics outlined by WIOA. Beginning in January 2016, OVR delivers a monthly WIOA Wednesday Videoconference Series to update staff on a variety of WIOA changes and topics of interest. OVR’s Supervisor Training Initiative returned in 2017, following a one-year hiatus.

### **Acquisition and Dissemination of Significant Knowledge**

As in past years, as part of developing a training plan, OVR will complete an annual internal survey to determine the training needs of professional and paraprofessional staff.

OVR will capitalize on the in-house expertise offered by existing agency staff to share policy and procedure updates and information about best practices. To ensure that OVR’s personnel receive significant knowledge from research and other state-of-the-art methodologies, OVR seeks out and provides presentations by university faculty experts in the field of rehabilitation, and statewide and nationally known speakers at in-service training programs. In addition, OVR supports various out-service training opportunities and coordinates efforts with various professional associations such as the Pennsylvania Workforce Development Association, Pennsylvania Association of Rehabilitation Facilities, National Association of Multicultural Rehabilitation Concerns, Pennsylvania Rehabilitation Association, and the Association for Education and Rehabilitation of the Blind and Visually Impaired to train and retain qualified personnel.

Beginning in 2016, OVR led interagency training efforts through Experience the Employment Connection (EEC): Possibilities in Action. Continuing in 2017, EEC is a joint training initiative between the OVR, the Office Developmental Programs (ODP), the Office of Mental Health and Substance Abuse (OMHSAS), and the PA Department of Education’s Bureau of Special Education. Driven by the Governor’s Executive Order on Employment First, EEC’s goal is to increase competitive, integrated employment for Pennsylvanians with disabilities by connecting professionals across systems. Participants learn about staff roles, policies, procedures, funding requirements, emerging practices.

OVR ensures that personnel have a current understanding of the evolving labor force and the needs of individuals with disabilities through staff training, professional development, and continuing education on Labor Market Information (LMI) and trends. In 2016, PA OVR was selected as one of 12 state VR agencies to receive intensive Technical Assistance through the Job-Driven Vocational Rehabilitation Technical Assistance Center (JD-VRTAC) to learn about and implement job-driven practices. OVR worked on a two-part project in-line with JD-VRTAC goals and WIOA priorities.

One portion of this project focused on Integrating LMI into the VR Process. The goal was to equip field staff with the knowledge to blend existing information about LMI trends with customer abilities,

limitations, and interests to develop appropriate job goals, with the hope of increasing successful long-term placements.

With Intensive Technical Assistance, a detailed Project was developed and modified as needed. OVR staff collaborated with the PA Center for Workforce Information and Analysis (CWIA) regarding available LMI tools and offered recommendations regarding valuable products and website redesign. PA OVR staff completed a survey regarding current LMI Awareness and Use to inform the training content. A two-hour videoconference was delivered in May 2016. A post-test version of the survey was administered to measure changes.

Survey response data point to the effectiveness of the LMI training in several areas, however there were some challenges that impacted data analysis. Still, there was nearly a 50 percent decrease occurred in the proportion of respondents who indicated they “Disagree” or “Strongly Disagree” with the statement, “Labor Market Information (LMI) should be included in vocational planning with OVR customers.” There was nearly a 4 percent increase occurred in the proportion of respondents who indicated they are familiar with the PA Workstats website from CWIA. There was more than a 6 percent increase in the proportion of respondents who indicated they use CWIA’s PA Workstats website as a resource for vocational planning with OVR customers. Statewide staff received suggestions for application for each agency role and worked together to brainstorm new ideas.

This videoconference session was recorded and archived. It is available through the online OVR Training Portal for use as a repeat, refresher, or training option for new PA OVR staff.

The goal of Part 2, Increasing Work-Based Learning Experiences for Students with Disabilities through Employer Engagement, was to prepare staff to engage in an informed, open dialogue with an employer to reach individualized solutions for hiring minors to increase paid work-based learning experiences for high school students with disabilities.

With Intensive Technical Assistance, a detailed Project was developed and modified as needed. Nine (9) representatives from local businesses participated in a business roundtable discussion to give input about the benefits and challenges that businesses face when hiring students with disabilities who are under the age of 18. This input informed the training curriculum with topics including: work hours and breaks, prohibited occupations, confidentiality, clearances, state licensing requirements, civil service restrictions, union environments, and other general concerns. Statewide staff received suggestions for addressing each type of concern and worked together to brainstorm new ideas.

A two-hour videoconference was delivered in June 2016. This videoconference session was recorded and archived. It is available through the online OVR Training Portal for use as a repeat, refresher, or training option for new OVR staff, particularly Business Service Representatives focused on working with the student population.

A similar session is scheduled as part of the 2017 PA Community on Transition Conference: Pathways to Success-Transitioning into Tomorrow Together in August 2017.

#### **Personnel to address individual communication needs**

OVR plans to continue efforts to work with the blind and deaf communities to recruit and hire qualified individuals who are deaf, blind, deaf-blind and hard of hearing. This includes recommendations and assistance provided by the OVR Advisory Committee for Persons who are Deaf and Hard of Hearing and the OVR Advisory Committee for the Blind of Pennsylvania. In addition, OVR has a Rehabilitation Specialist assigned to coordinate the Deaf, Hard of Hearing and Deaf-Blind Program in OVR. This specialist assists

with recruitment and outreach to these special populations to attract qualified OVR candidates for employment.

**Coordination of Personnel Development Under the Individuals with Disabilities Education Act. As appropriate, describe the procedures and activities to coordinate the designated State unit's comprehensive system of personnel development with personnel development under the Individuals with Disabilities Education Act.**

Training on OVR's Transition policy will be provided for the benefit of new counselors and Early Reach Coordinators (ERCs). The emphasis will be on their roles and responsibilities as Transition Counselors and ERCs and their interactions with OVR's partners and stakeholders across the state.

The Transition Resource Manual has been placed on an internal computer drive and is available for viewing and reference by all OVR staff. Updates and additions will be made as necessary.

New transition brochures have been forwarded to be posted on the Pennsylvania Training and Technical Assistance Network (PaTTAN) website, [secondarytransition.org](http://secondarytransition.org). Information on OVR initiatives will be forwarded as they become available. Future updates to new and existing brochures and informational handouts will be developed and posted on the internal drive and [secondarytransition.org](http://secondarytransition.org) as necessary.

Mandated Reporter Training is available online through the PA Department of Human Services and Rehabilitation & Community Providers Association. The training is required for any OVR personnel working with youth. Throughout 2016, many OVR District Offices welcomed the Pennsylvania Family Support Alliance (PFSA) for an in-person, 3-hour workshop entitled Recognizing and Reporting Child Abuse.

OVR Counselors will continue to be encouraged to participate in local Transition Council meetings so that they may be of service to the families, students and professionals.

OVR will promote training opportunities provided by PA Department of Education and other transition partners as a means of broadening the scope of topics available to OVR staff.

### **Statewide Assessment**

- (1) Provide an assessment of the rehabilitation needs of individuals with disabilities residing within the State, particularly the VR services needs of those:**
  - a. with the most significant disabilities, including their need for supported employment services;**
  - b. who are minorities;**
  - c. who have been unserved or underserved by the VR program;**
  - d. who have been served through other components of the statewide workforce development system; and**
  - e. who are youth with disabilities and students with disabilities, including, as appropriate, their need for pre-employment transition services or other transition services.**
- (2) Identify the need to establish, develop, or improve community rehabilitation programs within the State; and**
- (3) Include an assessment of the needs of individuals with disabilities for transition career services and pre-employment transition services, and the extent to which such services are**



coordinated with transition services provided under the Individuals with Disabilities Education Act .

### **Findings from Comprehensive Needs Assessment for FY 2016**

In May 2010, the U.S. Department of Education Rehabilitation Services Administration (RSA) issued guidance, “Developing a Model Comprehensive Statewide Needs Assessment (CSNA) with Corresponding Training Materials for State VR Agency Staff and SRC Members (Guidance).” This current CSNA reflects the 2010 Guidance. In its Introduction, the Guidance outlines the scope and focus of the CSNA:

The Rehabilitation Act of 1973, as amended (Act) calls for periodic comprehensive statewide needs assessments to be conducted jointly by each state’s vocational rehabilitation (VR) agency and State Rehabilitation Council (SRC) to inform the State Plan. The Act is specific regarding areas that a needs assessment should address. In addition to the overall need for rehabilitation services in the state, the Act focuses on several VR subpopulations and services: individuals with the most significant disabilities, including those in need of supported employment; unserved and underserved individuals, including minorities; individuals served by other parts of the statewide workforce investment employment system; and establishment, development or improvement of community rehabilitation programs (CRPs).

### **Overview of Pennsylvania**

Pennsylvania, the second largest of the seven Middle Atlantic States, is the 33rd most extensive (46,056 square miles), the 6th most populous (12,802,503 according to Census 2015 estimate), and the 9th most densely populated (284.3 per square mile) of the 50 United States. Of its population, 1,671,703 or 13.3 percent of Pennsylvanians identified themselves as having a disability (American Community Survey 2014). In the 67 counties in Pennsylvania, 48 counties or 71.7 percent of counties are considered rural with a population density of 284 persons per square mile or less, while 19 counties are considered urban (28.3 percent) ([www.rural.palegislature.us](http://www.rural.palegislature.us)).

### **Current Policy Updates**

Two major policy and funding changes that took place in Pennsylvania over the past fiscal year in relationship with Vocational Rehabilitation and employment for individuals with disabilities have created a new frame within which the CSNA has been conducted.

### **Executive Order 2016-03**

Executive Order 2016-03, Establishing “Employment First” Policy and Increasing Competitive, integrated Employment for Pennsylvanians with a Disability, signed by Governor Tom Wolf in March 2016 has created policies and procedures for Pennsylvania to become an “Employment First” commonwealth as a model state with a climate and culture of competitive, integrated employment for individuals with disabilities. The executive order outlines the following major policy changes:

1. “Employment First” is the policy of all Commonwealth executive branch agencies under the jurisdiction of the Governor. This policy reflects the Commonwealth’s goal of making the Commonwealth of Pennsylvania a model state when it comes to creating a climate hospitable to workers with a disability.
  - a. The definition of Employment First is that competitive, integrated employment is the first consideration and preferred outcome of publicly-funded education, training, employment and related services, and long-term supports and services for working-age

Pennsylvanians with a disability, as “disability” is defined in each agency’s governing statutes and rules

- b. The definition of competitive, integrated employment for purposes of this Executive Order is the definition contained in the WIOA, which is work performed on a full or part-time basis (including self-employment) for which a person is:
  - (1) Compensated at not less than federal minimum wage requirements or State or local minimum wage law (whichever is higher) and not less than the customary rate paid by the employer for the same or similar work performed by people without a disability;
  - (2) At a location where the employee interacts with people without a disability (not including supervisory personnel or people who are providing services to such employee); and
  - (3) Presented, as appropriate, opportunities for similar benefits and advancement like those for other employees without a disability and who have similar positions.
2. The Office of Administration will explore the means to reduce barriers to Commonwealth employment for Pennsylvanians with a disability.
3. The Secretaries of the Departments of Education, Human Services and Labor and Industry, working with other Commonwealth agencies or executive office officials as appropriate, will develop a written plan to address the implementation of the following goals:
  - a. Implement Employment First as the policy of all Commonwealth executive branch agencies;
  - b. Align funding, policy, data collection, and practice toward an emphasis on competitive, integrated employment rather than non-competitive, non-integrated employment; and
  - c. Increase the number of Pennsylvanians with a disability employed in a competitive, integrated job.
4. Progress toward meeting these goals is to be measured by gathering and evaluating, as permissible by law and as available:
  - a. The labor participation rate and unemployment rate of Pennsylvanians with a disability
  - b. The number and percentage of Pennsylvania youth with a disability who successfully complete at least one paid community integrated work experience or community integrated work-based learning experience before exiting secondary education;
  - c. The number and percentage of Pennsylvania youth with a disability who transition from secondary education to adult life with a competitive, integrated job or an integrated post-secondary education or training opportunity intended to lead to a competitive, integrated job;
  - d. The number and percentage of Pennsylvanians with a disability employed in a competitive, integrated job who are participants in a Medicaid-funded or state-only funded program that provides home and community-based services; and
  - e. The number of private-sector businesses that have been provided requested technical assistance with the recruitment, hiring and retention of qualified Pennsylvanians with a disability.
5. The plan described in Section 3, of this Executive Order, will be developed with broad stakeholder input including but not limited to people with a disability, the Governor’s Advisory Committee for People with Disabilities, potential employers, and disability advocacy groups.

While this order has taken effect, many government agencies are still finalizing their plans to comply and setting up new procedures and initiatives. We look forward to utilizing this legislation in our

continued analysis of the vocational rehabilitation needs of stakeholders, both job seekers and employers, in Pennsylvania.

### **WIOA Combined State Plan and the Expansion of OVR's Early Reach Program**

On July 22, 2014, President Obama signed WIOA into law, which is designed to “help workers, including workers with disabilities, access employment, education, job-driven training, and support services that give them the chance to advance their careers and secure the good jobs of the future.” WIOA’s major changes to the Rehabilitation Act include increased role in transition; limitations on the use of subminimum wages; requirement for formal cooperative agreement between VR and State Medicaid and Intellectual and Developmental Disabilities agency (the PA Office of Developmental Programs); movement of federal programs; definition of competitive, integrated employment; definition of customized employment; changes in the definition of supported employment; focus of supported employment state grants on youth; technical assistance for post-secondary education; funding of One-Stop infrastructure; role of VR in One-Stop system; and an increased emphasis on role of general workforce development system.

The WIOA Combined State Plan has led directly to the expansion of OVR's Early Reach Program. To assist youth with disabilities to better prepare for their transition into the world of work and independence, OVR created a program called Early Reach in 2013. OVR first introduced the Early Reach program in August 2013 in the DuBois, Harrisburg, Norristown, Philadelphia, and Wilkes-Barre district offices when one (1) Early Reach Coordinator (ERC) was hired for each office location. By the end of 2014 the program was expanded to all OVR districts. The Early Reach Coordinator works with youth with disabilities, their parents, schools, and community agencies. The purpose is to connect with youth at a younger age (beginning at age 14) so that they are more familiar with OVR services and can begin earlier to consider vocational options. Prior to the passing of WIOA the Early Reach program included: Assessment, Counseling and Guidance, Employment and Training Planning and AT Evaluation.

The passing of WIOA and the bringing together of the Office of Developmental Programs (OPD) along with OVR to invest in workforce development has resulted in increased funding for individuals with intellectual and developmental disabilities. ODP agreed to contribute \$500,000 which will become \$2.3 million for OVR with the allotted federal matching structure. With this increased funding targeted at school age youth, the Early Reach program has gone from 17 local coordinators to 27 local coordinators. In addition to the Early Reach Program, it now offers enhanced services for school age youth both in group settings (group outreach services) and as individual customers (individual outreach services). Pre-employment Transitions Services (PETS) now include: Job exploration counseling; Work based learning experiences; Counseling on post-secondary education; Workplace readiness training; and Instruction in self-advocacy. There are currently 77 PETS agreements signed with OVR and the changes and new services being developed under the expanded Early Reach program are just getting under way and will lead us to continued data gathering, analysis and findings the CSNA period continues.

### **Data Gathering**

The RSA Guidance requires that information be obtained through both primary and secondary data collection. During this first year of the three-year CSNA data collection and analysis cycle, both quantitative and qualitative data collection tools were designed and submitted to the CSNA Steering Committee. Quantitative data collection tools included targeted online surveys and secondary data sources included the American Community Survey, Current Population Survey, Behavioral Risk Factor Surveillance Survey, disability population state estimates, population projections and economic forecasts, VR agency data and information, state level data from federal sources, state/local data and reports, and

literature review. Primary data surveys targeted individuals with disabilities, including students and youth, advocates, employers, employment support professionals/providers, and community service professionals, and the Pennsylvania Office of Vocational Rehabilitation (OVR) staff. These surveys included close-ended and open-ended questions to gather the most relevant and informative data. Some of these surveys will be disseminated through OVR's mailing list while others will be accessible online through Survey Monkey® to ensure accessibility across the commonwealth.

Qualitative data included key informant interviews with individuals with disabilities, minorities, youth and students, employers, OVR staff, workforce professionals, and representation from community rehabilitation programs (CRPs) as well as site visits to VR District Offices. The primary data were collected throughout the Commonwealth between August 18, 2015 and June 30, 2016. Additional qualitative and quantitative data will be collected over the next two years, including facilitation of focus groups with stakeholders across the Commonwealth. This data will continue to inform the RSA report and a second and final iteration of the report will be done in FY 2018. The sections below represent findings from the deliverables performed in the 2016 FY and will be reviewed, updated, and expanded with utilization of the primary and secondary data gathered in FY 2017 and FY 2018.

#### **1A. Individuals with most significant disabilities, including their need for supported employment services**

Individuals with the most significant disabilities include individuals whose disability significantly limits at least three areas of functional capacity, including mobility, self-care, work tolerance, interpersonal skills, work skills, communication, and self-direction (29 USCS 705(21)A, Title 29. Labor; Chapter 16. Vocational Rehabilitation and Other Rehabilitation Services; General Provisions).

Four customer satisfaction surveys were designed to elicit feedback from customers in Status 26 Closed Rehabilitated, Status 28 Closed after IPE Initiated, Transition Services for youth and students with disabilities, and for those currently undergoing job coaching. Data collected from these surveys will demonstrate successes in and barriers to receiving OVR services and gaining and retaining employment for individuals with the most significant disabilities. Analysis of this data will be included in the 2016-2017 interim report and 2015-2018 Comprehensive Statewide Needs Assessment report.

#### **1B. Individuals with disabilities who are minorities**

According to the 2010-2014 American Community Survey 5-Year Estimates 81.8 percent of Pennsylvanians with disabilities identify as Caucasian or white; 12.4 percent of Pennsylvanians with a disability identify as African American; 6.2 percent of Pennsylvanians with disabilities identify as Hispanic or Latino; 0.3 percent of Pennsylvanians with a disability identify as American Indian and Alaska Native; and 1.4 percent Pennsylvanians with a disability identify as Asian/Pacific Islanders. Throughout data collection, efforts will be made to recruit stakeholders across all racial and ethnic demographics.

Analysis of customer satisfaction survey data according to participant race and ethnicity will elucidate the unique experiences of minority populations in accessing services and securing competitive employment. Analysis of this data will be included in the 2016-2017 interim report and 2015-2018 comprehensive statewide needs assessment report.

Qualitative data collected through site visits to three district offices and face-to-face interviews with VR staff, including VR administrators, Vocational Rehabilitation Counselors (VRC) and Supervisors (VR Sup),

Early Reach Coordinators (ERC), Business Services Representatives (BSR), Vision Rehabilitation Therapist (VRT), Social Workers (SW), and Orientation and Mobility Specialists (OM) suggested that lack of English fluency has not presented significant barriers to ethnic and cultural minorities in receiving services and supports. According to the VR staff interviewed, language interpretation services have proved sufficient. However, Pennsylvania could benefit from trainings oriented to cultural competency regarding the Pennsylvania Dutch, Amish, and Quaker populations, as well as refugees.

Administrators and VR Counselors at multiple district offices cited familiarity and access to but no utilization of Language Line interpretation services. According to the October 2015 Census Report “Detailed Language Spoken at Home and Ability to Speak English for the Population 5 Years and Over for Pennsylvania: 2009-2013” of the 12,008,403 speakers in Pennsylvania over five years of age, 470,960, about four percent, speak English less than “very well.” Consequently, lack of utilization of interpretation services may suit statewide language demographics, but may also signal a population underserved by OVR.

### **1C. Individuals with disabilities who have been unserved or underserved by the vocational rehabilitation program**

Individuals with disabilities that are considered unserved and/or underserved include those with multiple disabilities (such as deaf/blind), those in rural areas, transition age youth, and individuals with disabilities that are concurrently customers of other state systems (such as corrections, educational, and/or other human services systems).

#### **Individuals with Multiple Disabilities**

Analysis of customer satisfaction survey data according to participant disability type will elucidate the extent to which multiple disabilities impacts individuals’ receipt of VR services and employment opportunities and supports. Analysis of this data will be included in the 2016-2017 interim report and 2015-2018 comprehensive statewide needs assessment report.

Data collected at Bureau of Blindness and Visual Services (BBVS) district offices revealed that many customers have multiple disabilities and different employment goals, which impact their access to services. We heard, “Many customers have more than just vision needs” who “need comprehensive services” repeatedly across district offices. Additionally, BBVS Vocational Rehabilitation Counselors, Vision Rehabilitation Therapists, and Social Workers described a significant disparity between the services accessible by customers who are not pursuing employment but are interested in Independent Living Supports. Recently, due to a decrease in the state budget, funding has been eliminated to provide low vision aids to adults over 55 years of age who are not pursuing employment but seeking to live independently while customers of any age, pursuing employment, have access to low vision aids. Though BBVS’ mission is to promote employment, BBVS long-term mission has included enhancing the quality of life and independent living skills of children and seniors who are not pursuing employment. In other words, the application of overarching Vocational Rehabilitation objectives complicates BBVS’ ability to execute its mission and provide for the needs of its customers.

#### **Rural Areas**

Analysis of customer satisfaction survey data according to participant’s residential population will illuminate the extent to which urban, suburban and rural geographies impact individuals’ receipt of VR services while analysis of the data from the survey directed at employers, advocates, workforce professionals will elucidate the extent to which urban, suburban and rural geographies impact on

employment opportunities and supports. Analysis of this data will be included in the 2016-2017 interim report and 2015-2018 comprehensive statewide needs assessment report.

Transportation rose as the most significant barrier to service provision and employment in the 2014-2016 Pennsylvania CSNA. During this cycle, we learned that “transportation is a trainer issue as much as a trainee. Many people would make great trainers but can’t always travel the distance necessary to meet customers across districts.” One BVRS VRC we encountered covered fourteen counties and often had to travel upwards of three hours to see a customer. She spoke of the frustration of spending more of her time travelling to customers than serving and supporting those customers. While all VR staff agreed that face-to-face customer service was best, they also described the unfortunate logic that visiting all customers face-to-face required so much travel time that their customer service was significantly limited.

Additionally, some rural counties are no longer receiving home delivery of mail. Individuals must visit the few remaining post offices during very small windows of time during the week to access their mail. Individuals with disabilities who have difficulty accessing transportation will often not have access to important communication from OVR. District administrators suggested that regulations surrounding the protocols of counselor to customer communication should be updated to reflect current times and technology to include texting, email and other novel communication strategies. Recognizing that OVR regulations do allow for current technology modalities, it may be that this suggested limitation stems from willingness or lack of knowledge on the part of individual staff members and not lack of permission in accordance with OVR policy.

### **Transition Age Youth**

Transportation was cited as a barrier for youth and students to access employment and job training.

OVR administrators and staff also described tenuous relationships with school districts as a limitation to their ability to serve more transition age youth. “Hopefully there’s a change in culture at schools to not be so afraid of OVR. Hopefully success stories will help alleviate concerns,” considered one administrator. The district offices have been eager to connect transition age youth with employers for On-the-Job Training (OJT) for which OVR can provide employers with 100 percent wage reimbursement. One district office approached a council consisting of school district representatives, superintendents and other stakeholders to offer students summer work through OJT, but only five students filed applications.

A customer satisfaction survey for transition age youth was designed during this cycle and will be implemented by OVR in the future. Analysis of the survey’s results will reveal the extent to which transition age youth and customers in the educational system are being served by VR.

During the 2015-16 cycle, data was collected at the Hiram G. Andrews Center through participant observation, interviews, and case studies. The Commonwealth Technical Institute (CTI) at the Hiram G. Andrews Center (HGAC) provides individuals who may have a variety of developmental, cognitive, and physical disabilities with career and technical training, postsecondary education, counseling, vocational evaluation, and physical restoration services. The majority of the student population is age 18-24. In order to be admitted to CTI training programs at HGAC, referred applicants must meet the following criteria: an intention to obtain employment upon completion of the training program, a desire to take an active role in their rehabilitation and vocational program, motivation and willingness to learn, stabilized disability status, an understanding of acceptable social behavior (e.g., getting along with peers, following rules, and accepting supervision), ability to live independently in a dormitory environment, potential to balance academic, social, and leisure activities, and no history of harm to others or self-harm. Students at HGAC

typically live in dormitories on campus and can enroll in a range of degree or diploma programs. Student supports and counseling include a 24-hour student-elected dorm supervisor, person-centered life management class, tutoring, and individual and group counseling and support groups.

Throughout the years of 2014-15, a total of 438 students enrolled in an educational program at HGAC. Program instruction is person-centered, hands-on, and designed to improve work tolerance, job specific skills, job site readiness, and socialization. More than half of these students (232) were enrolled in their program after July 1<sup>st</sup>, 2014; 181 (41 percent) students graduated from their programs during this period; and sixty-eight (16 percent) of students withdrew from their programs during this period. The additional 43 percent of students remained in their programs until their eventual graduation at a date outside the reporting period. Ultimately, the combined HGAC graduation rate for the reporting period was 81.5 percent. When looking comparatively at the Wilson Workforce and Rehabilitation Center (WWRA), which, along with HGAC, is one of seven state vocational rehabilitation centers across the nation, WWRA had an 81.3 percent graduation rate (WWRC Annual Report 2015). This comparison leads to the understanding that HGAC has similar, and slightly better, combined graduation rates as the other state vocational rehabilitation centers, yet specific programs still display greater graduation and employment success than others.

Before graduation, students are able complete a practicum, or internship, in their field at a local business, which provides integral professional experience and can facilitate job placement. HGAC's accrediting organization, the Accrediting Commission of Career Schools and Colleges (ACCSC), requires a 68 percent employment placement rate for graduates. According to HGAC's 2015 ACCSC Annual Report, of the 15 degree granting programs, job placement was most successful in mechanical drafting (100 percent employed, none in their field), nurse aide (93 percent employed, 87 percent in their field), automotive technology (83 percent employed; 67 percent employed in their field), and culinary arts (80 percent employed, 60 percent employed in their field), and kitchen helpers (68 percent employed, 59 percent employed in their field). The programs with the lowest job placement rates include networking and telecommunications technology (33 percent employed, 20 percent in their field), small engine and equipment (33 percent employed in their field), materials management and distribution (33 percent employed in their field), and building maintenance (34 percent employed in their field). The programs of architectural drafting, business retail sales, office technology, medical office assistant, printing technology, and dental laboratory technician were characterized by job placement rates between 50 to 67 percent. Comparatively the WWRA is characterized by graduation rates ranging from 71.6 to 93 percent (WWRC Annual Report 2015).

Surrounding vocational-technical schools, colleges, and universities offer student disability services and supports and include the Pennsylvania College of Technology with a 96.6 percent job placement rate (Pennsylvania College of Technology 2013-14), University of Pittsburgh Johnstown with a 92 percent job placement rate (University of Pittsburgh Johnstown 2014), and Pennsylvania State University with an 83 percent job placement rate (Pennsylvania State University 2014). Comparatively the WWRA is characterized by job placement rates ranging from 44.6 to 90 percent (WWRC Annual Report 2015).

The courses offered at HGAC and CTI are also available at the All-State Career School, Art Institute of Philadelphia, Art Institute of Pittsburgh, Automotive Training Center of Exton and Warminster, Baltimore School of Massage of York, Berks Technical Institute, Brightwood Career Institute, Cortiva Institute, Fortis Institute, Institute of Medical and Business Careers, ITT Technical Institute, Lansdale School of Business, Lincoln Tech, McCann School of Business and Technology, Star Career Academy, Universal Technical Institute, and YTI Career Institute. Access to full funding for these other vocational programs may allow

students to develop and leverage social capital in their communities to facilitate their job placement upon graduation.

### **Case Study: Dental Laboratory Technician**

During a site visit to HGAC, a dental laboratory technician student was shadowed during a typical day. The dental laboratory technician program prepares students for employment through hands-on skill development in a laboratory setting. The program requires a high reading and comprehension level and has faced decreasing enrollment. According to the Bureau of Labor and Statistics (May 2015) employment of dental and ophthalmic laboratory technicians and medical appliance technicians is projected to grow 10 percent from 2014-2024, faster than the average for all occupations. However, according to State Occupational Projections, dental laboratory technician jobs in Pennsylvania will continue to decrease 0.3 percent through 2022.

Originally the student intended to attend Pennsylvania State University, Harrisburg College or Pennsylvania College of Technology but OVR would only offer 50 percent tuition remission for these schools compared to the 100 percent tuition free HGA. She was currently unaware of who her counselor was, citing how frequently it changes.

An alumna of the dental laboratory technician program advises the student on how to become most marketable to future employers. The alumna earns \$16 per hour, below the mean hourly wage of \$19.04 in Pennsylvania (Bureau of Labor and Statistics May 2015).

“You don’t need to be ashamed of your disability here. They treat you like a human being. Like an adult, not like a kid.”

### **Case Study: Culinary Arts**

A student in the culinary arts program was also shadowed. Culinary arts students receive hands-on training, earn a diploma or an Associate’s Degree (though an AD is not a requirement in the field), and have access to externships through University of Pittsburgh Johnstown and Sodexo, and on-campus work experience in the café, cafeteria and kitchen. The student interviewed was interested in studying culinary arts and could not get OVR funding for “traditional programs.” The student was pleased with the program but wished the student population was more diverse and included students without disabilities.

## **1D. Individuals with disabilities served through other components of the statewide workforce investment system**

Other components of the statewide workforce investment system include PA CareerLinks® staff members and VR Counselors from the Pennsylvania Departments of Human Services, Aging, Corrections, Education and Veteran Affairs. The survey directed at employers, advocates, workforce professionals will shed light on how these individuals engage with parts of the statewide workforce investment employment system. Analysis of this data will be included in the 2016-2017 interim report and 2015-2018 comprehensive statewide needs assessment report.

Data gathered from site visits to district offices suggest OVR is working collaboratively with the CareerLinks®. However, OVR and CareerLinks® are cross-purposed, with the CareerLinks® targeting occupations of high demand whereas OVR targets customers’ employment goals. It was suggested that cross-training may enable VR Counselors to make occupations in high demand more appealing to OVR customers. Additionally, VR staff recommended that the OVR-CareerLinks® collaboration could be



improved by changing the program referral process. CareerLinks® and OVR are gathering the same information and would benefit from policies that facilitate data sharing.

The district offices visited exhibited positive relationships with the Veterans Administration but all admitted to losing qualified counselors and staff to the VA, which offered higher wages. This staff turnover results in greater wait time for services, strained counselor-customer relations, and consequently limits successful closures.

VR Counselors also noted that customers entangled in the Corrections system faced greater barriers to employment. Advocacy to reduce the length of time a minimal infraction remains on a criminal background check could significantly improve customer outcomes.

#### **1E. Youth with disabilities, and students with disabilities, including**

This is a new category, so it was not included in the needs assessment for this reporting period. OVR has contracted with the Temple University Disability Institute to conduct our next needs assessment and this new category will be included in the next reporting period.

#### **2. Identify the need to establish, develop, or improve community rehabilitation programs within the state**

The Pennsylvania Governor's Policy Office established the task force to include representatives of all agencies who administer workforce development programs. Participants have included Policy Office representatives, as well as agency workforce development professionals. The group was tasked with increasing collaboration and communication across state agencies and with providing recommendations to the Governor's Office to improve workforce development programs and activities in the commonwealth. As is true throughout the nation, Pennsylvanians are looking for ways to maintain services while reducing costs.

OVR participates with many advisory committees that rely on the expertise of community leadership. OVR has continued to reach out to include the people and organizations that work for the vocational rehabilitative community. The following are just a few committees that OVR collaborates with:

- Advisory Committee for the Blind
- Advisory Committee for the Deaf and Hard of Hearing
- Business Leadership Networks
- PA Chambers of Commerce
- PA Council on Independent Living
- PA Developmental Disabilities Council
- PA Initiative on Assistive Technology
- PA Rehabilitation Council
- Pennsylvania Assistive Technology Foundation
- Pennsylvania Client Assistance Program
- Pennsylvania Rehabilitation Association
- Rehabilitation and Community Providers Association
- Society for Human Resource Management
- Statewide Independent Living Council

Inter-agency referrals greatly affect who learns about OVR and accesses services. While individuals may self-refer, many learn about OVR through county/administrative entities, supports coordination organizations and agencies, providers of employment-related services, high schools, universities and colleges, doctors and health agencies, and many other community organizations. These organizations do not adhere to a singular codified referral process. Some organizations refer everyone they come across while others filter individuals and recommend few, all of which affects how OVR can accomplish its mission. This likely disproportionality affects cultural and ethnic minorities, individuals of low socioeconomic status, those in rural areas, etc.

Community Rehabilitation Programs are private, community-based non-profit organizations that provide rehabilitation services to individuals with disabilities. According to the U.S. Department of Labor there are currently 106 certified CRPs operating in Pennsylvania, placing the Commonwealth in the 94<sup>th</sup> percentile of number of established CRPs following Ohio, Illinois, and California. A number of these CRPs are sheltered workshops. Best practices in the transition from sheltered or segregated environments to competitive and integrated employment will be detailed in the 2016-2017 interim report and 2015-2018 comprehensive statewide needs assessment report.

All VR staff noted the paucity of accredited programs in Rehabilitation Counseling. VR offices are forced to compete for qualified staff with the Veterans Administration and school districts. Additionally, some administrators expressed concern about the Bachelor's degree to Master's degree to OVR pipeline, which produces VR Counselors with limited professional work and interpersonal office experience. The internship period was described as inadequate and some offices have extended the new counselor training period to ensure for more sufficient training and greater quality assurance.

### **3. Include an assessment of the needs of individuals with disabilities for transition career services and pre-employment transition services, and the extent to which such services are coordinated with transition services provided under the Individuals with Disabilities Education Act.**

This is a new category, so it was not included in the needs assessment for this reporting period. We have contracted with the Disability Institute of Temple University to conduct our next needs assessment. We have informed them of this new category, to ensure that it will be included in the next reporting period.

#### *Four Targeted Comprehensive Statewide Needs Assessment Activities Accomplished in FY 2016*

The following four sections display the findings from the assessment activities that took place during this first year of the three-year CSNA data collection and analysis cycle. Both the quantitative and qualitative data collection tools were designed and submitted to the CSNA Steering Committee. The primary data was collected throughout the Commonwealth between August 18, 2015 and June 30, 2016. Information gathering occurred in a variety of ways to highlight the authentic participation of persons with disabilities and other community stakeholders. Research guidelines and protocols were developed in close partnership with the input and expertise of OVR and PaRC. All information gathering utilized person-centered language and was accessible to individuals across the disability spectrum and throughout the community at large.

#### *1. Customer Satisfaction Surveys*

To evaluate customer satisfaction from individuals whose cases had been successfully closed (Status 26), individuals whose cases were unsuccessfully closed (Status 28), individuals with open cases and job

coaches, and transition-age youth, the research team designed four unique survey instruments. First, OVR reviewed and analyzed a total of 56 customer satisfaction surveys from 28 other VR state offices. OVR also assessed several PA OVR publications, including OVR Individualized Employment Services, Employing People with Disabilities Toolkit, and On-the-Job Training (OVR 611,) as well as PaRC and Tyree's October 2014 report "Defining Positive Customer Service in the State Vocational Rehabilitation System." Additionally, OVR reviewed WIOA, as well as the RSA Summary of WIOA and WIOA Changes, NCSRC CSNA National Teleconference presentation, the National Longitudinal Transition Study-2, and the New York State Office of Children and Family Services Vocational Rehabilitation Services Manual. OVR culled quantitative and qualitative data from Institute on Disabilities' resources including the Independent Monitoring for Quality (IM4Q) Transition Survey Pilot Summary 2013-2014 and Transition Discoveries. The compilation and analysis of the above sources allowed us to identify the most effective and generative questions for the four identified survey topics. Additionally, OVR reviewed current research on response rate success in the interest of structuring the survey and its dissemination strategies to elicit a stronger, more statistically significant response rate.

These surveys include close-ended and open-ended questions to gather the most relevant and informative data. Survey items were discussed among colleagues, including persons with disabilities, to determine relevancy and accessibility. Updated surveys were then submitted to the CSNA Steering Committee for further review and revision on November 3, 2015. In November 2015, the research team and CSNA Steering Committee met via teleconference to discuss the four proposed customer satisfaction surveys in detail. During the call, question content, audience, wording, and format were discussed. In January 2015, the Steering Committee received updated surveys for feedback and Sally Gould-Taylor reviewed the survey updates via conference call. OVR adopted the four surveys adopted for implementation and will be launched to appropriate customers via OVR's internal data collection system, the Commonwealth Workforce Development System (CWDS).

## *2. Information Regarding the Multifaceted Programs of the Hiram G. Andrews Center*

The Hiram G. Andrews Center (HGAC), formerly the Pennsylvania Rehabilitation Center, opened in 1959 in Johnstown, Pennsylvania. HGAC was the world's first and remains the largest comprehensive educational rehabilitation center of its kind. HGAC provides a comprehensive program of services featuring postsecondary education through the Commonwealth Technical Institute (CTI), counseling, vocational evaluation, and physical restoration for individuals who may have a variety of developmental, cognitive, and physical disabilities in an accessible campus environment. A majority students are age 18-24.

Student applications are accepted throughout the year on a rolling basis, and training programs range in duration from four to sixteen months. The training programs are offered over three terms per year, i.e., spring (January to April), summer (May to August), and fall (August to December). A high school diploma or GED certificate is required for admission to any of the Specialized Associate Degree programs; however, individuals who are beyond the age of compulsory school attendance in Pennsylvania and who demonstrate potential to benefit from training offered at HGA may also be admitted. In addition, students can be referred to CTI at HGAC by the Bureau of Vocational Rehabilitation Services district offices, Veterans Administration, Bureau of Blindness and Visual Services district offices, and Vocational Rehabilitation agencies outside of Pennsylvania, Private Insurance companies, and other state agencies.

After an individual submits an application, the Admission Office at HGAC reviews medical records (e.g., diagnosis or symptom/s, reports of general specialist examinations, hospital and clinical reports, and other supporting data), as well as the reason for admission, which could include a vocational evaluation report, previous training experiences, or Allied Health services, in order to determine whether an applicant meets criteria for admission. A designated member of the Admission Committee, which consists of a physician, psychiatrist, an at-risk committee, drug and alcohol counselor, dietician, and transition living coordinator, first screens applications to determine appropriateness of admission and may then include other members of the Admission Committee when necessary and relevant. In order to be admitted to CTI training programs at HGA, referred applicants must meet the following criteria: an intention to obtain employment upon completion of the training program, a desire to take an active role in their rehabilitation and vocational program, motivation and willingness to learn, stabilized disability status, an understanding of acceptable social behavior (e.g., getting along with peers, following rules, and accepting supervision), ability to live independently in dormitory environment, potential to balance academic, social, and leisure activities, and no history of harm to others or self-harm.

Students at HGAC typically live in dormitories on campus and can enroll in a range of degree or diploma programs; however, many local students commute to campus. Program instruction is person-centered, hands-on, and designed to improve work tolerance, job specific skills, job site readiness, and socialization. Student supports and counseling include a 24-hour student-elected dorm supervisor, person-centered life management class, tutoring, and individual and group counseling and support groups.

A total of 438 students enrolled in an educational program at HGAC throughout the years of 2014-2015. More than half of these students (232) were enrolled in their program after July 1<sup>st</sup>, 2014; 181 students graduated from their programs during this period; and sixty-eight (16 percent) of students withdrew from their programs during this time period. The additional 43 percent of students remained in their programs until their eventual graduation at a date outside the reporting period. Ultimately, the combined HGA graduation rate for the reporting period was 81.5 percent. When looking comparatively at the Wilson Workforce and Rehabilitation Center (WWRA), which—along with HGA—is one of seven state vocational rehabilitation centers across the nation, WWRA had an 81.3 percent graduation rate (WWRC Annual Report 2015). This comparison leads to the understanding that HGAC has similar, and slightly better, combined graduation rates as the other state vocational rehabilitation centers, yet specific programs still display greater graduation and employment success than others.

Before graduation, students have the opportunity to complete a practicum, or internship, in their field at a local business, which provides integral professional experience and can facilitate job placement. HGAC's accrediting organization, the Accrediting Commission of Career Schools and Colleges (ACCSC), requires a 68 percent employment placement rate for graduates. According to HGAC's 2015 ACCSC Annual Report, of the 15 degree granting programs, five met the 68 percent employment placement rate requisite (automotive technology, culinary arts, kitchen helpers, mechanical drafting, and nurse aide). Six programs had placement rates between 50 to 67 percent (architectural drafting, business retail sales, dental laboratory technician, medical office assistant, office technology, and printing technology). Four programs had job placement rates below 34 percent (building maintenance, materials management, networking and telecommunications technology, and small engine and equipment). In future academic planning, HGAC should attend to programs with low job placement rates overall and low job placement rates in the field of study.

Surrounding vocational-technical schools, colleges, and universities that offer student disability services and supports include the Pennsylvania College of Technology with an overall 96.6 percent job placement

rate (Pennsylvania College of Technology 2013-2014), University of Pittsburgh Johnstown with a 92 percent job placement rate (University of Pittsburgh Johnstown 2014), and Pennsylvania State University with an 83 percent job placement rate (Pennsylvania State University 2014). Comparatively the WWRA is characterized by job placement rates ranging from 44.6 to 90 percent (WWRC Annual Report 2015).

The courses offered at CTI are also available at the All-State Career School, Art Institute of Philadelphia, Art Institute of Pittsburgh, Automotive Training Center of Exton and Warminster, Baltimore School of Massage York Campus, Berks Technical Institute, Brightwood Career Institute, Cortiva Institute, Fortis Institute, Institute of Medical and Business Careers, ITT Technical Institute, Lansdale School of Business, Lincoln Tech, McCann School of Business and Technology, Star Career Academy, Universal Technical Institute, and YTI Career Institute. Access to full funding for these other vocational programs may allow students to develop and leverage social capital in their communities to facilitate their job placement upon graduation.

### *3. Identify Barriers to and Strategies for Increasing Competitive Employment for Individuals with Disabilities*

Surveys and interviews were utilized to gather information from employers, VR professionals and community partners to A) assess and increase the knowledge of OVR services in the business and employment sector; B) identify what is need to increase competitive, integrated employment opportunities; C) engage employers to increase the rate of employment for individuals with disabilities; D-F) identify barriers to successful job search, job hire and job retention facing individuals with disabilities and barriers to hiring and post-employment supports for employers; G) determine the work characteristics most desired by employers; and H) identify the needs of older workers with disabilities.

The research team began survey development by engaging in in-depth analysis of previous CSNAs, a large-scale national phone survey of employers conducted under the auspices of the United States Department of Labor, and data from the Office of Disability Employment Policy. The Employment Survey was submitted to the Steering Committee for review. The finalized Employment Survey was opened to respondents in June 2016 and closed in August 2016. Invitations to participate in the survey were sent to individuals on contact lists of employment stakeholders provided by OVR and Institute on Disabilities' mailing lists, as well as posted on the Institute on Disabilities' website and social media platforms. Individuals were incentivized to participate through the raffling of ten \$20 Target gift cards.

In the dissemination of the survey invitation, the research team also solicited employers to participate in brief, informal phone interviews. Specific employers identified by OVR were also targeted for phone interviews through individualized email messages. Though we reached out to over 800 employment stakeholders, only two responded to be interviewed. This paucity of participation is due in part to the high rate of messages returned as undeliverable. Of the 571 email addresses provided by OVR, 253 (44 percent) proved invalid. This concern indicates that OVR may want to reach out to its employer partners to edit the contact information and make it current. Temple's employer contact list, had less invalid email address, yet also turned up little response in terms on invitations to be interviewed. As displayed in the following section, the survey respondents we must more robust and may indicate the amount of time or ease that employers are willing to spend in response to the topic.

### *Respondent Demographics*

A total of 261 people responded to the survey, of which 72 (28 percent) identified as an employer; 49 (19 percent) identified as an advocate (family member, teacher, doctor, etc.); 84 (32 percent) identified as an

employment support professional/provider; and 56 (22 percent) indicated some other role. Respondents who identified as another role provided written responses indicating their affiliation to the disability community. Of those respondents who wrote in responses, 16 people (29 percent) indicated working within a school district; 30 people (54 percent) indicated working with individuals with disabilities in some sort of professional capacity, i.e., transition coordinator, administrator, support coordinator, physical therapist; and the remaining 10 people (18 percent) wrote in various responses that could generally be categorized into one of the response choices, e.g., nurse, nonprofit advocacy executive director, entrepreneur, supervisor. Respondents who selected the “other” response choice on this item were automatically routed to complete the same set of survey items as respondents who identified as employment support professionals/providers; therefore, the total number of respondents who completed this set of items was 189 (54 percent). It is interesting to note that the primary intended audience for this survey was employers; however, the majority of respondents (72 percent) identified as employment support professionals/providers, or “other” types of roles within the disability community.

Self-identified employers were asked to indicate which Pennsylvania County their business primarily operated within, and 29 (43 percent) PA counties were represented. The response rate for this item was 94 percent. A total of 16 counties were considered urban, and 51 employer respondents came from these counties. The remaining 13 counties were considered rural, and a total of 17 employer respondents came from these counties. The following survey item asked respondents to indicate how they perceived the urbanity or rurality of the location in which they primarily conducted business. Twenty-eight percent of employer-respondents (n=19) indicated they primarily conducted business in urban areas; 43 percent (n=29) indicated primarily conducting business in a suburban environment; and 29 percent (n=20) indicated primarily conducting business in a rural environment.

The majority of employer-respondents indicated that their company or business was a private non-profit (50 percent), 29 percent indicated their business or organization was a private for-profit, and 12 percent said that their company or business was a governmental agency or organization. Half of all employer-respondents’ companies/organizations selected the education or mental and behavioral health services industries/sectors, and 35 percent of employer-respondents selected the “other” response choice and provided written responses. The majority of written responses consisted either of a public library, or residential/healthcare services for elderly individuals and individuals with intellectual/developmental disabilities. The majority of respondents (64 percent) indicated that they were either a director or manager within their company or organization. Written responses (13 percent) included positions related to human resources, and “executive director.”

Self-identified advocates were asked to indicate which Pennsylvania County primarily advocated within, and 19 (28 percent) PA counties were represented. The response rate for this item was 92 percent. A total of 13 counties were considered urban and 32 advocate respondents came from these counties. The remaining 6 counties were considered rural, and a total of 13 advocate respondents came from these counties. The following survey item asked respondents to indicate how they perceived the urbanity or rurality of the location in which they primarily advocated within. Twenty percent of respondents (n=9) indicated they primarily advocated in urban areas; 44 percent (n=20) of respondents indicated primarily advocating in a suburban environment; and 37 percent (n=17) of respondents indicated primarily advocating in a rural environment. The following item asked advocates how long they had identified as an advocate for individuals with disabilities and 15 percent (n=7) said 0-5 years; 20 percent (n=9) said 6-10 years; 20 percent (n=9) said 11-20 years; and 46 percent (n=21) said 21 years or more.

Self-identified employment support professionals/providers, as well as respondents who identified as another role were asked to indicate which Pennsylvania County they primarily worked within, and 35 (52 percent) PA counties were represented. The response rate for this item was 94 percent. A total of 16 counties were considered urban, and 90 employment professional/provider and “other” respondents came from these counties. The remaining 19 counties were considered rural, and a total of 41 employment professional/provider and “other” respondents came from these counties. The following survey item asked respondents to indicate how they perceived the urbanity or rurality of the location in which they primarily worked or provided services. One third of respondents (n=43) indicated they worked or provided services in urban areas; 40 percent (n=52) of respondents indicated working in a suburban environment; and 28 percent (n=36) of respondents indicated working in a rural environment. The following item asked employment support professionals/providers, and “other” respondents how long they had been employed in the field of disability-related services and 12 percent (n=16) said 0-5 years; 18 percent (n=23) said 6-10 years; 28 percent (n=37) said 11-20 years; and 42 percent (n=55) said 21 years or more.

The remaining survey items were designed to inform the Office of Vocational Rehabilitation on how to a) increase knowledge of OVR services in the business and employment sector; b) identify what is needed to increase competitive, integrated employment for individuals with disabilities; c) engage employers to effect an increase in the rate of employment for individuals with disabilities; d) identify barriers to successful job search, job hire, and job retention for individuals with disabilities; e) assist employers in the hiring process of job candidates with disabilities; f) identify post-employment supports that employers need to retain employees with disabilities; g) determine employer-identified “good employee” work characteristics, i.e. soft skills; and h) identify needs of older workers with disabilities. Respondents who identified as employers answered a set of questions designed specifically to address their experiences hiring individuals with disabilities and working with OVR; respondents who identified as advocates, as well as respondents who identified as employment support professionals/providers as well as those who identified as “other” answered questions related to barriers for individuals with disabilities to find and secure competitive, integrated employment, as well as which services they were most commonly advocating or providing.

The two employers interviewed were managers of Human Resources, one from a public organization in a rural setting and the other from a private company in an urban setting. The employers stressed the importance of hiring individuals with disabilities. Both HR Managers mentioned their company’s affirmative action program more than once.

#### *Employer Respondents: Employment of Individuals with Disabilities*

The first set of questions directed toward employer-respondents asked a series of questions related to the employment of individuals with disabilities. First, employer-respondents were asked how important it was to their company or organization to employ individuals with disabilities, and 86 percent of respondents indicated that it was somewhat or very important while the remaining 14 percent said that it was not important to employ individuals with disabilities. When asked whether employer-respondents’ companies or organizations currently employed people with disabilities, 78 percent said yes; 14 percent said no; and 8 percent did not know. When asked in a separate question whether their company or organization had hired any people with disabilities in the past year 60 percent of respondents said yes; 28 percent said no; and 11 percent did not know.



The next item in this section of the survey asked employer respondents whether their company or organization proactively recruited applicants who have disabilities, and if so, how they did so. Over 30 percent of employer-respondents indicated that their company or organization proactively recruited applicants who have disabilities in the following ways: posting jobs with a job service or workforce employment center (40 percent), creating partnerships with disability-related advocacy organizations (36 percent), contacting career centers at colleges and universities (34 percent), and posting jobs with unemployment offices (30 percent). Thirty-percent of employer-respondents indicated that their company or organization does not actively recruit job applicants with disabilities. When asked where their company or organization posts job openings, employer-respondents provided a variety of responses, with over 25 percent of respondents indicating posting jobs in the following places: Career Builder, CareerLink®/Job Gateway, Local Newspaper, Indeed.com, Monster.com, a University/college career center, the company website, and Craigslist. The qualitative data captured from interviews with employers, detailed below, corroborates these findings.

#### *Employer Respondents: Employee Characteristics and Hiring Strategies*

The next item on the survey asked employers to indicate which types of information would help persuade them or other business leaders to proactively recruit individuals with disabilities, and the top four responses were as follows: information about the programs offered by state and local agencies to assist workers with disabilities (40 percent), information about financial incentives to hire individuals with disabilities (e.g. tax credits) (39 percent), information on satisfactory job performance, attendance, and retention of people with disabilities (33 percent), and information showing how hiring people with disabilities has benefited other companies in the industry (32 percent).

When asked what was the top three most important characteristics to consider when hiring a new employee, employers ranked good communication/interpersonal skills, good work ethic/hard worker, and reliability as the most important new employee characteristics. When asked the same question, both employers interviewed responded that the most important characteristics were soft skills, and being reliable and a good communicator.

Employers were also asked to indicate how challenging a number of factors were to their company or organization when hiring people with disabilities, and the majority of respondents identified the following five factors as somewhat to very challenging: actual cost of accommodating disability (68 percent), not knowing how much accommodating disability (62 percent), employee availability (57 percent), issues with employee interpersonal communication skills (54 percent), and lack of training (54 percent).

The following five factors were considered not challenging when hiring people with disabilities: lack of knowledge or information about people with disabilities (78 percent), extra paperwork (78 percent), discomfort or unfamiliarity regarding hiring people with disabilities (74 percent), fear of litigation (68 percent), and concern about the cost of worker's compensation premiums (67 percent).

Employer-respondents were asked to rate the degree to which a number of statements relating to potential issues when hiring a person with a disability applied to the attitudes of their company or organization, and 30 percent agreed that supervisors are not sure how to take disciplinary action for a person with a disability, and 25 percent agreed that supervisors are not sure how to evaluate a person with a disability. In contrast 50 percent of employer-respondents disagreed that supervisors are not comfortable managing people with disabilities, and 57 percent disagreed that people with disabilities may



not be as safe and productive as other workers. Both interviewees described established, standard review and training processes that they felt defined policies regarding performance and made supervisors and staff comfortable.

When asked whether their company or organization currently employs, or previously employed individuals who acquired disabilities due to their age that require/d specific job modifications, 32 percent said yes; 30 percent said no; and 38 percent did not know.

*Employer Respondents: Knowledge and Relationship with Office of Vocational Rehabilitation (OVR) and other OVR Services*

The next set of questions directed toward employer-respondents asked questions related to their relationship with OVR and the services provided through OVR. Over half (55 percent) of employer-respondents indicated that they had previously worked with OVR. When employer-respondents were asked how they had learned about OVR, 34 percent said they had previously worked with OVR; 23 percent said they learned of OVR through a professional event; 18 percent said they heard about OVR through a website; and 16 percent said they had heard about OVR through a community event. Only 11 percent of employers indicated that this was the first time they were hearing about OVR. An interviewed employee suggested that OVR Counselors could reach out to employers by phone or email and have an annual meeting to coordinate interviews and review contracts for potential candidates. The other interviewed employee proposed that VRCs visit companies in-person, tour the facilities and meet with Human Resources to better identify and place qualified candidates. Turnover among VRCs and within Human Resources departments would impact the success of these strategies.

The next survey item polled employers to identify which type of workplace OVR services for individuals with disabilities that they were familiar with. The majority of employer-respondents (70 percent) said they were familiar with job coaching services, 40 percent were familiar with assistive listening devices, and 37 percent were familiar with services related to information regarding the hiring and employment of individuals with disabilities. In contrast, only 2 percent of employer-respondents were familiar with scanning software services, 7 percent were familiar with CART (Communication Access Realtime Translation) services, and 7 percent were familiar with optical character recognition (OCR) services.

When employers were asked what would be the most effective way to let other businesses and employers know about OVR and their services, half of respondents indicated that direct outreach from OVR would be most effective, while 32 percent said that targeted announcements (fliers, radio/TV announcements, web advertisements, email blasts, etc.) would be most effective.

Employers were then asked to rank a number of items related to what OVR could do to improve their relationship with employers, and ultimately improve services for individuals with disabilities. The top three ranked items were as follows: better communicate available services, clearer explanation of additional costs for services/accommodations, and more clarification on service eligibility requirements. When asked about their previous experience working with OVR, employer-respondents overall indicated that they were happy with their experience working with OVR, that their interactions with OVR staff had been professional and pleasant, and that they would recommend working with OVR to other employers.

Finally, employer-respondents were asked whether their company or organization utilized OVR services to provide job assistance or modifications to older workers with age-related acquired disabilities, and 20 percent said yes; 57 percent said no; and 23 percent did not know.

*Advocate, Employment Support Professionals/Providers, and Other Respondents: OVR and OVR Services for Individuals with Disabilities*

To better understand any differences in how advocates and employment support professionals/providers viewed the barriers to finding and securing competitive, integrated employment, as well as how OVR and OVR service delivery functions, these groups of respondents' answers to survey items were separated. Although respondents who selected the "other" category may not all have been related to employment support professionals and providers, the majority of their written-in roles could generally be classified into this category; therefore, they can likely be considered to be demographically and professionally similar to the employment support professional and provider respondent group.

The first question on this section of the survey asked respondents to rate how challenging a number of potential barriers for individuals with disabilities were to finding and securing competitive, integrated employment. Following are listed the top five barriers identified by the majority of advocate-respondents and employment support professionals/providers as well as "other" respondents, and the corresponding percentage of respondents' who said that the specific barrier gets in the way "a lot" for individuals with disabilities to find and secure employment. In addition to the barriers identified as getting in the way "a lot", advocate-respondents said that criminal history was "not at all" (52 percent) a factor for individuals with disabilities to find and secure employment. Employment support professionals and providers, and "other" respondents also said that criminal history (31 percent) as well as explicit or implicit employer discrimination (14 percent) were not at all challenging for individuals with disabilities to find and secure competitive, integrated employment. It is important to note here that in our interviews with OVR Counselors about what they felt prohibited their customers to obtain jobs issues pertaining to restrictions due to criminal history came up as common themes and concerns from the perspective of the counselors. The employer survey results show that employers and advocates overwhelmingly report that criminal history is "not at all" a challenge in securing employment. This contrast helps to highlight the continued concern that point of view of the professionals involved in the process of job seeking for individuals with disabilities still is greatly varied and greater training is most likely need for both OVR Counselors and potential employers.

Four out of the five top barriers identified by both groups of respondents were the same; however, the barrier with the highest percentage of employment support professionals/providers and "other" respondents saying that it gets in the way "a lot" did not appear on the top five barriers identified by advocate respondents, i.e., employers' lack of knowledge regarding employment for individuals with a range of disability types. The percentage of advocate-respondents who identified this factor as getting in the way "a lot" was 75 percent, which may suggest that advocates perceived many more factors as getting in the way of individuals with disabilities finding and securing employment. Another interesting note is that percentages of advocate respondents were greater among all identified barriers to employment, suggesting that this group agreed more on which factors were getting in the way "a lot" for individuals with disabilities to find and secure employment.

Advocate respondents identified the top five barriers to finding and securing employment for individuals with disabilities as: significant mobility or transportation need (88 percent); not enough jobs able to be customized to needs of individuals with disabilities (84 percent); employers are unaware of what individuals with disabilities can offer to their company/organization (84 percent); not enough funding to provide and maintain services (81 percent); and misunderstanding of specific type of disability (e.g., high functioning autism, traumatic brain injury, 78 percent).

Employment support professionals/providers and “other” respondents identified the top five barriers to finding and securing employment for individuals with disabilities as: employers’ lack of knowledge regarding employment for individuals with a range of disability types (69 percent); significant mobility issue or transportation need (68 percent); not enough funding to provide and maintain services (66 percent); not enough jobs able to be customized to needs of individuals with disabilities (65 percent); and employers are unaware of what individuals with disabilities can offer to their company/organization (61 percent).

The following survey item asked respondents to indicate what kinds of services they were most commonly advocating for or providing. The top five services that advocate-respondents said they were most commonly advocating for (and the corresponding percentages of advocates who selected these services) are as follows: education/training (46 percent), opportunities for real-world work experience in secondary education (36 percent), job placement (33 percent), transportation (30 percent), and transition planning assistance (27 percent).

The top five services that employment support professionals or providers, and “other” respondents said they were providing (and the corresponding percentage of these respondents who selected these services) are as follows: job placement (50 percent), job coaching (42 percent), education/training (31 percent), transportation (28 percent), and job planning and evaluation (16 percent).

The next question on the survey asked respondents to select among a number of response choices what most often impedes people with disabilities from receiving services. The top five barriers to receiving services as reported by advocate-respondents (and their corresponding percentages) are as follows: long wait lists/times to receive services/accommodations (39 percent), transportation issues (36 percent), unaware of available services (27 percent), problems with OVR Counselor (e.g., unfamiliar with process, too many cases in their caseload, lack of commitment, etc.) (27 percent), and systems barriers (problems with accessing, understanding, and navigating) (24 percent). The top five barriers to receiving services as reported by employment support professionals/providers and “other” respondents (and their corresponding percentages) are as follows: transportation issues (35 percent), unaware of available services (27 percent), long wait lists/times to receive services/accommodations (25 percent), soft skills deficit (interpersonal relations, cleanliness, flexibility/adaptability) (25 percent), and employer perceptions of incapability to perform duties of job (23 percent).

Respondents were next asked to rank the top three ways out of a number of potential items that OVR could do to improve service delivery for individuals with disabilities. Advocate-respondents ranked the following three items as the best ways for OVR to improve service delivery: 1) better communicate available services to all stakeholders (customers, advocates, job training agencies, community partners, and employers); 2) more coordination and earlier involvement with school district in transition planning; and 3) more personalized and relevant job placements specific to employment goals of individual.

Employment support professionals/providers and “other” respondents ranked the following three items as the best ways for OVR to improve service delivery: 1) better communicate available services to all stakeholders (customers, advocates, job training agencies, community partners, and employers); 2) more coordination and earlier involvement with school district in transition planning; and 3) more coordination and earlier involvement with school district in transition planning.

Finally, respondents were asked to identify three ways out of a number of items that would most encourage employers to hire people with disabilities. Advocate-respondents nearly unanimously (97 percent) said that education/training about the benefits of hiring employees with disabilities would be one way to encourage employers to hire people with disabilities. They also identified simplified service delivery (e.g., one person vs. many people) (46 percent), tax credit (39 percent), and less paperwork (36 percent) as additional ways to encourage employers to hire people with disabilities.

Employment support professionals/providers and “other” respondents also by and large (82 percent) identified education/training about the benefits of hiring employees with disabilities as one way to encourage employers to hire people with disabilities. They also identified providing a tax credit (51 percent), as well as providing job seekers with technical skills/training (42 percent) as additional ways to encourage employers to hire people with disabilities. Written responses for this group of respondents on this item included more job coaching services for longer periods of time, and additional financial incentives for employers.

#### *4. Assess the Provision of Consistent and Equable Delivery of OVR Information and Services*

In June 2016, the research team conducted site visits to three VR District Offices, all of which had co-located Bureau of Vocational Rehabilitation Services and Bureau of Blindness and Visual Services. OVR conducted participant observation and face-to-face interviews with VR staff and administrators. OVR engaged with four district administrators, three assistant district administrators, six vocational rehabilitation counselors, two social workers, one Orientation and Mobility Specialist, two vocational rehabilitation supervisors, two vision rehabilitation therapists, one business services representative, and one early reach coordinator.

Prior to the visits, the research team designed and submitted a site visit dossier, which included a summary of the visit’s objectives and interview protocol, to the Pennsylvania Social Services Union (PSSU). The PSSU reviewed and approved the research protocol and identified individual participants.

#### *Identify Strategies to Improve Communication with Customers*

“The most common thing [to cause an unsuccessful closure] is we lose contact with the customer” identified one district administrator. Accordingly, identifying strategies to improve communication with customers is of utmost importance to achieving the OVR mission. Currently, VR Counselors are mandated to attempt at least three contacts prior to officially closing a case. The first two times may be by phone and the final correspondence must be by letter. This final correspondence is a “closure letter” that serves as a physical documentation of the attempt to reach and consequently serve the customer. One district administrator declared that “For the most part the counselors are doing phone, email, text, or whatever works for that customer.” Yet a district administrator in a different site warned that not incorporating “email, text, and whatever works for that customer” formally into the policy prevents counselors from providing the best customer service possible. This administrator explained that despite having work cell phones, many counselors rely on the “old-fashioned letter system,” which is particularly problematic in rural areas where post offices no longer offer home-delivery of mail. Without steady access to transportation, many individuals will be unable to regularly access their postal mail and receive communications from OVR. This is one example of how transportation presents a fundamental barrier to services for individuals with disabilities. Additionally, many customers or potential customers have medical assistance phones, which allow them to text for free. Therefore, greater training on the policy that allows for the incorporation of text messages into OVR’s communication policy would improve

communication with customers and service provision. Recognizing that OVR regulations do allow for current technology modalities, it may be that this suggested limitation stems from willingness or lack of knowledge on the part of individual staff members and not lack of permission in accordance with OVR policy.

While cell phone technology can improve counselor-customer communication, staff admitted that work phones do not wholly improve workflow. Staff members lamented the difficulty of accessing and inputting data into the Comprehensive Workforce Development System (CWDS) through the mobile site. Staff across different roles explained that if they were in the field and an appointment was canceled, if they were too far from sites participating in the Statewide Workforce Investment Employment System. “You can’t just go to a library, or go to a Wendy’s, or even just sit in your car – so sometimes there’s a big disconnect where that kind of [customer service] calling only happens when you’re in the office.” This inflexibility limits the amount of time VR staff can provide customer service. While all VR staff acknowledged the import of face-to-face customer service, the inability to easily make calls or process paperwork during travel to face-to-face meetings paradoxically limits direct communication with customers. One counselor explained that traveling to a customer’s home, meeting, and traveling back may exhaust an entire day, whereas the counselor may have been able to satisfactorily serve that customer and others by phone in the same time frame.

#### *Identify Strategies to Shorten Wait Times for Services*

There are a number of factors that prolong wait times for services. One significant factor is counselor caseloads. The average caseload for VR Counselors is intended to be 120 customers. However, during our site visits we spoke with counselors with caseloads of 200 to 250 customers. One counselor admitted to having 285 customers until just recently. Another explained, “I have 240 on my caseload. And I could close 5 today and be back up to 240 tomorrow.” One counselor calculated that their caseload only allotted 8.25 hours annually per customer.

“With WIOA, there are more tasks added with none removed.”

“Our job has changed overnight and we’re expected to do 1000 more things that we’ve never had to do. More customers, bigger caseload...We’re asked to do so much that doesn’t even go to our 26s.”

The majority of counselors interviewed indicated that counselor caseloads have been significantly impacted by the WIOA and its major changes to the Rehabilitation Act (Title IV). The WIOA requisite that 15 percent of VR Funds must be used for pre-employment transition services expands the role and responsibilities of VR Counselors to devote time and attention to transition-age youth. All VR staff acknowledged the importance of WIOA’s legislation and the focus on transition-age youth but simultaneously recognized the profound effects this would have on their ability to be successful as currently defined.

Currently, counselor success is defined by their number of successful (Status 26) closures. However, with the reoriented focus upon pre-employment transition-age youth, VR Counselors are now engaged in activities that will not culminate with successful (Status 26) closures for many years. We asked the VR Counselors to walk us through their work process. One counselor described a work week earlier that month, characterized by hours talking to an employer about a transition-age job candidate, sending emails, setting up the work contract, and preparing the transition-age youth for the work. In the end, the

counselor succeeded in securing the work opportunity for the youth. However, the counselor spent 24 hours of their 37.5 work hours that week serving a transition-age youth and thus meeting the objectives of WIOA but without the credit of a successful (Status 26) closure. A VR district administrator explained that the reporting system currently makes it difficult to determine how many customers in a counselor's caseload are currently looking for employment or are students early in the transition process. The establishment of the Early Reach Coordinator role has been crucial in addressing WIOA's objectives related to transition age youth. However, one early reach coordinator at a district office would be hard pressed to open cases for every eligible student in each high school in all the counties that district office covers.

The inadequacy of measuring counselor success by their number of successful (Status 26) closures is even more significant for BBVS counselors serving individuals with multiple disabilities, children, and older adults not pursuing employment. BBVS is serving more customers in the Independent Living Older Blind Program than in Vocational Rehabilitation. Additionally, a BBVS counselor may be actively engaged with a child's case for 15 years. The counselor would not be able to successfully (Status 26) close this case for over a decade and would appear delinquent despite their years of dedicated customer service. Additionally, BBVS staff explained that the Business Service Representatives retained in BVRs are overburdened with work and "not really tuned into" BBVS' customer needs and employment opportunities.

VR staff members are engaged in activities that fall beyond the domains of staff roles (secretarial roles were cited that majority of the time). Suggestions were made to reduce the successful (Status 26) closure goals; to demonstrate counselor workload and ethic via other data such as referrals, eligibility, creation of individualized service plans, and pre-employment transition services.

Another prominent barrier to timely service provision defined across roles is paperwork requirements. WIOA has increased reporting requirements. VR Counselors must collect substantially more data than previously and process the associated paperwork, which limits the time they can be providing rehabilitation counseling. A staff member also announced, "I have to document it in like five different places!"

#### *Enhance Counselor Skills to Better Develop and Provide Individualized Plans of Service*

The district and assistant district administrators across regions spoke with great enthusiasm of the DA/ADA meetings. The administrators commented that through these meetings Central Office becomes transparent, and it becomes clear what is happening or what may happen in the future. "I feel so well informed after I leave those meetings, I think that they're definitely an asset. I wouldn't change a thing about them" commented one district administrator. Another commented, "Quarterly DA/ADA meetings are very beneficial. The networking about best practices is invaluable." Administrators were also pleased that they were invited to provide input on future meeting topics.

Feedback regarding counselor trainings proved more ambivalent. First, "strongly suggested" video trainings were described as taking too much time away from working with customers. A whole day dedicated to training, or even a "training week," was recommended as opposed to repeated hour-long trainings. Second, while some trainings were considered "really beneficial," many others were found "unrelative" to their daily activities.



District offices also have diverse training processes for incoming VR Counselors. Across all BVRs and BBVS offices, incoming VRCs undergo a minimum of six-months in training status. During this period, new VRCs are supported by a training supervisor(s), who review manuals, processes, and other information with them. When there is only one training supervisor in an office, it can be a very demanding role. In one district office we visited, new VRCs remained in training status for one year to ensure quality through direct supervision. One district administrator expressed concern about the Bachelor's degree to Master's degree to OVR pipeline that many VRCs now traverse. The administrator expressed that staff lacked training on case management skills and interpersonal office experience, which impacted their efficacy.

#### *Identify Strategies to Deliver Equable and Consistent Agency Services*

Along with minimizing wait times and engaging in useful trainings, BVRs and BBVS relationships with other agencies influence their ability to deliver equable and consistent services. One VR staff member commended the ease with which they were able to connect with other public and private agencies. However, as demonstrated in the sections above (Transition Age Youth; Individuals Served by Other Parts of the Statewide Workforce Development Employment System; and Establishment, Development or Improvement of Community Rehabilitation Programs), the referral process plays a major role in OVR's ability to provide equable and consistent service.

OVR administrators and staff described tenuous relationships with school districts as a limitation to their ability to serve more transition age youth. "Hopefully there's a change in culture at schools to not be so afraid of OVR. Hopefully success stories will help alleviate concerns" considered one administrator. The district offices have been eager to connect transition age youth with employers for On-the-Job Training (OJT) for which OVR can provide employers with 100 percent wage reimbursement. One district office approached a council consisting of school district representatives, superintendents and other stakeholders to offer students summer work through OJT, but only five students filed applications. Part of the strain on the relationship between OVR and schools was identified by VR administrators and staff as misunderstanding of roles and eligibility during and outside of the school year. One VR staff member explained "We can't do the same thing that [schools] do at the same time, but I don't think we do the same thing but somehow that doesn't get translated...so our staff are pretty much relegated to provide services in the summer, which is not very useful."

Lack of communication between the entities comprising the Statewide Workforce Development Employment System was raised as a concern by district administrators and staff alike. Both district administrators and a Business Service Representative argued that collaboration with CareerLinks® could be improved by changes in the program referral process.

While individuals may self-refer, many learn about OVR through county/administrative entities, supports coordination organizations and agencies, and providers of employment-related services. These organizations do not adhere to a singular codified referral process. Some organizations refer everyone they come across while others filter individuals and recommend few, all of which affects how OVR can accomplish its mission. This likely disproportionality affects cultural and ethnic minorities, individuals of low socioeconomic status, those in rural areas, etc.

Equable and consistent service delivery and wait times are also impacted by VR Counselor understaffing. Across each office visited, and across all roles, VR administrators and staff cited understaffing as a major barrier to service provision. Understaffing stems from the lack of accredited university rehabilitation curriculums and programs across the state for VR Counselors and instructional staff, like Orientation and

Mobility Specialists and Vision Rehabilitation Therapists. District offices in rural areas lamented their difficulty filling open positions while offices located near accredited university programs secured VRCs more easily.

Yet even offices that don't suffer from understaffing recognize increased turnover. "OVR is a first stop rather than the final destination" commented one administrator. District administrators across the sites were open to revising the Master's degree requirement for VRCs. Most administrators and staff expressed confidence that revising the requirement from a Master's degree in vocational rehabilitation to a Master's degree in a related field would be beneficial. Another district administrator argued that a Bachelor's degree with years of office and field experience and local knowledge would generate even better candidates. All VR Counselors expressed the need for more counselors. Some believed the establishment of an intermediary counselor assistant to perform the intake, process paperwork, and acquire records would enable the VRCs to perform more vocational rehabilitation. However, other VRCs expressed concern that such an intermediary would increase inaccurate assessments.

Interoffice dynamics and collaboration also affect consistency and service delivery. A key dynamic uncovered across each district office was the strained relationship between VRCs and other staff, such as Vision Rehabilitation Therapists, Orientation and Mobility Specialists, and Business Service Representatives. Non-VRC staff expressed frustration that VRCs "believe they can do my job." There were examples of VRCs providing AT to customers without VRT training and circumventing BSRs to reach out directly to employers. We learned about these instances from both VRC and non-VRC staff. VRCs admitted to bypassing BSRs, "I'll reach out to employers myself just because I know it's something I can do quicker than giving it to someone else to do. I know the customer and what their needs are better than they do." When VRCs undertake BSR activities, they risk confusing and frustrating employers and consequently may undermine the BSR's success in forging and maintaining employer relationships.

#### *Identify Strategies to Expediently Refer Job Candidates to Employers*

Due to their increased caseloads, activities, and WIOA objectives, VR Counselors have been less able to attend meetings and market OVR to employers as much as they had in the past. However, according to district administrators, employer relationships have increased due to the establishment of the Business Service Representative and OVR's ability to offer employers 100 percent reimbursement for On-the-Job Training. According to the Business Service Representative, the "biggest part of the job is educating employers on what a disability is. Not just obvious physical disabilities, but explaining capabilities, Workers' Compensation and liability."

In addition to the targeted sub-goals sought by OVR in this comprehensive assessment of the provision of consistent and equitable delivery of OVR information and services, the research team also identified a few areas OVR may be interested in investigating further. As described above, VR staff agreed that face-to-face customer service was best, which predominantly meant meeting with customers in their home. This is particularly true for Vision Rehabilitation Therapists Orientation and Mobility Specialists, who often need to train customers in their home environment. But one staff member expressed the concern that in-home meetings could endanger staff members. "Counselors have to go into a home and assess it but no one assesses the safety for the counselor." This employee recounted feeling unsafe meeting alone with a customer. This vulnerability was exacerbated by the meeting's rural locale at a time of inclement weather.



Another concern raised during the site visits to district offices was compensation between administration and Pennsylvania Social Service Union (PSSU) staff. The research team learned that administration and VRCs were not receiving pay increases at the same rate and therefore there may be PSSU staff making nearly the same wage as a district administrator or the same wage as an assistant district administrator. One district administrator explained that this discrepancy discourages VRCs from advancing into management positions. Another expressed concern that in the absence of the district administrator, the assistant district administrator can be undermined if they are in the same wage class as VRC Supervisors.

#### *Comprehensive Statewide Needs Assessment for FFYs 2017-8*

In conjunction with the PA Rehabilitation Council, OVR is required to conduct and interpret a Comprehensive Statewide Needs Assessment (CSNA) every three years. The CSNA is the basis for developing State Plan goals, objectives and strategies. It is completed to identify needs for individuals with disabilities that can be addressed through the VR program. Our previous CSNA was completed January 2015 and the next cycle is effective July 2015 and will continue through June 30, 2016. The fully executed Purchase Order effective November 15, 2016 through June 30, 2018 confirms a new contracting process in place between OVR and Temple University's Institute on Disabilities to conduct the CSNA for Federal Fiscal Years 2017-2018. Temple University's Institute on Disabilities submitted a Project Proposal outlining their work plan to perform the research and evaluation components for the two-year project. The Temple staff are experienced research personnel very familiar with disability-related research and programming.

Many targeted activities planned for the next year were issues identified in the previous CSNA. Other activities were identified by OVR staff and agreed upon by the PA Rehabilitation Council as important initiatives. Temple staff will coordinate their tasks with both OVR's Central Office staff and PA Rehabilitation Council representatives. Here is an overview of the CSNA project for the next two years (FY's 2017-2018). The research outcomes from the following activities will be combined with the activities and report from the first year of the CSNA cycle (FY 2016) to create a comprehensive set of data, analysis, reports, and recommendations that will ultimately be the combined 2016-2018 Comprehensive Statewide Needs Assessment. The data collection and analysis of the activities below are ongoing.

1. Identify strategies and best practices relevant to students and youth with disabilities to increase services and support opportunities, including:
  - Engaging students, youth and school representatives to solicit their input and perspectives;
  - Practicing and improving workplace skills necessary for competitive, integrated employment;
  - Making available and enhancing pre-employment transition services (PETS); and
  - Strengthening and enhancing transition from school to higher education or competitive integrated work.
2. Identify needed services delivered by Community Rehabilitation Programs (CRP), particularly in rural areas, such as:
  - Increasing available services, including pre-employment services (PETS); and
  - Identifying strategies and best practices to assist CRPs to transition from sheltered and/or segregated employment programs to competitive integrated employment services.

3. Investigate and evaluate effective strategies and protocols for building more effective partnerships with other non-VR organizations\* to improve cross-agency collaboration and service delivery by:
  - Researching best practices, strategies and protocols of other states' VR programs and relevant national organizations to support the agency's development and modifications of Memorandum of Understanding;
  - Examining and evaluating current cross-agency collaboration and service delivery of OVR and other Pennsylvania non-VR organizations, particularly as it reinforces building effective partnerships of support of the Governor's Employment First Initiative;
  - Examining and evaluating OVR's current informational materials, website and social media protocol and make recommendations to enhance the agency's informational campaign to all OVR's customers.

\* PA Department of Education (PDE), Office of Developmental Programs (ODP), Office of Mental Health and Substance Abuse Services (OMHSAS), community rehabilitation programs (CRP), etc.
4. Identify barriers and strategies to improve services to the broad spectrum of ethnic and cultural minorities with disabilities and to increase the rate of employment for these groups, particularly individuals who are Latino.
  - Identify internal and external barriers to services for cultural and ethnic and cultural and minorities, particularly individuals with disabilities who are Latino
  - Identify best practices and strategies leading to improved VR services to ethnic and cultural minority groups, particularly individuals with disabilities who are Latino
  - Identify strategies to develop improved relationships and outreach with ethnic and cultural minority community organizations.
5. Identify strategies and best practices relevant to improving transportation services that enable individuals with disabilities to acquire and retain competitive integrated employment. Consideration should include the array of both public and private transportation services.
6. Survey the needs of college students with disabilities and determine how college/university disability service offices and/or other college/university job placement service offices can:
  - Increase the satisfaction rate of students with disabilities with their educational experiences
  - Develop internship opportunities for students with disabilities
  - Increase the success rate of students with disabilities at the completion of their educational programs
  - Increase staff/faculty knowledge of disability-related programming and accommodations
  - Engage state-related universities in cooperative agreements.

### **Annual Estimates**

The number of individuals in the State who are eligible for services:

790,000 individuals in Pennsylvania are eligible for services as of the end of 2014 (Source: Cornell University Employment and Disability Institute using data from the Current Population Survey (CPS), which is conducted by the Census Bureau and the Bureau of Labor Statistics <http://www.disabilitystatistics.org/reports/cps.cfm?statistic=prevalence>)

The number of eligible individuals who will receive services under:

1. The VR Program: 41,180
2. The Supported Employment Program; and
  - A. \*\*Supported employment
  - B. Most Significantly Disabled: 4,602
  - C. To be served in FFY 2017 with Title VI funds: 421
  - D. Title VI funds to be allocated: \$860,335
3. each priority category, if under an order of selection.
  - A. Most Significantly Disabled: 38,387
  - B. Significantly Disabled: 170
  - C. Not Significantly Disabled: 7\*\*

The number of individuals who are eligible for VR services, but are not receiving such services due to an order of selection; and

\*\* 0\*\*

The cost of services for the number of individuals estimated to be eligible for services. If under an order of selection, identify the cost of services for each priority category.

1. In FFY 2016 the number of SE customers served by OVR with title VI funds:
  - Total Number Served - Title VI Funds: 445
  - Amount Spent - Title VI Funds: \$887,749.74
  - Total Number Served under Age 25 - Title VI Funds: 445
  - Amount Spent - Title VI Funds (under 25): \$887,749.74
2. Additional SE customers served by OVR with title I funds:
  - Total Number Served - Title I Funds: 4,467
  - Amount Spent - Title I Funds: \$8,854,097.28
  - Total Number Served under Age 25 - Title I Funds: 1,687
  - Amount Spent - Title I Funds (under 25): \$3,109,250.24

Based on actual FFY 2014 figures, OVR's outcome and service goals under the Order of Selection are projected as follows for FFY 2017:

- For the Most Significantly Disabled category, the number accepted is expected to be 17,261, while the number served will be 41,180. The number rehabilitated will be 8,575 8,438, of which 8,351 will be the number rehabilitated into the competitive labor market. The total cost of services for FFY 2016 is expected to be \$58,886,399, which will be revised following notification of the FFY 2016 Appropriation.
- For the Significantly Disabled category, the number accepted is expected to be 25 (newly accepted, but placed on a waiting list) while the number served will be 15. The number rehabilitated will be 3, of which 2 will be the number rehabilitated into the competitive labor market. The total cost of services for FFY 2016 is expected to be \$17,722, which will be revised following notification of the FFY 2016 Appropriation.

- For the Non-Significantly Disabled category, the number accepted is expected to be 0 (newly accepted, but placed on a waiting list) while the number served will be 0. The cost for FFY 2016 is expected to be 0.

### **State Goals and Priorities**

The designated State unit must:

- (1) Identify if the goals and priorities were jointly developed and agreed to by the State VR agency and the State Rehabilitation Council, if the State has a Council, and jointly agreed to any revisions.
- (2) Identify the goals and priorities in carrying out the VR and Supported Employment programs.
- (3) Ensure that the goals and priorities are based on an analysis of the following areas:
  - (A) the most recent comprehensive statewide assessment, including any updates;
  - (B) the State's performance under the performance accountability measures of WIOA Section 116; and
  - (C) other available information on the operation and effectiveness of the VR program, including any reports received from the State Rehabilitation Council and findings and recommendations from monitoring activities conducted under Section 107.

\*\*Any projections, program continuations, etc. in this Attachment are subject to the availability of supporting funding in the Plan year. Goals were developed jointly with the Pennsylvania Rehabilitation Council (PaRC) on December 8, 2014 and jointly agreed to revisions.

#### **Goal #1: Increase Employment Opportunities for Individuals with Disabilities**

1. Expand the availability of apprenticeships, internships and On-the-Job Training (OJT) for individuals with disabilities.
2. Partner with the Bureau of Workforce Partnership and Operations (BWPO) to ensure programmatic and physical accessibility of the Pennsylvania CareerLink® for equal access for individuals with disabilities.
3. Create and expand interagency agreements between OVR and local Career and Technology Centers and other community-based organizations.

#### **Goal #2: Increase/Improve Transition Services for Students with Disabilities**

1. Increase pre-employment transition services for students with disabilities.
2. Increase opportunities for students to gain workplace skills and community-integrated work experiences.
3. Enhance collaborative relationships with Department of Human Services, Pennsylvania Department of Education and higher education.
4. Expand BBVS Overbrook School for the Blind Summer Transition Initiative to create summer employment opportunities for transition age students who are blind.
5. Research best practices for the implementation of peer mentoring opportunities.

#### **Goal #3: Increase community education and outreach.**

1. Work with the Pennsylvania Rehabilitation Council to share best practices and outreach methods to increase participation in the Citizens Advisory Committee (CAC) meetings.
2. Continue to grow OVR's social media presence.
3. Educate staff on accessibility & technology based upon universal design principles.

## **Order of Selection**

### **Describe:**

1. The order to be followed in selecting eligible individuals to be provided VR services.
2. The justification for the order.
3. The service and outcome goals.
4. The time within which these goals may be achieved for individuals in each priority category within the order.
5. How individuals with the most significant disabilities are selected for services before all other individuals with disabilities; and
6. If the designated State unit has elected to serve eligible individuals, regardless of any established order of selection, who require specific services or equipment to maintain employment.

### **Priority of Categories to Receive VR Services Under the Order**

- First Priority: Most Significantly Disabled (MSD)
- Second Priority: Significantly Disabled (SD)
- Third Priority: Non-Significantly Disabled (NSD)

### **Description of Priority Categories**

#### **First Priority: Most Significantly Disabled (MSD)**

- The physical, mental, or sensory impairment(s) must seriously limit three or more of the individual's functional capacities; and
- The individual must be expected to require two or more vocational rehabilitation services that are expected to last six months from the date of the Individualized Plan for Employment (IPE) or be needed on an ongoing basis to reduce an impediment to employment.

#### **Second Priority: Significantly Disabled (SD)**

- The physical, mental, or sensory impairment(s) must seriously limit one or more of the individual's functional capacities; and
- The individual must be expected to require multiple vocational rehabilitation services that are expected to last six months from the date of the Individualized Plan for Employment (IPE) or be needed on an ongoing basis to reduce an impediment to employment.

#### **Third Priority: Non-Significantly Disabled (NSD)**

- The individual has a physical, mental, or sensory impairment that does not meet the definition for MSD or SD.

### **Justification of Order of Selection**

Because OVR does not believe it will be able to serve all eligible individuals with the available resources, it has adopted an Order of Selection.

### **Service and Outcome Goals and the Time Within Which the Goals will be Achieved**

Given the projections of flat funding at both the Federal and State level, OVR will strive to maintain current service levels. As of December 1, 2014, OVR had a waiting list of about 25 customers whose severity of

disability was either classified in the second or third priority. Based on actual FFY 2014 figures, OVR's outcome and service goals under the Order of Selection are projected as follows for FFY 2016:

- For the Most Significantly Disabled category, the number accepted is expected to be 16,000, while the number served will be 55,000. The number rehabilitated will be 8,575, of which 8,300 will be the number rehabilitated into the competitive labor market. The total cost of services for FFY 2016 is expected to be \$64,982,277, which will be revised following notification of the FFY 2016 Appropriation.
- For the Significantly Disabled category, the number accepted is expected to be 25 (newly accepted, but placed on a waiting list) while the number served will be 15. The number rehabilitated will be 3, of which 2 will be the number rehabilitated into the competitive labor market. The total cost of services for FFY 2016 is expected to be \$17,722, which will be revised following notification of the FFY 2016 Appropriation.
- For the Non-Significantly Disabled category, the number accepted is expected to be 0 (newly accepted, but placed on a waiting list) while the number served will be 0. The cost for FFY 2016 is expected to be 0.

In total, the number accepted is expected to be 16,000, while the number served will be 55,015. The number rehabilitated will be 8,578, of which 8,302 will be the number rehabilitated into the competitive labor market. The service cost for FFY 2016 is expected to be \$65,000,000, which will be revised following notification of the FFY 2016 Appropriation.

Throughout the year, OVR senior management will evaluate the agency's available resources on a regular basis to serve individuals with disabilities considered to be Significant Disability (SD). If resources are available, the OVR District Offices will be informed that they can move individuals from waiting into an active status with OVR. Persons on the SD level will be served according to their date of application. If no resources are available, individuals will remain on the SD list until the next re-evaluation.

The time frame in which goals are to be achieved is approximately 30 months for both MSD and SD priority of service categories.

### **How Individuals with the Most Significant Disabilities are Selected for Services**

In keeping with federal mandates, OVR's policy is to operate on an Order of Selection when the agency is unable to provide services to all eligible individuals in the same state who apply for services. The Order of Selection in Pennsylvania gives priority first to individuals with the Most Significant Disabilities (MSD); secondly, to individuals with Significant Disabilities (SD); and third to individuals with Non-Significant Disabilities (NSD). All new applicants for services must be notified about the Order of Selection. Significance of disability is defined based upon the presence of functional capacities and the requirement of multiple vocational rehabilitation services, defined as two or more services that are expected to last 6 months from the date of the Individualized Plan for Employment (IPE) or be needed on an ongoing basis to reduce an impediment to employment.

### **If the Designated State Unit has Elected to Serve Eligible Individuals, Regardless of any Established Order of Selection, who Require Specific Services or Equipment to Maintain Employment**

After dialogue with the Pennsylvania Rehabilitation Council and the State Board of Vocational Rehabilitation, OVR determined that pending a state budget increase, if granted in FY 2015-16 and maintained in FY 2016-17, then OVR will consider the agency's option to serve eligible individuals with

disabilities outside the current order of selection who have an immediate need for equipment or services to maintain employment. If no additional funding is received, then OVR will maintain its current Order of Selection while continuing to evaluate the agency's available resources on a regular basis. Therefore, OVR has elected not to serve eligible individuals outside the current order of selection who require VR services or equipment to maintain employment unless OVR amends its State Plan prior to the 2-year modification. OVR remains on an Order of Selection (OOS). Individuals with most significant disabilities are given first priority. OVR continues its position not to elect to serve eligible individuals, regardless of the OOS, who require specific services or equipment to maintain employment.

### **Goals and Plans for Distribution of title VI Funds**

- (1) Specify the State's goals and priorities for funds received under Section 603 of the Rehabilitation Act for the provision of supported employment services.**
- (2) Describe the activities to be conducted, with funds reserved pursuant to Section 603(d), for youth with the most significant disabilities, including:**
  - (A) the provision of extended services for a period not to exceed 4 years; and**
  - (B) how the State will leverage other public and private funds to increase resources for extended services and expanded supported employment opportunities for youth with the most significant disabilities.**

OVR will use Title VI Funds to provide Supported Employment (SE) services to customers throughout the commonwealth. Services are provided by way of contracts with community based rehabilitation providers using an individualized, performance-based job coaching model. Title I Funds will be used to provide SE services after Title VI Funds have been exhausted.

SE services are a vital part of OVR programming. SE services secure employment for individuals with the most significant disabilities who would not experience an employment outcome from less intensive job placement methods. OVR has changes planned for individuals receiving SE services, such as expanding Discovery and Customized Employment (D/CE) pilots. The following improvements listed are intended to be considered OVR goals for title VI funds. For example, OVR is revising its SE policy that will consider providing more intensive evaluation throughout the life of a case to ensure that services will be provided to customers most in need. Increasing communication among VR partners is another area under consideration. The SE policy revision will focus on achieving a higher level of long-term stability as part of successful employment outcomes through SE funding. Title I Funds will be used to provide Supported Employment services after Title VI Funds have been exhausted.

OVR's implementation of Discovery and Customized Employment (D/CE) rolled out to District Offices in three pilot phases. Phase I Pilot offices were implemented in November 2015 and included our Washington, Harrisburg, and Philadelphia District Offices. Phase II D/CE implementation began in January of 2017 and included our Pittsburgh, Erie, Altoona, York, Allentown, and Norristown offices. In June 2017, Phase III D/CE implementation was completed for New Castle, Williamsport, Dubois, Reading, Johnstown, Wilkes-Barre with staff training via videoconference and the final in a series of trainings by Marc Gold and Associates (MG&A) held in Cranberry. All offices involved in the pilot have received an informational training session for their supported employment providers and intensive face to face training for their staff. Additional providers for Discovery have been added in all district offices and additional providers have expressed interest or are currently going through certification. OVR will continue to work with the provider community to inform and recruit providers who have gone through the Discovery training process.

Another goal includes OVR plans to continue to expand SE services for underserved populations. This includes providing quality services to individuals with the most significant disabilities, to increase successful outcomes for transition-aged youth, and to ensure accessibility of services for all potential customers. OVR plans to enhance service coordination with partner agencies such as the Office of Developmental Programs, the Office of Mental Health and Substance Abuse Services and the Office of Long Term Living. These agencies provide the necessary long-term supports needed to ensure the employment success of the individual with a disability.

### **State's Strategies**

**An evaluation of the extent to which the VR program goals described in the approved VR services portion of the Unified or Combined State Plan for the most recently completed program year were achieved. The evaluation must:**

- (A) Identify the strategies that contributed to the achievement of the goals.**
- (B) Describe the factors that impeded the achievement of the goals and priorities.**

#### **1. Increase Employment Opportunities for Individuals with Disabilities.**

##### **a. Expand the availability of apprenticeships, internships, and On-the-Job Training (OJT) for individuals with disabilities.**

- OVR linked with the PA Department of Labor and Industry (L&I) Apprenticeship & Training Office to increase apprenticeship opportunities.
- OVR Business Services and Outreach Division supported CTI/HGAC by connecting with L&I's Apprenticeship Office & CVS to help promote opportunity for "stackable skills training" for pre-apprenticeship in Pharmacy Tech and in building trades.
- New pilot of "My Summer Work" Project in New Castle, Wilkes-Barre, York, Allentown, Philadelphia, and Reading DO's.
- Four co-located District Offices (Harrisburg, Philadelphia, Altoona, Erie) and OVR Central Office participated in the 2017 State/Local Internship Project this summer. Central Office will work with two interns and all others will have one intern for the full 8-week program. Program runs from June 19 to August 11, 2017. OVR is focused on offering the opportunity to students who are PETS eligible. Students will be engaged in a variety of office related tasks and projects with focus on development of soft-skills for future employment.

##### **b. OVR continues to partner with the Bureau of Workforce Partnership and Operations (BWPO) to ensure programmatic and physical accessibility of the PA CareerLink® Centers.**

- In 2016, OVR training for all combined bureau District Office Business Service Teams on the Cornell University ADA Leadership Training and provided hands-on training to offer accessibility training through use of the accessibility tool kits, that were developed by Misericordia University in Scranton. There have been increased requests for training from CareerLinks® because of this training and outreach.

##### **c. Create and expand interagency agreements between OVR and local Career and Technology Centers and other community-based organizations.**



- The Bureau of Special Education and OVR are holding regional meetings/trainings with local CTC's to increase awareness and interest in collaboration.
- Currently the Berks CTC is the remaining program. This program has expanded to include paid internships, travel training, driver's education, and has hired two job trainers.

## **2. Increase/Improve Transition Services for Students with Disabilities**

### **a. Increase pre-employment transition services for students with disabilities.**

- OVR continues to approach PETS implementation through three avenues: Staff, Fee for Service agreements, and contracts. The WIOA requisite that 15 percent of VR Funds must be used for pre-employment transition services expands the role and responsibilities of VR Counselors to devote time and attention to transition-age youth. PETS funds for the entire FFY are estimated to be \$20 million. OVR is focused on developing more summer programs. In addition to the Summer Academies for Visual Impairment, Deaf and Hard of Hearing, and Individuals with Autism, OVR's working with the Bureau of Special Education on the AACHIEVE Program. This is a summer program that bridges the gap between high school, summer employment, and college to assist students with Autism to transition. The colleges involved are Edinboro, Indiana University of PA, Kutztown, and West Chester. Local High Schools located near these college setting are participating.
- Section 511 added new requirements that place limitations on the payment of subminimum wages by entities holding special wage certificates under Section 14(c) of the Fair Labor Standards Act. As a direct result of WIOA, certain criteria must now be satisfied before an employer hires individuals with disabilities who are age 24 or younger (youths) at subminimum wage or continues to employ individuals with disabilities of any age at the subminimum wage level. The purpose of Section 511 is to ensure that individuals with disabilities have access to information and services that will enable them to achieve competitive integrated employment. OVR on target to reach all individuals affected before the July 22, 2017 compliance deadline for the first year of implementation.
- Increase opportunities for students to gain workplace skills and community-integrated work experiences. OVR works to increase customer employment outcomes (26 closures and Work Based Learning Experiences) through increased engagement with multiple employers; use of permanent and non-permanent OJT's; increased awareness of internships, both paid and nonpaid, and apprenticeships; and involvement with Work Partners @ CTC's (example: Berks Career and Technology Center).

### **b. Enhance collaborative relationships with DHS, PDE, and higher education.**

- **Experience the Employment Connection** – With a successful first year as a foundation, Experience the Employment Connection (EEC): Possibilities in

Action is returning for a second year! EEC is a joint training initiative between OVR, the Office Developmental Programs (ODP), the Office of Mental Health and Substance Abuse (OMHSAS), and the Department of Education's Bureau of Special Education (BSE). This year the EEC Steering Committee have put together a program that is bigger, better and even more engaging. 15 full-day regional training sessions are planned for Fall 2017 with dates and locations determined by Suasion, an external conference planner and facilitator determined by bid award for this initiative. Focus topic being considered is around the Governor's Employment First Legislation.

- **OVR's 2017 Summer Academy Programs prepare high school students for success in college include:** Youth with Visual Impairment BBVS in State College, partnership with Penn State University (Final week included STEM activities); new for 2017 will be a Summer Academy for Students who are Deaf and Hard of Hearing Impaired at PSU; and the Early Reach Summer Academy 2017 at PSU Harrisburg Campus.
- c. **Expand BBVS Overbrook School for the Blind Summer Transition Initiative to create summer employment opportunities for transition age students who are blind.**
  - Summer Academy- This year the STEM activities have added an additional week of activity for participants.
- d. **Research best practices for the implementation of peer mentoring opportunities. Staff continues to collaborate with the Office of Mental Health and Substance Abuse Services (OMHSAS) to develop Peer Support Training Curriculum.**
  - OVR is working with OMHSAS to identify formal pathways within which to develop training and implementation of Peer Supports within PA.

### **3. Increase Community Education and Outreach**

- a. **Work with the PaRC to share best practices and outreach methods to increase participation in the Citizens Advisory Committee meetings.**
  - OVR Central Office staff participated in the CAC meeting on March 2, 2017. Information was shared with DA's and CAC liaisons within OVR by e-mail message.
  - PaRC is planning an Empowerment Summit on August 24, 2017 with the State Board of VR, Client Assistance Program (CAP), Statewide Independent Living Council (SILC), and OVR to learn about each group's missions, roles, goals, activities, etc. to see where our missions/goals cross and how we can work together for change especially as related to the Council's goal of employment for persons with disabilities.
- b. **Continue to grow OVR's social media presence. OVR's Social Media Manager continues to ensure OVR have an active Facebook, Twitter, and LinkedIn page.**
- c. **Educate staff on accessibility and technology based upon universal design principles.**
  - Accessible Document Training was conducted for interested staff in May/June 2017 provided by Rick Fox, B & F Teaching and Technology.

### **Evaluation and Reports of Progress: VR and Supported Employment Goals**

**An evaluation of the extent to which the Supported Employment program goals described in the Supported Employment Supplement for the most recent program year were achieved. The evaluation must:**

- (A) **Identify the strategies that contributed to the achievement of the goals.**
- (B) **Describe the factors that impeded the achievement of the goals and priorities.**

OVR will use Title VI Funds to provide Supported Employment services to customers throughout the Commonwealth. Services are provided by way of contracts with Community Based Rehabilitation Providers using an individualized, performance-based job coaching model. Once the Title VI Funds have been exhausted, Title I Funds are used to provide Supported Employment services.

Supported Employment (SE) services are a vital part of OVR programming. SE services secure employment for individuals with the most significant disabilities who would not experience an employment outcome from less intensive job placement methods. It is anticipated that approximately 6,800 Pennsylvanians with the most significant disabilities will receive SE services in FFY 2014. Of this number, approximately 875 individuals will be funded out of the \$926,000 Title VI Funds anticipated for distribution to Pennsylvania in FFY 2015.

OVR is preparing roll out the new Supported Employment policy in the fall of 2017. Change that may be considered will look to provide ongoing evaluation to ensure services are being provided to those customers most in need. Increasing communication among VR partners is an area that is to be addressed. A considered focus is achieving a higher level of stability as part of successful employment outcomes through supported employment funding.

An important goal for OVR is to continue to expand SE services for under-served populations. This goal includes providing quality services to rural areas, to continue to increase successful outcomes for transition-aged youth, and to ensure accessibility of services for all potential customers. Another goal is to enhance OVR partnerships with provider agencies such as the Office of Developmental Programs (ODP), the Office of Mental Health and Substance Abuse Services (OMHSAS), and Office of Long Term Living (OLTL). These agencies provide the necessary long-term supports needed to ensure the employment success of the individual with a disability.

- (C) **The VR program's performance on the performance accountability indicators under WIOA Section 116.**

OVR has met or exceeded its goals during the preceding 2-year period. For Federal Fiscal Year (FFY) 2016, OVR served more than 72,000 individuals with disabilities, worked with over 6,000 employers and helped place more than 8,300 individuals with disabilities into competitive integrated employment. During 2016, OVR and our partner providers delivered an extensive array of pre-employment transition services to students with disabilities across Pennsylvania.

To align the Vocational Rehabilitation (VR) program (which operates on a Federal fiscal year (FFY) basis) with the other five WIOA core programs (which operate on a program year (PY) basis) to the extent practicable, VR agencies must report participant data in a manner consistent with the jointly-administered requirements set forth in the final joint WIOA regulations and the WIOA Common Performance Reporting Information Collection Request (ICR).

In accordance with WIOA Section 506(b), the performance accountability system requirements of WIOA Section 116 took effect July 1, 2016. At that time, VR agencies were expected to begin the process of implementing the final RSA-911-16 data collection. The U.S. Department of Education exercised its transition authority under WIOA Section 503 to ensure the orderly transition from the requirements under the Act, as amended by the Workforce Investment Act of 1998, to the requirements of WIOA. The primary indicators of performance are calculated on a PY basis (i.e., July 1-June 30). Because the VR program's

FFY (i.e., October 1-September 30) spans two different PYs by an overlap of one quarter (July 1-September 30) and, therefore, many participants are served by the VR program for more than one PY, the data must be reported on a quarterly basis to ensure the required data are available for the entire PY. In so doing, the VR program can ensure compliance with the performance accountability requirements of WIOA Section 116 and data comparability with the other core programs.

OVR will begin collecting Common Performance Measure data on July 1, 2017, and the first quarterly reports containing Common Performance Measure data and other 911 client data are due November 15, 2017. In addition to the quarterly reports, OVR will submit the Statewide and Local Performance Report annually with their WIOA Core Partners.

### **Quality, Scope, and Extent of Supported Employment Services**

#### **Include the following:**

- 1) **The quality, scope, and extent of supported employment services to be provided to individuals with the most significant disabilities, including youth with the most significant disabilities.**
- 2) **The timing of transition to extended services.**

OVR provides Supported Employment (SE) services to Pennsylvanians with disabilities through a network of community-based Community Rehabilitation Providers (CRPs). Services provided through these vendors/partners are described below:

Beginning in the early 1980s, OVR developed a system of SE services using a fee-for-service payment system. Initially, 17 CRPs of SE services were funded through a 5-year, OSERS, Title III supported employment State Change Grant. The number of SE CRPs approved to provide services to OVR has since grown to over 100. There are over 50 SE CRPs contracted specifically with OVR's Bureau of Blindness and Visual Services (BBVS) program. SE services are now provided statewide and include time-limited and, to a much lesser degree, time-enduring services.

OVR SE services include transitional employment through the Clubhouse model for persons with significant mental health disabilities. Also, SE services have been provided on a broader basis since the late 1990's to persons who are deaf via contracting with SE CRPs fluent in American Sign Language. The use of SE for customized employment (CE) cases is being piloted in 2015 to expand the breadth of SE services OVR offers. The use of CE in addition to SE will allow OVR to assist individuals who require very intensive services but are interested in and working towards competitive community integrated employment. OVR District Offices continue to work with other key state and private agencies, such as OHMSA), ODP, OLTL and PDE. In addition, OVR partners with private community service CRPs to expand and develop all types of community-integrated competitive employment as defined by the individual needs of customers.

OVR currently uses what is commonly known as the milestone SE method. OVR has termed its version "Performance Based Job Coaching" (PBJC) and has used this method of funding community-based SE vendors since 1999. This format was based upon research completed by OVR in the mid-1990's to determine best practices for SE service provision. The above-mentioned format was reviewed in 2013 and 2014 for areas of improvement. Additionally, OVR has reviewed SE data for a three-year funding cycle to determine what policy revisions should be considered to improve the provision of SE services.

### **Quality Standards**

OVR provides technical assistance and training for its staff using seminars, conferences, and training programs. SE services provided by community based service CRPs are reviewed at least every 5 years to look at relevance and costs related to such services.

Staff from Virginia Commonwealth University (VCU), OVR and the Pennsylvania Association of Rehabilitation Facilities (PARF) developed statewide standards for OVR and CRPs of SE services. These standards continue to guide all contracts and contract reviews for provision of SE services. The standards (as updated) are as follows:

1. The population to be served
  - a. Individuals served are those eligible for the state/federal VR program.
  - b. Targeted consumers are individuals with disabilities needing services to obtain, retain, or prepare for community based competitive employment that is consistent with their capacities and abilities.
  - c. The SE program is responsive to customer needs and to the employment opportunities available in the community.
2. Outcomes for SE Program Participants
  - a. The program encourages, promotes, and provides for integration in the competitive work force.
  - b. Skill acquisition challenges the individual's potential to be productive as defined by the employer and employment market.
  - c. The number of hours worked by the participants should be the maximum hours possible based upon the unique strengths, resources, interests, concerns, abilities and capabilities of individuals with the most severe disabilities. The maximum number of employee benefits possible must be sought as well. A competitive employment situation is the intended result, and ideally, it is a position that is full-time with benefits and provides the best opportunity for independence.
3. The SE Community Rehabilitation Provider (CRP) Organization
  - a. The CRP mission statement is consistent with the planned services.
  - b. There is evidence that the CRP can deliver vocational rehabilitation services in the form of community based work assessments, job readiness training, job development, job placement, job analysis, job skills training, on-the-job supports, itinerant supports and extended services among others.
  - c. The CRP demonstrates prior experience or otherwise presents the capability to deliver services, such as linkages with other services, adequate staff, training for staff and other supports for staff, etc.
  - d. The CRP has a method in place to measure and report the outcomes of services, e.g., automated or alternative method of service reporting and tracking.
  - e. There are job descriptions for all service CRP staff.
  - f. Staff development is an integral part of the CRP's budget or annual plan.
  - g. The CRP demonstrates that individuals with disabilities have a substantial role in the establishment of organizational policy and delivery of services.
4. The Service Design
  - a. The CRP presents for consideration:
    - i. Definitions of Services

- ii. Staffing pattern that includes individuals with disabilities
- iii. Model to be used including plan for community integration, job development, placement, training and extended services
- iv. Linkage with OVR and other funding sources (DHS, PDE)
- v. Consistent opportunities for informed customer choice

#### 5. Local Linkages

- a. The CRP demonstrates a knowledge and ability to develop and maintain linkages with other ancillary services in the community, e.g., the PA Department of Labor & Industry (L&I) Bureau of Workforce Partnerships and Operations (BWPO), the PA Department of Education (PDE) Bureau of Special Education (BSE), the PA Department of Human Services (DHS, OMHSAS, ODP), the PA Department of Aging Office of Long Term Living (OLTL), Drug and Alcohol Single County Authorities (SCA), Chambers of Commerce, and other extended service agencies and organizations.
- b. OVR reviews, discusses, and works closely with the CRP for mutually responsive programs. The OVR Counselor is integral to customer progress, service delivery, and placement activities occurring in concert with the CRP. A process is in place to measure and report the outcome of services.

#### **Scope and Extent**

SE services provided to individuals include: evaluations, skills training, job modification and/or customization, transportation and transportation training services, coordination of ancillary services, advocacy, and socialization skills, among others. All services are provided on an individual basis and are tailored to the individual's needs to achieve a specific vocational goal.

Pennsylvania has established local mechanisms through which funding for intensive training and extended services is available for all eligible populations. Populations receiving SE includes individuals with developmental disabilities, mental health issues, physical disabilities, blindness, deafness, autism and traumatic brain injury, among many other needs. SE services are also available within the special education, mental health and developmental disability systems. OVR is actively engaged in collaborative relationships with those systems to ensure the provision of effective services, to reduce duplication of efforts, to share resources, and to improve employment outcomes for those served mutually by multiple systems.

In Pennsylvania, three major sources of extended service funding are available to ensure availability to customers who require long term support such as those who receive services from ODP and OLTL. OVR also has a limited amount of funds for customers who do not have other sources of long-term funding. These funds are the VR state SE funding. They are intended for customers who need extended services and who have no other source for extended services after the intensive supports are faded from the employment situation.

Actual funding available from ODP and OLTL varies from county to county depending upon each county's situation. Other resources sought for extended service funding are natural supports, SSA work incentives, private foundations, etc.

#### **Timing of the Transition to Extended Services**

The timing of the transition of an individual with a disability to extended services is dependent upon the needs of the customer and the employer, and in most cases, available funding from one of the PA DHS

agencies (OMHSAS, ODP and OLTL). Extended services may not be needed for the individual who has appropriate natural supports such as the employer, co-workers, family, and friends. However, extended services must be offered for situations where natural supports for the needs of the employee are missing or incomplete.

The determination of the need for extended services begins at the assessment stage of the VR plan. At that time the rehabilitation team, OVR staff, the individual, the individual's family, and, if applicable, the individual's Case Manager/Supports Coordinator determine what resources are needed for long-term supports. This team collaboratively determines what resources are available to meet the extended services need.

Due to the intensive and short-term nature of OVR supports, other partners (including funders) must be involved to ensure long-term success for the worker with a disability. The rehabilitation team must plan carefully for the needs of an individual with a disability to determine the best time for the employee with a disability to transition to extended services. The sooner the team can be assembled and focused on supporting the customer, the better the transition to extended services will be to meet the needs of the customer and employer.

## **Career and Technical Education Programs Authorized under the Carl D. Perkins Career and Technical Education Act of 2006**

### **Planning, Coordination and Collaboration Prior to State Plan Submission**

**The State must conduct public hearings in the State, after appropriate and sufficient notice, for the purpose of affording all segments of the public and interested organizations and groups (including charter school authorizers and organizers consistent with State law, employers, labor organizations, parents, students, and community organizations), an opportunity to present their views and make recommendations regarding the State Plan. (Section 122(a)(3))**

The Pennsylvania Department of Education (PDE) scheduled three hearings across the state. The notice of Perkins IV public hearings was sent to all stakeholders through various media. Stakeholders received a notice of the hearings by e-mail. The state has a distribution list that reaches every local education agency and is the predominate means for making announcements statewide (PennLink). An announcement was prepared and distributed through the PennLink system to all school districts and career and technical centers. Additionally, the hearing notice was sent to all Perkins recipients. To notify individuals not associated with school entities, the announcement was published in the PA Bulletin. In addition, draft documents of the five-year plan and guidelines were posted on the Pennsylvania Department of Education's Bureau of Career and Technical Education's Perkins webpage for review prior to public hearings scheduled for December 2007.

The announcement follows:

#### **Perkins IV Five Year State Plan Hearing Announcement**

Pursuant to Section 122 (a) (3) of the "Carl D. Perkins Career and Technical Education Improvement Act of 2006", public hearings will be conducted in the following locations to allow for stakeholder groups as defined in Section 122 (b) (1) of the Act to present their views and make recommendations regarding the Perkins IV Five Year State Plan.

To view documents, please visit our website at [www.education.pa.gov](http://www.education.pa.gov)

Tuesday, December 4, 2007 Community College of Allegheny County, Boyce Campus 595 Beatty Road Monroeville, PA 15146-1348 Auditorium 1:00 pm - 4:00 pm  
Wednesday, December 5, 2007 Central PA Institute of Science and Technology 540 North Harrison Road Pleasant Gap, PA 16823-8644 Lab 2 1:00 pm - 4:00 pm

Thursday, December 6, 2007 Lehigh Career and Technical Institute 4500 Education Park Drive Schnecksville, PA 18078-2501 JOC Boardroom 1:00 pm - 4:00 pm

If you wish to provide public comment, please contact Ellie Bicanich at [eeb6@psu.edu](mailto:eeb6@psu.edu) no later than December 1, 2007 to schedule a 15-minute time slot during one of the three regionally based public hearing sites listed above. Testimony will be restricted to issues that address CTE policy and/or programs, and not to air personal complaints. Participants are asked to summarize testimony at the hearings and submit written copies of testimony to the panel.

**The State must include a summary of the above recommendations and the eligible agency's response to such recommendations in the State Plan. (Section 122(a)(3))**

In compliance with Section 122 (a) (3) of the "Carl D. Perkins Career and Technical Education Improvement Act of 2006", public hearings were scheduled in December 2007 at three regional locations to allow for stakeholder groups as defined in Section 122 (b) (1) of the Act to present their views and make recommendations regarding the Perkins IV Five Year State Plan. Three public hearings were scheduled for December 4-5, 2007 and were held regionally across Pennsylvania. The Central Region hearing was cancelled due to lack of participation. In addition to the hearings, the State Plan was made available to the public through the Pennsylvania Department of Education website and was distributed to directors of career and technical education and others requesting copies through e-mail correspondence.

Hearing panel members were moderated by administration of Workforce Investment Boards and members included individuals from business and industry. Six individuals provided public comment. The Perkins IV State Plan reflects responses to the comments.

Comments included:

- Ensuring sending schools and career and technical centers strengthen their relationships to implement comprehensive high school reform.
- Ensuring secondary students are prepared academically for postsecondary education
- Developing a statewide articulation agreement that will have a positive impact on student participation in postsecondary career and technical education programs.
- Developing or identifying reliable methods of technical skill attainment and occupational competency testing for postsecondary programs.
- Ensuring Programs of Study are connected to the Science, Technology, Engineering and Mathematics (STEM) initiative.

One comment addressed Pennsylvania Department of Education Regulation Chapter 339, which has no impact on the Perkins IV State Plan.



**The State must develop the State Plan in consultation with academic and career and technical education teachers, faculty, and administrators; career guidance and academic counselors; eligible recipients; charter school authorizers and organizers consistent with State law; parents and students; institutions of higher education; the State tech prep coordinator and representatives of tech prep consortia (if applicable); entities participating in activities undertaken by the State boards under WIOA Section 101; interested community members (including parents and community organizations); representatives of special populations; representatives of business and industry (including representatives of small business); and representatives of labor organizations in the State. The State also must consult the Governor of the State with respect to development of the State Plan. (Section 122)**

The five-year Perkins IV State Plan was developed in consultation with vested stakeholders. A list of State Plan Committee members is found on pages 87-88. The State Plan Committee met monthly from September through October. The period between meetings involved continued consultation with committee members and other interested stakeholders.

**The State must develop effective activities and procedures, including access to information needed to use such procedures, to allow the individuals and entities listed in item 3 above to participate in State and local decisions that relate to development of the State Plan. (Section 122(b)(2))**

All State Planning documents were distributed as e-mail attachments and hard copy to State Planning team committee members. All team members received copies of the transition State Plan and State Planning guide prior to the initial meeting conducted in July to facilitate communication between the members and PA Department of Education (PDE). During the meetings State Plan committee members provided their input into the policies and procedures included in the State Plan. They also drafted and approved final language.

Draft copies of the plan were made available throughout the planning process to stakeholders not on the planning committee. Their input was presented to the State Plan committee members and discussion was held. In addition, committee members discussed the plan with other interested stakeholders in between meetings. E-mail exchanges took place among State Plan committee members. Throughout this process consensus was reached in all areas of the plan. The State Board of Vocational Education was also actively involved in providing input. During each Standing Committee meeting and SBVE meeting, updates were provided to the Board members and their input and questions were addressed and changes made to the plan.

**The State must develop the portion of the State Plan relating to the amount and uses of any funds proposed to be reserved for adult career and technical education, postsecondary career and technical education, tech prep education, and secondary career and technical education after consultation with the State agency responsible for supervision of community colleges, technical institutes, or other 2-year postsecondary institutions primarily engaged in providing postsecondary career and technical education, and the State agency responsible for secondary education. If any of those State agencies finds that a portion of the final State Plan is objectionable, that State agency must file its objections with the eligible State agency. The eligible State agency must respond to any objections it receives in the State Plan that it submits to the Secretary of Education. (Section 122(e)(3))**

There is one state agency responsible for secondary and postsecondary education. The PA Department of Education (PDE) is responsible for secondary career and technical education (CTE), adult CTE, postsecondary CTE and tech prep education. CTE is included in two offices within the PDE. Both offices,

Elementary and Secondary Education and Postsecondary and Higher Education, were active members of the State Planning committee. The State Plan committee agreed on the amount and uses of funds.

### **Program Administration**

**The State must prepare and submit to the Secretary a State Plan for a 6-year period. (Section 122(a)(1))**

A six-year State Plan was not submitted. Federal regulation provided the opportunity to submit a transition plan for the first year of operation and five-year State Plan. In 2007, Pennsylvania submitted a one-year transition plan followed by a five-year Perkins State Plan, as outlined in U.S. Department of Education (USDE) guidance and federal regulation. Pending reauthorization of the Perkins Act and per federal requirements, PDE has continued to provide annual updates to USDE regarding its Perkins activities in lieu of a revised State Plan.

**The State Plan must include information that describes the career and technical education activities to be assisted that are designed to meet or exceed the State adjusted levels of performance, including a description of:**

**The career and technical education Programs of Study, that may be adopted by local educational agencies and postsecondary institutions to be offered as an option to students (and their parents as appropriate) when planning for and completing future coursework, for career and technical content areas that: (i) Incorporate secondary education and postsecondary education elements; (ii) Include coherent and rigorous content, aligned with challenging academic standards, and relevant career and technical content in a coordinated, non-duplicative progression of courses that align secondary education with postsecondary education to adequately prepare students to succeed in postsecondary education; (iii) May include the opportunity for secondary education students to participate in dual or concurrent enrollment programs or other ways to acquire postsecondary education credits; and (iv) Lead to an industry-recognized credential or certificate at the postsecondary level, or an associate or baccalaureate degree;**

A one-year Transition Plan was submitted and approved for 2007-2008. This plan is a five-year plan and covers the years of operation July 1, 2008 to June 30, 2013.

The PA Department of Education (PDE) will ensure during the implementation of the five-year plan all school entities receiving funds through Perkins IV will have, at minimum, at least one "Program of Study." The Local Plan guidelines will include the requirement of Perkins IV legislation and the contract approval process will require evidence of, at minimum, one "Program of Study."

The existing CTE program approval system requires secondary entities to submit secondary and postsecondary scope and sequence. Articulated credits are submitted as part of the approval process. The current program approval system does request that secondary schools evidence in the program approval request the secondary and postsecondary education elements.

In consultation with PDE's state level development committee, both secondary and postsecondary entities are required to identify their respective partners and to ensure the components at the secondary and postsecondary levels are aligned. PDE has existing statewide committees developed during the "transition year" having the following required representations: secondary academic and technical

instructors, postsecondary academic and technical faculty, counselors and college admissions, business and industry, trade associations and professional associations.

The PA Department of Education will develop and make available a scope and sequence by Pennsylvania's Targeted Industry Cluster. <http://www.paworkstats.pa.gov>. Each recommended scope and sequence will evidence secondary planned academic and technical courses aligned to the postsecondary general education requirements, with the technical courses articulated according to the state authorized articulation agreement. The purpose of all secondary programs, as noted in Pennsylvania's legislated Chapter 4 regulations, is to establish rigorous academic standards and assessment to facilitate the improvement of student achievement...(Levin, 2003, p. 1221). Research has evidenced that Pennsylvania's academic standards will lead to college preparedness. PDE monitors all approved career and technical education programs currently and will continue to monitor them during the Perkins IV authorization to insure the integration of academic standards across CTE content area and the academic course sequencing. The Programs of Study (POS) ensure the secondary planned academic and technical courses are aligned to the postsecondary general education and technical courses.

Tech Prep Programs of Study historically have been designed to provide students with a non-duplicative sequence of courses consisting of at least two years of secondary education and two years of postsecondary education. This "Program of Study" was developed between participants in the Tech Prep consortium and operates under an articulation agreement. Existing Tech Prep programs will be revisited to ensure the secondary planned academic and technical courses are aligned to the postsecondary general education and technical courses.

The Pennsylvania Department of Education in cooperation with Perkins recipients and stakeholders will coordinate the development of Programs of Study that meet Perkins IV requirements. On an ongoing basis, PDE will develop, evaluate and update Programs of Study aligned with state designated "High Priority" occupations during the five-year authorization period

State Board of Education regulations require all school districts and career and technical centers in the design of their educational programs to provide for the attainment of the academic standards. Attainment of the academic standards requires students to demonstrate the acquisition and application of knowledge. School districts and CTCs shall prepare students to attain academic standards in 12 content areas, which include mathematics, reading, writing, speaking and listening as well as career education and work. School districts and CTCs develop a local assessment plan that includes a description of how the academic standards will be measured and how information from the assessments is used to assist students having difficulty meeting the academic standards. (Levin, 2003, p. 1224)

Pennsylvania has in place a statewide assessment for Math, Science, Reading and Writing. The State assessment system was designed to determine the degree to which school programs enable students to attain proficiency of academic standards and provide results to school districts and CTCs. (Levin, 2003, p. 1232) The State assessments shall be administered annually and levels of proficiency shall be advanced, proficient, basic and below basic (p. 1233).

The State Board of Education recently adopted Academic Standards, Career Education and Work (CEW). The CEW standards will assist all students, K-12, to assess their educational opportunities and academic achievement in light of their chosen career path(s). Local Plans will evidence how schools are integrating the standards and assisting students with career pathways through the development of individualized career plans and career portfolios.

Postsecondary entities are involved as the Programs of Study are developed. Their role is to serve on the statewide committees that identify the technical standards that cover secondary to postsecondary completion. This involvement assures that the technical content is non-duplicative. They also review the State Board of Education academic standards and ensure there is alignment of secondary academic standards to the postsecondary general education courses. The academic standards and general education courses are aligned to the technical content and occupations related to each “Program of Study.”

State legislation provides state funds to support dual enrollment activities. Funds are awarded competitively and support academic dual enrollment activities. Dual enrollment activities are for high school students to take college-level, credit-bearing courses at local community colleges and four-year colleges and universities. These courses will also count towards high school graduation requirements. Pennsylvania’s dual enrollment program also emphasizes early college, middle college and gateway to college programs. State funds are targeted to at-risk students and academically challenged school districts. Career and technical schools are eligible to apply for these state funds.

PA Department of Education standards for career and technical education also assure that students enrolled in secondary career and technical education will have the occasion to participate in opportunities where college credit is awarded for postsecondary coursework during high school.

The State program approval system for career and technical education programs ensures all programs are aligned with industry standards where applicable. All Programs of Study must align with industry credentials or certificates at the secondary level. This is evidenced upon the State’s approval of the program. As the Programs of Study are implemented, the postsecondary recipients will also align with industry credentials where appropriate and applicable.

The “Program of Study” is itself aligned to industry credentials. The statewide committee comprised of business, industry and trade associations, will identify appropriate and related industry credentials and certifications. The statewide committee will also identify the appropriate opportunity for a student enrolled in a “Program of Study” to obtain the credential or certificate.

In addition, An Industry Certification Resource Guide was developed by the State utilizing the state identified statewide and regional high demand/skill wage occupations, as well as the PDE approved lists of industry-recognized certifications for Career and Technical Education programs currently used by the CTCs within the Commonwealth. This resource guide will also be used by the postsecondary entities in identifying program areas that align to this need of industry recognized credentials and certifications.

**How the State, in consultation with eligible recipients, will develop and implement the career and technical Programs of Study described in (a) above.**

PDE has developed statewide committees to develop and implement Programs of Study defined by classification of instruction (CIP) and the Department of Labor’s designated “High Priority” occupations derived from Pennsylvania’s Targeted Industry clusters. The committees have the following required representations: secondary academic and technical instructors, postsecondary academic and technical faculty, counselors and college admissions, business and industry, trade associations and professional associations.

During the transition year, the PDE identified a statewide ad hoc committee to discuss a template for the “Programs of Study.” The committee developed a “Program of Study” template that all funded recipients must follow during the five-year authorization period to develop at least one “Program of Study”.

The Programs of Study template requires that the programs be based on national databases such as O\*Net, VTECs, MAVCC and state and national certifications, industry skill standards and licensing boards, as applicable. The Programs of Study serve as the basis for alignment to the classification of instructional program (CIP) titles and identifies related occupational end of program assessments, industry certifications and specific student outcomes at secondary and postsecondary levels.

#### **How the State will support eligible recipients in developing and implementing articulation agreements between secondary education and postsecondary education institutions.**

The PDE Office of Elementary and Secondary Education continues to work with the Office of Postsecondary and Higher Education to evaluate the implementation of the (POS) statewide articulation agreement. The template for the statewide articulation agreement was developed during Perkins III. As each “Program of Study” is developed, the statewide committee develops and finalizes the statewide articulation agreement that is specific to the “Program of Study.”

Because the “Program of Study” covers secondary and postsecondary levels, the statewide committee has identified the appropriate alignment of the related industry recognized credentials and certifications. If the student earns a credential or certification at the secondary level, the articulation agreement will recognize student achievement of the credential through articulated credit. The agreement also recognizes student achievement of proficiency on the state academic assessment.

As the PDE approved and supported statewide committees meet to identify the secondary and postsecondary academic and technical standards, the committees will also identify the courses that will articulate as transferred credit. The support that PDE provides to the eligible recipients in developing and implementing the articulations agreements will be phased in during “Program of Study” development. The PDE will work with the Tech Prep consortia annually to ensure the implementation of the Programs of Study in their assigned regions is achieved. This support includes meeting with the Tech Prep consortia members, providing technical assistance and professional development.

#### **How programs at the secondary level will make available information about career and technical Programs of Study offered by eligible recipients.**

Current State Board of Education regulation requires that career and technical education courses shall be developed in a planned instruction format and shall be accessible to all high school students attending those grades in which career and technical education courses are offered. All students and their parents or guardians shall be informed of the students’ rights to participate in career and technical education programs and courses. Recipients of federal Perkins funds will provide program information to students at least one year prior to the start of the program year at the career and technical center.

The State Board of Education has also adopted the academic standards of Career Education and Work. The standards address career awareness and preparation, career acquisition, career retention and advancement and entrepreneurship for all students beginning at the elementary grades and continuing through to the twelfth grade. Students are to develop career plans and career portfolios.

Each “Program of Study” follows a scope and sequence that was developed by the statewide committees. The scope and sequence outlines the required academic and technical coursework at the secondary and postsecondary levels. Schools place the scope and sequence in student course selection guides currently. By establishing identified “Programs of Study,” the individual student will be able to plan and develop an education plan to incorporate his/her targeted career objective.

The state is supporting various statewide professional development and technical assistance efforts to strengthen career counseling. These efforts include development of an online toolkit to implement PA Career Education and Work Standards and launch PDE’s new PA Career Zone. In addition, PDE will provide on-going professional development opportunities focused on “Career Pathways” aligned with “Programs of Study.” The toolkits will include each “Program of Study” with associated scope and sequences.

**The secondary and postsecondary career and technical education programs to be carried out, including programs that will be carried out by the eligible State agency to develop, improve, and expand access to appropriate technology in career and technical education programs.**

This agency will use funds to support school entity purchase of appropriate technology for training students in approved Programs of Study that align with State identified “High Priority Occupations.”

According to Chapter 4, a school district or CTC administering career and technical education programs will appoint a local advisory committee and occupational advisory committees shall be established for each CTE program offered at the school district or CTC. The Occupational Advisory Committee (OAC) is comprised of business and industry representatives. The purpose of the OAC is to verify that the programs meet industry standards, licensing board criteria where applicable and that students are prepared with occupational related competencies. The OAC also provides advice on the curriculum, “Program of Study,” instructional tools and equipment, safety requirements and program evaluation. This ensures that each program has the appropriate technology in place. (Levin, 2003, p. 1231)

PDE reviews each secondary school that has approved career and technical education programs according to state regulation. During the approved program review, PDE staff ensures that the OAC is a viable and active committee and the local advisory committee and school administration address the recommendations of the committee.

Annually, 20 percent of the underperforming Perkins funded recipients will be selected for on-site compliance technical assistance visits based on the following criteria: most recent validated performance data putting the program at risk of non-compliance with Perkins performance measures as identified in the FAUPL; mismanagement of the plan as evidenced by guideline violations in regards to timely submission of the annual application, performance assessment, program data and fiscal reporting. In addition: of the 20 percent of programs selected annually for on-site reviews, one-third of the lowest performing consortiums will be required to participate in BCTE determined professional development, technical assistance workshops, conferences and related CTE improvement activities. The state Perkins Five Year Plan requires each secondary and postsecondary eligible agency to organize and operate a Participatory Planning Committee (PPC). The purpose of the PPC is to provide advice on the “Programs of Study,” instructional tools and equipment, program evaluation, professional development and related required uses of funds as outlined in the Perkins Local Plan guidelines. The committee ensures that technology is addressed in the offering of the postsecondary portion of the “Program of Study.”

During technical assistance and monitoring reviews, PDE staff will evaluate the effectiveness of the PPC to ensure committee recommendations are shared with LEA administration.

The State approved program reviews also ensure that the programs are meeting the State's program standards. The program standards are a means of verifying the quality of every career and technical education program provided by the local entities by ensuring that they meet the established standards. It allows the local entities to review their programs and systems and establish goals for improvement to meet the standards. Areas covered by the standards review cover Program Planning, Curriculum Development and Offering, Guidance Plans and Services, Personnel Qualifications and Learning-Centered Facilities and Equipment and Organizational Performance Results. This process involves faculty, staff, student and the public/community. The process that is used is one of continuous improvement, which means it focuses on educational systems and examines the results. The review ensures each "Program of Study" offers quality programming, including the use of industry-relevant instructional equipment and technology.

**The criteria that the eligible State agency will use to approve eligible recipients for funds under the Act, including criteria to assess the extent to which the Local Plan will: (i) Promote continuous improvement in academic achievement; (ii) Promote continuous improvement of technical skill attainment; and (iii) Identify and address current or emerging occupational opportunities.**

Data is collected by school entity at the secondary and postsecondary level. This data is also collected by "Program of Study" (specific CIP). Local entities have access to student level data. Data at the State level will be examined and compared to the negotiated levels between the State and U.S. Department of Education and the negotiated levels between the local entities and the State. Review of the data will allow the State to assess the extent Local Plan activities are achieving continuous improvement in academic achievement.

The Local Plan requires recipients to indicate how they will promote continuous improvement. The review criteria will examine the activities and connection to research based practices. The reviewers will ensure eligible recipients are utilizing research based practices and the entities are meeting or making progress toward established performance levels. Pennsylvania uses the No Child Left Behind (NCLB) Adequate Yearly Progress academic targets on Reading, Writing, and Math state assessments for the secondary recipients. Postsecondary accountability measures do not include academic proficiency as a measure. The current data collection system is under revision and by the end of Perkins IV, the system will reflect required data elements. The data elements will include data that allows for analysis that evidences continuous improvement at the postsecondary level.

This response is similar to (i), but has an emphasis on technical skill attainment. Data is collected by recipient at the secondary and postsecondary level. This data is also collected by "Program of Study" (specific CIP). Local entities have access to student level data. Data at the State level will be examined and compared to the negotiated levels between the State and USDOE and the negotiated levels between the local entities and the State. Review of the data will allow the State to assess the extent Local Plan activities are achieving continuous improvement in technical achievement. At the secondary level, Pennsylvania uses PDE approved end of program assessments and establishes cut scores annually for each occupational area assessment.

During the term of Perkins IV, the State will work with postsecondary entities to identify appropriate and available industry recognized assessments that will measure postsecondary technical achievement. The postsecondary technical standards will be identified during the development of the "Programs of Study." The identification of technical standards will serve as the basis of the technical skills to measure.



The statewide business and industry committee will identify the relevant technical standards. The statewide “Program of Study” committees will align the technical standards secondary through postsecondary. The statewide articulation agreement will ensure technical standards and student proficiency at the secondary level are recognized.

Programs must align with national industry standards where applicable. The statewide committees will identify applicable industry standards and credentialing opportunities and align the technical standards to the industry standards. The State will review the Programs of Study to ensure the technical coursework is aligned with the recognized industry standards or credentialing opportunities and has been validated by a viable statewide occupational advisory committee.

Data associated with the performance indicator, 2S1 Technical Skill Attainment, is collected annually. The State has collected data related to this indicator as part of Perkins III. Currently, PDE examines the number of career and technical education concentrators who successfully achieve competency levels at or above the competent level on PDE approved job ready assessments and who complete the PDE approved occupational tests in the reporting year.

New to the data collection is 1P1 Technical Skill Attainment. The current data collection system needs to change to collect relevant data. Until the change occurs, the State will use grade point average. Once the data collection system changes and assessments designed, the State will be able to measure continuous improvement at the postsecondary level.

The Local Plan submission will be reviewed to ensure the eligible recipients are addressing “High Skill/Wage/Demand/Priority Occupations.” The State has conducted analysis of state economic and labor market data and identified occupations that are considered “High Priority” established by regional Workforce Investment Boards (WIB’s). High priority means the occupation will lead to economic growth for the state and nation and will provide opportunity for employment at a life sustaining wage.

The PA Departments of Labor & Industry and Education have worked together to identify a valid and reliable CIP/SOC crosswalk. All recipients are to align the Programs of Study with the “High Priority Occupations” identified by the Pennsylvania Department of Labor and Industry. The State will only approve requests for new Programs of Study if the programs are aligned with State identified “High Priority Occupations” or meet the State criteria for “High Priority Occupations.”

Community colleges apply for the Economic Development Stipend. This state supported reimbursement program supports only those programs aligned to “High Priority Occupations” including emerging occupational opportunities.

In some cases, statistical analysis and projections can miss differences and dynamics in regional and local labor markets. To ensure that the “High Priority Occupations” list has appropriate input from regional businesses, the following mechanism was put in place to obtain local input.

It is important for all career and technical education institutions to be aware of this process and get involved as is appropriate, if a local emerging occupation is not reflected in the regional “High Priority Occupations” list. Information on the ‘appeal process’ is available at [www.paworkforce.state.pa.us](http://www.paworkforce.state.pa.us). LWDB directors are key contact for the petition process and should be contacted to initiate such a process.

**How programs at the secondary level will prepare career and technical education students, including special populations, to graduate from secondary school with a diploma.**



With the adoption of the State Board of Education academic standards of Career Education and Work, all students K-12 are to have a career plan. The State has developed online toolkit and professional development opportunities to assist local entities in implementing the standards. The local entities are to teach, challenge and support every student to realize his or her maximum potential and to acquire the knowledge and skills needed for career awareness and preparation, career acquisition, career retention and advancement and entrepreneurship.

As the students move through the educational system, they are to develop career plans. The “Program of Study” ensures that all career and technical education students will follow a rigorous academic sequence of courses while gaining in-depth technical training that prepares them for profitable employment or college as required by state school code regulation. The “Program of Study” includes aligned secondary and postsecondary coursework. This alignment ensures students will graduate prepared to enter the workforce or to continue into the postsecondary component of the “Program of Study.”

According to Chapter 4, the record of a student enrolled in a CTE program shall include the student’s educational and occupational objectives and the results of the assessment of student competencies. Chapter Four also notes that CTE courses shall include content based upon occupational analysis, clearly stated performance objectives deemed critical to successful employment and assessment of student competencies based upon performance standards. (Levin, 2003, p. 1231)

The State provides financial resources and technical assistance to support schools in meeting the needs of special populations’ students. In addition, the State supported and approved teacher education programs provide instruction in addressing the needs of special populations in the pre-service and in-service programs.

**How such programs will prepare career and technical education students, including special populations, academically and technically for opportunities in postsecondary education or entry into high-skill, high-wage, or high-demand occupations in current or emerging occupations, and how participating students will be made aware of such opportunities;**

The Local Plan guidelines include the requirements of the “Program of Study,” statewide articulation agreement, Pennsylvania academic standards, technical skill credentialing and “High Priority Occupations.” Assurance will be given by the recipients that the Programs of Study also meet State Board of Education regulations and Department of Education career and technical education standards. Both the regulations and standards require equal access and support services for students identified in special population categories.

Funds support programs that align with the Pennsylvania Department of Labor & Industry identified industry clusters and “High Priority Occupations,” or entry into high-skill, high-wage, and high-demand occupations. Other criteria identified in funding guidelines include: (a) improving career and technical education students’ occupational and academic knowledge and skills required to perform successfully in high-priority, high-demand, high-wage, high-skill positions; (b) gaining industry credentials and/or eligibility to sit for credentialing exams, which provide skills portability and career mobility by enhancing lateral and upward career moves and (c) developing partnerships and linkages with business, industry, labor and community groups.

For local entities to receive approved career and technical education program status, the entity must evidence a labor market analysis and use of an occupational analysis and alignment with “High Priority Occupations” and postsecondary opportunities. The “Program of Study” must address the state recommended academic standards. This will ensure all students, including special populations enrolled in “Programs of Study,” are prepared for “High Priority Occupations” or emerging occupations. The data is submitted to the State for review. The entities not meeting the requirements will not receive approved program status.

State Board of Education and PA Department of Education regulations require all Programs of Study to address Pennsylvania academic standards and assess student achievement in the areas of academic and technical competence. Through on-site reviews, compliance monitoring and review of requests for program approval status; the State will ensure students, including special populations, will be prepared academically and technically for profitable employment and postsecondary education. The State recommends academic coursework that is focused on career and postsecondary preparation and has been identified by research as appropriate for postsecondary enrollment and employment. The State reviews the basis for program development and determines if the Occupational Advisory Committee has been active in the occupational analysis, curriculum revision and instructional tools/equipment requirements. The review also ensures that recipients are providing appropriate support services, counseling services and equal access. Best practices in this area have been identified.

**How funds will be used to improve or develop new career and technical education courses: (i) At the secondary level that are aligned with rigorous and challenging academic content standards and student academic achievement standards adopted by the State under Section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as amended; (ii) At the postsecondary level that are relevant and challenging; and (iii) That lead to employment in high-skill, high-wage, or high-demand occupations.**

Eighty-five percent of the Perkins funds are allocated to local education agencies, both secondary and postsecondary, to improve, expand or modify existing programs or implement new programs where existing programs do not meet educational and training needs. Of the 85 percent local allocation amount, 70 percent of the funds are allocated to secondary entities.

Eligible secondary entities applying for Perkins IV funds will submit a five-year Local Plan that covers July 1, 2008 to June 30, 2013, with annual updates to the Budget and Action Plans (see [Perkins Local Plan Guidelines](#)). Each local entity shall include and describe in their plan the following:

1. How the career and technical education programs will be carried out with Perkins IV funds;
2. How the career and technical education activities will be carried out with respect to meeting State and local adjusted levels of performance;
3. How the eligible recipient will (a) offer the appropriate courses of not less than one of the career and technical “Programs of Study,” (b) improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in (i) the core academic subjects and (ii) career and technical education subjects, (c) provide students with strong experience in and understanding of all aspects of an industry, (d) ensure that students who participate in such career

and technical education programs are taught the same coherent and rigorous content aligned with challenging academic standards as are taught to all other students and (e) encourage career and technical education students at the secondary level to enroll in rigorous and challenging courses in core academic subjects;

4. How comprehensive professional development for career and technical education, academic, guidance and administrative personnel will be provided that promotes the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education;
5. How parents, students, academic and career and technical education teachers, faculty, administrators, career guidance and academic counselors, representatives of tech prep consortia, representatives of the entities participating in activities described in Section 117 of Public Law 105-220 (if applicable), representatives of business and industry, labor organizations, representatives of special populations and other interested individuals are involved in the development, implementation and evaluation of career and technical education programs assisted under this title, and how such individuals and entities are effectively informed about, and assisted in, understanding the requirements of this title, including career and technical Programs of Study;
6. Provide assurances that the eligible recipient will provide a career and technical education program that is of such size, scope and quality to bring about improvement in the quality of career and technical education programs;
7. The process that will be used to evaluate and continuously improve the performance of the eligible recipient; How the eligible recipient will (a) review career and technical education programs and identify and adopt strategies to overcome barriers that result in lowering rates of access to or lowering success in the programs for special populations; (b) provide programs that are designed to enable the special populations to meet the local adjusted levels of performance and (c) provide activities to prepare special populations, including single parents and displaced homemakers, for high-skill, high-wage, or high-demand occupations that will lead to self-sufficiency;
8. How individuals who are members of special populations will not be discriminated against based on their status as members of special populations;
9. How funds will be used to promote preparation for nontraditional fields;
10. How career guidance and academic counseling will be provided to career and technical education students, including linkages to future education and training opportunities; and,
11. Efforts to improve (a) the recruitment and retention of career and technical education teachers, faculty and career guidance and academic counselors, including individuals in groups underrepresented in the teaching profession and (b) the transition to teaching from business and industry. (Perkins IV, S. 250-50 to S. 250-52)

Comprehensive professional development, which includes initial teacher preparation for career and technical, academic, guidance and administrative personnel, will be provided through planned scheduled seminars, workshops, conferences and courses in accordance with the objectives.

The PA State Department of Education's Bureau of Career and Technical Education has developed and implemented a comprehensive professional personnel development program by working with universities to establish Professional Personnel Development Centers. The Centers were established and approved by the Pennsylvania State Board of Education on July 1, 1978. The Centers are located at Indiana University of Pennsylvania, The Pennsylvania State University and Temple University. The mission of each Center is to provide comprehensive regional professional personnel development for career and technical educators and administrators that are specifically designed to prepare, update and upgrade career and technical teachers, administrators and other career and technical education support personnel. The Centers provide a wide range of professional personnel development activities for career and technical educators.

During 2006-2007, the Centers provided pre-service and in-service professional development for approximately 12,000 prospective and current career and technical educators. The following is a partial listing of the required use of funds that are also major functions of the Centers:

1. Provide Center management for career and technical professional personnel development;
2. Develop and maintain a comprehensive Occupational Competency Assessment (OCA) program;
3. Provide outreach services (Vocational Intern/Instructional Certification Program) for personnel off-campus through traditional undergraduate courses;
4. Provide outreach services (Vocational Intern/Instructor/Coordinator and Cooperative Education Certification Programs for personnel off-campus through Field-Based Competency-Based Teacher Education (FB-CBTE);
5. Provide outreach services (Vocational Administrative, Supervisory and Teacher Leadership) for personnel off-campus through traditional graduate courses and Field-Based Competency-Based Leadership Training (FB-CBLT);
6. Provide continuing technical and professional development, including workshops, seminars and academies for all personnel involved in vocational programming;
7. Provide pre-induction, professional education for clients recruited from business, industry and the health occupations with little or no pedagogical education or experience;
8. Provide an occupational experience program;
9. Provide placement services for career and technical education professional personnel;
10. Provide a recruitment system for career and technical education teachers and leadership personnel;

11. Assist schools with the selection, development, dissemination and implementation of Programs of Study that include rigorous academics and industry based career and technical content. The Programs of Study provide a non-duplicative sequence of courses that align secondary and postsecondary instructional programs; and
12. Provide assistance for career and technical educators who serve special populations as defined in Perkins IV.

The Professional Personnel Development Centers guidelines are provided in Attachment 2.

Eighty-five percent of the Perkins funds are allocated to local education agencies both secondary and postsecondary to improve, expand or modify existing programs or implement new programs where existing programs do not meet educational and training needs. Of the 85 percent local allocation amount, 30 percent of the funds are allocated to postsecondary entities.

Eligible postsecondary entities applying for Perkins IV funds will submit a Local Plan that covers July 1, 2008 to June 30, 2013 following [Perkins Local Plan Guidelines](#). Each local entity shall include in their Local Plan the following:

1. How the career and technical education programs will be carried out with Perkins IV funds;
2. How the career and technical education activities will be carried out with respect to meeting State and local adjusted levels of performance;
3. How the eligible recipient will (a) offer the appropriate courses of not less than one of the career and technical "Programs of Study," (b) improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in (i) the core academic subjects and (ii) career and technical education subjects, (c) provide students with strong experience in and understanding of all aspects of an industry, (d) ensure that students who participate in such career and technical education programs are taught to the same coherent and rigorous content aligned with challenging academic standards as are taught to all other students and (e) encourage career and technical education students at the secondary level to enroll in rigorous and challenging courses in core academic subjects;
4. How comprehensive professional development for career and technical education, academic, guidance and administrative personnel will be provided that promotes the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education;
5. How parents, students, academic and career and technical education teachers, faculty, administrators, career guidance and academic counselors, representatives of tech prep consortia, representatives of the entities participating in activities described in Section 117 of Public Law 105-220 (if applicable), representatives of business and industry, labor organizations, representatives of special populations and other interested individuals are involved in the development, implementation and evaluation of career and technical education programs

assisted under this title, and how such individuals and entities are effectively informed about, and assisted in understanding the requirements of this title, including career and technical Programs of Study;

6. Provide assurances that the eligible recipient will provide a career and technical education program that is of such size, scope and quality to bring about improvement in the quality of career and technical education programs;
7. The process that will be used to evaluate and continuously improve the performance of the eligible recipient;
8. How the eligible recipient will (a) review career and technical education programs and identify and adopt strategies to overcome barriers that result in lowering rates of access to, or lowering success in, the programs for special populations; (b) provide programs that are designed to enable the special populations to meet the local adjusted levels of performance and (c) provide activities to prepare special populations, including single parents and displaced homemakers, for high-skill, high-wage, or high-demand occupations that will lead to self-sufficiency;
9. How individuals who are members of special populations will not be discriminated against their status as members of special populations;
10. How funds will be used to promote preparation for nontraditional fields;
11. How career guidance and academic counseling will be provided to career and technical education students, including linkages to future education and training opportunities; and
12. Efforts to improve (a) the recruitment and retention of career and technical education teachers, faculty and career guidance and academic counselors, including individuals in groups underrepresented in the teaching profession and (b) the transition to teaching from business and industry. (Perkins IV, S. 250-50 to S. 250-52)

The State has followed a policy that the best means of preparing secondary career and technical education completers for opportunities in postsecondary education and/or entry into high-priority, high-skill, high-wage, high-demand occupations is to have the students complete a rigorous planned sequence of academic and technical courses as well as to integrate the academic standards into the technical courses. Secondary program approval criteria include a description of both the academic and technical course sequence at the secondary and postsecondary levels. The approval system also requires submission of articulated courses.

Local Plan guidelines require assurances that career and technical education programs at the secondary and postsecondary level are aligned with High Priority Occupations (HPOs). HPOs are identified by the PA Department of Labor & Industry and are updated on an annual basis. The program approval process developed by the State ensures that all Programs of Study are aligned with HPOs. The program approval process includes an on-site review process to ensure the entity conducts labor market and occupational analysis, and aligns with HPOs and postsecondary opportunities.

The State also requires postsecondary entities offering career and technical education programs to align with HPOs. The State reimbursement for such programs is provided to only programs that prepare graduates for HPOs.

**How the State will facilitate and coordinate communications on best practices among successful recipients of tech prep program grants under Title II and other eligible recipients to improve program quality and student achievement.**

The commonwealth sponsors an annual conference that focuses on evidenced-based best practices. One strand at the annual conference is focused on Tech Prep strategies. The commonwealth also works with two associations (Pennsylvania Association of Career and Technical Educators, Pennsylvania Association of Career and Technical Administrators) to cosponsor annual conferences, symposiums and regional workshops. In addition, through on-site reviews, technical assistance visits, data review and program approvals, the commonwealth identifies the evidenced-based best practices eligible recipients.

To facilitate and communicate evidenced-based best practices, the State will also identify school districts, career and technical education centers and postsecondary institutions that are having difficulties in meeting the Perkins IV indicators. The commonwealth will provide resources that will enable the educational entities to improve program quality and student achievement.

Technical assistance to the school districts, career and technical centers and postsecondary institutions includes:

- Assistance in developing a program improvement plan that specifically identifies the strategies, techniques and methods to improve program quality and student achievement.
- Assistance in identifying and realigning resources to improve program quality and student achievement.
- Assistance in monitoring program quality and progress.

The State staff will analyze each entity's performance data. Those school districts, career and technical centers and postsecondary institutions with Programs of Study that are not meeting the standards will be required to develop a program improvement plan that specifically addresses program improvement and student achievement. Once the State receives the plan, State teams will work with the entity by providing appropriate resources. Dissemination of evidenced-based best practices will also occur through the State's website.

**How funds will be used effectively to link academic and career and technical education at the secondary level and at the postsecondary level in a manner that increases student academic and career and technical achievement.**

The commonwealth is working with the Tech Prep consortia to identify statewide committees. The statewide committees are comprised of business and industry related to a given "Program of Study." There are also statewide committees that include representatives from secondary and postsecondary entities. This includes academic and technical instructors and counselors/college admissions personnel. The Programs of Study that are developed align secondary and postsecondary academic and technical courses. This alignment lends to articulated credit. The statewide committees will develop an articulation agreement that identifies the areas that can be articulated.

The developed “Program of Study” identifies academic and technical standards relevant for given careers. Once the standards are identified, they are sequenced in a manner that leads to planned courses and non-duplicative sequencing. Each grade level serves as the foundation for the next grade level.

The State adopted academic standards of Career Education and Work also support the development of “Programs of Study,” and individual student career plans. The plans ensure students will graduate from high school prepared to enter a postsecondary program that meets their career goals.

State Board of Education regulation requires all school districts and career and technical centers to integrate the Pennsylvania academic standards into courses. The State has been providing in-services, conferences, workshops and pre-service sessions on integrating Pennsylvania academic standards into technical content areas. State supported research has also been conducted and results disseminated that evidence integration of academic standards into technical content has led to increased student achievement levels on the state academic assessment.

The commonwealth will commit funds through a competitive process to enable the part-time career and technical centers to strengthen the linkage between the part-time career and technical centers and the participating school districts. The efforts will ensure that the participating school districts are meeting the state academic standards and working toward meeting Adequate Yearly Progress for all students, including those students enrolled in the “Programs of Study.”

**How the State will report on the integration of coherent and rigorous content aligned with challenging academic standards in career and technical education to adequately evaluate the extent of such integration. (Section 122(c)(1)(A)-(L))**

The extent of such integration will be evident during the on-site reviews and compliance monitoring. The Local Plan reporting documents reflect the extent of compliance with state and federal requirements. In addition, the Consolidated Annual Report (CAR) includes a summary of the extent of integration.

**The State Plan must describe how comprehensive professional development (including initial teacher preparation and activities that support recruitment) for career and technical teachers, faculty, administrators, and career guidance and academic counselors will be provided, especially professional development that promotes the integration of coherent and rigorous academic content standards and career and technical education curricula, including through opportunities for academic and career and technical teachers to jointly develop and implement curricula and pedagogical strategies.**

Professional Development Centers include academic integration strategies into technical program content in their program requirements.

The PA Department of Education (PDE) sponsors an annual conference that is focused on academic and technical integration strategies. The conference is attended by academic and technical instructors of high schools and Career and Technical Education Centers. PDE also sponsors faculty from Perkins eligible postsecondary institutions. PDE provides registration, travel and subsistence costs for the postsecondary faculty attending the conference.

To ensure that sustained professional development is provided, PDE has entered into contract with Southern Regional Education Board (SREB) and EdTrust. Both entities are providing yearlong training, including coaching activities, at the participating school districts and career and technical centers. SREB is providing training and coaching that assists the part-time career and technical centers with establishing a culture of high expectations and incorporating literacy strategies into the technical education courses.



EdTrust is working with school districts and the participating career and technical centers to transform school counseling.

Throughout the term of Perkins IV, PDE will continue to seek additional sustained professional development opportunities and various means of offering the training, such as utilizing technology (Webinars) and regional opportunities with continued assistance through the commonwealth's intermediate units.

**Increases the percentage of teachers that meet teacher certification or licensing requirements.**

To increase the percentage of teachers that meet teacher certification or licensing, the Professional Development Centers will work closely with the Career and Technical Education Directors and assist them when possible in identifying highly qualified potential candidates for teaching positions. The school entity administrators and personnel managers will be provided with criteria that will assist them in selecting the best candidate for the available teaching position. Currently recruiting is done by the directors of the career and technical centers. The recruitment is done in collaboration with the Professional Development Centers. Guidelines for certification and recruitment will be readily available. Guidelines for certification are currently found on the PDE Website, [www.education.pa.gov](http://www.education.pa.gov)

**Is high quality, sustained, intensive, and focused on instruction, and increases the academic knowledge and understanding of industry standards, as appropriate, of career and technical education teachers.**

Continuing professional education is required in Pennsylvania. To address ongoing professional development, the Commonwealth of Pennsylvania legislated Act 48 for all certified teachers in 1999. Act 48 of 1999 requires all Pennsylvania educators holding Pennsylvania public school certification, including Instructional I and II, Educational Specialist I and II, Administrative, Supervisory, letters of Eligibility and all vocational certificates, to participate in ongoing professional education. Act 48 requires all education professionals to acquire six credits/180 hours/a combination of professional development hours/credits equivalent to 180 hours every five years to maintain an active certificate. Certified educators must enroll in professional development related to pedagogy, content knowledge and skills.

Act 48 applies to all certified education professionals, effective July 1, 2000, or beginning the date a certificate is awarded, whether the educator is employed in education.

**Encourages applied learning that contributes to the academic and career and technical knowledge of the student.**

Career and Technical Education in Pennsylvania at the secondary level is based on contextual (applied) learning. Students enrolled in Career and Technical Education are taking a career major that ranges from 720 to 1,440 hours of instruction in technical education, as well as being exposed to inquiry-based and project based learning.

At the postsecondary level, because the students are enrolled in a career major, the training must include contextual (applied) learning. Students are engaged in demonstrations and project-based instruction.

Both secondary and postsecondary entities work with Occupational Advisory Committees. The Occupational Advisory Committees ensure that learning contributes to the specific occupational needs aligned with the programs. Each program is assigned to a CIP Code.

Pennsylvania also requires all programs to be aligned to industry standards and ensure students are eligible to sit for certification examinations as appropriate and applicable.

**Provides the knowledge and skills needed to work with and improve instruction for special populations.**

The PA Department of Education (PDE) has the Pennsylvania Training and Technical Assistance Network (PaTTAN). PaTTAN provides educators, parents and agencies involved in special education with a consistent, statewide network of technical assistance opportunities and services. PaTTAN, with offices in King of Prussia, Harrisburg and Pittsburgh, supports the efforts of the Bureau of Special Education (BSE) to build the capacity of Local Education Agencies to provide appropriate services to children/students who receive Special Education or who are at risk. PaTTAN includes training and onsite support for Early Intervention providers and personnel. These services are collaborated between the Bureau of Special Education and the Office of Child Development, Pennsylvania Department of Public Welfare.

PaTTAN delivers onsite guided practice workshops, team training, publications, distance learning and videoconferencing. PaTTAN's training centers, meeting facilities and support services make it possible for clients to participate in professional development opportunities locally and statewide. Interactive videoconference meetings and trainings link PaTTAN offices to outside sites. Live satellite broadcasts can also be downlinked to multiple sites across the Commonwealth.

PaTTAN works with school district and intermediate unit personnel in Pennsylvania to help them achieve adequate yearly progress for all students, including students with disabilities, as required by the federal No Child Left Behind Act.

Teacher certification regulations have changed this past year. All CTE 60 credit teacher certification programs must now embed three credits of English language learner and six credits of special needs instruction.

English as a Second Language (ESL) is an instructional program housed within the Bureau of Teaching and Learning Support at the Pennsylvania Department of Education (PDE). As part of curriculum and instruction, professional development for ESL is provided statewide and planned and developed by the ESL/Bilingual Education Advisors at the PDE. Information regarding state sponsored ESL professional development activities can be found on the Web at [www.pde.state.pa.us/esl](http://www.pde.state.pa.us/esl). PDE requires all CTC staff be prepared to work with ESL students and receive ongoing professional development as part of strategic planning and Chapter 4.26 of the PA School Code/BEC.

PDE/BCTE funds a dedicated staff person to promote nontraditional programs at the statewide level. Programs receiving Perkins allocation must document on their annual Local Plan application the uses of funds to support nontraditional programming. In addition, each program is required to maintain assurances on nontraditional program improvement activities for PDE onsite review.

**Promotes integration with professional development activities that the State carries out under Title II of the Elementary and Secondary Education Act of 1965, as amended, and Title II of the Higher Education Act of 1965, as amended. (Section 122(c)(2)(A)-(G))**

Professional development for Career and Technical Education educators and administrators in Pennsylvania is developed and structured through the local education agency's (LEA) Strategic Plan and more specifically within their Professional Education Plan. The Strategic Plan encompasses all aspects of the LEA's vision and direction for a six-year period with a Mid-point Review conducted three years into the plan. The Professional Education Plan that is a component of the Strategic Plan is developed by the

LEA every three years. All these afore mentioned plans are reviewed and approved by PDE regional directors in accordance with approved state guidelines.

The Professional Education Plan shall include the LEA's proposed plan for providing professional development opportunities for their educators and administrators that include strategies and models to support the integration of the core academic subjects (Math, Science, Language Arts) into each of their career and technical curriculums.

PDE provides statewide assistance to these LEAs through several initiatives:

PDE offers online professional development courses at no cost to the educational community that can be utilized by any educator or administrator that holds a Pennsylvania teaching certificate.

Each of the 29 Pennsylvania Intermediate Units (IU) provide many professional development courses that are reviewed and approved by the Department's regional directors prior to availability or at time of modification. The intermediate units cooperate with all regional LEAs to develop specific professional development courses that meet the LEA's current needs. Several IUs have developed courses designed to address strategies and models for the integration of core academic subject matter into career and technical curriculum.

The Bureau of Career and Technical Education will also be providing technical assistance to the educational community regarding these efforts.

**The State Plan must describe efforts that the eligible State agency and eligible recipients will make to improve—(A) the recruitment and retention of career and technical education teachers, faculty, and career guidance and academic counselors, including individuals in groups underrepresented in the teaching profession.**

In recruitment and retention of CTE teachers, faculty and career counselors the PA Department of Education (PDE) will focus on the following:

1. Work closely with administrators and assist them in identifying specific criteria that are associated with success in the classroom setting.
2. Assist the administration in providing career ladders for staff.
3. Work with school based staff in creating a pleasant working environment.
4. Continue to provide pre-induction workshops for new teachers, expand the mentoring program and provide additional in-classroom supporters as appropriate.

Pennsylvania conducted a research based study that examined retention of secondary Career and Technical Center teachers. The findings evidenced that in Pennsylvania there is an older entrant, average age 37, who has attained life skills. Due to the Professional Development Center programs and regulated in education programs, the mentoring and financial support of the school entities ensure that 90 percent of the teachers remain in the teaching profession.

PDE encourages local school districts receiving federal funds to actively recruit teachers from 'underrepresented' groups, which mirror student "special populations" as defined in federal legislation, with the intent of aligning teachers who come from diversified backgrounds (economically disadvantaged,

single parent, migrant, ESL, non-traditional, etc.) to these student populations. PDE strongly believes a diversified teacher workforce will serve as good role models for students who face similar obstacles.

**(B) the transition to teaching from business and industry, including small business. (Section 122(c)(3)(A)-(B))**

1. Applicants are screened by the administrators and during the screening process, the candidates are given information on the basic requirements and the instructional and certification process. The Professional Development Centers are contacted by the applicant.
2. The Professional Development Centers provide each candidate with specific instruction as to the process the applicant needs to follow to become a teacher.
3. The pre-induction workshops for new teachers focus exclusively on the transition from business/industry to an educational setting. All new candidates (small businesses or large businesses) are provided the same type of assistance.
4. The mentoring programs are another specific effort to address retention. Each new teacher is assigned a mentor that is located within the same school. This is a Pennsylvania requirement for all schools to develop an induction plan and ensure a new teacher is working with a mentor within the district.

**The State Plan must describe efforts that the eligible State agency and eligible recipients will make to improve the transition of sub baccalaureate career and technical education students into baccalaureate degree programs at institutions of higher education. (Section 122(c)(4))**

Pennsylvania's Programs of Study shall comply five template elements, which includes as one of the activities the opportunity for secondary education students to earn postsecondary education credits through dual or concurrent enrollment, articulated credit or other ways leading to a Pennsylvania recognized industry-based credential, credit-bearing certificate, associate or baccalaureate degree. BCTE will work with community college/two-year programs to demonstrate that an articulation agreement exists that enables the student who completes an associate degree and transfers credits earned to an institution of higher education in pursuit of a bachelor's degree. Additionally, the Transfer and Articulation provisions of state Act 114, requires the state system universities and community colleges to develop and approve a set of course equivalency standards and 30 credits of foundation-level courses that will be accepted across all state system institutions. Students will not have to repeat the credit-bearing courses at other institutions.

**The State Plan must describe how the eligible State agency will actively involve parents, academic and career and technical education teachers, administrators, faculty, career guidance and academic counselors, local business (including small businesses), and labor organizations in the planning, development, implementation, and evaluation of career and technical education programs in the State. (Section 122(c)(5))**

The State utilizes numerous means to involve various partners in the development, implementation and evaluation of the Career and Technical Education programs. PDE has established collaborative relations with various state agencies and nonprofit organizations that are focused on workforce development. This includes the PA Partners, PACTEC, Transition Council, PAVSNP, PACTA. Attendance at the meetings held by each organization ensures that their concerns related to Career and Technical Education are heard and

policy changes have occurred due to interaction with the various groups. The State has an approved program onsite evaluation process in place. The evaluation process involves establishing a team that visits secondary school entities that hold approved Career and Technical Education programs. The team is comprised of business, postsecondary faculty, career guidance and academic counselors, secondary teachers and administrators. During the review, parents and students are interviewed. The purpose of the review is to ensure quality program offerings are available at the school entity and findings are reported as commendations, recommendations and corrective actions. The school entity submits a plan that describes how changes will occur. This review examines the connection to postsecondary programs, specifically those operating under an articulation agreement.

**The State Plan must describe efforts that the eligible State agency and eligible recipients will make to—**

**Improve the academic and technical skills of students participating in career and technical education programs, including by strengthening the academic and career and technical components of career and technical education programs through the integration of academics with career and technical education to ensure learning in—(i) The core academic subjects (as defined in Section 9101 of the Elementary and Secondary Education Act of 1965, as amended); and (ii) Career and technical education subjects.**

Reform efforts began in 2005 to ensure improvement in student achievement. Specific activities include regional workshops, revision of the career and technical education approval system and identification of approval requirements.

PDE has aligned CTE programs with statewide high school reform efforts under a state initiative entitled Project 720.

Chapter Four (PA school code) 4.31. Vocational-Technical Education.

Vocational-technical education programs shall consist of a series of planned academic and vocational-technical education courses that are articulated with one another so that knowledge and skills are taught in a systematic manner. When appropriate, vocational-technical education programs may also include cooperative vocational-technical education and participation in vocational student organizations to develop leadership skills.

Vocational-technical education courses shall include content based upon occupational analysis, clearly stated performance objectives deemed critical to successful employment and assessment of student competencies based upon performance standards. In listing planned instruction in its strategic plan under §4.13 (relating to strategic plans), a school district or AVTS shall indicate which courses meet the requirements of this section.

The Governor's Office of Administration is requiring an alignment of CTE programs to academic and industry standards. PDE has redirected state funds to support alignment of programs to academic and industry standards.

***Provide students with strong experience in, and understanding of, all aspects of an industry; and***

The Programs of Study will be developed that meet the requirements and are established as a statewide minimum. Please review website for complete roll-out and strategy the commonwealth is using to align secondary with postsecondary CTE programs.

<http://www.education.pa.gov/K-12/Career%20and%20Technical%20Education/Programs%20of%20Study/Pages/default.aspx#tab-1>

**Ensure that students who participate in career and technical education programs are taught to the same challenging academic proficiencies as taught to all other students. (Section 122(c)(7)(A)-(C))**

CTE curriculum requires joint planning to align academic courses. The PA Department of Education (PDE) program approval process ensures program scope and sequence is aligned with regular academic courses per Chapter Four. Technical assistance will be provided to those not meeting the requirements. Evidence-based best practices will be identified and shared with non-performing programs.

**The State Plan must describe how the eligible State agency will provide local educational agencies, area career and technical education schools, and eligible institutions in the State with technical assistance. (Section 122(c)(15))**

The PA Department of Education (PDE) provides technical assistance to all funded secondary and postsecondary approved programs on an ongoing basis. This occurs at the request of the local entities or is based on a review of data related to the performance measures. Technical assistance workshops will be developed based on LEA needs and PDE assessment of performance data, (e.g., program approval, data submission, assessment administration, counseling, integration of academics into CTE). PDE annually sponsors an: Integrated Learning Conference (ILC); Pennsylvania Career and Technical Education Conference (PACTEC) and Pennsylvania Career and Technical Administrator's Association (PACTA) conference that is focused on integration of academics into CTE programs, career counseling, secondary to postsecondary alignment and instructional strategies. PDE also supports week long training sessions that focus on academic and technical instructors learning how to integrate Reading and Mathematics Pennsylvania academic standards across the technical content areas.

PDE will identify evidence-based best practices that lead to student success and facilitate collaboration between school entities that need assistance with school entities that are successful. PDE continues to explore additional means of providing sustained Professional Development activities and will do so throughout the term of this grant. PDE is exploring study groups and Webinars as alternative means of providing sustained Professional Development. PDE has entered into agreements with SREB, EdTrust and PACTA. These agencies will provide sustained Professional Development during the Perkins IV Authorization.

Topics for Professional Development will be based on a review of the CAR data, as well as, onsite evaluation reports. The onsite evaluation reports are generated from BCTE's Approved Program Evaluation (APE) reviews and Perkins IV compliance monitoring visits.

**The State Plan must describe how career and technical education in the State relates to the State's and region's occupational opportunities. (Section 122(c)(16))**

All newly approved programs are contingent on preparing students for High Priority Occupations (HPOs). PDE/BCTE coordinates its funding and development of HPOs based on the commonwealth's labor market data for local, regional and statewide economic and workforce development sectors as outlined on the PA Workforce Development website at [www.dli.pa.gov](http://www.dli.pa.gov).

**The State Plan must describe the methods the eligible State agency proposes for the joint planning and coordination of programs carried out under this legislation with other Federal education programs. (Section 122(c)(17))**

Reform efforts since 2005 are aligned. The goal is to develop a K-12+ system.

**The State Plan must provide a description and the information specified “in subparagraphs (B) and (C)(iii) of Section 102(b)(2), and, as appropriate, Section 103(b)(3)(A), and Section 121(c), of the Workforce Innovation and Opportunity Act (Public Law 113-128) concerning the provisions of services only for postsecondary students and school.**

BCTE has been working with PDE’s Office of Postsecondary and Higher Education’s Bureau of Adult Basic Literacy Education (ABLE) through the release and assignment of incentive grant funds generated during the Perkins III authorization to directly fund and implement ABLE program initiatives. BCTE has also assisted higher education to develop and pilot a monitoring tool to track ACT 101 (a state funded program to support at risk postsecondary students with academic remediation and career counseling) progress, as well as, identifying strengths and needs of the program.

**The State Plan must include a copy of the eligible State agency’s local applications or plans for secondary and postsecondary eligible recipients, which will meet the requirements in Section 134(b) of the Act.**

See [Local Plan Guidelines](#).

**The State Plan must provide a description of the State’s governance structure for vocational and technical education.**

State Board of Education to the PA Secretary of Education to the Deputy Secretary, Office of Elementary and Secondary Education to the Bureau of Career and Technical Education to the Division of Data Analysis, Assessment and Contracts, Division of Professional Development and Support Services, Division of Program Standards and Quality Assurances, Division of Adult and Postsecondary CTE

The approximate number of eligible secondary recipients is 120 school entities and 40 postsecondary institutions programs.

**The State Plan must provide a description of the role of postsecondary career and technical education in the one-stop delivery system established by Title I of WIOA.**

In Pennsylvania, postsecondary Career and Technical education is an important component in the services of the one-stop career center delivery system established by WIA Title I. Postsecondary Perkins funded programs partner directly with regionally based one-top CareerLink® Centers established by the Department of Labor to coordinate the development of postsecondary career and technical education programs which complement Title 1 activities to prepare adult students for high-priority, high-skill, high-wage, and high-demand occupations.

The following is a listing of collaborative activities:

- Job search and placement assistance;
- Labor Market Information (LMI);
- Initial assessment of skills and needs;
- Information about available jobs;
- Comprehensive assessments;



- Development of individual employment plans;
- Group and individual counseling;
- Case management;
- Short-term pre-vocational services;
- Training services which are directly linked to job opportunities in their local area;
- Occupational skills training;
- On-the-Job Training;
- Entrepreneurial training;
- Skill upgrading;
- Job readiness training; and
- Adult education and literacy activities.

### **Provision of Services for Special Populations**

**The State Plan must describe the eligible State agency's program strategies for special populations listed in Section 3(29) of the Act, including a description of how individuals who are members of the special populations will be provided with equal access to activities assisted under the Act.**

Secondary and Postsecondary Strategies The agency's strategy for special populations is in strict compliance with all laws and regulations for special populations and in developing the potential of every individual to become an independent adult, economically, financially, and socially. This is pursued through assurances that address equal access to all occupational programs, provisions for supporting supplemental services to assure the success of each special populations group, and an assessment strategy to monitor the success of each special population, thus the success of the state strategy.

Special populations will be provided equal access to activities assisted under this title through guidelines written for use of Perkins funds. Required signed assurances and descriptions of how members of special populations will be provided equal access to CTE programs and services assisted under Title I and II of the Perkins Act will be documented locally. This is evidenced in the submission of the Local Plan by the secondary and postsecondary entities. Routine on-site reviews to secondary and postsecondary entities will examine documentation relative to participation of special populations. PA Department of Education staff will investigate thoroughly and promptly any written complaints.

### **Will not be discriminated against on the basis of their status as members of special populations.**

Secondary and Postsecondary Strategies Special populations will not be discriminated against based on their status as members of the special populations through appropriate requirements of civil rights legislation incorporated into program assurances. Initial reviews of secondary and postsecondary recipients' applications, on-site reviews investigation of complaints will insure that discrimination does not occur based on their status as a member of a special population.

**Will be provided with programs designed to enable the special populations to meet or exceed State adjusted levels of performance, and how the eligible State agency will prepare special populations for further learning and for high-skill, high-wage, or high-demand occupations. (Section 122(c)(9)(A)-(C))**



Secondary and Postsecondary Strategies Special populations will be provided with services designed to enable them to meet or exceed state-adjusted levels of performance. Programs will prepare special populations for further learning and for high-skill, high-wage careers. The Local Plan design requires the evaluation of each CTE program and the success of each special population group on adjusted levels of performance. Guidelines further require the use of funds for programs and activities where performance is below adjusted state standards.

The Bureau of Career and Technical Education will annually utilize a Special Populations Needs Assessment for incorporation into Local Plan applications via the eGrant, fulfilling the requirements of Section 124(b) 1; a series of questions from the legislation relating to what the needs of the special populations students are from each funded LEA and how each LEA plans to address these assessed needs.

Special populations will participate in career guidance and academic counseling programs designed to promote improved career and education decision making skills regarding postsecondary education and training options in preparation for high-skill, high-wage, or high-demand occupations and nontraditional fields.

**The State Plan must describe how the eligible State agency will adequately address the needs of students in alternative education programs, if the agency has such programs. (Section 122(c)(14))**

Pennsylvania regulations established Alternative Education programs for disruptive youth. The programs are implemented by a school district, an area career and technical education school, a group of school districts or an intermediate unit, which removes disruptive students from regular school programs to provide those students with a sound educational course of study and counseling designed to modify disruptive behavior and return the students to a regular school curriculum. Notwithstanding Section 1502, Alternative Education Programs may operate outside the normal school day of the applicant district, including Saturdays. School districts shall adopt a policy for periodic review of students placed in the Alternative Education program for disruptive students. This review shall occur, at a minimum, at the end of every semester the student is in the program or more frequently at the district's discretion. The purpose of this review is to determine whether the student is ready to return to the regular school curriculum. Programs may include services for students returning from placements or who are on probation resulting from being adjudicated delinquents in a proceeding under 42 PA C.S. Ch. 63 (relating to juvenile matters) or who have been judged to have committed a crime under an adult criminal proceeding. These programs address the academic, social and emotional needs of youth.

**The State Plan must describe how funds will be used to promote preparation for high-skill, high-wage, or high-demand occupations and non-traditional fields. (Section 122(c)(18))**

Secondary and Postsecondary Strategies All newly submitted programs must meet the criteria developed by the PA Department of Labor & Industry (L&I). The Department of Labor and Industry has developed a CIP/SOC crosswalk that identifies which "High Priority Occupations" are aligned to each CIP program. L&I develops a career guide and other resources that can be used as instructional materials. The materials can be used with all students beginning at the middle school. The materials show students how to choose a career and how choosing a career and the education path is linked to Career and Technical Education. The recently adopted statewide academic standards of Career Education and Work require all school districts to develop education and career plans for all students beginning with Grade K. PA Department of Education (PDE) has also developed resources that can be used by school districts and career and technical education centers. The materials not only evidence high-priority occupations but also provide information

on nontraditional fields and connection to postsecondary opportunities. All postsecondary institutions participating in Perkins must establish a working relationship with the local WIBs and PA CareerLink® Centers to ensure that postsecondary Perkins local occupational advisory committees are addressing current state, regional and local labor market needs in high-priority, high-skill, high-wage, and high-demand occupations. To improve nontraditional participation and completion, PDE/BCTE is identifying state non-traditional occupations by CIP and aligning with NAPE's national listing of nontraditional occupations by industry cluster. All funded secondary and postsecondary programs are required to maintain assurance folders on nontraditional program efforts and overall improvement of nontraditional performance measures.

**The State Plan must describe how funds will be used to serve individuals in State correctional institutions. (Section 122(c)(19))**

The PA Department of Corrections Inmate profile reveals the following characteristics: (A) the average inmate is functioning on a 7.5 grade level in reading and a 6.7 grade level in math, (B) almost 46 percent of the inmates lack a high school diploma and 32 percent have not completed the 9th grade, (C) 75 percent claim they have little or no vocational skills and over 80 percent were unemployed upon arrest and (D) 85 percent report that they need some type of drug/alcohol treatment. The average inmate spends approximately 49 months incarcerated and there are approximately 42,000 inmates in the system, thus a significant need for educational programs. The commonwealth provides an education/training appropriation in which full-time academic, vocational and counseling staffs are hired. The funds received from this grant (\$431,453) provide five institutions with supplemental vocational funding, specifically, the salary and benefits for five vocational instructors.

**The State Plan must describe how the State will require each applicant for funds to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs as contained in Section 427(b) of the General Education Provisions Act as amended. For further guidance and examples, see the Notice to All Applicants at <http://www.ed.gov/fund/gran/apply/appforms/gepa427.doc>**

Secondary and Postsecondary Strategies the PA Department of Education (PDE) requires all eligible recipients to submit a proposal that outlines the actions and use of funds the recipient will follow. The grant application is reviewed by regionally assigned staff members whose responsibility is to ensure Perkins IV funds are used as required. The review of the grants ensures that the eligible recipients will meet the requirements of 427(b). Inclusion of all special population's sub-groups is monitored during the Local Plan application process, on-site reviews and annual reporting of disaggregated data for federal CAR reporting.

**Accountability and Evaluation**

**The State Plan must describe procedures the eligible State agency will use to obtain input from eligible recipients in establishing measurement definitions and approaches for the core indicators of performance for career and technical education students at the secondary and postsecondary levels, as well as for any other additional indicators of performance identified by the eligible agency. (Section 113(b)(1)(A)-(B), sec. 113(b)(2)(A)-(C))**

Accountability is a foundation of the commonwealth's emerging workforce development system. Programs and providers will be measured on the results they achieve as a return on investment of public

funds. The state accountability system will ensure quality performance, as well as be compatible with the seamless delivery system of the Pennsylvania Performance Management System. This system collects and examines workforce development efforts supported by state and federal funds.

The Carl D. Perkins Career and Technical Education Improvement Act of 2006 identified core performance indicators to be measured as part of the education improvement efforts. Pennsylvania will incorporate the core indicators of performance into its accountability system. The PA Department of Education (PDE) sought input into the Perkins accountability system and the five-year State Plan from representatives of the eligible recipients. A task force was formed. The purpose of the task force was to assist in outlining actions for the State to pursue and to assist in developing the core indicators of performance.

The steps that the PDE Bureau of Career and Technical Education, took to involve representatives of eligible recipients in the development of core indicators of performance and the state adjusted levels of performance are as follows:

- a) An email and phone calls inviting input from eligible recipients to develop core indicators and the state adjusted levels of performance. The eligible recipients acknowledging an interest in participating formed a Task Force.
- b) Seven in-person consultation meetings were held with representatives from the Pennsylvania Vocational Administrators of Pennsylvania, Bucks County Community College, Community College of Allegheny County, Lackawanna College, Luzerne County Community College, the Penn State University System, Lehigh Career and Technical Institute and Cumberland Perry Technical School.
- c) The five-year State Plan will be presented to the State Board of Vocational Education for approval prior to April 1, 2008 submission deadline. Final comment was gathered during regional statewide public hearings held in December 2007.

**The State Plan must describe the procedures the eligible State agency will use to obtain input from eligible recipients in establishing a State adjusted level of performance for each of the core indicators of performance for career and technical education students at the secondary and postsecondary levels, as well as State levels of performance for any additional indicators of performance identified by the eligible agency. (Section 122(c)(10)(A), Section 113(b)(3)(B))**

An advisory committee was convened, consisting of representatives from all stakeholder groups required under Perkins IV including: high schools, adult programs, postsecondary institutions, members of special populations, charter school organizers, parents and students, labor organizations, counselors and members from business and industry including a state WIB member. The initial state adjusted performance levels have been decided upon by U.S. Department of Education for those indicators whose definitions have not changed. The initial state adjusted performance levels are based on most recent available data. The advisory committee supports the process and the use of appropriate existing performance measures used in the previously submitted FAUPL.

The state will only establish baselines on those measures for which data is available (1S1, 1S2, 2S1, 5S1, 6S1, 6S2, 1P1 4P1, 5P1 and 5P2). For the new indicators we are establishing baselines during the transition year (3S1 and 2P1).

In regards to graduation rate-4S1\*, multi-year identification of CTE students will begin in 2007-2008 utilizing PDE's unified data collection system which uses a unique student identifier, entitled Pennsylvania

Information Management System, (PIMS) to establish graduation rates by the 2012 school year. PDE is still ascertaining a valid collection vehicle to obtain 4S1 data.

Student Retention and Transfer (3P1) \*\*: PDE is exploring a working relationship with the National Student Data Clearing House to report 3P1 on the 2008-2009 CAR.

The State established Annual Measurable Outcomes (AMO) for Math, Reading and Graduation Rates based on state established targets. The Skill Attainment, at Competent and Advanced (2S1), baseline has been calculated based on the most recent past performance.

**The State Plan must identify, on the forms in Part C of this section, the valid and reliable measurement definitions and approaches that the eligible State agency will use for each of the core indicators of performance for career and technical education students at the secondary and postsecondary/adult levels, as well as any additional indicators of performance identified by the eligible agency, that are valid and reliable. The eligible State agency must describe how its proposed definitions and measures are valid and reliable. (Section 113(b)(2)(A)-(B))**

Section 113(b) of the Act describes the measures that a State must use for student attainment of challenging academic content standards and student academic achievement standards in reading/language arts and mathematics (1S1 and 1S2, respectively) and student graduation rates (4S1). Based on our non-regulatory guidance, we have prepopulated the measurement definitions on the Final Agreed Upon Performance Levels (FAUPL) form for your convenience. You do not need to describe how these definitions and measures are valid and reliable in your State Plan narrative. A State that chooses to propose other student definitions and measurement approaches in its new State Plan would have to describe how its proposed definitions and measures would be valid and reliable. (The Secretary is considering whether to issue regulations requiring a State to agree to use the student definitions and measurement approaches for the core indicators of performance for academic attainment in reading/language arts and mathematics and graduation rates as contained in the guidance document. If the Secretary decides to regulate on these issues and adopts final rules, a State may be required to amend its State Plan.

**Section 113(b) of the Act describes the measures that a State must use for student attainment of challenging academic content standards and student academic achievement standards in reading/language arts and mathematics (1S1 and 1S2, respectively) and student graduation rates (4S1). Based on the Department of Education's non-regulatory guidance, the measurement definitions on the Final Agreed Upon Performance Levels (FAUPL) form have been pre-populated for the eligible State agency's convenience. The eligible State agency does not need to describe how these definitions and measures are valid and reliable in the State Plan narrative. A State that chooses to propose other student definitions and measurement approaches in its new State Plan would have to describe how its proposed definitions and measures would be valid and reliable.**

**The State Plan must describe how, in the course of developing core indicators of performance and additional indicators of performance, the eligible State agency will align the indicators, to the greatest extent possible, so that information substantially similar to that gathered for other State and Federal programs, or for any other purpose, is used to meet the Act's accountability requirements. (Section 113(b)(2)(F))**

The measures that we are using are part of the Pennsylvania State System of Academic assessment. The measures 1S1, 1S2 have undergone extensive research and development and annual review by test publishers and third party to ensure maximum validity and reliability. We adhere to the Standards for Educational and Psychological Measurement.

Graduation rate 4S1 has been calculated using procedures defined in the Pennsylvania Accountability Workbook (which has received U.S. Department of Education approval).

Section 113(b) of the Act describes the measures that a State must use for student attainment of challenging academic content standards and student academic achievement standards in reading/language arts and mathematics (1S1 and 1S2, respectively) and student graduation rates (4S1). Based on our non-regulatory guidance, we have prepopulated the measurement definitions on the Final Agreed Upon Performance Levels (FAUPL) form for your convenience. You do not need to describe how these definitions and measures are valid and reliable in your State Plan narrative. A State that chooses to propose other student definitions and measurement approaches in its new State Plan would have to describe how its proposed definitions and measures would be valid and reliable. (The Secretary is considering whether to issue regulations requiring a State to agree to use the student definitions and measurement approaches for the core indicators of performance for academic attainment in reading/language arts and mathematics and graduation rates as contained in the guidance document. If the Secretary decides to regulate on these issues and adopts final rules, a State may be required to amend its State Plan.

The performance measures used to meet the Act's accountability requirements are the same performance measures used in monitoring and evaluating career and technical education programs. The state provides funds to support career and technical education programs and effectiveness of the programs is determined by reviewing the data associated with performance measures for the core indicators established for this Act. This data is the same data that is reported for the state's performance management system that examines workforce development systems across agencies.

**The State Plan must provide performance levels for each of the core indicators of performance. For performance levels that are required, the States' performance levels, at a minimum, must be expressed in a percentage or numerical form, so as to be objective, quantifiable, and measurable; and require the State to continually make progress toward improving the performance of career and technical education students. (Section 113(b)(3)(A)(i)-(II))**

Section 113(b)(2) of the Perkins Act requires a State to develop valid and reliable core indicators of performance, to propose performance levels in its State Plan, and to reach agreement with the Department on "adjusted performance levels" for each of the core indicators. In so doing, the Perkins Act prescribes the measures that a State must use for some of the core indicators.

**Section 113(b)(2) of the Perkins Act requires a State to develop valid and reliable core indicators of performance, to propose performance levels in its State Plan, and to reach agreement with the Department on "adjusted performance levels" for each of the core indicators. In so doing, the Perkins Act prescribes the measures that a State must use for some of the core indicators.**

**Section 113(b)(2)(A)(i) of the Perkins Act requires a State to measure career and technical education students' attainment of "challenging academic content standards" and "student academic achievement standards" that a State adopted pursuant to Section 1111(b)(1) of the ESEA. The Perkins Act further requires a State use its State's academic assessments (i.e. the State's reading/language arts and**

mathematics tests) implemented under Section 1111(b)(3) of the ESEA to measure career and technical education students' attainment of these State standards. Thus, a State's core indicators must include career and technical education students' proficiency in reading/language arts and mathematics as measured under 1111(b)(1) and (3) of the ESEA. Accordingly, under the Perkins Act, a State must report the number or percent of its career and technical education students who score at the proficient level or above on the State's assessments in reading/language arts and mathematics administered under the ESEA to measure the academic proficiency of secondary career and technical education students against the ESEA standards.

To measure attainment of these standards, a State must develop and reach agreement with the Department on "adjusted performance levels," which constitute the State's performance targets for a program year. Permissible targets (i.e. "adjusted performance levels") would be a State's "annual measurable objectives" (AMOs) from its State's ESEA accountability workbook. (To ensure that a State's schools are making "adequate yearly progress" (AYP) as required under Section 1111(b)(2)(A) of the ESEA, Section 1111(b)(2)(G) of the ESEA requires a State to establish Statewide AMOs, which identify a single minimum percentage of students who are required to meet or exceed the proficient level on the State's academic assessments each year.) Under the Perkins Act, a State may propose different performance levels (targets) instead of its AMOs as discussed below.

Section 113(b)(2)(A)(iv) of the Perkins Act requires a State to identify a core indicator to measure for its career and technical education students at the secondary level "student graduation rates (as described in Section 1111 (b)(2)(C)(vi) of the (ESEA))." Thus, a State must report the number or percent of its career and technical education students whom the State includes as graduated in its graduation rate described under the ESEA. To ensure that a State's schools are making AYP as required under Section 1111(b)(2)(A) of the ESEA, some States have established Statewide targets for graduation rates under Section 1111(b)(2)(C)(vi), and other States have defined AYP only to require improvement in the graduation rate each year.

The Department strongly encourages the State to reach agreement on "adjusted performance levels" required under Section 113 of the Perkins Act for the core indicators discussed in (a) and (b) above that are the same as the State's AMOs or targets that the State adopted to ensure that the State's schools are making AYP as required under Section 1111(b)(2) of the ESEA. However, as noted above, the State may not have established targets for graduations rates under the ESEA, or the State may wish to propose performance levels for these core indicators that are different from the State's targets. If so, the State must provide baseline data using the State's most recent year's achievement data or graduation rate under the ESEA, propose performance levels, and reach agreement with the Department of Education on "adjusted performance levels." (The Secretary of Education is considering whether to issue regulations requiring a State to agree to "adjusted performance levels" under the Perkins Act that are the same as the State's AMOs or targets for graduation rate under the ESEA. If the Secretary of Education decides to regulate on this issue and adopts final rules, a State may be required to amend its State Plan.

The State Plan must describe the eligible State agency's process for reaching agreement on local adjusted levels of performance if an eligible recipient does not accept the State adjusted levels of performance under Section 113(b)(3) of the Act and ensuring that the established performance levels will require the eligible recipient to continually make progress toward improving the performance of career and technical education students. (Section 113(b)(4)(A)(II); Section 122(c)(10)(B))



Pennsylvania has provided performance levels for the Five-year Plan FAUPL. After the transition year, once baseline data is collected for updated definitions, the commonwealth will request to update FAUPL. (a) Section 113(b)(2)(A)(i) of the Perkins Act requires a State to measure career and technical education students' attainment of "challenging academic content standards" and "student academic achievement standards" that a State adopted pursuant to Section 1111(b)(1) of the ESEA. The Perkins Act further requires a State use its State's academic assessments (i.e., the State's reading/language arts and mathematics tests) implemented under Section 1111(b)(3) of the ESEA to measure career and technical education students' attainment of these State standards. Thus, a State's core indicators must include career and technical education students' proficiency in reading/language arts and mathematics as measured under 1111(b)(1) and (3) of the ESEA. Accordingly, under the Perkins Act, a State must report the number or percent of its career and technical education students who score at the proficient level or above on the State's assessments in reading/language arts and mathematics administered under the ESEA to measure the academic proficiency of secondary career and technical education students against the ESEA standards.

(b) Section 113(b)(2)(A)(iv) of the Perkins Act requires a State to identify a core indicator to measure for its career and technical education students at the secondary level "student graduation rates (as described in Section 1111 (b)(2)(C)(vi) of the [ESEA])." Thus, a State must report the number or percent of its career and technical education students whom the State includes as graduated in its graduation rate described under the ESEA. To ensure that a State's schools are making AYP as required under Section 1111(b)(2)(A) of the ESEA, some States have established Statewide targets for graduation rates under Section 1111(b)(2)(C)(vi) and others States have defined AYP only to require improvement in the graduation rate each year.

For three of these indicators (1S1, 1S2 and 4S1\*) the performance levels are already established under NCLB.

Student Graduation Rates (4S1)\*: Individual student data collection will begin with the 2007-08 school year, high school graduation rates will not be available using PASecureID information until February 2012 for the Class of 2011. Graduates include students that graduated over the summer following the usual June graduation and dropouts are not determined until October 1 for the preceding 12-month period. Therefore, the potential exists to track occupational career/technical center student graduation rates starting with the Class of 2011.

The negotiations for 2S1, 3S1, 5S1, 6S1, 6S2, 1P1, 2P1, 4P1, 5P1, 5P2 will be based on each recipient's previous performance which will establish their baseline. The baseline will be the most recent data when available.

The following response refers to the indicators not associated with NCLB. Pennsylvania is expected to conduct negotiations with local eligible recipients on levels of performance for five of the eight secondary indicators (2S1, 3S1, 5S1, 6S1, 6S2) and all 6 postsecondary indicators (1P1, 2P1, 3P1\*\*, 4P1, 5P1, 5P2).

When presented with an established baseline, the eligible recipient will be given an opportunity to demonstrate continuous yearly improvement. All eligible recipients must maintain continuous improvement over the previous year's performance in all performance measures.

**The State Plan must describe the objective criteria and methods the eligible State agency will use to allow an eligible recipient to request revisions to its local adjusted levels of performance if unanticipated circumstances arise with respect to an eligible recipient. (Section 113(b)(4)(A)(vi))**

Reviews and revisions of local adjusted performance levels will be made when the circumstances clearly prevent the eligible recipient from meeting the levels. Requests must be accompanied by a recovery plan containing a realistic timeline. During the transition year, objective criteria and methods were developed.

**The eligible State agency must describe how it will report data relating to students participating in career and technical education programs in order to adequately measure the progress of the students, including special populations and students participating in tech prep programs, if applicable, and how the eligible State agency will ensure that the data reported to it from local educational agencies and eligible institutions, and the data that it reports to the Secretary, are complete, accurate, and reliable. (Section 122(c)(13); Section 205)**

To insure accurate data is reported to the PA Department of Education (PDE), general data reporting workshops are provided for local education agencies throughout the state. Technical assistance is provided on a one-to-one basis as requested. This includes visits to schools when necessary. In addition, Perkins on-site monitoring includes a review of data and local reporting procedures. Postsecondary data is reviewed at two levels first through the Division of Data Services and second through the PDE Bureau of Career and Technical Education. Data is not accepted until both levels are satisfied.

All student data reports are subjected to extensive edits, both computerized and manual, at the state level. PDE is in the process of implementing a unified data collection system entitled the Pennsylvania Information Management System (PIMS) to collect all required data for federal reporting.

For each group of indicators, a review is made by using the Data Quality Manual. There is a series of questions on the checklist requiring a yes/no and a commentary with the initials of the reviewer. For all measures, common items include a comparison of current and previous year data for consistency and inclusion of all categories (gender, ethnicity, special populations, tech prep). The reviewers also follow the rules of CAR data quality used by the OVAE. For those data from third party and administrative records, it is required that they contain the data initiator and a supervisory sign-off.

**The State Plan must describe how the State Plans to enter into an agreement with each consortium receiving a grant under Perkins IV to meet a minimum level of performance for each of the performance indicators described in Section 113(b) and 203(e). (Section 204(e)(1))**

Local negotiations have been developed and conducted for the first two years and submitted via the annual eGrant application. This process will be used per Perkins IV requirements during the third and fifth year. During the negotiations period, years three and five, each consortium will be given its respective trend data that will determine its baseline. If this baseline is equal to or greater than the state's Final Agreed Upon Level, the consortium's proposed performance level will be the same as the state FAUPL. If the consortium's baseline is below the state baseline, the initial proposed level will be the number halfway between the states and the consortiums. PA Department of Education (PDE) will also accept any modest increase over the consortium's baseline.

**The State Plan must describe how the eligible State agency will annually evaluate the effectiveness of career and technical education programs, and describe, to the extent practicable, how the eligible State agency is coordinating those programs with other Federal programs to ensure nonduplication. (Section 122(c)(8))**



Pennsylvania's performance management system is created on the premise of continuous improvement. Continuous improvement is a cyclical, never ending process of planning, implementing, evaluating and improving services.

Simply measuring performance alone does not guarantee service/program improvement. The institutional framework of Pennsylvania's workforce strategy is based upon the Malcolm Baldrige seven principles of quality management with a focus on continuous improvement.

WIA offered the opportunity for significant change and unprecedented cooperation between the public and private sectors in supporting Pennsylvania's economic and workforce development needs. Successful implementation of the WIA in Pennsylvania required a constant focus on the employer and job seeker to effectively integrate economic and workforce development at the local, regional and state levels.

Pursuant to Section 113 of the Carl D. Perkins Career and Technical Education Improvement Act of 2006, the PA Department of Education (PDE) Bureau of Career and Technical Education, has developed and implemented a state performance accountability system based on measures and standards approved by the State Board of Education designed to evaluate annually the effectiveness of approved career and technical education programs at the secondary and postsecondary levels. The Perkins requirement to establish a State Performance Accountability system includes the use of evaluation methods containing both process and product.

Each secondary and postsecondary eligible recipient is evaluated annually to determine the effectiveness of all approved career and technical education programs; and provide information on how to maximize the return of investment of state and federal funds in career and technical education activities.

To implement the Five-year Plan beginning July 1, 2008, local entities will be required to submit a five-year Local Plan application via PDE's eGrant system and will include the following: required uses of funds with yearly budget updates; annual amendments to PPC designated Action Plans; a detailed set of descriptions and assurances for the local application covering all aspects of the local program. Attention must be given to the scope of the local program and local accountability issues. The annual evaluation will be based on the state performance measures and standards described above, developed with input from the eligible recipients and approved by the State Board of Education, as prescribed in the Carl D. Perkins Career and Technical Education Improvement Act of 2006.

The design of the State Performance Accountability System has been guided by input from the eligible recipients. In addition, the design addresses the Joint Committee on Standards for Educational Evaluation-1994 Program Evaluation Standards. These standards were established to provide guidance to effective evaluation. The Joint Committee believes the standards will lead to useful, feasible, ethical and sound program evaluations and that these evaluations will, in turn, contribute significantly to the betterment of education and training in diverse settings. (1994, page XVIII)

The standards provide a guide for evaluating educational and training programs, projects and materials in a variety of settings. They are intended both for users of evaluations and for evaluators. (1994, page 1)

The standards are organized around the four important attributes of an evaluation: utility, feasibility, propriety and accuracy. The Joint Committee believes that these four attributes are necessary and sufficient for sound and fair evaluation. (1994, page 5)

The four attributes are defined as follows:

Utility: These standards guide evaluations so that they will be informative, timely and influential. The seven standards included in this category are Stakeholder Identification, Evaluator, Credibility, Information Scope and Selection, Values Identification, Report Clarity, Report Timeliness, and Dissemination and Evaluation Impact.

Feasibility: These standards recognize that evaluations usually are conducted in a natural, as opposed to a laboratory, setting and consume valuable resources. The three standards in the category are Practical Procedures, Political Viability and Cost Effectiveness; moreover, they require the evaluation to be realistic, prudent, diplomatic, and economical.

Propriety: These standards reflect the fact that evaluations affect many people in a variety of ways. They are intended to facilitate protecting the rights of individuals affected by an evaluation. The eight standards for propriety are: Service Orientation, Formal Agreement, Rights of Human Subjects, Human Interactions, Complete and Fair Assessment, Disclosure of Findings, Conflict of Interest and Fiscal Responsibility.

Accuracy: These standards determine whether an evaluation has produced sound information, that is the information must be technically adequate and the judgments rendered must be linked logically to the data. The twelve standards are: Program Documentation, Context Analysis, Described Purposes and Procedures, Defensible Information Sources, Valid Information, Reliable Information, Systematic Information, Analysis of Quantitative Information, Analysis of Qualitative Information, Justified Conclusions, Impartial Reporting and Meta-evaluation.

**The State Plan must provide all the information requested on the forms provided in Part C of this Section to report accountability data annually to the Secretary of Education under Section 113(c)(1)-(2), including:**

**The student definitions that the State will use for the secondary core indicators of performance and the postsecondary/adult core indicators of performance;**

**Baseline data for the core indicators of performance under Section 113(b)(2) using data from the most-recently completed program year, except that, for the indicators for which the State must use its standards, assessment, and graduation rates adopted under Title I of the ESEA, if the State chooses to use its AMOs and targets under the ESEA, the eligible State agency will not need to submit baseline data; and**

**Proposed performance levels as discussed above, except that, for the indicators for which the State must use its the eligible State standards, assessments, and graduation rates adopted under Title I of the ESEA, if the State chooses to use its AMOs and targets under the ESEA, the eligible State agency will only have to confirm this information with the State's OCTAE Regional Accountability Specialist. Upon the eligible State agency's request, the Regional Accountability Specialist will pre-populate the forms in Part C with the State's current AMOs and targets, as appropriate, and send the forms for the eligible State agency to finish completing.**

**The eligible State agency must identify the program areas for which the State has technical skill assessments, the estimated percentage of CTE students who take technical skill assessments, and the State's plan for increasing the coverage of programs and students reported in future program years.**

The definitions are included on the form provided in Part C.

Data for the required core indicators are provided in Part C. The baseline data that is required of states submitting a transition plan are provided. The state is using the AMOs for those indicators measuring academic performance and graduation rate. All other indicators evidence a performance level developed as described above. These are located on the form provided in Part C. BCTE is in the process of aligning approved program areas to an industry based third party national assessment. Barring unforeseen circumstances, it is expected that 86 percent of CTE concentrators will be the minimum participation rate for all concentrators who will be tested for job readiness in general or industry credentials, in particular.

BCTE is continually seeking to add to the list of approved tests for use in assessing student skill attainment. We annually invite industry representatives and test developers to present their assessments for review. The evaluations will be conducted based on the criterion checklist which includes data availability, test security and validity. To date BCTE has recognized three test developers. During the Perkins IV authorization, as program of studies are developed, related assessments will be identified or developed based on industry standards alignment.

### **Tech Prep Programs**

**The State Plan must describe the competitive basis or formula the eligible State agency will use to award grants to tech-prep consortia. (Section 203(a)(1))**

The application submitted for all consortiums must contain a plan for the development and implementation of Programs of Study that will be reviewed and endorsed by the local Workforce Investment Board. Beginning in the 2008-2009 the current 16 Tech Prep regional consortia will be realigned. Tech Prep awards will be granted on a competitive basis

PDE will give special consideration to Tech Prep applications that:

- Develop and implement statewide Programs of Study by CIP, as recognized by the PA Department of Education (PDE).
- Establish, as part of that “Program of Study,” an approved statewide articulation agreement that supports the CIP and the Guidelines designed for all PDE approved Career and Technical Education “Programs of Study.”
- Facilitate the alignment of academic and technical curriculum between secondary and postsecondary levels that meets industry standards related to an approved “Program of Study.”
- Facilitate the alignment of curriculum between secondary and postsecondary levels that meets Pennsylvania academic standards related to the approved “Programs of Study.”
- Facilitate the alignment of academic and technical curriculum between secondary and postsecondary levels to eliminate the need for remediation at the postsecondary level.
- Assess, plan, develop organize and implement “Program of Study” activities within the state defined regions that prepare graduates for “High Priority Occupations” as identified by the Pennsylvania Department of Labor and Industry.
- Maintain communication with all consortium schools that have PDE approved Career and Technical Education “Programs of Study.”
- Assist all consortium schools in the identification and reporting of Tech Prep students within PDE approved Career and Technical Education Programs of Study within the state defined regions that prepare graduates for “High Priority Occupations” as identified by the Pennsylvania Department of Labor and Industry.

**The State Plan must describe how the eligible State agency will give special consideration to applications that address the areas identified in Section 204(d). (Section 204(d)(1)-(6))**

BCTE has developed a “Program of Study” template that will require Tech Prep Regions in the state to assist in the development of Programs of Study by CIP Code t CIPs will be selected and approved for development by the BCTE and will be based on the program’s potential to lead to high-wage, high-skill, and high-demand jobs to include emerging technology, in which there is a significant workforce shortage. These Programs of Study will lead to employment opportunities or the transfer of students to baccalaureate or advanced degree programs. The Programs of Study will be developed in consultation with business and industry, institutions of higher education and labor organizations to incorporate and align secondary and postsecondary programs. Programs of Study will effectively address the issues of school dropout prevention and reentry by aligning Programs of Study with Pennsylvania academic standards and employability competencies.

**The State Plan must describe how the eligible State agency will ensure an equitable distribution of assistance between urban and rural consortium participants. (Section 204(f))**

There will be equitable distribution of awards between urban and rural through Pennsylvania’s designated Workforce Investment Regions.

**The State Plan must describe how the eligible State agency will ensure that each funded tech prep program**

**is carried out under an articulation agreement between the participants in the consortium, as defined in Section 3(4) of the Act;**

**Consists of a program of study that meets the requirements of Section 203(c)(2)(A)-(G);**

**Includes the development of tech prep programs for secondary and postsecondary education that meet the requirements of Section 203(c)(3)(A)-(D);**

**Includes in-service professional development for teachers, faculty, and administrators that meets the requirements of Section 203(c)(4)(A)-(F);**

**Includes professional development programs for counselors that meet the requirements of Section 203(c)(5)(A)-(F);**

**Provides equal access to the full range of technical preparation programs (including pre-apprenticeship programs) to individuals who are members of special populations, including the development of tech-prep program services appropriate to the needs of special populations (Section 203(c)(6));**

**Provides for preparatory services that assist participants in tech-prep programs (Section 203(c)(7)); and Coordinates with activities under Title I. (Section 203(c)(8))**

Every “Program of Study” will operate under a statewide articulation agreement. The required statewide articulation agreements between secondary and postsecondary institutions shall include:

1. Content specified in courses offered by the secondary institution that aligns with course content at the postsecondary institution syllabi and/or competency lists of courses from the institutions involved must be maintained in the appropriate offices.
2. The operational procedures and responsibilities of each party involved in the implementation of the articulation agreement.
3. The student evaluation plan and process including descriptions of required proficiency levels and criteria for measurement.
4. Evaluation plan that includes an annual review and a renewal date not to exceed three years.
5. A description of student admission requirements.
6. Signatures of authorized representatives of participating institutions.
7. On a case-by-case basis, provide options for out-of-county students to articulate without sanction if equivalent articulation elements are satisfied.

Programs of Study will be carried out under a statewide articulation agreement between participants in the consortium and combine a minimum of two (2) years of secondary education with a minimum of two (2) years of postsecondary education in a nonduplicative, sequential course of study or an apprenticeship program of not less than two (2) years following secondary education instruction. Instruction will integrate academic and career and technical education instruction and utilize work-based and worksite learning experiences where appropriate and available to build student competency. Programs of Study will lead to a career field that is high-skill, high-wage, and high-demand. Technical skills and core academic subjects will be attained in a coherent sequence of courses that lead to technical skill proficiency, an industry-recognized credential, a certificate or a degree in a specific career field. The goal is to have each student in a “Program of Study” that leads to placement in high-demand, high-skill, or high-wage employment or to further education.

Pennsylvania Programs of Study will include coherent and rigorous academic content aligned with Pennsylvania academic standards and relevant career and technical education content integrated in a coordinated, non-duplicative progression of courses that align secondary and postsecondary education to adequately prepare students to succeed in careers. Pennsylvania academic standards link secondary schools and two-year postsecondary institutions, and if possible and practicable, four-year institutions of higher education. These Programs of Study will also include the opportunity for secondary education students to earn postsecondary education credits through dual or concurrent enrollment, articulated credit or other ways leading to a Pennsylvania recognized industry-based credential, credit-bearing certificate, associate or baccalaureate degree. If appropriate and available, work-based or worksite learning experiences, in conjunction with business and all aspects of an industry, will be made available. As appropriate, educational technology and distance learning will involve all the participants in the consortium.

In-service professional development will include teachers, faculty and administrators to support the effective implementation of “Programs of Study,” to encourage joint training in the Tech Prep regions, to support the needs, expectations and methods of business and all aspects of an industry, to support the use of contextual and applied curricula, instruction and assessment, to support the use and application of technology and to assist in accessing and utilizing data, information available pursuant to Section 118 and information on student achievement, including assessments.

The annual integration conference and specially designed videoconferences and regional workshops will address “Programs of Study.” In addition, the agency will work with PA Association of Career and Technical Education (PACTEC) and the PA Association of Career and Technical Education Administrators (PACTA) to deliver POS training.

Considering the Perkins IV legislation, any professional development programs for school counselors must focus active support in the creation of a middle and high school environment that is student centered, results focused, data informed and personalized. The State Tech Prep Plan, it is imperative that school counselors assist all students to feel connected to and complete the “Programs of Study,” to promote access and equity for all students and to assist in designing a school counseling program that ensures all students meet high academic standards.

To accomplish these goals of professional development under the State Tech Prep Plan, it is suggested the Bureau contract with outside consultants in conducting five regional training sessions or webinars. Selected regional sites could be: IU 7 (Southwestern), IU 5 (Northwestern), IU 14 (Southeastern), IU 18 (Northeastern), IU 15 (Central Pa). The following suggested topics could guide the day long training session: (1) How to use the new websites of: [www.pacareerstandards.com](http://www.pacareerstandards.com), [www.pacareerzone.com](http://www.pacareerzone.com) and using the Pa Career Guide more effectively within the classroom, (2) Understanding/ Implementing the new Career Education and Work Standards, (3) Understanding and using career software for career research and developing online career plans and career portfolios, (4) Understanding, collecting and using data to drive change.

Programs of Study will provide equal access to individuals who are members of Special Populations and include the development of Programs of Study services appropriate to the needs of Special Populations. All entities receiving Perkins funds adhere to regulations addressing Special Populations including Individuals with Disabilities Education Act (IDEA), Civil Rights and PA Chapters 11. 14. 4 and 339.

Preparatory services will assist “Program of Study” participants. All entities receiving Perkins funds are required to provide preparatory services.

Programs of Study will coordinate with activities conducted under Title I. The agency requires all career and technical education programs to follow the components of “Programs of Study.” All recipients of Perkins IV Title I funds will participate with Tech Prep Consortia to assist in the development of “Programs of Study.” Each entity will also report required data.

**The State Plan must describe how the State Plans to enter into an agreement with each consortium receiving a grant under Perkins IV to meet a minimum level of performance for each of the performance indicators described in Sections 113(b) and 203(e). (Section 204(e)(1))**

Each consortium receiving Perkins IV Title II funds enters a contractual agreement. This agreement includes agreeing to meet the required performance indicators. The agency will establish minimum levels of performance for each indicator on the previous year’s data. When the performance indicator is a new indicator and previous year’s data is not available, the 2007-2008 year will serve as the baseline.

**The State must submit a copy of the local application form(s) used to award tech prep funds to consortia and a copy of the technical review criteria used to select winning consortia, if funds are awarded competitively.**

The Tech Prep application is found in the Appendix of the federal Perkins State Plan.

## **Financial Requirements**

**The State Plan must describe how the eligible State agency will allocate funds it receives through the allotment made under Section 111, including any funds that the eligible State agency chooses to consolidate under Section 202(a), will be allocated among career and technical education at the secondary level, or career and technical education at the postsecondary and adult level, or both, including the rationale for such allocation. (Section 122(c)(6)(A); Section 202(c))**

Funds received under Section 111 for allocations to secondary and postsecondary eligible recipients were divided as follows: 70 percent to secondary recipients, 30 percent to postsecondary recipients. The split was determined by the following criteria:

- a) History of set aside amounts;
- b) Number of career and technical enrollees;
- c) Number of career and technical programs;
- d) Consideration of full-time and part-time enrollment;
- e) Consideration of overlap of facilities for programs; and
- f) Amounts of other state/federal reimbursement.

Formulas described in the Act will be utilized for allocation among secondary and postsecondary eligible recipients.

**The State Plan must provide the specific dollar allocations made available by the eligible agency for career and technical education programs under Sections 131(a)-(e) and how these allocations are distributed to local educational agencies, area career and technical education schools, and educational service agencies within the State. (Section 131(g); Section 202(c))**

\$28,620,398.00

- [2017-18 Secondary Allocations](#)
- [2017-18 Postsecondary & Adult Allocations](#)

**The State Plan must provide the specific dollar allocations made available by the eligible agency for career and technical education programs under Section 132(a) of the Act and how these allocations are distributed to postsecondary institutions within the State. (Section 122(c)(6)(A); Section 202(c))**

\$12,140,538.00 was made available to postsecondary institutions during the 2007-08 year. Allocation distribution is based on a percentage derived by dividing the individual number of each institution's student vocational Pell count by the total number of vocational Pell counts for all postsecondary and adult programs. The percentage of vocational Pell per institution is then applied against the total allocation awarded to the state producing a percentage of the total to be allocated to each individual postsecondary and adult program.

Allocation = (Pells/State Sum of Pells) X Allocation amount

**The State Plan must describe how the eligible State agency will allocate any of those funds among any consortia that will be formed among secondary schools, and how funds will be allocated among the members of the consortia, including the rationale for such allocation. (Section 122(c)(6)(B); Section 202(c))**

\$18,697,795.00 was made available to secondary consortia during the 2007-08 year.

When local consortia fall below the minimum allocation amount of \$15,000, the consortia are contacted and notified of the need to join new consortia. All fiscal agents operate under a memorandum of understanding. The distribution of funds in the new consortia is not based on a pro-rata distribution.

Pennsylvania will continue to utilize the current formula to allocate Perkins funds to the local consortia. This has proven to be an objective and equitable means of allocating the funds.

Allocations are calculated based on the following formula: After elimination criteria, calculate percentage of individual population 5-17 by dividing individual population 5-17 by state total of population 5-17; calculate percentage of poverty 5-17 by dividing individual poverty 5-17 by state total poverty 5-17 70 percent allocation = poverty percentage from above x (allocation amount x 0.7) 30 percent allocation = Individual population percentage from above x (allocation amount x 0.3)

Total allocation = 70 percent allocation + 30 percent allocation

Allocations are summed up and enrollments collected via the PA Department of Education's (PDE) unified data collection system utilizing a secure ID identifier for each student.

Percentages of total student enrollments for each member of the consortia are collected by dividing each individual member of the consortia against the total number of all enrollments within the consortia to get a final percentage for each school.

Total allocation is then divided by this percentage and allocated to the consortium fiscal agent.

A consortia file is generated from the Division of Data Services, which drives the distribution to the fiscal agent.

**The State Plan must describe how the eligible State agency will allocate any of those funds among any consortia that will be formed among postsecondary institutions, and how funds will be allocated among the members of the consortia, including the rationale for such allocations. (Section 122(c)(6)(B); Section 202(c))**

\$1,968,794.00 was made available to postsecondary consortia during the 2007-08 year.

When local consortia fall below the minimum allocation amount of \$50,000, the consortia are contacted and notified of the need to join new consortia. All fiscal agents operate under a memorandum of understanding. The distribution of funds in the new consortia is not based on a pro-rata distribution.

Postsecondary consortia that are currently formed will receive the allocations as determined by federal regulation. Any new consortia that form will request and notify the PA Department of Education (PDE) of the formation. PDE will adjust the postsecondary allocations and assign a fiscal agent.

A consortia file is generated from the Division of Data Services based on known memberships. This file drives the distribution to the fiscal agent. The rationale for such an allocation is based on the formula for calculating the distribution to the individual schools. The formula is:

As per item 3, the allocation distribution is based on a percentage derived by dividing the individual number of each institution's student vocational Pell count by the total number of vocational Pell counts



for all postsecondary programs. The percentage of vocational Pells per institution is then applied against the total allocation awarded to the state producing a percentage of the total to be allocated to each individual postsecondary and adult program.

**The State Plan must describe how the eligible State agency will adjust the data used to make the allocations to reflect any change in school district boundaries that may have occurred since the population and/or enrollment data was collected, and include local educational agencies without geographical boundaries, such as charter schools and secondary schools funded by the Bureau of Indian Affairs. (Section 131(a)(3))**

Changes to the allocation will be based on the use of the most current Census data for secondary entities and annual collection of Pell data for postsecondary entities.

**The State Plan must provide a description of any proposed alternative allocation formula(s) requiring approval by the Secretary of Education as described in Section 131(b) or 132(b). At a minimum, the eligible State agency must provide an allocation run for eligible recipients using the required elements outlined in Section 131(a) and/or Section 132(a)(2), together with an allocation run using the proposed alternative formula(s). Also the eligible State agency must include a demonstration that the alternative secondary formula more effectively targets funds on the basis of poverty, as described in Section 131(b)(1) of the Act; and/or, in the case of an alternative postsecondary formula, a demonstration that the formula described in Section 132(a)(2) does not result in a distribution of funds to eligible recipients that have the highest numbers of economically disadvantaged individuals and that an alternative formula would result in such a distribution.**

An alternative allocation formula is not used.

**The State Plan must include a detailed project budget, using the forms provided in Part B of this guide.**

**The State Plan must provide a listing of allocations made to consortia (secondary and postsecondary) from funds available under Sections 112(a) and (c).**

The estimated detailed budget is provided on the form found in Part B. The detailed budget is based on the 2007 allocation amount. The budget will change upon notice of the allocation for 2008.

**Tentative 2017-18 Secondary Allocations:** A listing of allocations made to consortia from funds available under Sections 112 (a) and (c) of the Act for secondary institutions.

**Tentative 2017-18 Postsecondary/Adult Allocations:** A listing of allocations made to consortia from funds available under Sections 112 (a) and (c) of the Act for postsecondary/adult institutions.

**The State Plan must describe the secondary and postsecondary formulas used to allocate funds available under Section 112(a), as required by Section 131(a) and 132(a).**

The following formulas are used to allocate funds to the postsecondary and secondary local entities:

Postsecondary:  $\text{Allocation} = (\text{Pells/State Sum of Pells}) \times \text{Allocation amount}$

Secondary: After elimination criteria, calculate percentage of individual population ages 5-17 by dividing individual population ages 5-17 by state total of population ages 5-17

Calculate percentage of poverty ages 5-17 by dividing individual poverty ages 5-17 by state total poverty ages 5-17  
70 percent allocation = poverty percentage from above x (allocation amount x 0.7)  
30 percent allocation = Individual population percentage from above x (allocation amount x 0.3)  
Total allocation = 70 percent allocation + 30 percent allocation

Allocations are then summed. Enrollments are collected. Dividing school enrollments by a district total collects percentage of enrollments. Total allocation is divided by this percentage.

**The State Plan must describe the competitive basis or formula to be used to award reserve funds under Section 112(c).**

At this time, reserve funds will not be established during the administration of the Five-year Plan beginning July 1, 2008 through June 30, 2013.

**The State Plan must describe the procedures used to rank and determine eligible recipients seeking funding under Section 112(c).**

This is not applicable.

**The State Plan must include a description of the procedures used to determine eligible recipients in rural and sparsely populated areas under Section 131(c)(2) or 132(a)(4) of the Act.**

Pennsylvania recognizes secondary and postsecondary CTE programs located in rural and sparsely populated areas generating less than the minimum allocation under Sections 131 and 132 of the Act. Pennsylvania, upon request, by a secondary LEA, not meeting the minimum allocation, shall waive the application of Paragraph (1) as required under Section 131(c)(2) in accordance with current state guidelines of providing a program of sufficient size, scope and quality. Pennsylvania may waive the application of Paragraph (3)(A)(i) for postsecondary consortiums.

## **Temporary Assistance for Needy Families Program (TANF)**

**Conduct a program, designed to serve all political subdivisions in the State (not necessarily in a uniform manner), that provides assistance to needy families with (or expecting) children and provides parents with job preparation, work and support services to enable them to leave the program and become self-sufficient. (Section 402(a)(1)(A)(i) of the Social Security Act).**

Pennsylvania will comply with Section 402(a)(A)(i) of the Social Security Act which states that a parent or caretaker receiving assistance must be engaged in work once Pennsylvania determines the parent or caretaker is job-ready or once he or she has received 24 months of assistance, whichever is easier, consistent with the child care exception at 407(e)(2). The parent or caretaker will be assessed and referred to one of three services:

Defining Needy Families: A needy family is defined as a minor child and his parent(s) or other adult specified relative with whom he lives who meet the income and resource standards established under this TANF State Plan. Eligibility for TANF is also extended to pregnant women who have no other children living with them.

Minor Child: A minor child is under age 18 or is age 18 and a full-time student in a secondary school or in the equivalent level of vocational or technical training.

Specified Relative: A specified relative is defined as an adult who:

- Is exercising responsibility for the care and control of the child by making and carrying out plans for the support, education and maintenance of the child and applying for assistance on behalf of the child. The finding that a relative is exercising care and control of the child is made whether the relative is the parent or other relative of the child.
- Is maintaining a home in which the child lives with him or her, or is in the process of setting up a home where the child will go to live with him or her within 30 days after receiving the first TANF payment.
- Is related to the child as follows:
  - blood relative who is within the fifth degree of kinship to the dependent child, including a first cousin once removed. Second cousins and more remote cousins are not within the fifth degree of kinship. A first cousin once removed is the child of one's first cousin or the first cousin of one's parent. The fifth degree of kinship includes great-great grandparents and great-great-great grandparents. The fifth degree of kinship also includes other relationships prefixed by great, great-great, grand or great- grand. Blood relatives include those of half-blood.
  - A parent by legal adoption and any of the adopting parent's blood or adoptive relatives as described above Stepfather, stepmother, stepbrother, and stepsister.
  - A spouse of any of the relatives described above even though the marriage is terminated by death, separation or divorce.

Minor Parent: A minor parent is defined as:

A TANF eligible individual under 18 years of age who has never been married and is the natural parent of a dependent child living with the minor parent, or is pregnant. A minor parent is required to live in the home of the minor parent's parent, legal guardian or other adult relative who is at least 18 years of age or in an adult-supervised supportive living arrangement unless exempt. If the minor parent cannot return to the home of a parent, legal guardian, or other adult relative, the Department, in consultation with the county children and youth agency, will provide assistance to the minor parent and dependent child in locating a second-chance home, maternity home or other appropriate adult-supervised supportive living arrangement unless the agency determines that the minor parent's living situation is appropriate. When both parents are living with a child, the family may qualify for TANF only if one or both parents are incapacitated, unemployed or employed with earnings that are low enough to qualify the family for supplemental TANF assistance. Certain individuals who live with the minor child must be included in the application for assistance. These members of the TANF mandatory budget group include the TANF child, the biological or adoptive parents of the TANF child and blood-related or adoptive siblings of the TANF child if they also meet TANF eligibility requirements.

A family, for purposes of the 60-month time limit, is defined as a minor child and his parent(s) or other adult specified relative with whom he lives and who is applying on the child's behalf. A family does not include a specified relative who is not included in the TANF mandatory budget group and is seeking TANF assistance only for the minor child.

Absence of a Minor Child: Under TANF, a specified relative may continue to receive benefits for an otherwise eligible minor child who is absent, or expected to be absent, from the home. The State may choose between several periods of temporary absence: not less than 30 days, up to 45 days, up to 180 days or more than 180 days if good cause is established.

Pennsylvania elects to define temporary absence as one that does not exceed 180 consecutive days. A caretaker relative of a minor child who fails to notify the CAO of the minor child's absence by the end of the five-day period, that begins with the date it becomes clear to the specified relative that the child will be absent for more than 180 consecutive days, is ineligible for TANF for a period of 30 days.

### **Personal Responsibility-The Agreement of Mutual Responsibility**

Pennsylvania exercises the TANF option to establish an individual responsibility plan, known as the Agreement of Mutual Responsibility (AMR). The AMR is a plan of action the TANF recipient and the caseworker develop together. The purpose of the AMR is to direct the person's efforts toward self-sufficiency by identifying reasonable goals and determining what activities the person will complete to achieve those goals. The AMR is used to identify the person's short-term and long-term goals. The plan addresses how the recipient will overcome identified barriers and lists participation activities and hours of required participation as well as supportive services provided by the Department. The following individuals are required to sign the AMR:

- Adult applicants and recipients who are required to sign the Application for Benefits, whether they are exempt from or have good cause for not meeting the work requirements.
- Pregnant teens or minor parents who sign the Application for Benefits on their own behalf.

The AMR stresses the temporary nature of cash assistance and focuses on the steps the recipient must take to establish a path toward self-sufficiency. The AMR also specifies the penalties for failure to comply and the actions to be taken by the Department to support the efforts of the recipient. An individual who refuses to complete or sign the AMR without good cause is ineligible for TANF cash assistance and removed from the cash grant. The person remains ineligible for cash benefits until he or she completes and signs an AMR. The entire family is ineligible if the individual had previously received 60 months of TANF cash assistance which is considered Extended TANF.

NOTE: The explanation of the Extended TANF program can be found in Section B "Special Provisions" of this document. As part of completion of the AMR, the CAO determines whether the individual is exempt from or has good cause for not participating in work or work activities. For individuals required to participate in work and work activities, the AMR includes a requirement to conduct an initial job search or participate in a work activity. The initial activity assesses the skills, work experience and employability of each adult recipient.

The AMR is also used to outline other work participation activities and obligations for nonexempt individuals. New legislation effective July 1, 2012 changed the penalties for noncompliance, without good cause, with work requirements set forth on the AMR. Pursuant to Act 35, the AMR will include the following obligations, when appropriate to the individual or family situation:

- Receive prenatal care as recommended by the doctor or clinic and/or ensure that children are immunized, receive periodic health screening and appropriate medical treatment.

- Take steps, if needed, which will improve a child's school attendance and improve his or her chances for earning a high school diploma.
- Submit to a substance abuse assessment by the local county drug and alcohol authority if the CAO determines that an individual may have a substance-abuse problem that presents a barrier to employment. If the assessment indicates that a drug or alcohol problem exists, the individual will be required to participate in and complete an approved treatment program as indicated by the local drug and alcohol authority. If an individual fails to enter or complete a program, he or she can comply by providing proof of substance-free status by submitting to periodic drug testing.
- Make appropriate payments to service providers from allowances provided to enable the individual to fulfill his or her commitment to engage in work or a work activity.
- Comply with work and work activity requirements.
- Meet other obligations specified on the AMR related to self-sufficiency and parenting responsibilities.

These obligations are basic personal and parental responsibilities which are important to the physical and mental well-being of the family. If not addressed, they not only represent potential barriers to employment, but could increase the likelihood that welfare dependency will be passed from one generation to the next. The Department established penalties and good cause criteria for noncompliance before implementing sanctions associated with these obligations.

#### **Time Limit and Work Requirements for Receipt of TANF**

Receipt of TANF assistance is limited to a total of 60 months (five years) in the lifetime of an adult head-of-household or spouse of head-of household. The assistance received as an adult (age 18 and over) head of household or spouse of head of household counts towards the limit. Assistance received by a pregnant minor head of household, minor parent head of household or minor married to the head of household also counts towards the limit. Periods of receipt need not be consecutive to count towards the 60 months. If the TANF budget group includes only children, the 60-month limit does not apply.

Beginning March 3, 1997, the Department activated both the 60-month TANF time clock and the pre-/post-24-month clock which tracks the number of days of receipt of cash assistance. For applicants, these clocks are activated when cash assistance is authorized.

#### ***Determining Eligibility***

Pennsylvania is following rules, regulations and procedures in effect prior to the TANF implementation date, except for the following provisions that are authorized or required by State law (Act 35 and Act 58) or by federal law:

Earned Income Disregard: The gross earned income of recipients is subject to a continuous 50 percent disregard. The disregard encourages recipient families to work and provides additional financial support as they make the transition from welfare to self-sufficiency. Applicants may qualify for the 50 percent disregard if they have received TANF in one of the four months prior to application or if their income is equal to or less

than the standard of need. The deduction for the cost of dependent care for an incapacitated adult is made following application of the 50 percent disregard.

Reimbursement for Work Expenses: Effective March 28, 2009, all TANF families with earned income are eligible for \$50 a month as a reimbursement for work expenses.

*Income Exclusions:* Income is excluded as provided in state regulation at 55 Pa. Code §183.81.

Funds deposited into a Saving for Education, Entrepreneurship, and Down payment (SEED) account, including interest earned on the account, are excluded as income when determining eligibility. These accounts are currently being funded with private funds as a demonstration project. Funds may be withdrawn for qualified purposes only. If rolled over to a Roth IRA, the money is counted against the resource limit according to 55 Pa. Code Chapter 177, Resources.

The policy on SEED accounts became effective April 1, 2006.

Earned income from temporary employment with the U.S. Census Bureau is excluded. This policy became effective February 1, 2000.

Resources: Resources are excluded as provided in State regulation at 55 Pa. Code §§177.21(a) and 177.22.

Funds deposited in SEED accounts, including interest, are excluded as a resource when determining eligibility.

The policy on SEED accounts became effective April 1, 2006.

Lump Sum Income: Lump sum income is counted as income only in the month that it is received. Any funds that remain from the lump sum in months following the month of receipt are counted as a resource. Final rulemaking for this change was published in the *Pennsylvania Bulletin* on August 23, 2002.

Budgeting Method: Semi Annual Reporting (SAR): The income of applicants and recipients affects the TANF benefit as follows:

- The income adjustment is based on the best estimate of the expected income (prospective budgeting). The estimate is based upon verified information provided by the client.
- Monthly income is determined by multiplying the gross average weekly income by four (4).
- Recipients are required to complete and submit a reporting form once every 12 months. Since eligibility must be reviewed no less often than every six months, a complete redetermination interview occurs in the alternate six-month period.
- Recipients are required to report increases in gross monthly earned income in excess of \$100 and all other changes such as household composition, address, job start, etc. within the first 10 days of the month following the month of the change.
- Recipients are required to report increases in gross monthly unearned income in excess of \$50.

- There is no reconciliation of income. No overpayments (underestimates) will be processed unless it was due to a client's failure to properly report income or agency error. Underpayments (overestimates) will not be corrected unless they are the result of a worker error.
- An income adjustment that reduces or increases the grant will be made for the first TANF payment date for which the deadline can be met following proper notice to the client.

SAR was implemented in May of 2003. The Cash Assistance Handbook is updated to reflect the changes.

- Individuals who have some work experience will be referred to the EARN program, which assists with job placement and job training opportunities. At this stage of services, it is anticipated that individuals will be able to access WIOA services such as training programs aligned with a career pathway, an On-The-Job training program or a Transitional Job Program. Individuals with multiple barriers to employment are a priority under WIOA. This priority status will help individuals access the training services they may need to be employed.
- Individuals who need to rectify some barriers such as literacy, soft skills development and support services such as child care and transportation assistance will be referred to a Work Ready provider. This contracted provider will assist the individual with barrier removal strategies and then refer him or her to the EARN program when he or she is ready.
- Individuals who could benefit from a training opportunity at a community college will be referred to the KEYS program. This program enrolls individuals who are ready to learn in a college setting. Once the individual has completed their education at the community college, he or she will be connected to the PA CareerLink® system for job placement assistance.

**Require a parent or caretaker receiving assistance under the program to engage in work (as defined by the State) once the State determines the parent or caretaker is ready to engage in work, or once he or she has received assistance under the program for 24 months, whichever is earlier, consistent with Section 407(e)(2). (Section 402(a)(1)(A)(ii) of the Social Security Act)**

Pennsylvania will comply with Section 402(a)(A)(ii) of the Social Security Act which states that a parent or caretaker receiving assistance must be engaged in work once Pennsylvania determines the parent or caretaker is job-ready or once he or she has received 24 months of assistance, whichever is easier, consistent with the child care exception at 407(e)(2). All individuals will learn about the comprehensive services available to them through the County Assistance Office and the PA CareerLink® system. The PA CareerLink® system will enable individuals to utilize the services of job developers to also connect to the JobGateway® job matching system, which will help individuals apply to jobs at local employers. The PA CareerLink® centers will also help individuals participate in job fairs and other employer recruiting events. Individuals with multiple barriers will receive priority of service designation so training services can be readily available.

### **Participation in Work or Work-related Activities**

To increase work participation rates and to promote self-sufficiency, Pennsylvania has adopted a work-first approach to help clients succeed in their pursuit of self-sufficiency. Pennsylvania requires each adult, minor head of household or minor child age 16 or 17 who is not in school to engage in work or an approved employment and training activity. While the Department wants all clients to be engaged in activities, the focus is on those individuals who are required to participate in RESET.

Participation must begin within seven calendar days after authorization of assistance. Participation includes full-time work, job search, education, or training. The work activity requirement may be met by participation in any one or combination of the following:

- Unsubsidized Employment;
- Subsidized Private Sector Employment;
- Subsidized Public Sector Employment;
- Work Experience;
- On-the-Job Training;
- Job Search and Job Readiness Assistance;
- Community Service Programs;
- Vocational Educational Training;
- Job Skills Training Directly Related to Employment;
- Education Directly Related to Employment;
- Satisfactory School Attendance at Secondary School or in a Course of Study Leading to a Certificate of General Equivalence; and
- Providing Child Care Services to an Individual Who is Participating in a Community Service Program.

After 12 months of participation in vocational education, a recipient may continue education or training, but must also participate in another core work activity. Since implementation of RESET in 1997, Pennsylvania has allowed individuals under the age of 22, including pregnant or parenting recipients, to meet the work participation requirement by attending high school or participating in a GED program.

### **Exemptions**

To be considered exempt from RESET requirements, recipients must be:

- Under the age of 18 and pursuing a high school diploma or GED; or
- An individual with a verified physical or mental disability which temporarily or permanently precludes him from any form of employment or work activity; or
- The parent/caretaker relative of a child under the age of 6 for whom an alternate child care arrangement is unavailable; or
- The custodial parent in a one-parent household who is caring for a child under the age of 12 months. Pennsylvania exercises the option to allow this exemption, which is limited to a total of 12 months in the parent's lifetime. The 12 months do not need to be consecutive; or
- A parent with a medically-documented need to provide care for a disabled family member living in the home.

An individual who is exempt because of a physical or mental disability is required to participate in a work or work activity when the condition ceases.

A specified relative who is exempt for providing care to a child under the age of six is required to participate in a work or work activity as soon as child care is available.

An exempt individual under 18 years of age is required to participate in RESET upon:

- Becoming 18 years of age;
- Attaining a high school diploma or a certificate of high school equivalency; OR
- Ceasing to pursue a high school diploma or a certificate of high school equivalency.



An exempt custodial parent in a one-parent household who is caring for a child under the age of 12 months is required to participate when the child becomes 12 months old, the parent chooses to end the exemption, or when the parent has exhausted the 12-month lifetime limit for this exemption.

A parent providing care for a disabled family member living in the home is not considered work eligible and is exempt from participation in RESET. Medical documentation must be provided to support the need for the parent to remain in the home to care for the disabled family member. When the medical need to remain in the home ceases or can no longer be documented, then the parent becomes work eligible and must comply with RESET requirements.

An individual who is exempt may volunteer to participate in RESET. Exempt volunteers may participate in the RESET activity of their choice, provided they meet the eligibility criteria for those activities or programs. Exempt individuals who volunteer to participate in contracted programs must meet all the requirements of those programs. Such requirements include, but are not limited to, attendance and participation. Exempt volunteers need not conduct an initial job search prior to beginning to participate and are not subject to sanction for noncompliance with the work requirements.

Determinations of exemption will be made using the criteria found in 55 Pa. Code §165.21.

### **Good Cause**

Clients who are mandatory to participate in RESET may be granted good cause from participation in work or work activities. Good cause may be granted due to circumstances beyond a client's control. When determining good cause, the Department will consider all the facts and circumstances. Even after the CAO has made a preliminary determination of the lack of good cause, an individual may offer evidence of good cause to avoid sanction. The Department may grant good cause for up to six months at a time.

Determinations of good cause will be made using the criteria found in 55 Pa. Code §165.52.

NOTE: The time an individual spends in "Exemption" or "Good Cause" status counts towards the 60-month time limit.

### **Special Allowances for Supportive Services (SPALs) for Work and Work Activities**

Individuals who participate in work or work activities specified on their AMR may be eligible for supportive services provided by the Department. Except for child care, payments for SPALs, when approved, will be made by the CAO for actual costs up to the Department's established maximums. The Department promotes the use of community and faith-based organizations when a recipient can obtain work supports through these types of organizations. Eligibility for child care is determined by the CAO and payments are made by Child Care Information Services (CCIS). In many areas of the state, clothing is provided as required through PA WORKWEAR.

Monitoring of SPALs issued to recipients in support of their RESET participation is necessary to assure that expenditures are verified, required, and used for their intended purpose. If it is determined that a SPAL was issued in error or if the payment was misused, an overpayment referral may be filed.

### **Education in the Work First Environment**

Since the implementation of welfare reform in Pennsylvania, education in the form of literacy initiatives, pursuit of a high school diploma or GED, ESL, Adult Basic Education, post-secondary schooling, and vocational skill training has been a part of the continuum of work activities.

Employment always has been the primary goal of our TANF program. We continue to encourage individuals to begin developing a work history early in their welfare experience.

However, the role of education will continue to be emphasized for individuals who have not been successful in finding employment and are now seeking career advancement in order to move to self-sufficiency.

Some of our current refinements include:

- Counseling and case management provided by CAO and contractor staff. These activities focus on encouraging clients to pursue education that leads to employment in targeted industry clusters designated by the commonwealth's workforce development partners. This assures clients will be educated in areas where there is a demand in the labor market.
- Contracted programs that currently include various courses of education. The Department will strive to maintain these and increase them when appropriate;
- Structured outreach efforts that began with post-secondary institutions and will be expanded to include community literacy programs;
- Programs and procedures that assist individuals in completing their educational activities; and
- Employment and training programs that will assist individuals enrolled in post-secondary education to stay in school and meet the challenges of parenthood as well as those associated with participation in education while working.

Pennsylvania will continue to integrate employment and training efforts designed specifically for welfare recipients into the larger workforce development system at every appropriate point.

### **Noncompliance with the RESET Program**

A sanction is imposed on any nonexempt individual required to participate in RESET who willfully, without good cause:

- Fails to accept a bona fide offer of employment in which the individual is able to engage;
- Voluntarily terminates employment;
- Fails or refuses to participate in or continue to participate in an available work activity, including work activities specified on the AMR;
- Fails to seek employment;
- Fails to maintain employment;
- Fails to participate for an average of at least 20 hours per week in work or a work activity; or
- Fails to apply for work at the time and in the manner as the Department may prescribe.

If good cause is not established, the individual will receive an Advance Notice advising of the proposed sanction. The sanctions for failure to comply with the work or work activity requirements are required by 62 P.S. §432.3.

### **Sanctions for Failure to Comply with RESET Requirements**

A mandatory RESET participant who willfully fails to cooperate with the work or work activity requirement, participate in RESET or accept a bona fide offer of employment, or who terminates employment, or fails to apply for work, without good cause, shall be disqualified from receiving cash assistance.

The period of the sanction is:

- First sanction: The noncompliant individual in the budget group will be sanctioned for a minimum of 30 days. The sanction will continue until the individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption. After 90 days of noncompliance, the entire budget group will become ineligible and will remain ineligible until the noncompliant sanctioned individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption.
- Second sanction: The noncompliant individual in the budget group will be sanctioned for a minimum of 60 days. The sanction will continue until the individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption. After 60 days of noncompliance, the entire budget group will become ineligible and will remain ineligible until the noncompliant sanctioned individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption.
- Third sanction: The entire budget group is permanently disqualified.

In lieu of the sanctions set forth above, if an employed individual voluntarily, without good cause, reduces his earnings by not fulfilling the minimum work requirement, the cash grant is reduced by the dollar value of the income that would have been earned if the individual would have fulfilled his minimum hourly work requirement, until the minimum hourly work requirement is met.

The Department has opted not to impose a sanction on Medical Assistance benefits for recipients who fail to comply with work and work activity requirements.

### **Supporting Employment with Child Care**

The Department has provided information about subsidized child care benefits available under the “Child Care Works” program in the Child Care Development Block Grant State Plan submitted for the period October 1, 2013 through September 30, 2014. Child care costs are paid through the Child Care and Development Fund (CCDF), except for child care costs of employed individuals who receive TANF, which are paid through commingled TANF funds. Support for child care costs for eligible TANF recipients participating in approved work activities provides access to a full range of child care opportunities.

To help ease the transition for individuals whose TANF benefits end but their need for child care continues, Pennsylvania has implemented Child Care Unification. Verification requirements are also less stringent for

individuals who contact the Child Care Information Services (CCIS) in a timely manner to apply for Former TANF child care benefits.

During the period of November 1, 2006 through June 1, 2007, unification of subsidized child care services was implemented throughout the state. As a result, the CCIS agencies are responsible for the issuance of all subsidized child care statewide. The CAOs are still the eligibility agent for TANF recipients in need of child care, but refer these families to the CCIS agencies for child care enrollment and payment.

Unification of the subsidized child care program promotes a local focus on clients and families, streamlined and provided through a single office that can more effectively manage resources and provide services to a greater number of eligible families. Unification insures that all low- income families get the help of professionals trained to assist with their child care needs and receive information on the benefits of quality child care and early education. It also provides subsidy providers with a single point of contact - the CCIS. All child care providers must now sign a provider agreement which results in payments going directly to the provider.

The CCIS agencies also determine eligibility and issue child care payments for working persons who previously received TANF cash assistance or that have income at or below 235 percent of the FPIGs for their family size. Individuals must either have previously received TANF cash assistance or have income at or below 235 percent of the FPIG and be meeting the work requirement established by DHS.

To be potentially eligible for child care under the Former TANF program, the parent/caretaker must:

- Contact the CCIS within 183 days of the date his/her TANF benefits ended. If the CCIS is contacted on day 184 or later, the parent/caretaker is no longer eligible to receive Former TANF child care. Eligibility will then be assessed under the Low Income child care program.
- Be working and have a need for child care. The parent/caretaker must be working an average of at least 20 hours per week (this may include training, but at least 10 hours per week must be work) no later than the 184th day following the date TANF ended.

When TANF cash assistance ends and a need for child care exists, verification requirements are less strict at the CCIS if the parent/caretaker contacts the CCIS within 60 days of TANF benefits ending. If no changes have occurred for the parent/caretaker within the 60 days following TANF closing and the CCIS is contacted during that period, the parent/caretaker may self-certify required information. Additional verification is not required to receive Former TANF child care.

If the parent/caretaker contacts the CCIS within 60 days and changes have occurred since TANF closed, or contact with the CCIS is made beyond the 60-day period, the parent/caretaker must provide verification for all required information. Families who previously received TANF benefits may apply for and potentially be found eligible for Former TANF child care up to 183 days from the date TANF closed.

Consumer education materials and information about minimal health and safety standards are available to all TANF clients and participating providers. Information about child care options, as well as availability and location of care, continues to be available through the Department's contracted CCIS agencies. CCIS agencies offer a parent counseling system that educates participants on the importance of quality early education and child care services. The counseling system also educates parents/caretakers on how to secure high- quality child care in the neighborhood.

CCIS agencies provide resource and referral services to all TANF clients participating in the Department's employment and training programs. These resource and referral services educate parents/caretakers about:

- CCIS services
- Child care choices
- Impact of child care choice on care and early development and the school readiness of children
- Impact of child care choice on ability to retain employment

Parent counseling is also available at the employment and training site on an optional basis to any TANF client seeking the service and on a mandatory basis for TANF clients demonstrating poor attendance at the program or an inability to retain employment due to unstable child care arrangements. This initiative meets TANF purpose number two: end dependence of needy parents on government benefits by promoting job preparation, work and marriage.

**Ensure that parents and caretakers receiving assistance under the program engage in work activities in accordance with Section 407(e)(2). (Section 402(a)(1)(A)(iii) of the Social Security Act)**

Pennsylvania will comply with Section 402(a)(A)(iii) of the Social Security Act which states that a parent or caretaker receiving assistance must be engaged in work once Pennsylvania determines the parent or caretaker is job-ready or once he or she has received 24 months of assistance, whichever is easier, consistent with the child care exception at 407(e)(2). The County Assistance Office will assist all individuals who are required to work to find opportunities through the EARN program and the PA CareerLink® system. Both services can be accessed locally and each person will be able to get individualized services. These services include job placement assistance, career counseling and training opportunities within a career pathway.

**Safeguarding Information**

**Take such reasonable steps as the State deems necessary to restrict the use and disclosure of information about individuals and families receiving assistance under the program attributable to funds provided by the Federal Government. (Section 407(e)(2), (Section 402(a)(1)(A)(iv) of the Social Security Act)**

Pennsylvania continues to operate its welfare program in a manner that safeguards information about individuals and families receiving assistance. Pennsylvania adheres to the provisions on the safeguarding of information in effect prior to implementation of TANF, with the addition of the following provisions:

- The Department of Human Services (DHS) receives information from the Pennsylvania State Police, the Pennsylvania Board of Probation and Parole, the State Department of Corrections, county law enforcement and corrections agencies, and local law enforcement and corrections agencies. This information is used for eligibility purposes, i.e., to identify individuals who have been sentenced for a felony or misdemeanor and have not satisfied the penalty imposed by law, fugitive felons and individuals convicted of drug-related felonies. Information received on individuals who have a drug-related felony conviction is important only insofar as DHS may need to refer the individual to assessment/treatment.
- DHS will furnish the current address of a recipient to a Federal, State or local law enforcement officer who certifies that the location or the apprehension of the recipient is within his official capacity.

- However, DHS will furnish the address only on the request of the officer and only if the officer furnishes the Department with the name of the recipient and states that the recipient is fleeing to avoid prosecution, or custody, or confinement after conviction for a felony or high misdemeanor under State law, or the recipient has information that is necessary for the officer to conduct his official duties.
- DHS will disclose information to entities outside the Department when necessary for restitution or collection of assistance benefits; to cooperate with IV-D agencies to enforce child support orders and paternity determination; or to protect the welfare of children and adults who are unable to manage their own affairs or otherwise protect their rights when the information is needed to get services the client has requested and the services will advance the client's welfare and the client has authorized the Department to release specific information to the agency.
- DHS will protect the rights of individuals to reasonably ensure that the information will be used for purposes associated with assistance, that the receiving agency will use the information only for the purpose for which it was made available, and the standards of confidentiality are at least equal to that of the Department.

### **Reductions of Out-of-Wedlock Births**

**Establish goals and take action to prevent and reduce the incidence of out-of-wedlock pregnancies, with special emphasis on teenage pregnancies, and establish numerical goals for reducing the illegitimacy ratio of the State (as defined in Section 403(a)(2)(C)(iii)).**

Pennsylvania has several initiatives in place aimed at preventing teen pregnancy (reducing out of wedlock births).

- Through a grant agreement with AccessMatters reproductive health services are provided to high school students in Philadelphia and Delaware counties through the Health Resource Center (HRC) Program. Services include: counseling and education about abstinence, health, and sexuality, information about making responsible choices about reproductive health and relationships, sexually transmitted disease (STD) screening and pregnancy testing, and referrals to school, community based resources, and the family planning network for free or low-cost reproductive health services. Currently AccessMatters is issuing a Request for Proposals (RFP) to expand the HRC model from the Philadelphia region to nine additional counties with high rates of teen pregnancy, sexually transmitted infections (STI) and school dropouts. The nine additional counties are: Allegheny, Beaver, Berks, Dauphin, Fayette, Lackawanna, Lehigh, Lycoming, and Venango. HRC sites will screen all adolescents utilizing HRC services for adolescent relationship abuse and will enter into an agreement with the Department's STD program for STD testing.
- In September 2010, the Department was awarded funding from the Administration for Children and Families for the Personal Responsibility Education Program (PREP). PREP is a statewide project that serves adolescents in licensed residential programs serving delinquent children, youth development centers, youth forestry camps, licensed residential drug and alcohol treatment facilities, psychiatric residential treatment facilities, licensed partial hospitalization or outpatient drug and alcohol facilities, and licensed partial hospitalization or outpatient mental health facilities (implementation sites). The goal of the PREP is to empower adolescents to change their behavior in ways that will reduce their risk of becoming infected with HIV, other STDs, and their risk for pregnancy. Implementation sites are providing education on abstinence,

contraception, and at least three adulthood preparation subjects: healthy relationships, adolescent development, and healthy life skills by implementing one of two curricula: Rikers Health Advocacy Program or Street Smart. Training is provided to staff at implementation sites on lesbian, gay, bisexual, transgender, and questioning (LGBTQ) cultural competency. The Department recently selected new PREP implementation sites through a Request for Applications (RFA) to begin services October 1, 2015. The first RFA was completed in early summer 2015, and twelve sites were selected. The Department added five additional sites through a second RFA. Schools, not-for-profit 501 (c) (3) organizations, city or county health departments, and community-based health or human services agencies serving at-risk youth have been added to the list of eligible PREP implementation sites, and sites may choose from a list of twenty approved evidence-based curricula.

- Through Grant Agreements with Pennsylvania's four regional family planning councils, comprehensive reproductive health services are provided to sexually active adolescents 17 years of age and younger. These services include routine gynecological care, pregnancy testing, contraceptives, cervical cancer exams, screening and treatment for sexually transmitted infections, and education/counseling. These services are provided in every county in the Commonwealth through a network of family planning provider sites.

The Department is using an approach that utilizes evidence-based or evidenced-informed programming that combines mentoring, adult-supervised activities, adult-led group discussions, and parenting education to increase the protective factors of youth ages 9-14. By utilizing the Search Institute's 40 Developmental Assets framework, youth will be provided with building blocks for healthy development to help them grow into healthy, caring and responsible young adults. The Search Institute's developmental assets framework includes 20 external assets organized under the following four categories: support, empowerment, boundaries and expectations, and constructive use of time; and 20 internal assets organized under these four categories: commitment to learning, positive values, social competencies, and positive identity. The developmental assets serve as protective factors to help youth avoid negative risky behaviors. The positive effects of these protective factors increase as the number of assets a youth has increases. Enhancing the developmental assets of youth provides an opportunity for them to transition into sexually healthy adolescents able to realize their individual potential around critical developmental tasks related to sexuality.

Services are focused on adolescents and provided by current contractors. These initiatives are funded with 100 percent federal funds.

In 2013, there were 139,606 births in Pennsylvania, of which 58,129 or 41.6 percent were out-of-wedlock. Of the out-of-wedlock births, 8,180 or 14 percent were to women 19 years of age or younger. As outlined in the table below, even as the total number of out-of-wedlock births increased or decreased, the number of out-of-wedlock births to women 19 years of age or younger decreased gradually, but consistently, from 2002 to 2013. This is the most recent data as of November 2015.

Year	Births	Out-of-Wedlock	Out-of-Wedlock 19 years of age or younger
2013	139,606	58,129 (41.6%)	8,180 (14%)
2012	140,146	58,744 (41.9%)	9,514 (16.1%)
2011	141,300	58,879 (41.7%)	10,292 (17.5%)
2010	141,681	58,727 (41.5%)	11,355 (19.3%)

2009	144,627	59,194 (40.9%)	12,037 (20.3%)
2008	148,166	60,269 (40.7%)	12,905 (21.4%)
2007	149,717	59,466 (39.7%)	12,966 (21.8%)
2006	148,706	56,749 (38.3%)	12,683 (22.4%)
2005	145,033	52,849 (36.5%)	12,036 (22.8%)
2004	144,494	50,487 (35.2%)	11,772 (23.4%)
2003	145,485	48,985 (33.9%)	11,833 (24.2%)
2002	142,380	47,519 (33.5%)	11,879 (25%)

### **Education and Training to Reduce Statutory Rape**

**Conduct a program, designed to reach State and local law enforcement officials, the education system, and relevant counseling services, that provides education and training on the problem of statutory rape so that teenage pregnancy prevention programs may be expanded in scope to include men. (Section 402(a)(1)(A)(vi) of the Social Security Act)**

Prevention Education/Public Awareness Activities:

The Pennsylvania Coalition Against Rape's (PCAR) effort to reduce sexual violence has shifted to changing societal norms that reinforce gender-based violence and promoting healthy relationships. Research provided by the Centers for Disease Control and Prevention consistently shows that while programming to teens is important, it is only one component of prevention. Perhaps the most important component is involving the community in prevention by teaching about how stereotypes reinforce gender-based violence (community could be defined as a city, town, college campus, high school, or peer group). Resources and training provided by PCAR assist rape crisis centers in developing comprehensive prevention plans that include parents, teachers, staff, students, and bystanders. PCAR is requiring multiple sessions with groups, rather than the single, risk reduction programming typically provided to schools. These prevention activities are funded by Title XX and Rape Prevention and Education.

PCAR's 50 sub-grantees continue to use PCAR's initiatives and materials to enhance their own prevention education programming within their respective communities. The following initiatives continue to be used throughout Pennsylvania. Please note that none of these initiatives are funded with TANF funds.

Continuing initiatives include:

#### **1. Use of Multi-media including Websites, Facebook, and Twitter**

PCAR continues its use of several websites. These websites provide information about healthy relationships and sexual violence awareness and prevention, in addition to providing resources for teens to seek help. During FY 2014-2015, the PCAR website ([www.pcar.org](http://www.pcar.org)) had 255,940 page views. The content for the Teen PCAR website was relocated on the [www.pcar.org](http://www.pcar.org) site; this is to keep the content more current and active. The TeenPCAR site had become static and the number of visits were dropping. PCAR has also developed a Facebook page which it updates daily and has begun using Twitter (@PCARORG) to communicate upcoming events. As of June 30, 2015, the Facebook page has 2,081 likes and 405 new followers. PCAR has 4,023 followers on Twitter and had 9,849 tweets, 1,593 known retweets and 467 mentions during FY 2014-2015. Social media activity continues to be a growth area.

#### **2. Sexual Assault Awareness Month**



The 2015 theme for Sexual Assault Awareness Month was *Safer Campuses, Brighter Futures*. PCAR's Communications team distributed promotional items to rape crisis centers throughout Pennsylvania for Sexual Assault Awareness Month. This year's items included stress balls, teal ribbon pins, and lip balm. Although the campaign focused on campus sexual assault materials could be modified for use in middle and high schools. The communications team also developed several blogs and social media efforts to engage the community regarding the topic of sexual violence.

### 3. **Engaging Men**

The Engaging Men initiative continues through the PCAR website to include tips on engaging men. In addition, training about providing counseling to male survivors, engaging men and boys in the prevention of sexual violence, and other similar topics is available to local rape crisis centers.

### 4. **Development and Distribution of Print Materials**

During FY 2014-2015, the Communications Department and the Training and Technical Assistance Team worked to update and/or develop the following resource materials. Among the materials were the following:

- Public Awareness
- Bi-weekly mailings: 27 issues per year
- PCAR newsletter: The Horizon- July 2014, October 2014, April 2015
- PCAR Annual Report- January 2015
- Manuals
- Parents in the Know
  - Parents' Journal (English and Spanish)
  - Facilitator's Guide (English and Spanish)
- Primary Prevention and Evaluation Resource Kit
  - Volume 1: Choosing Prevention Strategies
  - Volume 2: Evaluating Prevention Strategies
  - Volume 3: Analyzing Evaluation Data
  - Volume 4: Analyzing Qualitative Data
- Prison Rape Elimination Act (PREA) - updated October 2014
  - Facts about Sexual Assault in Prison
  - Prison Rape Elimination Act (PREA): A Pennsylvania Perspective
  - Commonly Used Terms in Prisons
  - How to Implement an Institution-Based Sexual Assault Response Team
  - What Happens When a Person is Incarcerated
  - Working with Victims who are Inmates
  - Understanding Rape in Prison
- Factsheets
  - Budget Advocacy - May 2015
  - Legislative Priorities - April 2015
  - Policy Brief: Termination of Parental Rights of Convicted Rapists - March 2015
  - Gov. Wolf Transition Agenda - December 2014
  - Act 31/HB 431: Education and Training - March 2015
  - Act 32/HB 436: Privileged Communications and Penalties for Failure to Report or to Refer
  - Act 33/SB 21: Omnibus Amendments (Reporting Child Abuse)

- Act 105: Trafficking of Individuals - April 2015
- Act 107: Custody and Child Welfare Involvement - March 2015
- Act 108: Amendment to Child Abuse Definition - March 2015
- Act 117/SB 23: Expunction of Child Abuse Perpetrators Under 18 When Offense Was Committed - March 2015
- Act 118: Increased Penalties for Simple Assault, Aggravated Assault and False Reporting of Child Abuse
- Brochures
  - What is Sexual Violence (English and Spanish)
  - Mandated Reporting
  - Sexual Violence: Men & Boys (December 2014) (English and Spanish)
- Guides
  - Police Response to Sexual Assault - November 2014
  - A Guide for Friends and Family of Sexual Violence Survivors - updated April 2015
- Infographics
  - Funding Pennsylvania's Rape Crisis Centers - July 2014
  - Understanding the Age of Consent in Pennsylvania - May 2015
  - Pennsylvania Sex Offense Statute of Limitations: Criminal Charges - October 2014
- Technical Assistance Bulletins
  - Survivors in Crisis: Suicide Prevention and Intervention technique for sexual assault - October 2014
  - How Community Partners Can Work with Students on Title IX - February 2015
- Talking points
  - NFL Ray Rice Incident- September 2014

##### **5. Training/Resources to Increase the Capacity of Rape Crisis Center's to Outreach in Their Communities**

This initiative includes PCAR's provision of training at the Annual Statewide Sexual Assault Conference. The following workshops in the prevention track were offered at the conference:

- Using Intersectionality to Engage Men in Primary Prevention Efforts
- Primary Prevention: Using a Social Norms Approach with Middle School & High School Students
- Engaging Student Athletes in Prevention
- Linking the Roads: Working with Youth Who Experience Homelessness & Sexual Violence
- Primary Prevention Resource Took Kit
- Activating the Community to Engage in Prevention
- Human Trafficking of Children

In addition to the Annual Statewide Sexual Assault Conference, PCAR provided trainings to local rape crisis centers during FY 2014-2015. Some of the topics included:

- Victims' Response to Sexual Assault
- Trauma Informed Response to Sexual Violence
- Trauma Informed Investigation of Sexual Assault

- New PA Child Protection Legislation
- Parents in The Know (Curriculum developed by PCAR)
- Preventing Child Sexual Abuse
- Working with the Media
- Self-injury
- Mandated Reporting
- Mandatory Reporting: Maintaining Relationships with the Youth We Serve
- Human Trafficking
- Human Trafficking in Pennsylvania
- Campus Sexual Violence
- Play Therapy for Traumatized Children
- Sexual Abuse of Children in Farmworker and Immigrant Communities
- Technology and Human Trafficking
- LGBTQ Inclusivity
- Prison Rape Elimination Act (PREA)

### **Delivery of Benefits**

**Implement policies and procedures as necessary to prevent access to assistance provided under the State program funded under this part through any electronic fund transaction in an automated teller machine or point-of-sale device located in a place described in Section 408(a)(12), including a plan to ensure that recipients of the assistance have adequate access to their cash assistance. (Section 402(a)(1)(A)(vii) of the Social Security Act)**

Pennsylvania continues to operate the TANF Program based on the rules and regulations for delivery of benefits in effect prior to implementation. Eligible recipients receive continuing benefits in two semi-monthly cash payments delivered primarily through the Electronic Benefits Transfer (EBT) System.

Pennsylvania prevents assistance provided under the TANF program from being used in EBT transactions in liquor stores, casinos, and adult-oriented entertainment establishments, in which performers disrobe or perform in an unclothed state for entertainment, in the following manner:

- Pennsylvania statute 62 P.S. § 484, enacted December 2009, prohibits the purchase of liquor or alcohol with an EBT card. 62 P.S. § 483 violators will be guilty of a misdemeanor and sentenced to pay a \$100 fine and/or six months in jail. The Pennsylvania Liquor Control Board (PLCB) is responsible for enforcing this at PLCB Wine and Spirits shops. PLCB does not subscribe to Quest point-of-sale devices and cannot accept EBT cash benefits. In addition, there are no ATMs located in PLCB Wine and Spirit Stores.
- In 2010, Pennsylvania's Gaming Commission asked third party processors to block, voluntarily, the use of the EBT card BIN (Bank Identification Number) at their ATMs in all twelve of Pennsylvania's casinos. DHS completed initial testing of the voluntary agreement at four new Pennsylvania casinos in April 2011. The EBT Risk Management Unit (EBT RMU) performs ongoing testing by screening all EBT transactions to identify any conducted at the twelve casino addresses. To date, the EBT RMU found only four transactions at a casino address, and, upon further analysis, learned that the withdrawal was at an ATM at that address but not affiliated with the casino.

- In December 2013, Pennsylvania added language to the signed affidavit page of Pennsylvania's Common Application and Benefits Review forms and on the Agreement of Mutual Responsibility, which prohibits the use of TANF funds through EBT transactions in liquor stores, casinos and places for adult entertainment. Pennsylvania also expresses this language on the electronic COMPASS application process. The Prohibitions and Penalties page of Pennsylvania's Common Application and Benefits Review forms also warns that misuse of the EBT card or PA Access Card, without good cause, may result in a fine, prison or both. DHS has not promulgated regulation to impose a penalty for using TANF assistance via EBT transaction in liquor stores, casinos or adult entertainment establishments; however, DHS is working with Pennsylvania's General Assembly to draft public law-making misuse punishable.
- To monitor the misuse of TANF assistance through EBT transactions, the EBT RMU developed a naming convention and address recognition protocol that identifies liquor stores, casinos and adult entertainment establishments. The EBT RMU screens all cash transactions on three randomly selected dates per month using the pre-determined key words. The EBT RMU also screens all cash transactions using the keyword "liquor" for the entire month. Staff reviews each questionable transaction to determine if the recipient used TANF assistance through EBT transaction in a liquor store, casino, or adult entertainment establishment. Staff reviews each location to see whether it meets the criteria for a retail establishment that provides adult-oriented entertainment in which performers disrobe or perform in an unclothed state for entertainment.
- DHS has elected to send letters to individuals with prohibited transactions reminding them not to use their TANF assistance at one of the prohibited locations. Since 2014, DHS has sent 39 letters to individuals found to have used their EBT card at out-of-state liquor stores and one who had used their EBT card at an establishment that provides adult-oriented entertainment in which performers disrobe.

### **Access to Benefits**

Pennsylvania continues to provide access to TANF benefits and related services in each of the commonwealth's 67 counties based on the policies and procedures in effect.

During Fiscal Year 1997-98, Pennsylvania implemented a Statewide Electronic Benefits Transfer (EBT) system to replace the paper-based welfare benefits issuance system. EBT is a state-of-the-art means for electronically issuing welfare recipient benefits through a statewide network of automatic teller machines (ATM) and point-of-sale devices to electronically deliver cash assistance and SNAP benefits throughout the commonwealth.

Pennsylvania TANF recipients may access their benefits at ATMs located throughout all 67 counties in banks, grocery stores, shopping centers, and gas stations. The ATM tells the user that a surcharge will be charged and, if he or she does not want to pay it, the ATM provides the option to cancel the transaction. Many ATMs do not charge any transaction fee for use.

Pennsylvania's TANF recipients may use their EBT cards at any store that accepts the Pennsylvania EBT card. Use of the EBT card in stores is a cost-free transaction for the recipient. Recipients may access their benefits at no cost by requesting cash after a POS purchase and access their benefits without penalty.

Pennsylvania provides recipients information about using EBT to access benefits and potential fees:

- Online at the Pennsylvania EBT Website (<https://www.ebt.acs-inc.com/paebtclient/index.jsp>)

- When the recipient creates his or her unique EBT PIN at the County Assistance Office.
- On the Pennsylvania Department of Human Service website.  
([http://www.dhs.state.pa.us/foradults/supplementalnutritionassistanceprogram/electronicbenefitstransferebt/S\\_001060](http://www.dhs.state.pa.us/foradults/supplementalnutritionassistanceprogram/electronicbenefitstransferebt/S_001060))
- In the Pennsylvania Cash Assistance Handbook, available to the public online.
- When making an ATM transaction, the ATM displays transactions fees. The transaction will not continue if the user declines the fees.

Pennsylvania provides a 24/7 toll-free EBT hotline (1-888-EBT-PENN or 1-888-328-7366) that recipients can call to:

- Find out where the EBT card can be used.
- Check SNAP and cash assistance account balances.
- Report that an EBT card has been lost or stolen.
- Report that the EBT card does not work.
- Ask question about using the EBT card.

### **Families Entering Pennsylvania from Another State**

**Indicate whether the State intends to treat families moving into the State from another State differently than other families under the program, and if so, how. (Section 402(a)(1)(B)(i) of the Social Security Act)**

Pennsylvania does not treat families moving into the state differently than current residents.

### **Treatment of Noncitizens**

**Indicate whether the State intends to provide assistance to non- citizens of the United States, and if so, shall include an overview of such assistance. (Section 402(a)(1)(B)(ii) of the Social Security Act)**

Pennsylvania is exercising the options available in Title IV of PRWORA to continue or to authorize TANF benefits for non-citizens who are "qualified aliens," as defined by PRWORA, and who meet all other eligibility requirements.

### **Determining Eligibility**

**The document shall set forth objective criteria for the delivery of benefits and the determination of eligibility and for fair and equitable treatment, including an explanation of how the State will provide opportunities for recipients who have been adversely affected to be heard in a State administrative or appeal process. (Section 402(a)(1)(B)(iii) of the Social Security Act]**

Earned Income: Sanctioned, Disqualified, or Otherwise Ineligible Individuals

The earned income of sanctioned, disqualified, or otherwise ineligible budget group members is now treated uniformly by computing their income as if these individuals were included in the budget group. This proposal ensures equitable treatment of all TANF households. This policy became effective August 2005. Individuals who have been sanctioned can get an appointment to discuss how to remedy the situation. It is the intention of the County Assistance Office to treat all persons fairly and help them to connect to services that leads to employment and hopefully self-sufficiency.

Right to Appeal: Pennsylvania will continue to follow the appeal and fair hearing regulations and procedures consistent with 55 Pa. Code Chapter 275.

### **Work and Self-Sufficiency**

The document shall indicate whether the State intends to assist individuals to train for, seek, and maintain employment (Section 402(a)(1)(B)(v) of the Social Security Act) (1) providing direct care in a long-term care facility (as such terms are defined under Section 2011); or (2) in other occupations related to elder care determined appropriate by the State for which the State identifies an unmet need for service personnel, and, if so, shall include an overview of such assistance.

As established by Act 35, recipients of TANF in Pennsylvania are enrolled in an employment and training program known as the Road to Economic Self-sufficiency through Employment and Training (RESET) to enable them to obtain employment and become self-sufficient. The primary means to achieve self-sufficiency is through work. The program emphasizes a work-first approach as part of a continuum of services which can establish a work history, with increasing wages and benefits that lead, over time, to economic independence and self-sufficiency.

A review of demographic data shows that the number of Pennsylvanians age 65 and older is rapidly increasing. This trend is projected to continue through the year 2020. In response to the anticipated need for trained workers to assist in providing quality service to this population, Pennsylvania is considering plans to best determine how to assist individuals to train for, seek, and maintain employment providing direct care in a long-term care facility or in other occupations related to elder care.

### **TANF State Plan**

#### **TANF Purpose**

This document outlines and updates the Commonwealth of Pennsylvania's plan for providing assistance to families with children from funds provided under Title I of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (P.L. 104-193), and the TANF Final Rules published in the Federal Register on April 12, 1999. The Act amends Section 402 of the Social Security Act to require that states submit a plan to the U.S. Department of Health and Human Services (DHHS) that outlines how the state will provide Temporary Assistance for Needy Families (TANF) benefits. Implementation was effective March 3, 1997. Submission of this State Plan renewal commits Pennsylvania to continue operation of its TANF State Program. Implementation was effective October 1, 2014.

On May 6, 1996, Act 1996-35 (Act 35) was signed into law. It was a dramatic welfare reform plan designed to move families off the welfare rolls and into the work force. The provisions of the Act encourage personal and parental responsibility, emphasize self-sufficiency through employment, strengthen child support requirements, and increase penalties for welfare fraud. It is a common-sense approach that provides Pennsylvania with the core components for reform of our welfare system. The TANF provisions of PRWORA provided the opportunity to make that reform a reality.

When signed into law on August 22, 1996, PWRORA ended the 60-year federal welfare entitlement program known as Aid to Families with Dependent Children (AFDC) and the Federal JOBS employment and training program. In its place, each state was provided with a block grant for designing and operating its own welfare program within broad TANF requirements. These requirements include stringent work activity participation rates and a lifetime limit of five years for the receipt of benefits. The TANF Block Grant and the Final Rules

published in the Federal Register on April 12, 1999, provided Pennsylvania with the opportunity to build on the core components of Act 35 to create a welfare system that makes the best use of welfare dollars. The Final Rule for reauthorization of TANF, published in the Federal Register on February 5, 2008, added definitions for each accountable core activity, defined a work-eligible individual, changed the base year for determining caseload reduction from FY 1995 to FY 2005 and required states to submit a Work Verification Plan explaining how hours of participation are documented for each core activity. Pennsylvania's changes to the work requirements in this State Plan were necessitated by the Final Rule. The TANF Program in Pennsylvania is designed to provide short-term assistance to families when the support of one or both parents is interrupted. It also provides supplemental support when family income from employment and other sources is not sufficient to meet basic needs. It is not intended to provide long-term support or become a way of life. Families undergo assessments of skills, employability and are required to engage in activities that enhance self-sufficiency and ensure the well-being of their children. The provisions of the TANF Program are intended to meet one or more of the following basic purposes of this program as articulated in the federal statute and regulation:

- Provide assistance to needy families so that children may be cared for in their own homes or in the homes of relatives;
- End the dependence of needy parents on government benefits by promoting job preparation, work, and marriage;
- Prevent and reduce the incidence of out-of-wedlock pregnancies and establish annual numerical goals for preventing and reducing the incidence of these pregnancies; and
- Encourage the formation and maintenance of two-parent families.

Pennsylvania's TANF Program does not discriminate on basis of race, color, national origin, disability, age, or sex. Additionally, no person will be denied TANF services and benefits as the result of the inability or limited ability to communicate in the English language.

### **Program Goals**

The primary goal of Pennsylvania's TANF Program is to provide support to families as they make the transition from dependence on welfare to self-sufficiency and, finally, to long-term self-support. The following goals provide the framework on which the Program is designed:

1. **Promote Personal Responsibility:** Pennsylvania's TANF Program is based on the principle that welfare should provide temporary cash assistance to families and individuals, providing basic support that enables them to move to self-sufficiency. Inherent in this belief is the notion that the welfare recipient must be personally responsible for taking the necessary steps to end his or her dependence on a welfare "check."
2. **Ensure Participation in Work or Work-related Activities:** Resulting from the federal TANF reauthorization legislation and to improve work participation rates, Pennsylvania requires every adult, minor head of household and minor child age 16 or 17 who is not in school participate in approved activities as part of their plan for self-sufficiency. Refer to Section VI. A. General Provisions, 9. Work and Self-sufficiency, for further discussion.
3. **Move Recipients into Jobs:** For most recipients, the way to self-sufficiency and economic independence is through a job. Pennsylvania's approach provides the client with the opportunity to build work skills and a work history. Pennsylvania is adopting a "Work First" approach to help TANF recipients move from welfare to work.

4. **Provide Work Incentives and Supports:** If we are to encourage employment, families must be better off if they work than if they depend on welfare. By allowing eligible families to receive supplemental cash assistance in addition to a paycheck, Pennsylvania's TANF Program rewards work and helps families establish a financial base that will support self-sufficiency. The TANF Program also supports family efforts to work by providing allowances for work expenses, such as child care and transportation.
5. **Break the Cycle of Dependence Through Education:** A high school diploma is critical to both short-term and long-term prospects for independence through employment. It opens the door to meaningful, productive employment. Training in a skill or trade, on-the-job training, job-search and job-readiness preparation classes and workshops, among other activities, provide a menu of opportunity for long-term self-sufficiency. Pennsylvania's Road to Economic Self-sufficiency through Employment and Training (RESET) Program encourages people to pursue education and training that is career specific as a means of, and in addition to, meeting work requirements. Additionally, it is important to educate parents regarding the role of high quality early learning experiences to help break the intergenerational cycle of poverty. Parents who work need to understand that the choices they make when placing their children in early care and education programs can influence their child's readiness for school and later success in life.
6. **Strengthen Families and Support Children:** Both parents play a role in achieving self-sufficiency. The TANF Program requires parents to fulfill their fundamental responsibilities to their children through a strengthened child support enforcement system.
7. **Simplify Program Administration:** Pennsylvania must be able to use resources productively -- to assist the client in developing and successfully implementing his or her own plan for self-sufficiency. As administrators of the public welfare system, we must have two goals: to help recipients of public assistance become self-sufficient and to ensure that tax dollars funding welfare programs are used wisely.

### Measuring Results

Monitoring implementation progress and evaluating attainment of program goals is an integral component of Pennsylvania's TANF Program. The primary focus of monitoring and evaluating activities is to gather data about a comprehensive set of performance indicators and performance measures which are used to document clear, specific program outcomes.

The detailing of a definitive set of performance indicators and measures continues to evolve as program planning decisions are made and modified. The ongoing program evaluation information system includes such performance indicators and measures as the following:

- Number and percentage of active adult TANF individuals who are employed.
- Number and percentage of mandatory TANF adults participating in an employment/training/education activity.
- Number and percentage of mandatory TANF adults not participating in an employment/training/education activity.
- Number of TANF adults with a sanction, exemption or good cause.
- Number of TANF adults in educational activities.
- Number of TANF adults in independent or contracted training activities.
- Number of TANF adults in job search activities.



- Number of TANF adults in transition to a work activity scheduled, but not yet started.
- Number of TANF adults who are self-employed.
- Number and percentage of job placements.
- Job placement rate.
- Cost per enrollment.
- Cost per outcome.
- Number and percentage meeting job retention.
- Number of individuals obtaining jobs with benefits.
- Number and percentage of TANF adults increasing hours of employment.
- Number of TANF adults graduating from community college.
- Number of TANF adults who receive child care subsidies that select high quality early care and education programs.

A primary focus of program evaluation efforts associated with implementation of the TANF Program is to develop, maintain and refine, where necessary, an integrated and comprehensive evaluation information system which provides data and information on outcome and performance measures related to key components of TANF. This data is then used to provide ongoing monitoring assessments of program implementation and to provide summary statements describing attainment of program goals. To ensure the appropriate degree of program accountability, the measures used are defined by data and information which are timely, accurate, valid, reliable, credible and easily communicated.

This information system is composed of TANF data and available data for pre-TANF assistance programs. The information system is supplemented by those data and information necessary to track the provisions of the TANF legislation and satisfy mandated reporting requirements. This collection of data about TANF participants, combined with data detailing critical program components, provides documentation of specific outcome and performance measures established for the TANF goals. Additionally, analyses of the data available in this information system combined with data from other sources provide important information about the configurations of participant and program characteristics which produce the most favorable long-term outcomes. Comparisons of these relationships between participant and program characteristics and their effects on outcome measures across time permit systematic, evolutionary, incremental shaping of TANF programs to effectively meet the TANF goals.

With an increased awareness of the need to strengthen accountability, promote program integrity, and limit fraud, monitoring of Special Allowances (SPALs) issued to recipients in support of their RESET participation is necessary to assure that expenditures are verified, required, and used for their intended purpose.

## **Public Involvement**

### **A. Public Availability and Review**

A Notice of Availability of the Commonwealth of Pennsylvania's TANF State Plan was published in the *Pennsylvania Bulletin* on August 16, 2014, to allow for the public comment period. Copies of the TANF State Plan are available at the 67 County Assistance Offices (CAOs) around the state and on the Department of Human Services' (the Department) website (<http://www.dhs.state.pa.us>). The *Pennsylvania Bulletin* is the official gazette of the Commonwealth of Pennsylvania and is the temporary supplement to the Pennsylvania Code, the official codification of agency rules and regulations. The Notice published in the *Bulletin* indicated that comments on the TANF State Plan are to be directed to the Department of Human Services, Office of Income Maintenance.

The State Plan was also submitted to key stakeholder groups for review and comment. These groups include members of the legislature and the Governor's Cabinet, as well as associations representing county government, client advocacy groups, business interests, and community agencies providing a variety of services to recipients of public assistance.

## **B. Additional Outreach**

The Income Maintenance Advisory Committee (IMAC) received a briefing on the TANF State Plan. IMAC is composed of current and former welfare recipients, representatives of welfare rights organizations, employment and training specialists, and other client advocates. IMAC advises the Department on policies, procedures and other activities related to the programs administered by the Office of Income Maintenance.

## **C. Public Comments**

The Department of Human Services accepted comments on the TANF State Plan from the general public. The Department's ongoing review of the TANF Program includes review and consideration of public comments along with the tracking of outcomes of the TANF Program. The Department will submit amendments to the State Plan on an as-needed basis.

### **Outline of Pennsylvania's Temporary Assistance for Needy Families (TANF) Program**

Although the TANF Program replaced the former federal cash benefits program known as Aid to Families with Dependent Children (AFDC), many of the rules and procedures under which the Department administered AFDC remain in effect as part of the TANF Program. These rules and procedures are contained in Chapter 55 of the Pennsylvania Code of regulations (55 Pa. Code), Notices of Rule Change to the Code published in the *Pennsylvania Bulletin*, Office of Income Maintenance (OIM) Bulletins, OIM Operations Memoranda and Departmental Handbooks.

Financial eligibility criteria for Maintenance of Effort (MOE) -funded assistance and services are the same as for other TANF assistance and services, except MOE claimed for child care under the provisions of 45 CFR §263.3 follows the financial eligibility criteria established under the Child Care and Development Fund State Plan and associated state regulations.

New or revised rules and procedures are set forth in the State Plan. These changes and revisions are adopted pursuant to the authority of PRWORA, Pennsylvania's Act 35, §§201(2) and 403(b) of the Public Welfare Code (62 P.S. §§201(2) and 403(b)) and Act 1997-58 (P.L. 549, No. 58).

Federal statute at 42 U.S.C.A. §609(a)(7)(B)(i) provides for the possibility of separate and segregated state programs. Stakeholders were consulted and input was solicited. The decision to create a segregated state program is incorporated and included in this State Plan.

## **General Provisions**

### **1. Program Administration**

The Department is responsible for administering the TANF Program in 67 CAOs. While program requirements are applied consistently statewide, the maximum TANF benefit varies from county to county based on the four benefit schedules currently in effect.

The Department continues to use private contractors to supplement the work of the CAOs to provide services, such as job search, job-readiness preparation, education and training services, and to assist clients to enter the work force, retain jobs and advance in employment.

Individuals who receive TANF benefits on or after March 3, 1997, are subject to all requirements of the TANF Program. Recipients are subject to the 60-month lifetime limit and the work requirements of Act 35 beginning March 3, 1997.

## **2. Defining Assistance/Non-Assistance Benefits**

### **Assistance**

For purposes of applying TANF time limits, work and work activity requirements and child support cooperation (including assignment) requirements, the term “assistance” is defined as cash payments, vouchers and other forms of benefits designed to meet a family’s ongoing basic needs (i.e., for food, clothing, shelter, utilities, household goods, personal care items and general incidental expenses). “Assistance” also includes:

- Supportive services such as transportation and TANF-funded child care provided to non-employed cash assistance recipients.

If a benefit falls within the definition of assistance, the benefit counts as assistance even when receipt of the benefit is conditioned on participation in work, community service or other work-related activities.

Financial eligibility for TANF, Time-Out and Extended TANF cash assistance is determined by comparing the net countable income for a calendar month to the budget group’s Family Size Allowance (FSA), plus any special need allowances. If the income equals or exceeds the FSA plus any special needs allowances, the budget group is ineligible for cash assistance. Current FSA levels are found in 55 Pa. Code, Chapter 183, Income, Appendix B. Table 3. The resource limit is \$1,000.

Funding for TANF is commingled state and federal funds. Funding for Time-Out is segregated state funds and funding for Extended TANF is all federal monies.

### **Non-Assistance**

The final Federal TANF Rules of April 12, 1999, include the potential for funding a class of benefits known as “non-assistance.” Pennsylvania excludes from the definition of “assistance” activities that support employed former TANF recipients or those who are eligible for, but not receiving “assistance.” For example, case management services, job retention programs, child care and wage subsidies may be provided as on-going supports to employed former cash assistance recipients. These services and benefits received by the family are considered “non-assistance,” and the family receiving them after leaving cash assistance is no longer using months of time-limited TANF assistance.

In Pennsylvania, the Department constantly reviews its programs to ensure they meet the needs of low-income families as envisioned in the four TANF purposes. The Department incorporated a total of seven non-assistance initiatives included in the FY 2014-2015 budget that provide short-term benefits, work supports and other services to eligible low-income families and non-custodial parents. Although these short-term benefits, work supports and services are funded with TANF funds, they are excluded from the definition of “assistance” in 45 CFR §260.31 and do not count towards the 60-month TANF time limit.

In addition, individuals receiving these benefits are not subject to the TANF work requirement or to a federally-imposed child support requirement. Pursuant to 45 CFR §260.31, the term “non-assistance” is defined below:

☐ **Non-Assistance** includes:

- Non-recurrent, short-term benefits that:
  - Are designed to deal with a specific crisis situation or episode of need;
  - Are not intended to meet recurrent or ongoing needs; and
  - Will not extend beyond four months.

OR
- Work subsidies (i.e., payments to employers or third parties to help cover the costs of employee wages, benefits, supervision and training);

OR
- Supportive services such as child care and transportation provided to families who are employed;

OR
- Services such as counseling, case management, peer support, child care information and referral, transitional services, job retention, job advancement and other employment-related services that do not provide basic income support.

In accordance with 45 CFR §260.31 and subject to the availability of resources, the Department intends to provide funding included in the FY 2014-2015 budget for the following non-assistance initiatives to certain needy families, based on the program descriptions and eligibility set forth in each initiative appearing below:

☐ **Child Care Provided to Employed Families**

Effective July 2000, this initiative provides subsidized child care benefits to eligible employed families who need child care to participate in unsubsidized employment, subsidized employment and/or education/training activities.

Eligibility for this initiative is limited to needy families, as defined in this State Plan, who have a gross annual earned income that does not exceed 235 percent of the FPIGs. The parent or responsible adult must be a current or former TANF recipient and must be working a number of hours established by the Department as a means to support the parent’s transition to self-sufficiency.

This initiative meets TANF purpose number two – end dependence of needy parents on government benefits by promoting job preparation, work and marriage, and is funded with commingled funds.

☐ **The ELECT Initiative**

Effective July 1, 2012, the Education Leading to Employment and Career Training (ELECT) Initiative became a joint venture between the Pennsylvania Department of Education (PDE) and Office of Child Development (OCDEL). Effective July 1, 2015, the Office of Maintenance (OIM) acquired oversight of the ELECT program and will be working collaboratively with PDE to manage the program. Funds are now transferred from DHS to PDE through a Memorandum of Understanding for the administration of this program. The ELECT initiative is designed to assist parents of minor children, including expectant parents, to return to or remain in school, prevent repeat pregnancy, maintain attendance, obtain their high school diploma or GED, develop responsible parenting skills, and secure post-graduation employment, education, or training that will help them become successful parents and self-sufficient adults. Acknowledging paternity is not required to participate.

This initiative meets TANF purpose number two, which is to end the dependence of needy parents on government benefits by promoting job preparation, work and marriage; purpose number three, which is to prevent and reduce the incidence of out-of-wedlock pregnancies; and purpose number four, which is to encourage the formation and maintenance of two-parent families.

#### ☐ **Family Savings Account**

The Pennsylvania Family Savings Account (FSA) program became operational in 1998. This initiative is administered by the Department of Community and Economic Development (DCED). The FSA program first received Assets for Independence (AFI) support in FY 1999. Since that time, DCED has allocated over \$25 million in State and Federal funds to the program. Funding sources have included the AFI program (\$9,582,000); State appropriations (\$10,228,000); the Temporary Assistance for Needy Families (TANF) program (\$6,000,000); and the Community Services Block Grant (CSBG) program (\$46,000).

The FSA program allows participants to use FSA savings toward the following allowable assets: homeownership, postsecondary education or training, business capitalization, home repair, car purchase, computer purchase (if the car or computer is related to employment or education). Federal AFI funds are used to match savings for any of these asset purchases. Starting in 2000, participants have been allowed to put their FSA savings in a Section 529 college savings plan to save for future post-secondary education. FSA account holders do not have to be the beneficiary of the college savings plan. For example, a grandparent may save for a grandchild.

Participation in the FSA Program is limited to recipients of TANF and other eligible individuals and working families whose earned income at the time of enrollment is not more than 200 percent of the FPIGs.

This initiative meets TANF purpose number two which is to end dependence of needy parents on government benefits by promoting job preparation, work and marriage, and is operated under 42 U.S.C.A. § 404(a)(1). This initiative is funded with federal and state match funds.

This program has not been funded in the budget for the past eight (8) years.

#### ☐ **Nurse-Family Partnership**

Effective October 2001, this initiative provides home visitation management services to eligible low-income, first-time mothers only. The home visitors are nurses who follow guidelines that focus on the mother's personal health, quality of care provided to the child and the parent's own life-course development.

The purposes of this initiative are as follows:

- Improve pregnancy outcomes by helping women engage in good preventative health practices including obtaining thorough prenatal care from their health care providers, improving their diets and reducing use of cigarettes, alcohol and illegal substances.
- Improve child health and development by helping parents provide more responsible and competent care for their children.
- Improve families' economic self-sufficiency by helping parents develop a vision for their own future, plan future pregnancies, continue their education and find jobs.

Eligibility requirements for this initiative are as follows:

- Must be enrolled into the program by 28 weeks gestation; and pregnant with the first child, and
- Must have a gross annual earned income that does not exceed 235 percent of the FPIGs

This initiative meets TANF purpose number two, which is to end dependence of needy parents on government benefits by promoting job preparation, work and marriage and purpose number three, which is to prevent and reduce the incidence of out-of-wedlock pregnancies and establish annual numerical goals for preventing and reducing the incidence of these pregnancies. This initiative is funded with commingled funds.

#### ☐ **Head-Start Collaboration Project**

This initiative offers classroom services or home visits to children from age three to five and their families. There are 38 grantees and 5,567 children served. Programs can serve children either as new enrollments or extending the day for existing children. If programs choose the latter, they must add a full half day to make a full day.

As mandated by the Head Start performance standards, Head Start grantees provide an educational program and comprehensive family-oriented services including parent education, early education enrichment, health, nutrition, family goal setting, literacy and intervention programs that support the child success in school and the community and support the family's education and training enabling them to make educated decisions.

Eligibility requirements for the HSSAP year funding are as follows:

- Must be a Head Start/Early Head Start grantee or delegate agency, and
- Must use the grant to provide Head Start services to children from low-income families who meet the Head Start eligibility criteria (whose incomes do not exceed 130 percent of the FPIGs).

The Pennsylvania Pre-K Counts program provides high-quality early childhood education to Pennsylvania children in diverse settings, ranging from school-based programs, Keystone STARS 3 and 4 child care centers, private academic preschool and Head Start agencies.

This initiative meets TANF purpose number two, which is to end dependence of needy parents on government benefits by promoting job preparation, work and marriage and TANF purpose number three, which is to prevent and reduce the incidence of out-of-wedlock pregnancies and establish annual numerical goals for preventing and reducing the incidence of these pregnancies. This initiative is funded with TANF Maintenance of Effort (MOE) funds

☐ **Pennsylvania Pregnancy and Parenting Support Services Program**

Effective July 2002, this initiative provides pregnancy and parenting support to women in need. The program primarily provides information and counseling that promote childbirth instead of abortion and assists pregnant women in their decisions regarding adoption or parenting. Services are free to women participating in the program.

Eligibility requirements for this initiative are as follows:

- A woman must be pregnant, or suspect she is pregnant, or be the parent of an infant less than 12 months of age, and
- Have a gross annual earned income that does not exceed 185 percent of the FPIGs.

This initiative meets all four TANF purposes and is funded with 100 percent segregated Federal funds.

☐ **Diversion Component**

This initiative provides funds to certain eligible families to meet a specific crisis situation or episode of need that is intended to eliminate a family's need for ongoing cash assistance.

To be eligible for a diversion benefit the applicant family must meet income/resource requirements and definitive conditions (minor child, specified relative and deprivation) for receipt of TANF.

The Diversion component provides a one-time non-assistance payment to eligible families. The adult in the family must have an expectation of receiving income and must have a recent work history or job skills training. A recent work history is defined as having worked within the 90-day period immediately preceding the date of application, or within 180 days of the date of application in areas qualified as waived areas for time limited SNAP benefits. The Diversion payment is equal to the Family Size Allowance (FSA) for one, two or a maximum of three months, depending upon a family's need. A family will be eligible for only one payment in a 12-month period.

Examples of a crisis situation or episode of need are:

- The threat of homelessness, eviction, or utility shut off
- Employment, school, or training related needs
- Car repairs, inspections, payments, insurance premium payments and other transportation costs
- Costs to relocate to secure employment
- Child care costs

This initiative meets TANF purpose number one, which is to provide assistance to needy families so that children may be cared for in their own homes or in the homes of relatives and

number two, to end dependence of needy parents on government benefits by promoting job preparation, work, and marriage. This initiative is funded with 100 percent federal funds.

#### **4. Defining Needy Families**

A needy family is defined as a minor child and his parent(s) or other adult specified relative with whom he lives who meet the income and resource standards established under this TANF State Plan. Eligibility for TANF is also extended to pregnant women who have no other children living with them.

##### ☐ **Minor Child**

A minor child is under age 18 or is age 18 and a full-time student in a secondary school or in the equivalent level of vocational or technical training.

##### ☐ **Specified Relative**

A specified relative is defined as an adult who:

- Is exercising responsibility for the care and control of the child by making and carrying out plans for the support, education and maintenance of the child and applying for assistance on behalf of the child. The finding that a relative is exercising care and control of the child is made whether the relative is the parent or other relative of the child.
- Is maintaining a home in which the child lives with him or her, or is in the process of setting up a home where the child will go to live with him or her within 30 days after receiving the first TANF payment.
- Is related to the child as follows:
  - A blood relative who is within the fifth degree of kinship to the dependent child, including a first cousin once removed. Second cousins and more remote cousins are not within the fifth degree of kinship. A first cousin once removed is the child of one's first cousin or the first cousin of one's parent. The fifth degree of kinship includes great-great grandparents and great-great-great grandparents. The fifth degree of kinship also includes other relationships prefixed by great, great-great, grand or great-grand. Blood relatives include those of half-blood.
  - A parent by legal adoption and any of the adopting parent's blood or adoptive relatives as described above.
  - Stepfather, stepmother, stepbrother, and stepsister.
  - A spouse of any of the relatives described above even though the marriage is terminated by death, separation or divorce.

##### ☐ **Minor Parent**

A minor parent is defined as:

A TANF eligible individual under 18 years of age who has never been married and is the natural parent of a dependent child living with the minor parent, or is pregnant.

A minor parent is required to live in the home of the minor parent's parent, legal guardian or other adult relative who is at least 18 years of age or in an adult-supervised supportive living arrangement unless exempt.



If the minor parent cannot return to the home of a parent, legal guardian, or other adult relative, the Department, in consultation with the county children and youth agency, will provide assistance to the minor parent and dependent child in locating a second-chance home, maternity home or other appropriate adult-supervised supportive living arrangement unless the agency determines that the minor parent's living situation is appropriate.

When both parents are living with a child, the family may qualify for TANF only if one or both parents are incapacitated, unemployed or employed with earnings that are low enough to qualify the family for supplemental TANF assistance.

Certain individuals who live with the minor child must be included in the application for assistance. These members of the TANF mandatory budget group include the TANF child, the biological or adoptive parents of the TANF child and blood-related or adoptive siblings of the TANF child as long as they also meet TANF eligibility requirements.

A family, for purposes of the 60-month time limit, is defined as a minor child and his parent(s) or other adult specified relative with whom he lives and who is applying on the child's behalf. A family does not include a specified relative who is not included in the TANF mandatory budget group and is seeking TANF assistance only for the minor child.

#### ☐ **Absence of a Minor Child**

Under TANF, a specified relative may continue to receive benefits for an otherwise eligible minor child who is absent, or expected to be absent, from the home. The State may choose between several periods of temporary absence:

- not less than 30 days, up to 45 days, up to 180 days or more than 180 days if good cause is established.

Pennsylvania elects to define temporary absence as one that does not exceed 180 consecutive days.

A caretaker relative of a minor child who fails to notify the CAO of the minor child's absence by the end of the five-day period, that begins with the date it becomes clear to the specified relative that the child will be absent for more than 180 consecutive days, is ineligible for TANF for a period of 30 days.

#### **5. Personal Responsibility - The Agreement of Mutual Responsibility**

Pennsylvania exercises the TANF option to establish an individual responsibility plan, known as the Agreement of Mutual Responsibility (AMR). The AMR is a plan of action the TANF recipient and the caseworker develop together. The purpose of the AMR is to direct the person's efforts toward self-sufficiency by identifying reasonable goals and determining what activities the person will complete to achieve those goals. The AMR is used to identify the person's short-term and long-term goals. The plan addresses how the recipient will overcome identified barriers and lists participation activities and hours of required participation as well as supportive services provided by the Department. The following individuals are required to sign the AMR:

- Adult applicants and recipients who are required to sign the Application for Benefits, whether or not they are exempt from or have good cause for not meeting the work requirements.

- Pregnant teens or minor parents who sign the Application for Benefits on their own behalf.

The AMR stresses the temporary nature of cash assistance and focuses on the steps the recipient must take to establish a path toward self-sufficiency. The AMR also specifies the penalties for failure to comply and the actions to be taken by the Department to support the efforts of the recipient. An individual who refuses to complete or sign the AMR without good cause is ineligible for TANF cash assistance and removed from the cash grant. The person remains ineligible for cash benefits until he or she completes and signs an AMR. The entire family is ineligible if the individual had previously received 60 months of TANF cash assistance which is considered Extended TANF.

**NOTE:** The explanation of the Extended TANF program can be found in Section B “Special Provisions” of this document.

As part of completion of the AMR, the CAO determines whether the individual is exempt from or has good cause for not participating in work or work activities. For individuals required to participate in work and work activities, the AMR includes a requirement to conduct an initial job search or participate in a work activity. The initial activity assesses the skills, work experience and employability of each adult recipient.

The AMR is also used to outline other work participation activities and obligations for nonexempt individuals. New legislation effective July 1, 2012 changed the penalties for noncompliance, without good cause, with work requirements set forth on the AMR.

Pursuant to Act 35, the AMR will include the following obligations, when appropriate to the individual or family situation:

- Receive prenatal care as recommended by the doctor or clinic and/or ensure that children are immunized, receive periodic health screening and appropriate medical treatment.
- Take steps, if needed, which will improve a child's school attendance and improve his or her chances for earning a high school diploma.
- Submit to a substance abuse assessment by the local county drug and alcohol authority if the CAO determines that an individual may have a substance-abuse problem that presents a barrier to employment. If the assessment indicates that a drug or alcohol problem exists, the individual will be required to participate in and complete an approved treatment program as indicated by the local drug and alcohol authority. If an individual fails to enter or complete a program, he or she can comply by providing proof of substance-free status by submitting to periodic drug testing.
- Make appropriate payments to service providers from allowances provided to enable the individual to fulfill his or her commitment to engage in work or a work activity.
- Comply with work and work activity requirements.
- Meet other obligations specified on the AMR related to self-sufficiency and parenting responsibilities.

These obligations are basic personal and parental responsibilities which are important to the physical and mental well-being of the family. If not addressed, they not only represent potential

barriers to employment, but could increase the likelihood that welfare dependency will be passed from one generation to the next.

The Department established penalties and good cause criteria for noncompliance before implementing sanctions associated with these obligations.

## **6. Time Limit and Work Requirements for Receipt of TANF**

Receipt of TANF assistance is limited to a total of 60 months (five years) in the lifetime of an adult head-of-household or spouse of head-of household. The assistance received as an adult (age 18 and over) head of household or spouse of head of household counts towards the limit. Assistance received by a pregnant minor head of household, minor parent head of household or minor married to the head of household also counts towards the limit. Periods of receipt need not be consecutive to count towards the 60 months. If the TANF budget group includes only children, the 60-month limit does not apply.

Beginning March 3, 1997, the Department activated both the 60-month TANF time clock and the pre-/post-24-month clock which tracks the number of days of receipt of cash assistance. For applicants, these clocks are activated when cash assistance is authorized.

## **7. Determining Eligibility**

Pennsylvania is following rules, regulations and procedures in effect prior to the TANF implementation date, except for the following provisions that are authorized or required by State law (Act 35 and Act 58) or by federal law:

### ☐ **Earned Income Disregard**

The gross earned income of recipients is subject to a continuous 50 percent disregard. The disregard encourages recipient families to work and provides additional financial support as they make the transition from welfare to self-sufficiency. Applicants may qualify for the 50 percent disregard if they have received TANF in one of the four months prior to application or if their income is equal to or less than the standard of need. The deduction for the cost of dependent care for an incapacitated adult is made following application of the 50 percent disregard.

### ☐ **Reimbursement for Work Expenses**

Effective March 28, 2009, all TANF families with earned income are eligible for \$50 a month as a reimbursement for work expenses.

### ☐ **Income Exclusions**

Income is excluded as provided in state regulation at 55 Pa. Code §183.81.

Funds deposited into a Saving for Education, Entrepreneurship and Down payment (SEED) account, including interest earned on the account, are excluded as income when determining eligibility. These accounts are currently being funded with private funds as a demonstration project. Funds may be withdrawn for qualified purposes only. If rolled over to a Roth IRA,

the money is counted against the resource limit according to 55 Pa. Code Chapter 177, Resources.

The policy on SEED accounts became effective April 1, 2006.

Earned income from temporary employment with the U.S. Census Bureau is excluded. This policy became effective February 1, 2000.

☐ **Resources**

Resources are excluded as provided in State regulation at 55 Pa. Code §§177.21(a) and 177.22. Funds deposited in SEED accounts, including interest, are excluded as a resource when determining eligibility.

The policy on SEED accounts became effective April 1, 2006.

☐ **Lump Sum Income**

Lump sum income is counted as income only in the month that it is received. Any funds that remain from the lump sum in months following the month of receipt are counted as a resource. Final rulemaking for this change was published in the Pennsylvania Bulletin on August 23, 2002.

☐ **Budgeting Method: Semi Annual Reporting (SAR)**

The income of applicants and recipients affects the TANF benefit as follows:

- The income adjustment is based on the best estimate of the expected income (prospective budgeting). The estimate is based upon verified information provided by the client.
- Monthly income is determined by multiplying the gross average weekly income by four (4.0).
- Recipients are required to complete and submit a reporting form once every 12 months. Since eligibility must be reviewed no less often than every six months, a complete redetermination interview occurs in the alternate six-month period.
- Recipients are required to report increases in gross monthly earned income in excess of \$100 and all other changes such as household composition, address, job start, etc. within the first 10 days of the month following the month of the change.
- Recipients are required to report increases in gross monthly unearned income in excess of \$50.
- There is no reconciliation of income. No overpayments (underestimates) will be processed unless it was due to a client's failure to properly report income or agency error. Underpayments (overestimates) will not be corrected unless they are the result of a worker error.
- An income adjustment that reduces or increases the grant will be made for the first TANF payment date for which the deadline can be met following proper notice to the client.

SAR was implemented in May of 2003. The Cash Assistance Handbook is updated to reflect the changes.

### **Penalty for Non-cooperation with Child Support Requirements**

If a parent or other caretaker relative fails to cooperate in establishing paternity or in establishing, modifying or enforcing a child support order, without good cause in accordance with Act 58, state regulation provides that the cash assistance allowance, which is the monthly assistance grant, is reduced by 25 percent. The grant remains reduced until the parent or caretaker relative demonstrates cooperation or establishes good cause for noncooperation.

### **Penalty for Conviction of Welfare Fraud**

An applicant or recipient who has been convicted of securing or attempting to secure, or aiding or abetting or attempting to aid or abet any individual in securing TANF, Medical Assistance or Federal SNAP by means of a willfully false statement or misrepresentation, or by impersonation, or by willfully failing to disclose a material fact regarding eligibility either before or at the time of, or subsequent to the application for assistance is ineligible for cash assistance. The period of ineligibility is 6 months from the date of the first conviction, 12 months from the date of the second conviction, and permanently from the date of the third conviction.

To identify instances of potential fraud the Commonwealth of Pennsylvania uses the Income Eligibility Verification System (IEVS), the Systematic Alien Verification for Entitlements (SAVE) Program and the Master Client Index (MCI). IEVS provides information on:

- Wages and unemployment compensation through the Pennsylvania Department of Labor and Industry.
- Supplemental Security Income and other income through the Social Security Administration.
- Both earned and unearned income through the Internal Revenue Service.
- Deceased person matches through the Department of Health.
- Simultaneous receipt of TANF benefits in multiple states through the Public Assistance Reporting Information System.
- Criminal history information through the Commonwealth Judicial Inquiry System.

Additionally, a data exchange for lottery winner's match is available.

The SAVE Program verifies citizenship, identity and immigration status for non-citizens. MCI matches the TANF file with the Child Care Management Information System and the Home and Community-Based Information System to defer fraud by the elimination of duplicate services across programs.

### ☐ **Satisfaction of Criminal Penalties**

An individual who has been sentenced for a felony or misdemeanor offense and who has not satisfied the penalty imposed by the court is ineligible for TANF. An individual who is required to pay fines, cost and restitution, and who is in compliance with an approved payment plan, may be eligible for TANF.

### ☐ **Fraudulent Misrepresentation of Residence**

An individual is ineligible for TANF for a period of ten years if he is convicted in a federal or state court of fraudulent misrepresentation of residence for the purpose of receiving TANF, Medical Assistance, SNAP or Supplemental Security Income in two or more states simultaneously.

☐ **Penalty for Conviction of a Felony Offense for Possession, Use or Distribution of a Controlled Substance**

As permitted under federal law, Pennsylvania enacted legislation to opt out of the federal requirement that imposes permanent ineligibility on an individual who is convicted under Federal or state law of a felony offense that was committed after August 22, 1996, related to the possession, use or distribution of a controlled substance. Act 44 was signed into law on December 23, 2003. An individual may receive cash assistance, but he or she must agree to assessment/treatment if assessment/treatment is needed based on his or her statement, current participation in a treatment program or other substantial evidence that the Department may have. The individual must comply with assessment/treatment if the treatment is necessary, available and appropriate for benefits to continue, unless there is good cause for not doing so.

☐ **Failure to Appear at Criminal Court Proceeding**

An individual who, as a defendant, fails to appear at a criminal court proceeding when issued a summons or bench warrant is ineligible for TANF until he complies with the summons or bench warrant.

☐ **Fugitive Felon**

An individual who is fleeing to avoid prosecution or custody or confinement following a conviction for a felony or who is violating probation or parole imposed under Federal or State law is ineligible for cash assistance. If the individual receives a Presidential pardon for the conduct the individual is not ineligible as a fugitive felon or probation/parole violation, for any month beginning after the pardon.

☐ **Violation of Probation or Parole**

An individual who is in violation of the terms of probation or parole is ineligible for TANF until he or she complies.

**8. Child Support Requirements**

Prerequisites to receiving TANF cash benefits include cooperation with the Department, the Domestic Relations Section of the County Court of Common Pleas or the County Court of Common Pleas in identifying a non-custodial parent, establishing paternity and establishing an order for support. Cooperation is required unless the applicant/recipient establishes good cause for not doing so.

To be eligible for benefits, applicants or recipients are required to:

- Furnish his or her Social Security number or provide proof an application has been filed to obtain a Social Security number.
- Assign to the Department support rights for all family members who are receiving benefits by affixing their signature to an application for benefits.

- Cooperate with the Department, Domestic Relations Section and the court in establishing paternity of a child, unless the applicant or recipient establishes good cause for failing to do so.
- Cooperate in obtaining support payments for the applicant or recipient and for the child, unless the Department determines that the applicant or recipient has good cause for failing to do so.

The Department may waive cooperation requirements for good cause. Good cause includes:

- The child was conceived resulting from incest or rape.
- Legal proceedings for the adoption of the child are pending before a court.
- The applicant or recipient is currently being assisted by a public or licensed private social agency while the decision is being made whether to relinquish the child for adoption and the discussions have not progressed for more than three months.
- Establishing paternity or obtaining child or spousal support increases the risk to the family of further domestic violence.

The applicant or recipient of cash assistance shall provide relevant verification of good cause.

Applicants must appear before, and obtain from, the Domestic Relations Section of the County Court of Common Pleas, a certification of cooperation with child support enforcement requirements prior to authorization of TANF benefits. The Secretary of the Department may waive the personal appearance requirement for a county court or Domestic Relations Section following review of a written request from the county which establishes that another procedure would be as efficient and effective.

The applicant or recipient must cooperate and take the following actions:

- Identify the parent(s) of any child for whom assistance is sought or received. This includes an appearance by the applicant or recipient, with the child, for scheduled genetic testing. The failure of the mother to identify by name the father of a child creates a presumption of noncooperation which may be rebutted only by clear and convincing evidence. If an applicant or recipient provides the names of two putative fathers subsequently excluded from paternity by genetic testing, the second exclusion creates a presumption of noncooperation, which may be rebutted only by clear and convincing evidence.
- Keep scheduled appointments with the Department or Domestic Relations Section.
- Provide truthful and accurate information and documents requested by the Department or Domestic Relations Section.
- Sign and return any forms requested by the Department or Domestic Relations Section.
- Appear as a witness and provide testimony at judicial and other hearings as requested by the Domestic Relations Section.
- Pay to the Department any support payment received directly from the non-custodial parent after an assignment of support has been made.

The Department, the Domestic Relations Section of the Court of County Common Pleas or the County Court of Common Pleas may determine whether the applicant or recipient has failed to cooperate without good cause.

In accordance with Title 23 of the Pennsylvania Consolidated Statutes (23 Pa.C.S.) §4374(c)(1), the State may retain the support collected on behalf of a family receiving TANF up to the amount of the cumulative assistance paid to the family.

The State will distribute child support collected on behalf of families receiving TANF cash assistance as follows:

- Effective October 1, 2008, from the amount of current support collected, pass through to the assistance group the first \$100 per month for one child or the first \$200 per month for two or more children, or the first \$50 per month for spousal support, without decreasing the amount of cash assistance. In no case will an assistance group be paid more than one support pass through per month. The support pass through will be capped at \$200 maximum per month.
- Pay the federal government's share and retain the remainder of the amount collected to reimburse the commonwealth until the amount equals the amount of unreimbursed cash assistance paid to the assistance group.
- Pay to the assistance group receiving TANF any support collected in excess of the amounts distributed or retained as listed above.

In accordance with 23 Pa.C.S. §4352(a.1), TANF child support orders must be reviewed and adjusted, as necessary, on a 3-year cycle absent of any specific request from the Department.

Effective October 1, 2008, in accordance with 23 Pa.C.S. §4374(d), the state will pay support collected through the Federal Tax Refund Offset Program to families to whom current support or arrears is owed prior to retaining such collections to pay the federal or state shares of assigned support. Specifically:

For a family receiving cash assistance, arrears collected through the Federal Tax Refund Offset Program shall be retained by the commonwealth to the extent past due support has been assigned to the department as a condition of receiving assistance.

For a family that formerly received cash assistance, arrears collected through the Federal Tax Refund Offset Program shall first be applied to the monthly support obligation, and the balance shall be applied to arrears owed the family, including assignments of arrearages that accrued before the family received assistance from the commonwealth and that were executed between October 1, 1997 and September 30, 2009.

Any remaining arrearages shall be paid to the department.

In accordance with 23 Pa.C.S. §4378(b), the state limits the assignment of support rights only up to the amount of cash assistance received during the period that a family received assistance. Effective October 1, 2009, the assignment shall exclude arrears that accrued prior to receipt of assistance.

### **Participation in Work or Work-related Activities**

To increase work participation rates and to promote self-sufficiency, Pennsylvania has adopted a work-first approach to help clients succeed in their pursuit of self-sufficiency. Pennsylvania requires each adult, minor head of household or minor child age 16 or 17 who is not in school to engage in work or an approved employment and training activity.



While the Department wants all clients to be engaged in activities, the focus is on those individuals who are required to participate in RESET.

Participation must begin within seven calendar days after authorization of assistance. Participation includes full-time work, job search, education, or training. The work activity requirement may be met by participation in any one or combination of the following:

- Unsubsidized Employment
- Subsidized Private Sector Employment
- Subsidized Public Sector Employment
- Work Experience
- On-the-Job Training
- Job Search and Job Readiness Assistance
- Community Service Programs
- Vocational Educational Training
- Job Skills Training Directly Related to Employment
- Education Directly Related to Employment
- Satisfactory School Attendance at Secondary School or in a Course of Study Leading to a Certificate of General Equivalence
- Providing Child Care Services to an Individual Who is Participating in a Community Service Program

After 12 months of participation in vocational education, a recipient may continue education or training, but must also participate in another core work activity.

Since implementation of RESET in 1997, Pennsylvania has allowed individuals under the age of 22, including pregnant or parenting recipients, to meet the work participation requirement by attending high school or participating in a GED program.

#### ☐ **Exemptions**

To be considered exempt from RESET requirements, recipients must be:

- under the age of 18 and pursuing a high school diploma or GED; or
- an individual with a verified physical or mental disability which temporarily or permanently precludes him from any form of employment or work activity; or
- the parent/caretaker relative of a child under the age of 6 for whom an alternate child care arrangement is unavailable; or
- the custodial parent in a one-parent household who is caring for a child under the age of 12 months. Pennsylvania exercises the option to allow this exemption, which is limited to a total of 12 months in the parent's lifetime. The 12 months do not need to be consecutive; or
- a parent with a medically-documented need to provide care for a disabled family member living in the home.

An individual who is exempt because of a physical or mental disability is required to participate in a work or work activity when the condition ceases.

A specified relative who is exempt for providing care to a child under the age of six is required to participate in a work or work activity as soon as child care is available.

An exempt individual under 18 years of age is required to participate in RESET upon:

- becoming 18 years of age;
- attaining a high school diploma or a certificate of high school equivalency; OR
- ceasing to pursue a high school diploma or a certificate of high school equivalency.

An exempt custodial parent in a one-parent household who is caring for a child under the age of 12 months is required to participate when the child becomes 12 months old, the parent chooses to end the exemption, or when the parent has exhausted the 12-month lifetime limit for this exemption.

A parent providing care for a disabled family member living in the home is not considered work eligible and is exempt from participation in RESET. Medical documentation must be provided to support the need for the parent to remain in the home to care for the disabled family member. When the medical need to remain in the home ceases or can no longer be documented, then the parent becomes work eligible and must comply with RESET requirements.

An individual who is exempt may volunteer to participate in RESET. Exempt volunteers may participate in the RESET activity of their choice, provided they meet the eligibility criteria for those activities or programs. Exempt individuals who volunteer to participate in contracted programs must meet all the requirements of those programs. Such requirements include, but are not limited to, attendance and participation. Exempt volunteers need not conduct an initial job search prior to beginning to participate and are not subject to sanction for noncompliance with the work requirements.

Determinations of exemption will be made using the criteria found in 55 Pa. Code §165.21.

#### ☐ **Good Cause**

Clients who are mandatory to participate in RESET may be granted good cause from participation in work or work activities. Good cause may be granted due to circumstances beyond a client's control. When determining good cause, the Department will consider all the facts and circumstances. Even after the CAO has made a preliminary determination of the lack of good cause, an individual may offer evidence of good cause to avoid sanction. The Department may grant good cause for up to six months at a time.

Determinations of good cause will be made using the criteria found in 55 Pa. Code §165.52.

NOTE: The time an individual spends in "Exemption" or "Good Cause" status counts towards the 60-month time limit.

#### ☐ **Special Allowances for Supportive Services (SPALs) for Work and Work Activities**

Individuals who participate in work or work activities specified on their AMR may be eligible for supportive services provided by the Department.

Except for child care, payments for SPALs, when approved, will be made by the CAO for actual costs up to the Department's established maximums. The Department promotes the use of community and faith-based organizations when a recipient has the ability to obtain work supports through these types of organizations. Eligibility for child care is determined by the

CAO and payments are made by Child Care Information Services (CCIS). In many areas of the state, clothing is provided as required through PA WORKWEAR.

Monitoring of SPALs issued to recipients in support of their RESET participation is necessary to assure that expenditures are verified, required, and used for their intended purpose. If it is determined that a SPAL was issued in error or if the payment was misused, an overpayment referral may be filed.

## **10. Education in the Work First Environment**

Since the implementation of welfare reform in Pennsylvania, education in the form of literacy initiatives, pursuit of a high school diploma or GED, ESL, Adult Basic Education, post-secondary schooling, and vocational skill training has been a part of the continuum of work activities.

Employment always has been the primary goal of our TANF program. We continue to encourage individuals to begin developing a work history early in their welfare experience.

However, the role of education will continue to be emphasized for individuals who have not been successful in finding employment and are now seeking career advancement to move to self-sufficiency.

Some of our current refinements include:

- Counseling and case management provided by CAO and contractor staff. These activities focus on encouraging clients to pursue education that leads to employment in targeted industry clusters designated by the commonwealth's workforce development partners. This assures clients will be educated in areas where there is a demand in the labor market.
- Contracted programs that currently include various courses of education. The Department will strive to maintain these and increase them when appropriate;
- Structured outreach efforts that began with post-secondary institutions and will be expanded to include community literacy programs;
- Programs and procedures that assist individuals in completing their educational activities; and
- Employment and training programs that will assist individuals enrolled in post-secondary education to stay in school and meet the challenges of parenthood as well as those associated with participation in education while working.

Pennsylvania will continue to integrate employment and training efforts designed specifically for welfare recipients into the larger workforce development system at every appropriate point.

## **11. Noncompliance with the RESET Program**

A sanction is imposed on any nonexempt individual required to participate in RESET who willfully, without good cause:

- Fails to accept a bona fide offer of employment in which the individual is able to engage;
- Voluntarily terminates employment;
- Fails or refuses to participate in or continue to participate in an available work activity, including work activities specified on the AMR;
- Fails to seek employment;
- Fails to maintain employment;

- Fails to participate for an average of at least 20 hours per week in work or a work activity; or
- Fails to apply for work at the time and in the manner as the Department may prescribe.

If good cause is not established, the individual will receive an Advance Notice advising of the proposed sanction.

The sanctions for failure to comply with the work or work activity requirements are required by 62 P.S. §432.3.

#### ☐ **Sanctions for Failure to Comply with RESET Requirements**

A mandatory RESET participant who willfully fails to cooperate with the work or work activity requirement, participate in RESET or accept a bona fide offer of employment, or who terminates employment, or fails to apply for work, without good cause, shall be disqualified from receiving cash assistance.

The period of the sanction, is:

First sanction	The noncompliant individual in the budget group will be sanctioned for a minimum of 30 days. The sanction will continue until the individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption. After 90 days of noncompliance, the entire budget group will become ineligible and will remain ineligible until the noncompliant sanctioned individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption.
Second sanction	The noncompliant individual in the budget group will be sanctioned for a minimum of 60 days. The sanction will continue until the individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption. After 60 days of noncompliance, the entire budget group will become ineligible and will remain ineligible until the noncompliant sanctioned individual demonstrates and maintains compliance for at least one week and thereafter, or establishes good cause or an exemption.
Third sanction	The entire budget group is permanently disqualified.

In lieu of the sanctions set forth above, if an employed individual voluntarily, without good cause, reduces his earnings by not fulfilling the minimum work requirement, the cash grant is reduced by the dollar value of the income that would have been earned if the individual would have fulfilled his minimum hourly work requirement, until the minimum hourly work requirement is met.

The Department has opted not to impose a sanction on Medical Assistance benefits for recipients who fail to comply with work and work activity requirements.

## **12. Supporting Employment with Child Care**

The Department has provided information about subsidized child care benefits available under the “Child Care Works” program in the Child Care Development Block Grant State Plan submitted for the period October 1, 2013 through September 30, 2014. Child care costs are paid through the Child Care and Development Fund (CCDF), except for child care costs of employed individuals who receive TANF, which are paid through commingled TANF funds. Support for child care costs for eligible TANF recipients participating in approved work activities provides access to a full range of child care opportunities.

To help ease the transition for individuals whose TANF benefits end but their need for child care continues, Pennsylvania has implemented Child Care Unification. Verification requirements are also less stringent for individuals who contact the Child Care Information Services (CCIS) in a timely manner to apply for Former TANF child care benefits.

During the period of November 1, 2006 through June 1, 2007, unification of subsidized child care services was implemented throughout the state. As a result, the CCIS agencies are responsible for the issuance of all subsidized child care statewide. The CAOs are still the eligibility agent for TANF recipients in need of child care, but refer these families to the CCIS agencies for child care enrollment and payment.

Unification of the subsidized child care program promotes a local focus on clients and families, streamlined and provided through a single office that can more effectively manage resources and provide services to a greater number of eligible families. Unification insures that all low-income families get the help of professionals trained to assist with their child care needs and receive information on the benefits of quality child care and early education. It also provides subsidy providers with a single point of contact – the CCIS. All child care providers must now sign a provider agreement which results in payments going directly to the provider.

The CCIS agencies also determine eligibility and issue child care payments for working persons who previously received TANF cash assistance or that have income at or below 235 percent of the FPIGs for their family size. Individuals must either have previously received TANF cash assistance or have income at or below 235 percent of the FPIG and be meeting the work requirement established by DHS.

To be potentially eligible for child care under the Former TANF program, the parent/caretaker must:

- Contact the CCIS within 183 days of the date his/her TANF benefits ended. If the CCIS is contacted on day 184 or later, the parent/caretaker is no longer eligible to receive Former TANF child care. Eligibility will then be assessed under the Low Income child care program.
- Be working and have a need for child care. The parent/caretaker must be working an average of at least 20 hours per week (this may include training, but at least 10 hours per week must be work) no later than the 184<sup>th</sup> day following the date TANF ended.

When TANF cash assistance ends and a need for child care exists, verification requirements are less strict at the CCIS if the parent/caretaker contacts the CCIS within 60 days of TANF benefits ending. If no changes have occurred for the parent/caretaker within the 60 days following TANF closing and the CCIS is contacted during that period, the parent/caretaker may self-certify required information. Additional verification is not required to receive Former TANF child care.

If the parent/caretaker contacts the CCIS within 60 days and changes have occurred since TANF closed, or contact with the CCIS is made beyond the 60-day period, the parent/caretaker must provide verification for all required information. Families who previously received TANF benefits may apply for and potentially be found eligible for Former TANF child care up to 183 days from the date TANF closed.

Consumer education materials and information about minimal health and safety standards are available to all TANF clients and participating providers. Information about child care options, as well as availability and location of care, continues to be available through the Department's contracted CCIS agencies. CCIS agencies offer a parent counseling system that educates participants on the importance of quality early education and child care services. The counseling system also educates parents/caretakers on how to secure high- quality child care in the neighborhood.

CCIS agencies provide resource and referral services to all TANF clients participating in the Department's employment and training programs. These resource and referral services educate parents/caretakers about:

- CCIS services
- Child care choices
- Impact of child care choice on care and early development and the school readiness of children
- Impact of child care choice on ability to retain employment

Parent counseling is also available at the employment and training site on an optional basis to any TANF client seeking the service and on a mandatory basis for TANF clients demonstrating poor attendance at the program or an inability to retain employment due to unstable child care arrangements. This initiative meets TANF purpose number two – end dependence of needy parents on government benefits by promoting job preparation, work and marriage.

### **13. Coordination with Child Welfare**

Pennsylvania recognizes the need to strengthen the existing line of communication between the local CAOs and the local County Children and Youth Agencies (CCYAs). A workgroup developed a set of inter-agency protocols to coordinate efforts to serve mutual clients. The process eliminates development of conflicting service plans and eliminates the possibility of conflicting program requirements. The protocols require that the activities outlined on the AMR, prepared in the CAOs, should be coordinated with the Family Service Plan that is prepared at the CCYA.

All 67 CAOs and CCYAs implemented county protocols to ensure a local service delivery system that enables families to reach self-sufficiency while providing a safe environment for the child. Additionally, prior to the imposition of a sanction for non-compliance with the work requirement, CAOs are required to contact CCYAs to determine if a family may have good cause for such non-compliance.

## **15. Transfers of TANF Block Grant Funds**

Pennsylvania continues to provide supportive and emergency services through TANF or other State programs as follows:

- Pennsylvania allocates TANF Youth Development Funds (YDF) from the TANF Block Grant to the Department of Labor & Industry. These funds are used for youth workforce development programs that include the following activities:
  - After School Programs consisting of homework assistance, developing work skills, entrepreneurial activities, time management, career exploration, leadership training and mentoring programs.
  - In-school career awareness focusing on Science, Technology, Engineering and Math (STEM) careers.
  - Out-of-school youth programs focusing on internships and work experience.
  - Activities for youth who are co-enrolled in Workforce Investment Act of 1998 (WIA), Title I Youth. These activities meet the WIA Youth performances measures of: Placement in Employment or Education, Attainment of Degree or Certificate and Literacy and Numeracy Gains.

Eligibility for these programs is limited to TANF recipients or members of a household with income less than 235 percent of the federal poverty income guideline (FPIG) level. This initiative is funded with 100 percent federal funds and leveraged with WIA funds. This initiative meets TANF purpose number two – end the dependence of needy parents on government benefits by promoting job preparation, work, and marriage.

- Pennsylvania reserves the option to transfer TANF funds to the Child Care and Social Services Block Grants.

## **18. Identifying Domestic Violence**

Pennsylvania exercised the federal TANF option to certify that it will screen for and identify victims of domestic violence. Since 1997, the Department has collaborated with the Pennsylvania Coalition Against Domestic Violence (PCADV), its statewide contractor providing services to victims of this abuse, to develop a program to screen for and identify domestic violence victims among TANF applicants and recipients. This collaboration has taken place under the auspices of the Domestic Violence/TANF Task Force.

The Task Force developed a training program (including a video role play) that provides information on identifying and understanding victims of domestic violence as well as guidance on how a caseworker should use this information to perform his/her job. Victims of domestic violence may qualify for temporary waivers of TANF program requirements such as time limits on receipt of cash assistance, employment and training requirements and child support cooperation requirements.

To avoid asking each individual specific questions on domestic violence, the Task Force developed several forms of universal notification. Brochures and palm cards that explain domestic violence and contain the telephone numbers of local domestic violence agencies are available for distribution in the CAOs. These items may be dispensed by the caseworker or placed in areas of the CAO such as the women's restroom for discreet access. Two different domestic violence awareness posters are also available for posting in the CAOs. In addition, the Common Application

Form includes a tear-out sheet explaining domestic violence, good cause and the possibility of being temporarily excused from certain program requirements.

The Department has also revised its regulations governing good cause for failing to cooperate with child support requirements based on a claim of domestic violence. Applicants/recipients who are unable to safely provide documentation of good cause within the established time frames for providing verification may sign an affirmation of this fact and be granted good cause.

#### **19. Link with Medical Assistance**

TANF eliminated the automatic granting of Medical Assistance benefits to cash assistance recipients. However, it provided states the opportunity to maintain the link by ensuring that their TANF design is no less restrictive in certain financial and non-financial criteria. Since Pennsylvania's TANF Program does ensure this, TANF cash recipients continue to receive Medical Assistance benefits.

Pennsylvania implemented several operational changes to ensure that individuals transitioning from TANF receive all Medical Assistance benefits for which they are eligible. The Department instructed caseworkers to: 1) determine Medical Assistance eligibility when closing a cash case; 2) authorize Transitional Medical Assistance (TMA) as appropriate; 3) issue a notice of Medical Assistance eligibility when closing a cash case; and 4) determine Medical Assistance eligibility when rejecting a cash application.

Also, computer enhancements were developed to: 1) have the system automatically review individuals for Medical Assistance when rejecting or closing a cash case; and 2) automatically open cases for TMA based on specific closing codes. Due to new requirements under the Affordable Care Act, MA coverage under TMA is limited to 4 months. These enhancements are supported by supervisory reviews and management monitoring of every cash case closing when an immediate opening of Medical Assistance does not occur.

The Department worked with the Pennsylvania Insurance Department (PID) to maintain a joint application for the federally-funded Children's Health Insurance Program (CHIP) and will continue to do so now that CHIP is administered by the Department.

COMPASS is an online application for Pennsylvanians to apply for health and human services benefits. COMPASS automatically routes an application to the appropriate agency. Individuals can apply, renew and check the status of their application through COMPASS. An individual who receives benefits can establish a "My COMPASS Account" which allows them to view their benefits and report changes.

Pennsylvania implemented an electronic referral process between CHIP and Medical Assistance, known as the "Health Care Handshake". This process ensures that a child's application for health care coverage submitted to CHIP and who qualifies for Medical Assistance is referred to the Department.

Pennsylvania engages in outreach efforts to support policy directives and initiatives. The Healthy Babies/Healthy Kids Hotline is available as an outreach resource. The hotline is also a referral and informational source for CHIP and Medical Assistance program.

Pennsylvania continues its commitment to provide families with the Medical Assistance coverage to which they are eligible.



## **20. Supplemental Nutrition Assistance Program (SNAP) Outreach**

Pennsylvania has joined with the United States Department of Agriculture Food and Nutrition Service (FNS) to provide funds, 50 percent from FNS and 50 percent from Pennsylvania, to 14 community- and faith-based organizations for SNAP outreach. This project is known as Supplemental Nutrition Assistance Program Participation Project (SNAP PP).

The organizations complete and submit SNAP applications on behalf of clients to the County Assistance Office and provide information about the SNAP Program.

A focus of this program is to introduce potentially eligible applicants to the on-line COMPASS application process. Through this on-line tool, individuals who receive SNAP benefits can reapply on-line from the convenience of their homes, thereby making the SNAP that much more accessible.

Another outreach program, the Pennsylvania Supplemental Nutrition Assistance Program Education (SNAP-Ed) also referred to as PA TRACKS, provides nutrition education to low-income individuals and families who are eligible to receive SNAP benefits. The program aims to foster positive behavioral changes related to nutrition and physical activity. There are 19 TRACKS partners that deliver SNAP-Ed throughout Pennsylvania.

### **B. Special Provisions**

#### **1. Time-Out Initiative**

The Department incorporated a segregated state-funded program in the Fiscal Year (FY) 2001-2002 budget, known as the Time-Out Initiative. This initiative provides incentives to families who are addressing barriers to self-sufficiency where an individual has been identified as a victim of domestic violence and kinship caregivers. By segregating state funds from federal Temporary Assistance for Needy Families (TANF) funds, individuals who otherwise qualify for TANF may receive cash assistance under the segregated state-funded program that does not count towards the 60-month lifetime limit (42 U.S.C.A. §609(a)(7)(B)(1)).

In accordance with 42 U.S.C.A. §609(a)(7)(B)(1) and subject to the availability of resources, the Department intends to provide funding included in the FY 2014-2015 budget for the Time-Out Initiative. Eligibility for participation in the Time-Out initiative is limited to those families who meet the income, resource, and non-financial eligibility factors associated with the TANF program.

#### **Domestic Violence**

Victims of domestic violence may be eligible for up to 12 months of Time-Out in a life time. These individuals may receive benefits in the Time-Out program for six months with an additional six months, if the need still exists. The limit on the number of months an individual may receive Time-Out applies regardless of whether the months are consecutive.

#### **Kinship Caregiver**

- A non-parental caretaker who has received 24 months or more of cash assistance for himself/herself and a related minor dependent child, or has care and control of a related minor dependent child resulting from court-ordered placement by Children

- and Youth Services; and
- Is not receiving cash assistance for children of his/her own; and
- Is meeting the minimum 20-hour weekly work requirement, is exempt or has good cause for not meeting work requirements. This initiative meets TANF purpose number one – provide assistance to needy families so that children may be cared for in their own homes or in the homes of relatives, and TANF purpose number two – end dependence of needy parents on government benefits by promoting job preparation, work and marriage.

## **2. Extended TANF Cash Assistance**

Pennsylvania's provision for receipt of TANF assistance beyond the 60-month federal time limit is known as Extended TANF. Regulations at 42 U.S.C.A. §608(a)(7)(C) and 45 CFR §264.1(c) give states the option to extend TANF beyond the 60-month limit to families that have a hardship as defined by the state or include an individual who has been battered or subjected to extreme cruelty (domestic violence). The number of families that may receive Extended TANF is limited to 20 percent of the average monthly number of families receiving TANF during the current or preceding fiscal year. Pennsylvania is exercising this option.

The Extended TANF program was implemented on October 28, 2002. TANF families who exhaust the 60-month time limit or who were granted Extended TANF during the contingency period are permitted to apply for Extended TANF cash assistance and meet eligibility requirements, as defined below. Among those who may qualify for Extended TANF are:

- Recipients who are exempt or have good cause for not meeting the work requirements;
- Recipients who are required and meeting the work requirements; and
- Recipients who are victims of domestic violence.

Families who exhaust the TANF time limit and have their cash assistance discontinued may apply for and receive Extended TANF at a future time, if they are otherwise eligible. Funding for Extended TANF is all Federal monies.

## **3. Transitional Cash Assistance**

In accordance with 42 U.S.C.A. §609(a)(7)(B)(i) and subject to the availability of resources, the Department intends to provide funding included in the FY 2014-2015 budget for the Transitional Cash Assistance grant.

Benefits received for this temporary supplemental grant will not extend beyond a three-month period.

Effective March 28, 2009, certain families who are ineligible for continued assistance in the TANF, Extended TANF or Time-Out programs due to earned income may be eligible for a temporary supplemental grant, known as Transitional Cash Assistance (TCA).

The purpose of TCA is to support families as they transition from dependence on welfare to self-sufficiency. Eligibility for this supplemental grant is limited to needy families, as defined in this State Plan, who have a gross annual earned income that does not exceed 235 percent of the FPIGs.

Eligibility rules are as follows:

- Families receiving assistance must become ineligible for assistance due to excess earned income.
- Families must meet the federal Work Participation Rate at the time they no longer qualify for assistance.
- Families will receive \$100 per month (two semi-monthly \$50 issuances) for three consecutive months.
- Assistance received during this period does not count against the 60-month lifetime limit on TANF.

This program is funded with 100 percent State Maintenance of Effort (MOE) funds.

This grant meets TANF purpose number two, to end the dependence of needy parents on government benefits by promoting job preparation, work, and marriage.

#### **4. Community Service Option**

Pennsylvania has opted out of the provision to require a parent or caretaker to participate in community service if that parent or caretaker has received assistance for two months, is not exempt from work participation, and is not engaged in work.

### **Office of Children, Youth, and Families Amendment**

#### **A. Other State Programs and Services Designed to Meet the Purposes of TANF**

##### **1. General Relationship to TANF Purposes**

TANF provides states the flexibility to develop and implement innovative approaches to address TANF purposes. Therefore, effective October 1, 1999, the Department, through the Office of Children, Youth and Families (OCYF), county children and youth agencies (CCYAs) and juvenile probation offices (JPOs) has operated a segregated TANF federally-funded program.

The segregated TANF Federally-funded program is reasonably related to the TANF goals of providing assistance to needy families so that children may be cared for in their own homes or in the homes of relatives, preventing and reducing the incidence of out-of-wedlock pregnancies, and encouraging the formation and maintenance of two-parent families. These goals will be promoted through the provision of services described under sections 2 and 3 below. Services are also provided in any manner that Pennsylvania was authorized to use funds received under Title IV-A or Title IV-F of the Social Security Act, as such titles were in effect on September 30, 1995, including as specified in section 3 below.

##### **2. Family Preservation, Reunification and Support Services Designed to Promote TANF Purposes**

The services listed in this section are provided as non-assistance services to needy families. The services listed in this section are reasonably related to the goals of assisting needy families so that children may be cared for in their own homes or homes of relatives including children placed in the homes of relatives, encouraging the formation and maintenance of

two-parent families and preventing and reducing the incidence of out-of-wedlock pregnancies, i.e., TANF purposes 1, 3 and 4.

These services are intended to break the cycle of social, emotional and economic dependency by providing the necessary interventions, supports and services to families experiencing various forms of crises and dysfunction, e.g., substance disorder, lack of parenting skills, marital/relationship problems. The provision of services identified in this section will enable families and children to develop the skills and supports necessary to overcome their problems in functioning, thereby providing them with a stable foundation upon which additional competencies related to permanent and stable relationships and responsible parenting can be built.

Stable relationships and responsible parenting skills are essential for creating an environment in which two-parent families can continue to exist or come into existence.

The existence of stable relationships and responsible parenting skills is also essential to breaking the cycle of out-of-wedlock pregnancies.

The following family preservation, reunification and support services are provided or arranged for families and for children residing in their homes (and for children who are temporarily in foster care and who are expected to return to the home within the temporary absence period established by the State) by OCYF, CCYAs or JPOs, as determined necessary and appropriate. The services are provided as non-assistance services to assist needy families. For purposes of this section, “needy” is defined as having a household income of less than 400 percent of the Federal poverty level.

- Parent/Child Visitation
- Intensive Family Preservation Services
- Casework/Case Management Services
- Diagnostic and Assessment Services
- Family Support Services including Respite Care
- Family Centers: collaborative community efforts to provide a range of services to children and their families at a single location. Centers that provide child welfare TANF services described in the plan provide those services to eligible children to promote keeping families together and to maintain children in their own homes. Child welfare TANF funds are directed to specific children served by the family centers and are not used to support the center’s administration.
- Counseling Services
- Parenting and Home Management Services
- Independent Living Services
- Preventive Services focused on promoting family stability and responsible behavior of individuals and reducing economic dependence
- Delinquency Prevention/Remediation Services
- Day Treatment and Protective Child Care Services
- Non-Medical Substance Disorder Services
- Other In-home Services
- Programs Promoting Responsible Fatherhood
- Adoption Services

### **3. Services Provided to Dependent and Delinquent Children Who Have Been Placed into Residential Care**

As authorized in the approved Title IV-A State Plan in effect as of September 30, 1995, TANF funds may be used to pay for non-Title IV-E eligible emergency shelter services, not to exceed 30 consecutive days and in accordance with 55 Pa. Code §§3130.37 and 3140.22(c) and the purchases of services provided to children temporarily placed in residential care provided that the services are not part of the per diem and are billed services. Eligible services include:

- Child Protective Services/Child Abuse
- Child Care Services
- Day Treatment Services
- Child Protective Services-General
- Information and Referral Services
- Service Planning
- Counseling/Intervention Services
- Homemaker/Caretaker Services
- Life Skills Education

The cost for placement in a Juvenile Detention Facility can no longer be claimed under TANF.

#### **Funding**

Section 403(a)(1)(A) provides that each eligible State shall be entitled to receive for each of the fiscal years 1996 through 2010, a grant in an amount equal to the State family assistance grant as defined in Section 403(a)(1)(B).

#### **I. Payments to Agency Administering the TANF Program.**

Please provide payment for the TANF Program to the same organization administering the TANF Program as of March 3, 1997.

#### **II. State Payments for TANF Program**

Payments for the TANF Program are to be made to the Pennsylvania Department of Human Services.

The Commonwealth of Pennsylvania's estimate for each quarter of the fiscal year by percentage is:

##### **For FY 2015 and Future Years**

1 <sup>st</sup> Quarter	2nd Quarter	3rd Quarter	4th Quarter
25%	25%	25%	25%

## **Trade Adjustment Assistance**

### **Coordination with Other Programs**

**Describe the State's process for operating the TAA program that ensures coordination and integration with WIOA core and partner programs. Provide examples, if available, of how the co-location of Wagner-Peyser in one-stop centers and the addition of TANF, have improved access to these services for trade-affected workers.**

The commonwealth uses Wagner-Peyser resources for career services and couples those resources with Title I and Trade Act resources to provide greater choice and focused resources where Dislocated Workers most need them. Dislocated Workers receive all WIOA career services in a comprehensive PA CareerLink® center.

Pennsylvania co-enrolls all trade-impacted workers in the WIOA program to ensure that all participants receive the full range of assistance available to Dislocated Workers.

The commonwealth implemented an online application for TAA training, job search and relocation allowances, and the Alternative Trade Adjustment Assistance (ATAA) and Reemployment Trade Adjustment Assistance (RTAA) programs. This CWDS module is accessed via the Internet and Dislocated Workers, PA CareerLink® staff and training providers add information online. PA CareerLink® staff assists trade-impacted workers with their applications, and assess the workers' skills and experiences.

### **Use of Funding**

**Describe how the State will use TAA funding for infrastructure, other shared costs, and the promotion of the development of integrated intake, case management, and reporting systems.**

The commonwealth will continue to position state merit staff at the local PA CareerLink® centers throughout the state to ensure that eligible Trade participants receive case management services in a timely manner. Funding will continue to be used for system upgrades to CWDS that will allow an integration of services offered by partner agencies. The IEP will also be enhanced to conform to WIOA dual enrollment requirements and avoid duplication of services during the case management process.

### **Benefit and Service Approvals by Merit Staff**

**Describe how TAA program-funded benefits and services are approved by merit-staffed employees in accordance with 20 CFR 618.890.**

All Trade eligible workers are encouraged to participate in the reemployment services offered through PA CareerLink® offices prior to layoff during their Benefits Rights Interview (BRI). Merit staff are assigned as case managers to assist trade eligible participants through the case management process with a goal of enrolling participants in a Trade approved activity. Merit Staff work closely with WIOA partners to comply with the dual enrollment process. Requests for TAA benefits and services are made by merit staff at the PA CareerLink® centers on behalf of Trade participants via CWDS. Determinations for Trade Act benefits and services are issued by merit staff located in central office.

### **Jobs for Veterans State Grant**

**How the State intends to provide employment, training and job placement services to veterans and eligible persons under the JVSG.**

Veterans and eligible persons will receive employment, training, and job placement services at the PA CareerLink® centers like other PA CareerLink® customers. However, veterans and eligible persons will receive priority of service, i.e., they will receive priority over non-veterans and other eligible persons when it comes to receiving employment, training, and job placement services.

All individuals, including veterans, who enter the PA CareerLink® first see an intake person. This person conducts an assessment and determines if the veterans and other eligible persons qualify to see the Disabled Veterans Outreach Program (DVOP) Specialist. The DVOP assists veterans with significant barriers to employment such as a long-term unemployment, previous incarceration, and low-income status. If the veteran lacks a significant barrier to employment and does not otherwise qualify to see the DVOP Specialist, then the veteran will immediately be sent to the first staff member, other than the Local Veterans Employment Representative (LVER), for assistance. DVOP Specialists also may see veterans age 18 to 24, transitional service members and veteran populations designated by the U.S. Secretary of Labor.

**The duties assigned to DVOP Specialists and LVER staff by the State; specifically implementing DVOP Specialist and LVER duties or roles and responsibilities as outlined in 38 U.S.C. §§ 4103A and 4104. These duties must be considered with current guidance.**

DVOP Specialists provide intensive services to veterans with disabilities, other eligible veterans, and other eligible persons as defined by 38 U.S.C. § 4103A; Veterans' Program Letter (VPL) No. 03-14; VPL No. 03-14, Change 1; VPL No. 03-14, Change 2; VPL No. 04-14; and VPL No. 08-14. The DVOP Specialists provide and facilitate a full range of employment, training, and placement services furnished to veterans who possess significant barriers to employment.

DVOP Specialists evaluate veterans' needs, knowledge, skills, and abilities; provide career guidance through vocational guidance or referrals to counseling; aid veterans in developing and documenting an individualized employment plan (IEP); and review and update the IEP during the active case management process. DVOP specialists coordinate supportive services with applicable providers, deliver technical assistance to community-based organizations for employment and training services to veterans, and assist PA CareerLink® partners in providing services to veterans on a priority basis.

LVERs conduct face-to-face contact with employers, plan, and participate in job and career fairs, and conduct job development with employers. LVERs facilitate employment, training, and placement services furnished to veterans, promote the benefits of employing veterans, and facilitate employer training. LVERs work with other partners and workforce development providers to communicate employer outreach and job openings.

LVERs facilitate the provision of services to veterans by working with employers to ascertain the jobs that employers need to fill. LVERs share this information with the PA CareerLink® center. Other PA CareerLink® staff members, in turn, make veterans aware of employment opportunities. LVERs also facilitate the provision of services to veterans by providing employers with resumes and information about veterans who seek employment. In this manner, employers have a pool of veteran job candidates for existing and future vacancies. LVERs play an important role in educating all PA CareerLink® partner staff with current employment initiatives and programs for veterans.

**The manner in which DVOP Specialists and LVER staff are integrated into the State's employment service delivery system or one-stop delivery system partner network.**

Service delivery occurs through a seamless integrated delivery system called PA CareerLink®. Cross-trained, responsive customer service teams conveniently located in Pennsylvania communities provide effective services. Veterans receive priority in all employment and training programs. Priority of service is the responsibility of all PA CareerLink® staff and partner staff.

DVOP Specialists work with PA CareerLink® partners on a common strategy for identifying veterans who self-register by having the PA CareerLink® managers pull a list of veterans who self-identify. The PA CareerLink® manager invites the self-identified veteran to come to the PA CareerLink® center for services. Veterans who possess a significant barrier to employment receive a referral to the DVOP Specialist. This allows DVOP Specialists to supplement the work that already occurs at the PA CareerLink® center. A needs-based approach consists of screening for disadvantaged veterans, veterans who possess significant barriers to employment, and veterans most in need of intensive labor exchange services. PA CareerLink® centers conduct staff meetings, training sessions, and workshops on a periodic basis to keep all partners apprised of program updates, changes, revisions, accomplishments, and recommendations.

LVERs work with the PA CareerLink® Business Service Team in promoting veterans to employers. LVERs are key members of Business Service Teams as they provide information on current employer job openings, assist employers looking to hire veterans, and actively promote job-ready veterans to employers.

**The Incentive Award program implemented using the 1 percent grant allocation set aside for this purpose, as applicable.**

Pennsylvania does not participate in the incentive award program.

**The populations of veterans to be served, including any additional populations designed by the Secretary as eligible for services, and any additional populations specifically targeted by the State Workforce Agency for services from one-stop delivery system partners (e.g., Native American veterans; veterans in remote rural counties or parishes).**

Pennsylvania serves all veterans. Every PA CareerLink® staff member and partner staff member assists veterans. DVOP Specialists, however, only serve a subset of all veterans. DVOP Specialists assist special disabled veterans, other veterans with disabilities, veterans with significant barriers to employment, veterans age 18-24, transitional service members and veteran populations designated by the U.S. Secretary of Labor. LVERs indirectly serve veterans by principally working with employers.

Pennsylvania does not have any federal or state-recognized Native American tribes or reservations within its borders. However, there are several tribes listed as organizations, but not federal- or state-recognized. In addition, many Native American Community Centers exist throughout Pennsylvania. State workforce reports indicate that 1,715 American Indians, Alaskan natives, or Native Hawaiians reported having received services from July 1, 2016 through March 31, 2017, compared to a total of 121,510 participants served. These targeted populations represent 1.4 percent of the total participants served.

Unfortunately, Pennsylvania lacks a method of capturing the number of Native American veterans, other than compiled ad hoc reports, as that information is not available in federal reporting systems. However, it is correct to presume that a significant number of Native Americans are veterans. The commonwealth plans to coordinate with Native American organizations within Pennsylvania. This includes inviting Native Americans to participate in job fairs, providing marketing materials, and advising of available training



opportunities. Pennsylvania will work with Native American organizations in its efforts to assist Native American veterans who need services.

#### **How the State implements and monitors the administration of priority of service to covered persons.**

Every PA CareerLink® center covers priority of service regulations and requirements during staff meetings. These meetings include both partner staff and merit staff. Each PA CareerLink® center displays numerous posters and signage announcing priority of service.

When job seekers enter a PA CareerLink® center, the receptionist asks job seekers if they are a veteran or the eligible spouse of a veteran. If an affirmative answer is provided, then the veteran or eligible spouse receives a registration form that differs in color from the non-veteran. The veteran or eligible spouse also receives a handout describing priority of service. The veteran or eligible spouse gets referred to the next available staff member for an assessment. If the assessment determines that the veteran possesses a significant barrier to employment, then the veteran is immediately referred to a DVOP Specialist. If the PA CareerLink® center lacks a DVOP Specialist, or the DVOP Specialist is unavailable, then the veteran goes to the next available staff member. The staff member cannot be a LVER. Veterans and eligible spouses receive priority of service in all functions and entities at the PA CareerLink® center. Once a PA CareerLink® staff member determines that a veteran meets the criteria for a specific program, the veteran is automatically afforded priority of service.

VA Vocational Rehabilitation and Employment (VR&E) Chapter 31 participants receive referrals to the nearest DVOP Specialist within 48 hours after receiving notification from the VA VR&E office. If there is no DVOP Specialist within a reasonable commute, the veteran sees the closest PA CareerLink® Program Manager for an assessment and case management.

Priority of service to veterans and eligible persons remains the responsibility of all PA CareerLink® staff members, not simply DVOP Specialists. As part of Pennsylvania's monitoring process, each PA CareerLink® center submits a Quarterly Manager's Report on Services to Veterans that requires the PA CareerLink® center to explain its priority of service policy. Central office staff members review these reports for statewide consistency. Also, Pennsylvania plans to monitor 25 percent of the PA CareerLink® centers each year for compliance with the priority of service requirement. In addition, central office staff plans to accompany the U.S. DOL VETS staff during the mandated annual JVSG audits.

The veterans' registrations will be reviewed to determine the types of services provided. Also, records will be reviewed to ensure that proper veterans program eligibility, e.g., veterans with a campaign badge have been correctly added to the records. Since the covered person's identifiers will be added automatically to records based on the self-identification in the virtual system, PA CareerLink® centers will check the registration services page to help guide priority of service. Reviewing the service record page allows PA CareerLink® staff members to look for indicators that may suggest a need for case management, intensive services, job search assistance, skills training, or further guidance. In addition, job orders will be evaluated to determine if veterans received priority of service. Job orders will be reviewed to ascertain if job-matched veterans received referrals, and a determination will be made if a referral did not occur.

#### **How the State provides or intends to provide and measure, through both DVOP Specialist and one-stop delivery system partner staff: (1) job and job training individualized career services, (2) employment placement services, and (3) job-driven training and subsequent placement service program for eligible veterans and eligible persons.**

All PA CareerLink® DVOP Specialists and one-stop delivery system staff provide job and job training individualized career services as well as job-driven training and subsequent placement services through referrals to PA CareerLink® partner entities. The DVOP Specialist or PA CareerLink® staff member and the participant mutually decide upon the individualized career services that the participant will receive during meetings and case management sessions.

Employment placement services are provided in two ways. First, the participant may receive employment placement services through JobGateway® and the Commonwealth Workforce Development System (CWDS). The participant can conduct self-directed job searches; PA CareerLink® staff may assist participants through staff assisted job searches; or PA CareerLink® staff may conduct job searches by reviewing posted job orders and participant qualifications, and make referrals with or without the participant's knowledge. Alternatively, participants can work through LVERs, who interact with employers seeking job candidates. Veteran and covered person's employment placement services are measured the same as non-veterans with the exception of the negotiated performance targets.

**The hire date along with mandatory training completion dates for all DVOP Specialists and LVER staff.**

Please see [Appendix VI](#) for the training register.

**Such additional information as the Secretary may require.**

N/A

## **Senior Community Service Employment Program (SCSEP) Plan**

### **Background**

Section 503 of the 2006 Older Americans Act (OAA) Amendments requires the Governor or his designee to submit a Senior Community Service Employment Program (SCSEP) State Plan in order to receive SCSEP funds. The SCSEP promotes part-time employment opportunities in community service activities for unemployed low-income persons who are age 55 or older and who have poor employment prospects. The purpose of the SCSEP State Plan is to foster both short-term and long-term coordination among the various national and state SCSEP grantees and sub-grantees operating within the commonwealth. The SCSEP's goals are to foster individual economic self-sufficiency, promote useful opportunities in community service activities, and increase the number of persons who may enjoy the benefits of unsubsidized employment.

The SCSEP is administered at the federal level by the United States Department of Labor's (U.S. DOL) Employment and Training Administration. The U.S. DOL currently provides SCSEP funds to the Pennsylvania Department of Aging and seven national organizations that provide SCSEP services in the commonwealth as follows: American Association of Retired Persons (AARP), Asociacion Nacional Pro Personas Mayores (ANPPM), National Asian Pacific Center on Aging (NAPCA), National Council on the Aging (NCOA), National Urban League (NUL), The WorkPlace (TWP), and Associates for Training and Development (A4TD)

In Fiscal Year (FY) 2016-17, the Department of Aging contracted directly with the American Association of Retired Persons (AARP) to provide SCSEP services in 42 of the 52 Area Agencies on Aging (AAAs) Planning and Service Areas (PSAs). The Department of Aging issued a Request for Grant Applications (RFGA) for

SCSEP services in four regions of the commonwealth in November 2012. The RFGA was issued because a total of 39 AAAs had relinquished their SCSEP funds to the Department of Aging. PDA will issue the RFGA again in November 2017 to solicit applications for SCSEP operations in the same 42 AAA Planning and Service Areas.

The Department of Aging will continue to provide nine AAAs with SCSEP funds in FY 2017-18. These nine AAAs are Lancaster, Westmoreland, Luzerne/Wyoming, Washington/Fayette/Greene, Lycoming/Clinton, Lehigh, Northampton, Erie, and Philadelphia.

### **Economic Projections and Impact**

#### **Long-term projections for jobs in industries and occupations in the state that may provide employment opportunities for older workers (20 CFR 641.302(d))**

Using the U.S. Census Bureau's Local Employment Dynamics (LED) data, [Appendix VII](#) identifies the statewide industry long-term employment projections for occupations with the highest percentage of workers age 55 and older. Using the Census Bureau's North American Industry Classification System (NAICS) codes from Appendix VII, the Center for Workforce Information and Analysis used the staffing patterns to determine which occupations were most likely to be found in those industries with the highest percentage of older workers. [Appendix VIII](#) identifies the statewide occupational long-term employment projections for the top employing occupations in the industries with the highest percentage of workers ages 55 and older. Using the aforementioned Appendix, NAICS code 4854, School and Employee Bus Transportation is an industry that employs a high percentage of older workers and is projected to grow between 2012 and 2022. The Standard Occupational Classification (SOC) code Bus Drivers: School or Special Client is an occupation in the School and Employee Bus Transportation industry that is projected to have 643 annual openings, and older workers are a good fit for these jobs. The long-term projections shown in Appendix VII apply to all older workers and not just the SCSEP eligible population.

#### **A discussion of how the long-term job projections discussed in the economic analysis section of strategic plan relate to the types of unsubsidized jobs for which SCSEP participants will be trained and the types of skill training to be provided. (20 CFR 641.302(d))**

#### **Current and projected employment opportunities in the State (such as by providing information available under §15 of the Wagner-Peyser Act (29 U.S.C. 491-2) by occupation), and the types of skills possessed by eligible individuals. (20 CFR 641.325(c))**

[Appendix IX](#) identifies statewide occupational employment projections for short or moderate-term on-the-job training occupations with 500 or more annual job openings. The definitions of short and moderate term On-the-Job Training (OJT) as well as other education and training codes can be found in [Appendix X](#). As of June 30, 2016, 62 percent of the SCSEP individuals served by the Department of Aging and nine National SCSEP sponsors had a high school diploma or less. The jobs listed in [Appendix IX](#) are appropriate for the majority of SCSEP participants because they require short or moderate term on-the-job training. Depending on the participant's assessment and Individual Employment Plan (IEP), training for a job in the local workforce development area that has many annual openings will result in a better outcome for the participant. Another tool that will be considered to find jobs for SCSEP participants is the statewide list of High Priority Occupations (HPOs) that require short-term on-the-job training and moderate term on-the-job training. HPOs are occupations that are in demand by employers, have higher skill needs, and are more likely to provide family sustaining wages.

In reviewing the future jobs available by Volume Growth, Percent Growth and Annual Openings on page 25 of the Economic Analysis section of this Strategic Plan, there are many jobs that require short-term OJT and moderate term OJT, which are the skills that the majority of the SCSEP participants served in the commonwealth possess. Recipients are provided with OJT through their Community Service Training Assignments for several of these jobs as well as classroom training for other jobs. For example, the PA Department of Aging (PDA) has provided classroom training for SCSEP participants in past years to become Personal Care Aides and PDA plans on funding this training again during the term of this State Plan. PDA's SCSEP Sub-grantees have also provided classroom training for participants to become Customer Service Representatives. There are many host agency training assignments such as Combined-Food Prep/Serving Workers, Janitors, and Office Clerks that can result in unsubsidized employment for SCSEP participants.

The education levels for SCSEP participants in the commonwealth as of June 30, 2016 were as follows: 1 percent 8<sup>th</sup> grade and under; 16 percent 9<sup>th</sup> to 11<sup>th</sup> grade; 45 percent High School Graduate; 17 percent 1 to 3 years of college; 2 percent Post-Secondary Certificate; 5 percent Associate's Degree; 9 percent Bachelor's Degree; 2 percent Post Graduate Work; and 2 percent Master's Degree. Since 62 percent of the SCSEP individuals served by the Department of Aging and nine National SCSEP sponsors in PY 15 had a high school diploma or less, it can be inferred that in general most of the jobs that the majority of participants possess the education level and skills to obtain require short-term OJT and moderate term OJT such as the jobs listed above. Each participant's specific skills and education level will be determined during the assessment and used to determine an Individual Employment Plan with a goal of unsubsidized employment.

### **Service Delivery and Coordination**

**Planned actions to coordinate activities of SCSEP grantees with WIA title I programs, including plans for using the WIA One-Stop delivery system and its partners to serve individuals aged 55 and older. (20 CFR 641.302(g), 641.325(e))**

There are varying degrees of involvement by the AAAs and the seven National SCSEP sponsors with the PA CareerLink® centers. Generally speaking, the AAAs and the seven National SCSEP sponsors are involved with the PA CareerLink® centers in the following ways: refer all individuals ages 55 and older to the PA CareerLink® centers to enroll in the Commonwealth Workforce Development System (CWDS); PA CareerLink® staff refer SCSEP eligible individuals ages 55 and older to the AAAs and the seven National SCSEP sponsors for services; co-enroll eligible individuals in WIOA, OVR, and Veterans programs to more efficiently use federal and state workforce development funds; co-locate SCSEP staff at the PA CareerLink® centers on a full- or part-time basis; and co-locate SCSEP participants at the PA CareerLink® centers to serve as greeters, resource room aides, job developers, custodians, clerical aides, and customer service representatives. The SCSEP is represented on all 22 LWDBs in the commonwealth by AAA directors, AAA SCSEP staff, national SCSEP operators, and county human service agency directors.

An interagency work group has been established and meets on a regular basis to consider ideas, discuss agency initiatives and goals, how partner agencies can help in achieving them, and share best practices. The interagency work group is an ad hoc group comprised of executive and program level staff from the Departments of Aging, Education, Human Services, Labor & Industry, Agriculture, Community and Economic Development, Military and Veterans Affairs, and Corrections, as well as the Governor's Office. The work group is coordinated led by the Deputy Secretary of Workforce Development within the Department of Labor & Industry; the Deputate responsible for administration and oversight of the State Workforce Development Board.

The State Workforce Development Board is another body that works to promote alignment and collaboration across programs. The Secretaries of Labor & Industry, Department of Community and Economic Development, Department of Human Services, PA Department of Education and the Department of Agriculture all serve on the Board. Other agency secretaries are invited to participate in Board meetings when populations they serve are expected to be discussed, such as the Secretary of the Department of Corrections for discussions involving ex-offenders and re-entry programs, the Secretary of Aging when discussing older workers or the Secretary of the Department of Military and Veterans Affairs for discussions involving veterans.

**Planned actions to coordinate activities of SCSEP grantees with the activities being carried out in the State under the other titles of the Older Americans Act (OAA) (20 CFR 641.302(h))**

The PA Department of Aging (PDA) has and will continue to reinforce to Area Agency on Aging (AAA) sub-grantees that upon intake into the SCSEP program, job developers must evaluate the needs of participants to determine if they have any unmet needs. Each year, during recertification and at least twice a year during reassessments, job developers are trained to be aware of any changing needs of the participants, as is common in this demographic. Building on the collective need of the SCSEP program participants, speakers from the local AAA are scheduled to present at quarterly, semi-annual or annual participant meetings on various Aging programs funded by the PDA and administered by the AAA that can help participants. Some of these programs and services are APPRISE, which provides information about Medicare services, protective services, transportation, prescription drug program, family caregiver support program, adult day care services, etc. For PDA's non-AAA sub-grantee(s), the primary action that they need to coordinate with State activities under the other titles of the OAA is to establish a solid working relationship with any aging- or disability-related organizations, including the AAA. It is vital that all SCSEP sub-grantees but especially non-AAA sub-grantee(s) be cognizant when interacting with participants, remaining alert to critical issues and changes that occur within their lives so they can develop referral ideas and program opportunities that benefit participants.

**Planned actions to coordinate SCSEP with other private and public entities and programs that provide services to older Americans, such as community and faith-based organizations, transportation programs, and programs for those with special needs or disabilities. (20 CFR 641.302(i))**

The PA Link to Aging and Disability Resources (PA Link) is a focal point for Pennsylvania residents seeking information and referrals for services to the elderly and adults with disabilities. The PA Link, through its collaborative network of partners including state and local, public and private agencies, improves access to information and linkages to long term living supports and services. Private and public entities and programs providing services to older individuals such as assisted living, nursing home, vocational rehabilitation, and transportation and providers serving persons with disabilities are just a few of the agencies that comprise the Link. Many SCSEP providers are members of the Link which will serve them well in learning about the myriad of local services available that can help SCSEP participants in general.

The PA Department of Aging (PDA) has provided information and presented about SCSEP to other state partner agencies that administer programs that serve older Pennsylvanians. The Departments of Revenue, Human Services and Transportation and the Office of Vocational Rehabilitation provide funds to community and faith-based organizations to serve older Pennsylvanians. PDA met with staff from the Department of Human Services, Office of Long-Term Living in January 2016 to discuss how SCSEP could help Medicaid Waiver consumers who want to work. SCSEP sub-grantees have been made aware of free and reduced-fare public transportation programs for Pennsylvanians age 65 and older and a reduced-fare

program for persons with disabilities under the age of 65. All of these transportation programs are administered by the Pennsylvania Department of Transportation. In March 2016, PDA staff presented on SCSEP at an Office of Vocational Rehabilitation one-day roundtable discussion on other State programs and services available to assist individuals with disabilities. For the next SCSEP training, the Office of Vocational Rehabilitation will be presenting on their services and how they can assist SCSEP participants. PDA will also be inviting Department of Human Services' Medical Assistance Program staff to present to our sub-grantees on how SCSEP participants can continue to remain in the SCSEP and receive medical assistance benefits. We also plan on including staff from the Department of Human Services to discuss the low-income heating assistance program to our sub-grantees at a future training.

**Planned actions to coordinate SCSEP with other labor market and job training initiatives. (20 CFR 641.302(j).)**

Each month, the Center for Workforce Information & Analysis (CWIA) provides the Department of Aging with Job Posting files by Local Workforce Development Area. The Department of Aging sends this information to its SCSEP sub-grantees and National Sponsors. Senior staff from the CWIA have presented at annual SCSEP trainings in the past few years. The trainings consisted of an explanation of the many available Labor Market Information (LMI) products and how SCSEP coordinators can use these products to find SCSEP participants' jobs.

On March 29, 2016, the PDA informed its sub-grantees that it would entertain proposals to provide Direct Care Worker training to SCSEP participants and other older individuals in PY 15 and 16. Objective 2.5 of the 2016-20 PDA State Plan on Aging states the following: Expand employment opportunities for older Pennsylvanians and job opportunities that support older Pennsylvanians. In PY 13 and 14, the PDA provided the Westmoreland County Community College, which is a PDA sub-grantee, with non-SCSEP funds to provide Direct Care Worker training to SCSEP participants and other older individuals. The training consisted of 11 classes at 7 hours per class for a total of 77 hours. Copies of driver's licenses were gathered for documentation of age and all participants applied for and received state police and child abuse clearances. All participants also had a 2-step TB test performed. On the last day of class, all participants received a certificate of completion. There was a 90 percent job placement rate after the class concluded. Because of the success of this training, the PDA would like to replicate it with other sub-grantees if funding becomes available. The PDA is also coordinating with the PA School Bus Association (PSBA) to train and hire SCSEP participants and non-SCSEP eligible individuals as drivers and aides. The Board Chairman and the Executive Director of the PSBA presented on this future partnership on March 29, 2016 to all PDA SCSEP sub-grantees as well as staff from NCBA, National Urban League, Experience Works, NCOA, and SSAI. Also on March 29, 2016 CVS Health presented to all PDA SCSEP sub-grantees as well as staff from the state of Delaware, NCBA, National Urban League, Experience Works, NCOA, and SSAI on job opportunities for SCSEP participants with CVS Health. CVS Health sends PDA a list of job opportunities each Monday and PDA sends the list to all SCSEP sub-grantees and national SCSEP sponsors operating in the commonwealth.

**Actions to ensure that SCSEP is an active partner in the One-Stop delivery system and the steps the state will take to encourage and improve coordination with the One-Stop delivery system. (20 CFR 641.335)**

There are varying degrees of involvement by the AAAs and the seven National SCSEP sponsors with the PA CareerLink® one-stop system. The AAAs and the seven National SCSEP sponsors are involved with the PA CareerLink® centers in the following ways: Refer all individuals ages 55 and older to the PA CareerLink®



centers to enroll in CWDS; PA CareerLink® staff refer SCSEP eligible individuals ages 55 and older to the AAAs and the seven National SCSEP sponsors for services; co-locate SCSEP staff at the PA CareerLink® centers on a full- or part-time basis; and co-locate SCSEP participants at the PA CareerLink® centers to serve as greeters, resource room aides, job developers, custodians, clerical aides, and customer service representatives.

#### **Efforts to work with local economic development offices in rural locations**

In a very rural three-county area in the Southwest corner of the commonwealth, the Southwest PA Area Agency on Aging (AAA) maintains relationships with key individuals at local organizations involved in economic development, such as the Mon Valley Progress Council, the Middle Monongahela Development Association, and the Washington and Fayette County Chambers of Commerce, as well as the Washington Business District Authority, and the Washington County Foundation. The AAA has requested their participation in area job fairs and also to present during participant meetings on employment opportunities.

#### **The state's long-term strategy for engaging employers to develop and promote opportunities for the placement of SCSEP participants in unsubsidized employment. (20 CFR 641.302(e))**

At the local level, Business Service Teams (BSTs) in the PA CareerLink® centers work with area employers to identify their talent needs and refer qualified candidates to fill those positions. This includes referring candidates for On-the-Job Training (OJT) or customized training where the referred individual is not immediately ready to take on the full duties of the position. BSTs also have access to a plethora of Labor Market Information (LMI) that can be helpful to employers in setting wages and benefits that will attract high-quality candidates. BSTs will communicate and collaborate with other agencies of state government which may have direct liaison activities with employers and regional economic development partners to avoid business fatigue from multiple contacts and ensure coordination of services. This will also include coordination and connection with school districts, career and technical centers and post-secondary providers who can serve as a source of talent for employers. Two of PDA's sub-grantees already work on a daily basis with the BSTs. The PA Department of Aging (PDA) will pursue additional partnerships with BSTs through its strong relationship with the PA Department of Labor & Industry. In PY 16, the PDA implemented the On-the-Job Experience (OJE) training option. Through OJEs, PDA will engage employers to hire participants. OJEs will provide incentives for employers to hire SCSEP participants.

#### **The State's long-term strategy for serving minority older individuals under SCSEP (20 CFR 641.302 (c))**

According to the SCSEP Analysis of Service to Minority Individuals PY 2015, Volume II, May 11, 2017 the minority population in the commonwealth was 26.2 percent. Based on the final FY 15-16 quarterly progress reports for the Department of Aging and the nine national SCSEP sponsors, 46.9 percent of the individuals served were minorities. During the first three quarters of PY 2016, 52 percent of the program participants were minorities which is double the statewide percentage. The commonwealth's previous strategies in serving minorities will continue because minorities are being served at a much higher level than their proportionate share. SCSEP providers shall continue to make extensive outreach efforts to potential minority SCSEP participants and communities, specifically to community groups, senior centers, cultural/social groups, fraternal organizations, chambers of commerce, libraries, community based media, community leaders and retail stores. Current partnerships with minority organizations will be renewed. Outreach to minority populations will continue to include paid advertisements and coordination with organizations that serve minority groups.

**A list of community services that are needed and the places where these services are most needed. Specifically, the plan must address the needs and location of those individuals most in need of community services and the groups working to meet their needs (20 CFR 641.330)**

In PA, 12.6 percent of the population age 55 and older is below 125 percent of the poverty level (see [Appendix XI](#) for the number of individuals under 125 percent of the poverty level for each county). In Philadelphia County, 25.2 percent of the age 55 and older population is below 125 percent of the poverty level, which is by far the highest percentage in the state. The next five counties with the highest percentages are all rural and these are: Fayette County-17.8 percent, Potter-16.5 percent, Greene-16.3 percent, Bedford-16.1 percent, and Jefferson-16 percent. In these counties and others in the commonwealth, many older low-income individuals have needs for food, shelter, clothing, transportation, education, socialization, medical, and more. The agencies meeting the community service needs of SCSEP participants are: the PA Department of Human Services' (DHS) determines eligibility for food stamps, medical assistance, cash assistance and energy assistance; Local Community Action Programs funded by the PA Department of Community and Economic Development and ; County Housing Authorities and local Homeless Shelters which provide assistance with housing; Goodwill and other local Dress for Success organizations which provide clothing; the PA Department of Education's local Adult Education providers that provide literacy, ESL and GED classes; and the local Area Agency on Aging which provides socialization services through senior centers as well as home delivered meals, advice with Medicare, and protective services and transportation. The PA Department of Revenue, through state lottery dollars, provides rent and property tax relief for low income older Pennsylvanians. The PA Department of Aging has and will continue to work with these agencies at the state level and filter this information to local SCSEP sub-grantees to better serve SCSEP participants.

**The state's long-term strategy to improve SCSEP services, including planned longer-term changes to the design of the program within the state, and planned changes in the use of SCSEP grantees and program operators to better achieve the goals of the program. This may include recommendations to the Department as appropriate. (20 CFR 641.302(k))**

In the past decade, the Department of Aging went from 52 SCSEP sub-grantees to its current 7 sub-grantees. The Department of Aging will be issuing a Request for Grant Applications for SCSEP services in at least 42 of the 52 AAA Planning and Service Areas (PSAs) in November 2017. Selected providers will begin to provide SCSEP services in the four designated AAA PSA Regions on July 1, 2018.

The PDA plans on enhancing its relationship with the Pennsylvania Department of Labor & Industry (L&I) and through L&I, the local Workforce Development Boards and the PA CareerLink® centers. This could result in more employment and training services for SCSEP participants such as working with the Business Service Teams at the PA CareerLink® centers to improve unsubsidized placements. The Department has met or exceeded each of its performance goals in PY 15 and historically; therefore, there is no plan at this time to change program operators. PDA will provide technical assistance to those sub-grantees that fail to meet any performance goal.

**The state's strategy for continuous improvement in the level of performance for SCSEP participants' entry into unsubsidized employment, and to achieve, at a minimum, the levels specified in OAA Section 513(a)(2)(E)(ii) (20 CFR 641.302(f)).**

The PA Department of Aging (PDA) will work with the PA Department of Labor & Industry to present at future PDA webinars or trainings on ways to assist SCSEP participants in their job search and obtaining unsubsidized jobs. One of the topics will be targeting High Priority Occupations as job goals in the initial



IEPs. On May 18, 2016, PDA staff presented to PA CareerLink® staff about SCSEP and older workers in general during a breakout session at the PA Partners Conference on the topic, “Preparing for the Silver Tsunami: Serving Older Workers at CareerLink® Centers.” There will be two co-presenters. One will be from a local PA CareerLink® and one from a local WDB. PDA has trained and will continue to train SCSEP sub-grantees to follow-up with participants who exit for voluntary or durational limit reasons in the quarter after exit quarter to determine if they found unsubsidized employment. PDA implemented OJEs in PY 16 with the goal of improving participants’ entry into unsubsidized employment.

### **Location and Population Served, including Equitable Distribution**

**A description of the localities and populations for which projects of the type authorized by title V are most needed. (20 CFR 641.325 (d).)**

Projects authorized by Title V are to serve individuals age 55 and older who are unemployed and at or below 125 percent of the most recent federal poverty level. According to the most recent data from the PA Department of Labor & Industry’s Center for Workforce Information and Analysis, Allegheny County and Philadelphia County have the highest number of unemployed individuals age 55 and older. Philadelphia County also has the highest percentage of individuals age 55 and older who are below the poverty level. The next five counties with the highest percentages of individuals age 55 and older who are below the poverty level are all rural (Fayette, Potter, Greene, Bedford and Jefferson). In addition, federal regulatory priorities of service govern what populations are served first and there is also a Most in Need performance goal that the Department must meet. Priority of Service is provided to Veterans and qualified spouses with further preference to those persons 65 and older or individuals with: a disability; limited English proficiency; low literacy skills; reside in a rural area; low employment prospects; failed to find employment through WIOA Title I services; and homeless or at risk of homelessness. Most in Need goals include the aforementioned priority factors as well as additional factors. Populations that are included in the Most in Need service goal and the priority of service factors are the populations that the PDA will strive to serve in PY 16 and beyond.

**List the cities and counties where the project will be conducted. Include the number of SCSEP authorized positions and indicate where the positions changed from the prior year**

The following lists the counties where the SCSEP project will take place in PY 2016 to include the number of SCSEP authorized positions and any change from PY 2015 to PY 2016: Adams 2; Allegheny 44 (-2); Armstrong 0; Beaver 3; Bedford 1; Berks 10 (+1); Blair 6; Bradford 4 (-1); Bucks 14 (+1); Butler 6 (+1); Cambria 7; Cameron 1; Carbon 2; Centre 3; Chester 7; Clarion 3; Clearfield 3; Clinton 1; Columbia 3; Crawford 4 (-1); Cumberland 8 (-1); Dauphin 6 ;Delaware 12 (+1); Elk 1; Erie 13 (+1); Fayette 8 (-1); Forest 0; Franklin 6; Fulton 1; Greene 1 (-1); Huntingdon 3; Indiana 5; Jefferson 3; Juniata 2; Lackawanna 7; Lancaster 16; Lawrence 4; Lebanon 3; Lehigh 10; Luzerne 14 (-1); Lycoming 5; McKean 0; Mercer 5 (+1); Mifflin 5; Monroe 10 (+2); Montgomery 24 (+4); Montour 2; Northampton 6; Northumberland 4 (-1); Perry 3; Philadelphia 101 (-2); Pike 7 (+1); Potter 3; Schuylkill 6 (-1); Snyder 2 (-1); Somerset 5 (-1); Sullivan 1; Susquehanna 2; Tioga 2 (+1); Union 3; Venango 1; Warren 0; Washington 7; Wayne 3; Westmoreland (-1); Wyoming 2 (+1); York 7. Effective 2/1/17, due to the SCSEP national completion slots were transferred between PDA and national SCSEP sponsors. Forty-seven slots were transferred from PDA to the National Urban League (44-Allegheny and 3-Beaver). Forty-seven slots were transferred from the National Urban League to PDA’s contractor (21 - Butler, 16 - Armstrong, 10 - Venango). Fourteen slots were transferred from PDA to the National Council on Aging (Crawford - 4, Lawrence - 4, Mercer -5 and Elk - 1). Fourteen slots were transferred from the National Council on Aging to PDA’s contractor (14 - Somerset).

### **Describe current slot imbalances and proposed steps to correct inequities to achieve equitable distribution**

In comparing PY 2016 authorized positions against the current enrollments from SCSEPED (SCSEP Equitable Distribution), there are 8 out of 67 counties in the commonwealth with slot imbalances greater than 5. These slot imbalances are in the following counties: Bucks, Chester, Dauphin, Luzerne, Montgomery, Philadelphia, Tioga, and Venango. In Luzerne County, they are overenrolled because this sub-grantee overenrolls heavily and they are a high performer. In the other counties with slot imbalances greater than 5, the PDA will work with the new sub-grantees in PY 16 to move participants from overenrolled PDA sub-grantees to under-enrolled national grantees and vice versa through grantee transfers, if approved by the U.S. Department of Labor. Through natural attrition and placing participants in unsubsidized jobs in overserved counties, the Department of Aging will not refill the slots in overserved counties but instead will begin to fill slots in underserved counties where a sub-grantee serves multiple counties.

### **The state's long-term strategy for achieving an equitable distribution of SCSEP positions within the state that moves positions from over-served to underserved locations within the state in compliance with 20 CFR 641.365.**

The PDA will monitor authorized slots vs. actual enrollments on a quarterly basis for all sub-grantees to assure that slot imbalances greater than +5 do not occur. This will be done through [SCSEPED](#) and monitoring the sub-grantee, Quarterly Progress Reports where we will compare current participants to authorized slots. Quarterly calls will be made with all SCSEP grantees operating in the commonwealth, when there are slot imbalances greater than 5, to discuss corrective actions to remedy these imbalances.

### **The state's long-term strategy for achieving an equitable distribution of SCSEP positions within the state that equitably serves rural and urban areas**

Of the eight counties with slot imbalances greater than five, 6 are urban areas and 2 are rural areas. In these counties, the PA Department of Aging (PDA) is working with the new sub-grantees in PY 16 to move participants from overenrolled PDA sub-grantees to under-enrolled national grantees and vice versa through grantee transfers, if approved by the U.S. Department of Labor. Through natural attrition and placing participants in unsubsidized jobs in overserved counties, the PDA will not refill the slots in overserved counties but instead will begin to fill slots in underserved counties where a sub-grantee serves multiple counties.

### **The state's long-term strategy for achieving an equitable distribution of SCSEP positions within the state that serves individuals afforded priority for service under 20 CFR 641.520. (20 CFR 641.302(a), 641.365, 641.520)**

In PY 16 and subsequent years, the PA Department of Aging (PDA) will monitor on a quarterly basis the number of individuals afforded priority of service against prior year quarterly data to strive for continuous improvement. PY 16 Quarter 3 data indicates the following service levels for individuals afforded priority of service: Veterans-101 participants or 18 percent of total participants served; Persons 65 and older- 197 participants or 342 percent of total participants served; Persons with Disabilities-144 participants or 25 percent of total participants served; Persons with Limited English Proficiency-25 participants or 4 percent of total participants served; Persons with Low Literacy Skills-59 participants or 10 percent of total participants served; Persons residing in rural areas-139 participants or 24 percent of total participants served; Persons with Low Employment Prospects-526 participants or 91 percent of total participants served.

served; Persons who Failed to Find Employment through WIOA Services-108 participants or 19 percent of total participants served; and Persons who are homeless or at risk of homelessness-308 participants or 53 percent of total participants served. PDA is working with its Census data staff to determine if there are any specific Census data sets for these priority factors for individuals age 55 and older or on a statewide total population. PDA can then gauge if it is serving these populations in proportion to the statewide percentages for each individual priority factor.

#### **The ratio of eligible individuals in each service area to the total eligible population in the state (20 CFR 641.325(a)).**

Please refer to [Appendix XI](#), which shows the statewide total number of eligible individuals under 125 percent of the poverty level (450,699) and the number of individuals under 125 percent of the poverty level for each county. This data was obtained from the U.S. Census Bureau, 2009-2013 American Community Survey.

#### **The relative distribution of eligible individuals who reside in urban and rural areas within the state.**

The statewide distribution of eligible individuals who reside in rural and urban areas is 20 percent and 80 percent, respectively. This data was obtained from the U.S. Census Bureau, 2009-2013 American Community Survey. Based on the final FY 15-16 quarterly progress reports for the PA Department of Aging and the 9 national SCSEP sponsors, 21percent of the participants served resided in rural area and 79 percent resided in urban areas.

#### **The relative distribution of eligible individuals who have the greatest economic need**

According to data from the U.S. Census Bureau, 2009-2013 American Community Survey, there were 450,699 individuals in the commonwealth who were age 55 and older and whose incomes were below 125 percent of the poverty level. Of this total, 303,760 individuals had incomes below the poverty level. Thus, 67 percent of the SCSEP eligible population in the commonwealth was determined to have the greatest economic need (below poverty). Based on the final FY 15-16 quarterly progress reports for the Department of Aging and the nine national SCSEP sponsors, 87 percent of the participants served were below the poverty level. The percent of individuals served by the Department of Aging and nine national SCSEP operators in the commonwealth in FY 15-16 who were below the poverty level (87 percent) exceeded the statewide percentage of eligible individuals that are below the poverty level (67 percent).

#### **The relative distribution of eligible individuals who are minorities**

According to the SCSEP Analysis of Service to Minority Individuals PY 2015, Volume II, May 11, 2017 the minority population in the commonwealth was 26.2 percent. Based on the final FY 15-16 quarterly progress reports for the Department of Aging and the nine national SCSEP sponsors, 49.6 percent of the individuals served were minorities, which is almost double the statewide percentage.

#### **The relative distribution of eligible individuals who are limited English proficient**

According to data from the U.S. Census Bureau, 2009-2013 American Community Survey, 5.8 percent of the SCSEP eligible population in the commonwealth did not speak English well or did not speak English at all. Based on the latest quarterly progress reports for the Department of Aging and the nine national SCSEP sponsors, 7 percent of the individuals served had limited English proficiency. The percent of individuals served by the PA Department of Aging and nine national SCSEP operators for the latest report who had

limited English proficiency (7 percent) exceeds the statewide percentage of eligible individuals who did not speak English very well or did not speak English at all (5.8 percent).

#### **The relative distribution of eligible individuals who have the greatest social need.**

One of the PA Department of Aging's (PDA) and the nine national SCSEP sponsors six performance goals is serving the most in need. This goal measures the average number of barriers per SCSEP participant. The barriers are: severe disability; frail; age 75 or older; old enough for but not receiving Social Security Title II; severely limited employment prospects and living in an area of persistent unemployment; limited English proficiency; low literacy skills; disability; rural; veterans; low employment prospects; failed to find employment after using WIOA Title I services; homeless; and at risk of homelessness. The total number of barriers divided by the number of participants served provides the average number of barriers per participant. Based on the final FY 15-16 quarterly progress reports for the PDA and the 9 national SCSEP sponsors, all nine of the national sponsors exceeded their most in need goal and eight of the Department of Aging sub grantees exceeded this goal.

#### **A description of the steps taken to avoid disruptions to the greatest extent possible, when positions are redistributed, as provided in 20 CFR 641.365; when new Census or other reliable data become available; or when there is over-enrollment for any other reason. (20 CFR 641.325(i), 641.302(b))**

SCSEP providers in the commonwealth will ensure the least disruption possible to participants when positions are redistributed or when new Census data becomes available, or for any other reason. Participants can never be terminated because of changes in funding, slots, providers or any other reason. If changes are needed, they will be implemented gradually and no participant will be adversely affected. SCSEP grantees in the commonwealth are not permitted to transfer slots from one county to another county or from one county to another state unless there is prior approval from the Department of Aging and the U.S. Department of Labor.

### **SCSEP Operations**

#### **Administrative**

#### **Identification of the key staff, including the primary responsibilities and how subprojects will be managed**

Key staff from the PA Department of Aging (PDA) and the amount of time they are assigned to the grant are Aging Services Specialist (100 percent), Budget Analyst 3 (8 percent), Budget Analyst 4 (3 percent), Budget Analyst 4 (3 percent) and Budget Analyst 5 (2 percent). All the aforementioned key staff, with the exception of the Aging Services Specialist, are from the Department's Bureau of Finance. Bureau of Finance staff compile and process grants for all sub-grantees; review expenditure reports for all sub-grantees; process payments for all sub-grantees; review budgets for all sub-grantees; and process quarterly reporting information to the Comptroller. The Aging Services Specialist is the SCSEP Program Manager and is housed in the Bureau of Aging Services. The Aging Services Specialist's primary responsibilities are to monitor SCSEP sub-grantees; manage data collection and reporting; coordinate recognition events for SCSEP participants and employers; negotiate annual performance goals; conduct SCSEP data validation; and prepare the annual grant application for SCSEP funds. It should also be noted that Financial Representatives housed in the Bureau of Finance conduct financial monitoring of all SCSEP sub-grantees.

**Include an organization chart depicting any sub-grantees or local affiliates implementing the grant. Include a table with authorized positions for each sub-grantee or affiliate, if applicable.**

Please note that when viewing the Organizational Charts contained in [Attachment B](#), the Bureau of Aging Services and the Bureau of Finance are under the Deputy Secretary of Aging. Please see [Attachment C](#) for a list of the sub-grantees along with their PY 16 authorized slots.

**Describe training that will be provided to local staff.**

The PA Department of Aging (PDA) conducts an annual SCSEP training for local sub-grantee staff on topics that are requested from local sub-grantee staff or are deemed appropriate by the Department based on current SCSEP developments. For example, when the most recent SCSEP regulations were published, a national SCSEP trainer that is also used by the U.S. Department of Labor provided 1.5 days of training on the new regulations. The SCSEP training products on Workforce3One are also very helpful to both grantee and local staff.

In October 2017, PDA hosted a statewide training on SCSEP services for over 15 state and local workforce partners, including the PA Department of Labor & Industry, Department of Human Services, Office of Vocational Rehabilitation, Department of Corrections, Department of Transportation, Department of Community and Economic Development, Local Board and CareerLink® staff, and AARP.

**Describe how projects will be monitored for program and financial compliance, including audit plans.**

The Aging Services Specialist in the Bureau of Aging Services will have primary responsibility for monitoring the SCSEP programs administered by the PA Department of Aging's (PDA) SCSEP sub-grantees. The Aging Services Specialist will conduct bi-annual reviews of each of these agencies. Spending patterns, performance, narrative reports and PA CareerLink® involvement will all be considered in the selection process to determine which agency the Employment Specialist visits. Financial Representatives from the Bureau of Finance will conduct financial monitoring of all sub-grantees. Additionally, each sub grantee is required to have an annual audit performed by an independent certified public accountant. For Area Agencies on Aging sub-grantees, SCSEP funds are included in the overall agency audits. The audits are reviewed and reconciled by PDA's Bureau of Finance Staff.

**Describe how the State will manage its providers and how it will transfer participants if new providers are selected to serve in the State**

The SCSEP Data Collection and Reporting System, known as SPARQ, is used by the PA Department of Aging's (PDA) Aging Services Specialist to manage provider enrollments, equitable distribution, unsubsidized placement follow-ups, assessments, and IEPs and performance. Quarterly expenditure reports are used to monitor expenditures. Any shift of positions by PDA to achieve more equitable distribution will be a gradual process based on attrition. These gradual shifts of positions by the PDA will minimize and most likely eliminate any adverse impacts on the participants. Any movement of positions by PDA to remedy slot imbalances in Program Years 2016-2020 will not adversely impact SCSEP participants. Also, in the event that the PDA would lose positions to another grantee, the PDA will make the transition for the participants as seamless as possible.

Recruitment

**Describe how grantee will recruit and select of participants will be achieved. The eligibility of participants is described under 20 CRF 641.500 and 641.525**

The PA Department of Aging's (DPA) SCSEP sub-grantees have the responsibility of developing recruitment procedures that will allow them to enroll individuals in accordance with the service priority categories and which will help them to achieve the Most in Need performance goal. Sub-grantee recruitment methods include, but are not limited, to the following:

- Convening special meetings with community agencies to pool recruitment resources;
- Coordinating with Workforce Innovation and Opportunity Act (WIOA) Programs, PA CareerLink® centers, County Assistance Offices, Vocational Rehabilitation Offices and other local service agencies;
- Speaking engagements with civic organizations, business organizations, and other employer groups;
- Having a public relations program that includes paid advertisements, employment and training "news" stories with photographs, public service announcements on radio and TV and PA Department of Aging employment brochures;
- Dissemination of specially produced material (pamphlets, placards, brochures, and audio/visual presentations); and
- Holding job fairs and local ceremonies to observe "National Employ Older Worker" week.

**Income Eligibility**

**Describe how participant income will be recertified each year, including where eligibility records will be maintained.**

Participant income, family size, and employment status are recertified for all participants within 12 months of their last eligibility certification or recertification. It should be noted that local sub-grantee staff inform participants to report any change in income, family size or employment status that could affect the participant's continued eligibility for SCSEP. If a participant reports any change in income, family size or employment status, the recertification will be conducted immediately. Eligibility records are securely stored at the offices of local sub-grantees.

**Describe the orientation procedures for participants and host agencies.**

Participants receive an overview of the program prior to enrollment and then after enrollment, there is a formal orientation for participants. The formal orientation informs participants of the SCSEP goals and policies, durational limits, allowable and unallowable political activities, grievance procedure, termination policy, etc. Participants sign an orientation form acknowledging that they have received and understand the orientation information that was provided to them.

During the host agency orientation, the host agency director and the participant's supervisor are provided with information related to their responsibility to supervise the participant in a safe and healthy environment; provide the participant with training and assistance in finding an unsubsidized job; maintenance of effort requirements, participant complaint procedures, etc. The Host Agency Director signs the host agency agreement acknowledging their responsibilities and requirements with training the participant in the SCSEP.



**Describe any policy for maximum duration of enrollment or maximum time in community service and provide a copy of the current Duration Limit policy.**

See [Attachment D](#) for a copy of the current PA Department of Aging Durational Limit Policy.

**Describe the procedures for assessing job aptitudes, job readiness, and job preferences of participants and their potential to transition into unsubsidized employment. Also describe how the assessment will be used to develop the participant's Individual Employment Plan (IEP).**

The assessment is made in partnership with the participant. SCSEP sub-grantee staff gathers information on the individual's work history, formal and informal education and training, skills, talents, physical capabilities, and need for supportive services. The PA Department of Aging's (PDA) largest sub-grantee uses a computerized assessment and career planning system called *JobReady* to complete the assessment and Individual Employment Plan (IEP). *JobReady* allows program staff and participants to: (1) identify job choices based on an assessment of the participants' interests, personal characteristics, skills and experience; (2) quickly test and assess participants' existing skill levels for their job choices while identifying skill gaps and other barriers; (3) create the IEP that starts with a host agency training assignment and guides the participants through the stated objectives and actions required to prepare for their job goals; (4) access online training programs to eliminate skill gaps; (5) earn Job Skill Certificates that show employers that participants have the required abilities for the job; and (6) link to job openings in the community. Participants are reassessed at least twice annually. The initial IEP is determined based on the participant's assessment. Sub-grantee staff and the participant jointly develop the IEP to ensure that it is realistic and that it accurately reflects the participant's interests and needs.

**Describe how the participant will be assigned to community service including:**

**The types of community service activity that will be emphasized and how they were chosen; methods used to match participants with community service training.**

The SCSEP provides over 425,000 community service hours to public and non-profit agencies, allowing them to enhance and provide needed services. Local community leaders are in a position to better understand the unique needs of their communities. Therefore, the PA Department of Aging leaves the task of identifying the types of community services and the places where these services are needed at the local level. Locally, SCSEP sub-grantees select community agencies that can provide quality training in High Priority Occupations; those that provide meaningful support in the job search process; those that have the potential to hire participants; and those that can provide training in multiple areas.

**The extent to which participants will be placed in the administration of the project itself.**

Several PA Department of Aging (PDA) SCSEP sub-grantees use participants in administrative and job development capacities. Many participants that have worked in project administration at the sub-grantee's office were subsequently hired by the sub-grantee's agency.

**The types of host agencies used and the procedures and criteria for selecting the assignments.**

SCSEP sub-grantees are aware of the local community needs from networking with community leaders. They are also aware of which agencies can qualify as a SCSEP host agency. They determine the quality of training that can be provided by the host agency and if the training is related to a High Priority Occupation (HPO). Local SCSEP sub-grantees use the assessment and the IEP as the basis for developing an

appropriate host agency assignment. They review the available host agencies to find the closest match with the participant's IEP goal and training objectives. When a participant has specific needs that cannot be met by the current host agencies, the local SCSEP sub-grantee will seek out a new host agency.

**The average number of hours in a participant's training week.**

SCSEP participants work an average of 20 hours per week and currently earn \$7.25 per hour.

**The fringe benefits offered (if any).**

SCSEP participants receive FICA, Workers Compensation and an annual physical exam. The offer of an initial physical examination and an annual physical examination thereafter is made to all participants. The participant is advised that the physical examination is a benefit and not a requirement for initial enrollment or continuing enrollment. If a participant declines to accept the offer of a physical examination, this will be documented.

The agreements between the PA Department of Aging (PDA) SCSEP sub-grantees and the host agencies will state that a participant must receive at least the same amount and degree of supervision as permanent employees performing comparable job tasks. Sub-grantees will conduct periodic host agency monitoring to assure appropriate supervision is being provided.

**Describe the training that will be provided during community service assignments and any other types of training provided, including linkages with local one-stop centers, and Registered Apprenticeship.**

The assessment and IEP are used to develop each participant's community service training assignment. Most SCSEP sub-grantees offer computer training for participants. One sub-grantee's host agency developed a two-week curriculum for computer classes, which took place at two PA CareerLink® centers, Pennsylvania's one-stop centers, in Southwestern Pennsylvania. The concept was to bring together older individuals and senior high school students into a collaborative process to foster relationships whereby older individuals could use their experiences to mentor students and students could provide computer training to older individuals. All 15 SCSEP enrollees participated in the training.

Organizations located at the PA CareerLink® provide training in areas such as literacy, self-esteem, basic computer entry, Internet job search, and interpersonal skills. There are currently no linkages between sub-grantees and the Registered Apprenticeship Program but this is something that may be explored as a topic at a future SCSEP training.

**Describe the supportive services that will be offered to help participants obtain and retain an unsubsidized job, including transportation assistance (if applicable).**

For any participant who finds unsubsidized employment, supportive services may be provided up to 12 months following the participant's exit provided that the services are necessary for the participant to remain employed. Sub-grantees first seek to obtain supportive services for free from local agencies. For example, local Area Agencies on Aging provide support services that can help participants retain an unsubsidized job such as adult day care, meals on wheels, personal care services, transportation and home modifications. Local Adult Education programs partner with SCSEP sub-grantees to provide GED services to participants, which will facilitate their job search. Individuals age 65 and older may ride for free at all times, any day of the week, on the fixed route services of local public transit systems throughout Pennsylvania. Also, on non-fixed route bus systems, the commonwealth's Shared-Ride Program allows



individuals age 65 and older to ride at an 85 percent reduced fare with the local shared-ride transportation provider. When necessary, SCSEP funds are used mostly for the following supportive services: transportation for individuals under age 65, work shoes, uniforms, eyeglasses and tools.

**Describe the procedures for terminating a participant, including IEP terminations. Please provide a copy of the current termination procedures.**

See [Attachment E](#), which contains the PA Department of Aging (PDA) Termination Policy. This policy describes the procedures for terminating a participant including IEP terminations.

**Describe the procedures for addressing and resolving participant complaints and grievances related to program termination. Please provide a copy of the current complaint/grievance policies.**

See [Attachment E](#), which contains the PA Department of Aging (PDA) Complaints and Grievance Policy.

**Describe procedures for fully enrolling all available slots, including over enrolling participants, and how over-enrollments will be balanced with equitable distribution requirements.**

Over enrolling participants, based on the SCSEP sub-grantee's historical exit rate, is a management tool that SCSEP sub-grantees use to minimize adjusting participant training hours throughout the fiscal year. For those sub-grantees with slots in more than one county, the Department Aging Services Specialist monitors the county's actual enrollment data against the county's equitable distribution amount in [SCSEPED.org](#). Counties with slot imbalances are required to move towards equitable distribution through attrition.

**Include a proposed level for each performance measure for each of the program years covered by the plan. While the plan is under review, the State will negotiate with the Employment and Training Administration to set the appropriate levels for the next year. The State may also negotiate performance levels in subsequent modification. At a minimum, States must identify the performance indicators required under the SCSEP Final Rule published on September 1, 2010, and, for each indicator, the State must develop an objective and quantifiable performance goal for the next year. The performance measures include: entered employment; employment retention; average earnings; service level; service to most-in-need; and community service.**

In PY 16, the PA Department of Aging (PDA) is required to meet the following SCSEP performance goals for these six performance measures: Entered Employment - 48.8 percent, Retention - 74.3 percent, Average Earnings - \$7,147, Service Level - 167 percent, Community Service - 83.5 percent, and Most-in-Need - 2.74 percent. In PY 17, PDA is required to meet the following performance goals: Entered Employment - 46.9 percent, Retention - 72.1 percent, Average Earnings - \$7,156, Service Level - 165.3 percent, Community Service - 80 percent, and Most-in-Need - 2.71 percent.

#### Administrative Costs

**Describe any request for an increase in administrative costs consistent with Section 502(c) (3) of the Older Americans Act.**

The PA Department of Aging (PDA) has never requested an increase in administrative costs.

## **Community Services Block Grant**

**Describe how the State and the eligible entities will coordinate the provision of employment and training activities through Statewide and local WIOA workforce development systems.**

The PA Department of Community and Economic Development (DCED) is the commonwealth agency that represents the required one-stop (PA CareerLink® partner) representing employment and training activities carried out under the Community Services Block Grant Act (42. U.S.C. 9901 et seq.) at the state level.

The mission of the Community Services Block grant (CSBG) is to provide a full range of services and activities having a measurable impact on the causes of poverty in a community or those areas of a community where poverty is a particularly acute problem. Only federally designated Community Action Agencies (CAA) receive funding, therefore in PA, there are 44 CAAs that cover all 67 PA counties.

Ameliorating the causes and conditions of poverty take on a variety of community engagement activities and collaborative activism to remove obstacles that block the achievement of self-sufficiency; i.e. employment and training resources; community stakeholder collaboration; literacy activities; obtaining adequate housing; grassroots activities that provide intervention to the causes of poverty; addressing the needs of youth through programming or coordination; and increased engagement in community planning and improvement activities.

CSBG has been in existence since 1965, always with the same mission: to improve the causes and conditions of poverty. Initiatives have included, but are not limited to: neighborhood linkages, leverage of community resources, conduction of Volunteer Income Tax Assistance sites, building housing capacity, provision of family self-sufficiency and case management, facilitation of Results-Oriented Management and Accountability. For every one dollar of CSBG funds, the PA network leveraged \$16.82 from other federal, state, local, and private sources, including the calculated value of volunteer hours.

DCED will participate in the local workforce service delivery system via the local CSBG agencies. In the work plans that will be authored and submitted by the Community Services Block Grant (CSBG) agencies for the 2018 calendar year of activity, DCED will direct sub-grantees to describe how they will conduct their planning and implementation of workforce activities in collaboration with LWDBs. CSBG agencies may agree to:

- Participate on local and regional planning groups;
- Engage in Business Service Teams activities;
- Have print materials available in the PA CareerLink® centers;
- Be linked to local workforce websites on computers;
- Potentially hold meetings at PA CareerLink® centers;
- Conduct joint employer outreach sessions as necessary; and
- Seek to leverage grant funding opportunities.

DCED will contribute financial assistance to be applied to the infrastructure and other operating costs of the PA CareerLink® sites annually and to the extent funding exists.

It is DCED's commitment that CSBG, as a required partner, will become part of the fabric of the public workforce system in a much more formalized way than in the previous years.

**Provide examples of innovative employment and training programs and activities conducted by eligible entities or other neighborhood-based organizations as part of a community antipoverty strategy.**

Several of the CSBG eligible agencies already provide unique and innovative workforce training and employment related programs. For example:

- Bucks County Opportunity Council provides the Economic Self-sufficiency program which connects and supports individual clients through education and attainment of family sustaining wages. The program is tailored to each individual's level of need in order to be as impactful as possible.
- Westmoreland Community Action has created a social enterprise to educate clients in basic employment skills, as well as occupation specific skills through its salvage business, Shop Demo Depot.
- Several eligible entities all over the state have partnered to implement an employment initiative targeting veterans. This program uses individual service plans to determine each person's need and then provides a host of services, including pre-employment training, job development, paid work experience, and/or subsidized employment, to remove barriers.
- Central Susquehanna Opportunities has initiated the creation of its own employment center when the local PA CareerLink® center moved and created a transportation problem for residents. The center offers job search assistance and hosts numerous recruitment events for area employers.

Plans are being carried out to create two new training programs with corresponding social enterprises:

- Fayette County Community Action Agency is creating a food based manufacturing and distribution center.
- Montgomery County Community Action Development Commission is creating a copying/printing business. Similar to Shop Demo Depot, these programs will train low income individuals in the necessary skills and then employ them to give them experience as well as reinforce basic employability skills.

## **Reintegration of Ex-Offenders (REO) Program**

### **Coordination of Services and Activities for Justice-Involved Individuals**

**Describe how the State and the REO grantee(s) will coordinate services and activities for justice-involved individuals to successfully attain employment and transition into their communities with Statewide and local WIOA workforce development systems.**

Increased collaboration amongst the education, training, workforce development, criminal justice and community-based systems to enhance reentry employment opportunities promotes an effective and efficient government that works. The alignment of reentry planning and service activities among stakeholders can likely improve the justice-involved individual's ability to attain and retain a job that pays family-sustaining wages and to be a productive tax-paying citizen.

Approximately 1 in 28 individuals in Pennsylvania are either incarcerated or under some form of parole/probation supervision. Over 81 percent of the individuals entering the DOC self-report as unskilled or possess no skills and reading levels are slightly below 8<sup>th</sup> and 9<sup>th</sup> grades for male and female offenders,

respectively. Over 90 percent of the DOC population will ultimately be released and have reintegration needs including employment. Identified as an individual with barriers to employment, ex-offenders also characteristically are basic skills deficient and depict the sub-populations of individuals with disabilities, low-income individuals, individuals receiving public assistance such as SNAP, homeless, older, english language learners, single parents, long-term unemployed, and veterans.

Faith-based and community organizations, as well as local workforce development boards, local workforce service providers and criminal justice system providers that intend to apply to the REO Program solicitations can contact DOC for a letter of support or more formal agreements to support reentry program implementation. The DOC values the applicant's ability to demonstrate an understanding of the criminally-involved population and the criminal justice system as well as the evidence-based practices that support an individual's reentry success and recidivism reduction. The PA Department of Corrections (DOC) will communicate with PA Department of Labor & Industry (L&I) regarding the requests for support and ensure the proposed program/activities align to WIOA priorities. Similar outreach will occur should L&I receive the request.

The U.S. Department of Labor (U.S. DOL) REO Program awards are provided directly to the applicant and, in the instance a formal collaboration with the DOC has been established, DOC coordinates access to the state offender population and participates in REO programs as agreed upon.

Coordination of services and activities for justice involved individuals to attain and retain employment across the community, workforce and criminal justice systems is critical. However, since most applicants are direct recipients of the grant award, DOC does not partner with all applicants, and there is no formal mechanism established by U.S. DOL to indicate/communicate to DOC or L&I that an REO award is made in Pennsylvania. The DOC proposes a collaborative partnership with L&I via an REO workgroup. The workgroup would be responsible to:

- Outreach and communicate to REO applicants (and potential applicants) on availability of solicitations.
- Establish a process to determine formal agreements of support by L&I and DOC for applications, including prioritization for programs/activities that a) align with the WIOA priorities outlined in the State Plan, b) incorporate workforce best practices that achieve successful employment outcomes and c) incorporate criminal justice best practices that achieve successful recidivism reduction outcomes.
- Monitor U.S. DOL REO awardee information for Pennsylvania recipients.
- Provide technical assistance, where possible and appropriate, to REO recipients in areas such as: designating staff contacts to guide interaction with the workforce and criminal justice systems, establish points of access and processes across the REO program and the workforce and criminal justice systems, identify opportunities to align common intake/referral, identify opportunities to support the identification and tracking of performance for ex-offenders, and other opportunities.

The State Board has also established a Re-entry Ad Hoc Committee that will identify strategies to support ex-offenders re-entering the workforce and encourage employers to hire ex-offenders. Committee members will include State Board members, Local Board members and staff, representatives from the PA Departments of Labor & Industry and Corrections, CareerLink® staff, training providers, employers, and ex-offenders.

### **Innovative Employment and Training Programs**

**Provide examples of innovative employment and training programs and activities conducted by faith-based and community organizations (FBCOs), State reentry councils, and justice-organizations in coordination with education and training providers, one-stop centers and other supportive services, which improve employment outcomes for justice-involved individuals..**

Research indicates that employment placement is a vital step in the employment process but it is employment retention that is just one critical factor to address successful reintegration of ex-offenders. When combined with proven strategies to address positive cognitive-behavioral change in the criminally involved individual, employment programs have the greatest ability to achieve successful employment outcomes and recidivism reduction.

Innovative employment and training programs that achieve successful employment and recidivism outcomes incorporate:

1. Recidivism reduction strategies that address cognitive behavioral interventions and other techniques that address criminogenic risk in conjunction with training and employment services;
2. Staff capacity that leverages workforce development, criminal justice and cognitive behavioral intervention techniques such as Motivational Interviewing and the National Institute of Corrections' Reentry Employment Specialist, Offender Workforce Development Specialist, Offender Employment Retention Specialists trainings;
3. Job retention services are needed for approximately 12 months from the date of job placement and incorporate program strategies such as job coaching, intensive case management, and supportive services; and
4. Transitional Work Experiences provide ex-offenders with the much-needed skill development and work experience to support successful employment outcomes.

## Section V – Assurances

### Common Assurances

1. That the state has established a policy identifying circumstances that may present a conflict of interest for a state board or local board member, or the entity or class of officials that the member represents, and procedures to resolve such conflicts.

**Assurance(s) or Attachment(s):**

- [PA Management Directive 205.9 Code of Conduct Statement of Financial Interest Filing](#)
- [PA Management Directive 205.10 Ethics Act Financial Disclosures](#)
- [Bylaws of the Pennsylvania Workforce Development Board](#)
- [Local Governance Policy 02-2015](#)

2. That the state has established a policy to provide to the public (including individuals with disabilities) access to meetings of state boards and Local Boards, and information regarding activities of state boards and Local Boards, such as data on board membership and minutes.

**Assurance(s) or Attachment(s):**

- [Pennsylvania Sunshine Act](#)
- [PA Management Directive 250.1, Advertisement of Public Meetings](#)
- [PA Management Directive 205.36, Right to Know Law](#)
- [PA Management Directive 205.26 The Americans with Disabilities Act of 1990, Title II, Subtitle A, Nondiscrimination in State and Local Government Services](#)
- [Local Governance Policy 02-2015](#)

3. That the lead state agencies with responsibility for the administration of core programs reviewed and commented on the appropriate operational planning elements of the State Plan, and approved the elements as serving the needs of the populations served by such programs.

**Assurance(s) or Attachment(s):**

Representatives from each of the core programs assisted in writing the Combined State Plan. Additionally, a team of individuals representing each of the core programs met regularly during the drafting of the Plan to allow appropriate input and guidance from all partners. All core program partners were given the opportunity to review and comment on the draft Plan prior to it being posted for public comment to ensure the Plan serves the needs of the populations served by each program.

4. That the state obtained input into the development of the State Plan and provided an opportunity for comment on the plan by representatives of Local Boards and Chief Elected Official(s), businesses, labor organizations, institutions of higher education, other primary stakeholders, and the general public and that the State Plan is available and accessible to the general public. That the State provided an opportunity for review and comment on the plan by the State Board, including State agency official(s) for the Unemployment Insurance agency if such official is a member of the State Board.

**Assurance(s) or Attachment(s):**

In developing the original State Plan, the commonwealth established seven working groups of stakeholders to provide input to the State Plan. This stakeholder input process began with a “kickoff” meeting on April 30, 2015, followed by numerous subsequent work group meetings. The seven workgroups were as follows: Barriers to Employment; Governance and Planning; PA CareerLink® Operations; Performance and Accountability; Service Delivery; Strategies; and Youth Services. Work groups met from May through August and ultimately produced white papers to inform the Combined State Plan. Almost 300 individuals participated in work group activities to include 21 full work group meetings and 14 sub-group meetings. A listing of all workgroup meetings is included as [Appendix XII](#).

Additionally, the Secretary of the PA Department of Labor & Industry (L&I) held five roundtable meetings with businesses across the state in the summer of 2015. Meetings were held in Lancaster, the Lehigh Valley, Wilkes-Barre, Glassport (Allegheny County), and Centre County with local chambers of commerce and their members. Feedback was provided by businesses regarding how they currently interact with the workforce development system and changes and improvements they would like to see in the future.

The commonwealth also established a WIOA Legislator Workgroup to keep the Pennsylvania General Assembly apprised of the goals and strategies of the State Plan and to allow lawmakers to provide input based on their experiences and conversations with constituents.

The SWDB received an overview of the State Plan and the State Plan process at their meeting on October 8, 2015. After the meeting, all SWDB members were given the opportunity to review and comment on the Strategic portion of the State Plan. Additionally, the SWDB established an ad hoc committee to review and provide input for the entire State Plan. The State Plan Ad Hoc Committee met on November 6, 2015 and offered feedback, which was incorporated into the State Plan as posted for public comment. Members of the SWDB also participated in a strategic planning retreat on November 12, 2015 in which they were guided through a “lab” process to establish board priorities and inform State Plan strategies. The SWDB will vote to approve the State Plan before it is submitted finally.

The plan was posted for public comment on December 28, 2015. Local Workforce Development Boards and stakeholders were notified. Other agencies were also notified and were asked to share the State Plan with their respective stakeholders, to include economic development entities and educational institutions. Public comment was due on February 1, 2016. The commonwealth received comment from roughly 200 individuals and organizations. Comments were submitted by elected officials, local workforce development board members and staff, colleges, community colleges, private licensed schools and other training providers, service providers and clients, libraries, advocacy organizations, associations, and individuals. Every comment was reviewed and will be responded to in an attachment to the Final State Plan.

As a means of deriving additional public input, the commonwealth created an online survey which asked questions related to specific goals emphasized in the Plan. Nearly 100 persons used the survey to indicate their level of support for career pathways, incumbent worker training programs, provision of work-based learning opportunities for youth, employer

engagement and data sharing. Respondents also had the opportunity to share opinions on additional priorities for the Plan. A summary of the results, showing strong support for each of the five goals, follows:



In developing the State Plan Modification, the modified State Plan was posted for public comment from December 15, 2017 to January 15, 2018. The commonwealth notified Local Workforce Development Boards and other stakeholders. The commonwealth also notified state agencies, which were asked to share the State Plan with their respective stakeholders, including, economic development entities and educational institutions. The commonwealth received comments from four individuals/organizations. One comment was related to the Office of Vocational Rehabilitation, but not related to the State Plan. The remaining three comments were from local workforce development board members and staff, and training and employment service providers. Every comment was reviewed and will be responded to in an attachment to the Final State Plan.

5. That the state has established, in accordance with Section 116(i), fiscal control and fund accounting procedures that may be necessary to ensure the proper disbursement of, and accounting for, funds paid to the state through allotments made for adult, Dislocated Worker, and youth programs to carry out workforce investment activities under chapters 2 and 3 of subtitle 3.

**Assurance(s) or Attachment(s):**

- [Financial Management Policy](#)

6. That the state has taken the appropriate action to be in compliance with Section 188, if applicable.

**Assurance(s) or Attachment(s):**

- [Methods of Administration \(2016\)](#)
- [PA Management Directive 205.26 The Americans with Disabilities Act of 1990, Title II, Subtitle A, Nondiscrimination in State and Local Government Services](#)
- [PA Management Directive 215.12 Provisions Concerning the Americans with Disabilities Act](#)



- [PA Management Directive 410.10 Amended - Guidelines for Investigating and Resolving Internal Discrimination Complaints](#)
- [PA Management Directive 410.11 - Commonwealth's Equal Employment, Outreach, and Employment Counseling Program](#)
- [IT Accessibility Statement](#)

7. That the federal funds received to carry out a core program will not be expended for any purpose other than for activities authorized with respect to such funds under that core program.

**Assurance(s) or Attachment(s):**

- [Financial Management Policy](#)

8. That the state will pay an appropriate share (as defined by the state board) of the costs of carrying out Section 116, from funds made available through each of the core programs.

**Assurance(s) or Attachment(s):**

- [Financial Management Policy](#)

9. The State has a one-stop certification policy that ensures the physical and programmatic accessibility of all one-stop centers with the ADA of 1990.

**Assurance(s) or Attachment(s):**

- [Local Workforce Delivery System - PA CareerLink® Certification and Continuous Improvement](#)
- [Methods of Administration \(2016\)](#)

10. Service providers have a referral process in place for directing Veterans with significant barriers to employment to DVOP services, when appropriate.

**Assurance(s) or Attachment(s):**

- Each PA CareerLink® site possesses a local customer flow policy that identifies those veterans who may be referred to the DVOP Specialist. PA CareerLink® staff members and partners who provide services to PA CareerLink® customers only refer veterans with significant barriers to employment, veterans age 18 to 24, and transitioning service members in need of intensive services to DVOP Specialists. Veterans' Program Letter Nos. 03-14, 04-14, 08-14.

11. The state will conduct evaluations and research projects on activities under WIOA core programs; that such projects will be coordinated with, and designed in conjunction with, State and Local Boards and with State agencies responsible for the administration of all respective core programs; and further, that the projects will be coordinated with the evaluations provided for by the Secretary of Labor and the Secretary of Education under WIOA.

**Assurance(s) or Attachment(s):**

- The commonwealth agrees to conduct evaluations and research projects on WIOA core program activities. Such projects will be coordinated and designed in conjunction with the state and local WDBs and the agencies (L&I and PDE) responsible for the administration of the core programs. Projects will be coordinated with the evaluations provided for by the Secretary of Labor and the Secretary of Education.

## Title I-B Assurances

1. The state has implemented a policy to ensure Adult program funds provide a priority in the delivery of career and training services to individuals who are low income, public assistance recipients or basic skills deficient.

**Assurance(s) or Attachment(s):**

- [Workforce System Policy 05-2015, Priority of Service](#)

2. The state has implemented a policy to ensure Local Areas have a process in place for referring veterans with significant barriers to employment to career services provided by the JVSG program's DVOP specialist.

**Assurance(s) or Attachment(s):**

- [Workforce System Policy 05-2015, Priority of Service](#)

3. The state has established a written policy and procedure that set forth criteria to be used by CEOs for the appointment of LWDB members.

**Assurance(s) or Attachment(s):**

- [Local Governance Policy 02-2015](#)

4. The state has established a written policy and procedures to ensure LWDBs are certified by the governor every two years in accordance with WIOA Section 107(c)(2).

**Assurance(s) or Attachment(s):**

- [Local Governance Policy 02-2015](#)

5. Where an alternative entity takes the place of a State Board, the State has written policy and procedures to ensure the alternative entity meets the definition under WIOA Section 101(e) and the legal requirements for membership.

This assurance is not applicable to Pennsylvania.

6. The state has established a written policy and procedure for how the individuals and entities represented on the State WDB help to determine the methods and factors of distribution, and how the State consults with CEOs in Local Areas throughout the State in determining the distributions.

**Assurance(s) or Attachment(s):**

- This policy is still under development and will be brought before the State Board in 2018.

7. The state will not use funds received under WIOA Title I to assist, promote, or deter union organizing in accordance with WIOA Section 181(b)(7).

**Assurance(s) or Attachment(s):**

- In accordance with WIOA Section 181(b)(7), the Commonwealth of Pennsylvania will not use funds received under WIOA Title I to assist, promote or deter union organizing.

**8. The state distributes Adult and Youth funds received under WIOA equitably throughout the State, and no local area suffers significant shifts in funding from year-to-year during the period covered by this plan.**

**Assurance(s) or Attachment(s):**

- [Financial Management Policy](#)

**9. If a state WDB, department, or agency administers state laws for VR of persons with disabilities, that board, department or agency cooperates with the agency that administers W-P services, Adult and DW programs, and Youth programs under Title I.**

**Assurance(s) or Attachment(s):**

- VR programs are administered by the Office of Vocational Rehabilitation within the Pennsylvania Department of Labor & Industry. Wagner-Peyser, Adult, Dislocated Worker and Youth programs under Title I are also administered by the Department of Labor & Industry allowing for cooperation across the programs.

**10. Priority of Service for covered persons is provided for each of the Title I programs.**

**Assurance(s) or Attachment(s):**

- [Workforce System Policy 05-2015, Priority of Service](#)

**11. The state agrees to report on the impact and outcomes of its approved waivers in its WIOA Annual Report.**

**Assurance(s) or Attachment(s):**

- Per Training and Guidance Letter (TEGL) 38-14, the commonwealth has no current waivers. When a barrier is identified that precludes or limits the effectiveness of efforts to serve businesses or citizens, the commonwealth may pursue a waiver of the provision. Pennsylvania agrees to report on the impact and outcomes of any approved waivers in the WIOA Annual Report.

**12. That the state has taken appropriate action to secure compliance with uniform administrative requirements in this Act, including that the state will annually monitor Local Areas to ensure compliance and otherwise take appropriate action to secure compliance with the uniform administrative requirements under Section 184(a)(3).**

**Assurance(s) or Attachment(s):**

- [Oversight - Initial Implementation of WIOA](#)

## Wagner-Peyser Assurances

- 1) The Wagner-Peyser Employment Service is co-located with one-stop centers or a plan and timeline has been developed to comply with this requirement within a reasonable amount of time. (Section 121(e)(3)).

**Assurance(s) or Attachment(s):**

- Wagner-Peyser Employment service is a required co-located partner at all PA CareerLink® centers, Pennsylvania's one-stop centers.

- 2) The state agency is complying with the requirements under 20 CFR 653.111 (State agency staffing requirements) if the state has significant MSFW one-stop centers.

**Assurance(s) or Attachment(s):**

- The state assures that it is complying with MSFW significant office requirements in accordance with 20 CFR 653.111.

- 3) If a State Workforce Development Board, department, or agency administers State laws for vocational rehabilitation of persons with disabilities, that board, department, or agency cooperates with the agency that administers Wagner-Peyser services, Adult and Dislocated Worker programs and Youth Programs under Title I.

**Assurance(s) or Attachment(s):**

- VR programs are administered by the Office of Vocational Rehabilitation within the Pennsylvania Department of Labor & Industry. Wagner-Peyser, Adult, Dislocated Worker and Youth programs under Title I are also administered by the Department of Labor & Industry allowing for cooperation across the programs.

- 4) State agency merit-based public employees provide Wagner-Peyser Act-funded labor exchange activities in accordance with Department of Labor regulations.

**Assurance(s) or Attachment(s):**

- Merit-based public employees employed by the Pennsylvania Department of Labor & Industry provide Wagner-Peyser Act labor exchange activities in accordance with U.S. Department of Labor regulations.

## Adult Basic Education and Literacy Programs Certifications and Assurances

1. States must provide written and signed certification that:

- 1.1. The plan is submitted by the State agency that is eligible to submit the plan;
- 1.2. The State agency has authority under State law to perform the functions of the State under the program;
- 1.3. The State legally may carry out each provision of the plan;
- 1.4. All provisions of the plan are consistent with State law;
- 1.5. A State officer, specified by title in the certification, has authority under State law to receive, hold, and disburse Federal funds made available under the plan;

- 1.6. The State officer who is submitting the plan, specified by the title in the certification, has authority to submit the plan;
- 1.7. The agency that is submitting the plan has adopted or otherwise formally approved the plan; and
- 1.8. The plan is the basis for State operation and administration of the program.

**Assurance(s) or Attachment(s):** The Pennsylvania Department of Education will ensure that signed certification of all of the above items is included with the final submission of the State Plan.

**2. The State Plan must include assurances that:**

- 2.1. The eligible agency will expend funds appropriated to carry out title II of the Workforce Innovation and Opportunity Act (WIOA) only in a manner consistent with fiscal requirements under WIOA Section 241(a) (regarding supplement and not supplant provisions).

**Assurance(s) or Attachment(s):** The PA Department of Education (PDE) Bureau of Postsecondary and Adult Education will ensure that it and all eligible providers are expending funds under supplement not supplant. A need-based funding formula allocates funds to all LWDAs in the state.

- 2.2. The eligible agency will ensure that there is at least one eligible provider serving each local area, as defined in WIOA Section 3(32).

**Assurance(s) or Attachment(s):** If no eligible provider in a local area is awarded a grant, a successful awardee will be awarded the funds for the LWDA and required to provide services there.

- 2.3. The eligible agency will not use any funds made available under Title II of WIOA for the purpose of supporting or providing programs, services, or activities for individuals who are not “eligible individuals” within the meaning of WIOA Section 203(4), unless it is providing programs, services or activities related to family literacy activities, as defined in WIOA Section 203(9) .

**Assurance(s) or Attachment(s):** PDE will not use any funds made available under title II of WIOA to support or provide programs, services, or activities for individuals who are not “eligible individuals” within the meaning of Section 203(4). PDE provides family literacy activities with state funding.

- 2.4. Using funds made available under title II of WIOA to carry out a program for criminal offenders within a correctional institution, the eligible agency will give priority to serving individuals who are likely to leave the correctional institution within five years of participation in the program.

**Assurance(s) or Attachment(s):** When using funds made available under title II of WIOA to carry out a program for criminal offenders within a correctional institution, PDE will ensure

that priority is given to serving individuals who are likely to leave the correctional institution within five years of participation in the program.

## **Vocational Rehabilitation Certifications and Assurances**

States must provide written and signed certifications that:

1. **The Office of Vocational Rehabilitation is authorized to submit the VR services portion of the Combined State Plan under title I of the Rehabilitation Act of 1973 (Rehabilitation Act), as amended by WIOA, and its supplement under title VI of the Rehabilitation Act;**

**Assurance(s) or Attachment(s):** Yes

2. **As a condition for the receipt of Federal funds under title I of the Rehabilitation Act for the provision of VR services, the Office of Vocational Rehabilitation agrees to operate and administer the state VR Services Program in accordance with the VR services portion of the Combined State Plan, the Rehabilitation Act, and all applicable regulations, policies, and procedures established by the Secretary of Education. Funds made available under Section 111 of the Rehabilitation Act are used solely for the provision of VR services and the administration of the VR services portion of the Combined State Plan;**

**Assurance(s) or Attachment(s):** Yes

3. **As a condition for the receipt of Federal funds under title VI of the Rehabilitation Act for supported employment services, the designated state agency agrees to operate and administer the State Supported Employment Services Program in accordance with the supplement to the VR services portion of the Combined State Plan, the Rehabilitation Act, and all applicable regulations, policies, and procedures established by the Secretary of Education. Funds made available under title VI are used solely for the provision of supported employment services and the administration of the supplement to the VR services portion of the Combined State Plan;**

**Assurance(s) or Attachment(s):** Yes

4. **The designated state agency and/or the designated state unit has the authority under State law to perform the functions of the state regarding the VR services portion of the Combined State Plan and its supplement;**

**Assurance(s) or Attachment(s):** Yes

5. **The state legally may carry out each provision of the VR services portion of the Combined State Plan and its supplement.**

**Assurance(s) or Attachment(s):** Yes

6. **All provisions of the VR services portion of the Combined State Plan and its supplement are consistent with State law.**

**Assurance(s) or Attachment(s):** Yes

7. **The Executive Director of OVR has the authority under State law to receive, hold, and disburse Federal funds made available under the VR services portion of the Combined State Plan and its supplement;**

**Assurance(s) or Attachment(s):** Yes

8. **The Executive Director of OVR has the authority to submit the VR services portion of the Combined State Plan and the supplement for Supported Employment services;**

**Assurance(s) or Attachment(s):** Yes

9. **The agency that submits the VR services portion of the Combined State Plan and its supplement has adopted or otherwise formally approved the plan and its supplement.**

**Assurance(s) or Attachment(s):** Yes

The State Plan must provide assurances that:

1. **Public Comment on Policies and Procedures:** The designated state agency assures it will comply with all statutory and regulatory requirements for public participation in the VR Services Portion of the Combined State Plan, as required by Section 101(a)(16)(A) of the Rehabilitation Act.

**Assurance(s) or Attachment(s):** Yes

2. **Submission of the VR services portion of the Unified or Combined State Plan and Its Supplement:** The designated State unit assures it will comply with all requirements pertaining to the submission and revisions of the VR services portion of the Unified or Combined State Plan and its supplement for the State Supported Employment Services program, as required by Sections 101(a)(1), (22), (23), and 606(a) of the Rehabilitation Act; WIOA Section 102 in the case of the submission of a unified plan; WIOA Section 103 in the case of a submission of a Combined State Plan; 34 CFR 76.140.

**Assurance(s) or Attachment(s):** Yes

3. **Administration of the VR services portion of the Unified or Combined State Plan:** The designated State agency or designated State unit, as appropriate, assures it will comply with the requirements related to:
  - 3.1. **The establishment of the designated State agency and designated State unit, as required by Section 101(a)(2) of the Rehabilitation Act.**
  - 3.2. **The establishment of either a State independent commission or State Rehabilitation Council, as required by Section 101(a)(21) of the Rehabilitation Act. The designated State agency or designated State unit, as applicable:**
    - (B) **has established a State Rehabilitation Council**



3.3 Consultations regarding the administration of the VR services portion of the Unified or Combined State Plan, in accordance with Section 101(a)(16)(B) of the Rehabilitation Act.

3.4. The local administration of the VR services portion of the Unified or Combined State Plan, in accordance with Section 101(a)(2)(A) of the Rehabilitation Act. Select yes or no, as appropriate, to identify if the designated State agency allows for the local administration of VR funds: Yes

3.5. The shared funding and administration of joint programs, in accordance with Section 101(a)(2)(A)(ii) of the Rehabilitation Act. Select yes or no, as appropriate, to identify if the designated State agency allows for the shared funding and administration of joint programs: Yes

3.6. Statewideness and waivers of statewideness requirements, as set forth in Section 101(a)(4) of the Rehabilitation Act. Is the designated State agency requesting or maintaining a waiver of statewideness for one or more services provided under the VR services portion of the Unified or Combined State Plan? Yes, see section 2 of this VR services portion of the Combined State Plan.

3.7. The descriptions for cooperation, collaboration, and coordination, as required by Sections 101(a)(11), (24)(B), and 606(b) of the Rehabilitation Act.

3.8. All required methods of administration, as required by Section 101(a)(6) of the Rehabilitation Act.

3.9. The requirements for the comprehensive system of personnel development, as set forth in Section 101(a)(7) of the Rehabilitation Act.

3.10. The compilation and submission to the Commissioner of statewide assessments, estimates, State goals and priorities, strategies, and progress reports, as appropriate, and as required by Sections 101(a)(15), 105(c)(2), and 606(b)(8) of the Rehabilitation Act.

3.11. The reservation and use of a portion of the funds allotted to the State under Section 110 of the Rehabilitation Act for the development and implementation of innovative approaches to expand and improve the provision of VR services to individuals with disabilities, particularly individuals with the most significant disabilities.

3.12. The submission of reports as required by Section 101(a)(10) of the Rehabilitation Act.

Assurance(s) or Attachment(s): Yes

4. Administration of the Provision of VR Services: The designated State agency, or designated State unit, as appropriate, assures that it will:

4.1. Comply with all requirements regarding information and referral services in accordance with Sections 101(a)(5)(D) and (20) of the Rehabilitation Act.

- 4.2. Impose no duration of residence requirement as part of determining an individual's eligibility for VR services or that excludes from services under the plan any individual who is present in the State in accordance with Section 101(a)(12) of the Rehabilitation Act.
- 4.3. Provide the full range of services listed in Section 103(a) of the Rehabilitation Act as appropriate, to all eligible individuals with disabilities in the State who apply for services in accordance with Section 101(a)(5) of the Rehabilitation Act? Yes
- 4.4. Comply with all required available comparable services and benefits, determined to be available to the individual in accordance with Section 101(a)(8) of the Rehabilitation Act and
- 4.5. Comply with the requirements for the development of an individualized plan for employment in accordance with Section 102(b) of the Rehabilitation Act.
- 4.6. Comply with requirements regarding the provisions of informed choice for all applicants and eligible individuals in accordance with Section 102(d) of the Rehabilitation Act.
- 4.7. Provide vocational rehabilitation services to American Indians who are individuals with disabilities residing in the State, in accordance with Section 101(a)(13) of the Rehabilitation Act.
- 4.8. Comply with the requirements for the conduct of semiannual or annual review, as appropriate, for individuals employed either in an extended employment setting in a community rehabilitation program or any other employment under Section 14(c) of the Fair Labor Standards Act, as required by Section 101(a)(14) of the Rehabilitation Act.
- 4.9. Meet the requirements in Sections 101(a)(17) and 103(b)(2) of the Rehabilitation Act if the State elects to construct, under special circumstances, facilities for community rehabilitation programs.

Assurance(s) or Attachment(s): Yes

5. ***Program Administration for the Supported Employment Title VI Supplement:***

- 5.1. The designated State unit assures that it will include in the VR services portion of the Unified or Combined State Plan all information required by Section 606 of the Rehabilitation Act.
- 5.2. The designated State agency assures that it will submit reports in such form and in accordance with such procedures as the Commissioner may require and collects the information required by Section 101(a)(10) of the Rehabilitation Act separately for individuals receiving supported employment services under title I and individuals receiving supported employment services under title VI of the Rehabilitation Act.

Assurance(s) or Attachment(s): Yes

6. ***Financial Administration:***

- 6.1. The designated State agency assures that it will expend no more than 2.5 percent of the State's allotment under title VI for administrative costs of carrying out this program; and, the designated State agency or agencies will provide, directly or indirectly through public or private entities, non-Federal contributions in an amount that is not less than 10 percent of the costs of carrying out supported employment services provided to youth with the most significant disabilities with the funds reserved for such purpose under Section 603(d) of the Rehabilitation Act, in accordance with Section 606(b)(7)(G) and (H) of the Rehabilitation Act.
- 6.2. The designated State agency assures that it will use funds made available under title VI of the Rehabilitation Act only to provide supported employment services to individuals with the most significant disabilities, including extended services to youth with the most significant disabilities, who are eligible to receive such services; and, that such funds are used only to supplement and not supplant the funds provided under Title I of the Rehabilitation Act, when providing supported employment services specified in the individualized plan for employment, in accordance with Section 606(b)(7)(A) and (D), of the Rehabilitation Act.

Assurance(s) or Attachment(s): Yes

7. ***Provision of Supported Employment Services:***

- 7.1. The designated State agency assures that it will provide supported employment services as defined in Section 7(39) of the Rehabilitation Act.
- 7.2. The designated State agency assures that:
- 7.2.1. the comprehensive assessment of individuals with significant disabilities conducted under Section 102(b)(1) of the Rehabilitation Act and funded under title I of the Rehabilitation Act includes consideration of supported employment as an appropriate employment outcome, in accordance with the requirements of Section 606(b)(7)(B) of the Rehabilitation Act,
- 7.2.2. an individualized plan for employment that meets the requirements of Section 102(b) of the Rehabilitation Act, which is developed and updated with title I funds, in accordance with Sections 102(b)(3)(F) and 606(b)(6)(C) and (E) of the Rehabilitation Act.

Assurance(s) or Attachment(s): Yes

## **EDGAR Certifications and Other Assurances for the Perkins Career and Technical Educational and Tech Prep Programs**

States must provide written and signed certifications stating that:

Part A

- (a) The plan is submitted by the State agency that is eligible to submit the plan. (34 CFR 76.104(a)(1)) (Note: The term 'eligible agency' means a State board designated or created consistent with State law as the sole State agency responsible for the administration, or the supervision of the administration, of career and technical education in the State. See Sec. 3(12);
- (b) The State agency has authority under State law to perform the functions of the State under the program. (34 CFR 76.104(a)(2));
- (c) The State legally may carry out each provision of the plan. (34 CFR 76.104(a)(3));
- (d) All provisions of the plan are consistent with State law. (34 CFR 76.104(a)(4));
- (e) A State officer, specified by title in the certification, has authority under State law to receive, hold, and disburse Federal funds made available under the plan. (34 CFR 76.104(a)(5)) (Note: If a State wishes for the Department to continue sending the grant award documents directly to the State director, this individual's title needs to be listed on this portion of the assurance;
- (f) The State officer who submits the plan, specified by title in the certification, has authority to submit the plan. (34 CFR 76.104(a)(6));
- (g) The agency that submits the plan has adopted or otherwise formally approved the plan. (34 CFR 76.104(a)(7));
- (h) The plan is the basis for State operation and administration of the program. (34 CFR 76.104(a)(8));

## Part B

1. The eligible State agency must submit a copy of the State Plan to the State office responsible for the Intergovernmental Review Process if the State implements that review process under Executive Order 12372. (See 34 CFR Part 79);
2. The eligible State agency must provide a complete and signed ED Form 80-0013 for certifications regarding lobbying; (See 34 CFR Part 82. To download ED Form 80-0013, and the SF LLL Form (Disclosure of Lobbying Activities) referred therein, See: <http://www.ed.gov/fund/grant/apply/appforms/appforms.html>);
3. The eligible State agency must provide a complete and signed Assurance for Non-Construction Programs Form. (See <http://www.ed.gov/fund/grant/apply/appforms/appforms.html>);
4. The eligible State agency must provide a signed assurance that the eligible State agency will comply with the requirements of the Act and the provisions of the State Plan, including the provision of a financial audit of funds received under the Act which may be included as part of an audit of other Federal or State programs. (Section 122(c)(11));

5. The eligible State agency must provide a signed assurance that none of the funds expended under the Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity, or any affiliate of such an organization. (Section 122(c)(12));
6. The eligible State agency must provide a signed assurance that the State will waive the minimum allocation as required in Section 131(c)(1) in any case in which the local educational agency is located in a rural, sparsely populated area or is a public charter school operating secondary school career and technical education programs and demonstrates that it is unable to enter into a consortium for purposes of providing services under the Act. (Section 131(c)(2));
7. The eligible State agency must provide a signed assurance that the State will provide, from non-Federal sources for the costs the eligible agency incurs for the administration of programs under this Act, an amount that is not less than the amount provided by the eligible agency from non-Federal sources for such costs for the preceding fiscal year. (Section 323(a));
8. The eligible State agency must provide a signed assurance that the State and eligible recipients that use funds under this Act for in-service and pre-service career and technical education professional development programs for career and technical education teachers, administrators, and other personnel shall, to the extent practicable, upon written request, permit the participation in such programs of career and technical education secondary school teachers, administrators, and other personnel in nonprofit private schools offering career and technical secondary education programs located in the geographical area served by such eligible agency or eligible recipient. (Section 317(a));
9. The eligible State agency must provide a signed assurance that, except as prohibited by State or local law, that an eligible recipient may, upon written request, use funds made available under this Act to provide for the meaningful participation, in career and technical education programs and activities receiving funds under this Act, of secondary school students attending nonprofit private schools who reside in the geographical area served by the eligible recipient. (Section 317(b)(1)); and
10. The eligible State agency must provide a signed assurance that eligible recipients that receive an allotment under this Act will consult, upon written request, in a timely and meaningful manner with representatives of nonprofit private schools in the geographical area served by the eligible recipient regarding the meaningful participation, in career and technical education programs and activities receiving funding under this Act, of secondary school students attending nonprofit private schools. (Section 317(b)(2)).

**Certifications and Assurances:** EDGAR Certifications and other Assurances have been signed and are found in the federal Perkins State Plan.

## TANF Certifications

The state will:

- 1) **Operate a child support enforcement program under the State Plan approved under part D.**

**Certification:** The Department of Human Services will operate a child support enforcement program under the approved Title IV-D State Plan.

- 2) **Operate a foster care and adoption assistance program under the State Plan approved under part E, and that the State will take such actions as are necessary to ensure that children receiving assistance under such part are eligible for medical assistance under the State Plan under title XIX.**

**Certification:** The Department of Human Services will operate, during the fiscal year, a foster care and adoption assistance program under the State Plan approved under part E, and the State will take such actions as are necessary to ensure that children receiving assistance under such part are eligible for Medical Assistance under the State Plan under Title XIX of the Social Security Act.

- 3) **Specify which State agency or agencies will administer and supervise the program referred to in paragraph (1) for the fiscal year, which shall include assurances that local governments and private sector organizations—(A) have been consulted regarding the plan and design of welfare services in the State so that services are provided in a manner appropriate to local populations; and (B) have had at least 45 days to submit comments on the plan and the design of such services.**

**Certification:** The Department of Human Services will administer and supervise the program known as Temporary Assistance for Needy Families for the fiscal year. Local governments and private sector organizations will be consulted regarding the plan and design of welfare services in Pennsylvania so that services are provided in a manner appropriate to local populations; and these same local governments and private sector organizations will have had at least 45 days to submit comments on the plan and the design of such services.

- 4) **Provide each member of an Indian tribe, who is domiciled in the State and is not eligible for assistance under a tribal family assistance plan approved under Section 412, with equitable access to assistance under the State program funded under this part attributable to funds provided by the Federal Government.**

**Certification:** The Commonwealth of Pennsylvania, during the fiscal year, will provide each member of an Indian tribe, who resides in the State and is not eligible for assistance under a tribal family assistance plan approved under Section 412, with equitable access to assistance under the State's TANF Program.

- 5) **Establish and is enforce standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest among individuals responsible for the administration and supervision of the State program, kickbacks, and the use of political patronage.**

**Certification:** The Commonwealth of Pennsylvania has established and is enforcing standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest among individuals responsible for the administration and supervision of the State program, kickbacks, and the use of political patronage.

- 6) **(Optional) Establish and enforce standards and procedures to: (i) screen and identify individuals receiving assistance under this part with a history of domestic violence while maintaining the confidentiality of such individuals; (ii) refer such individuals to counseling and supportive services; and (iii) waive, pursuant to a determination of good cause, other program requirements such as time limits (for so long as necessary) for individuals receiving assistance, residency requirements, child support cooperation requirements, and family cap provisions, in cases where compliance with such requirements would make it more difficult for individuals receiving assistance under this part to escape domestic violence or unfairly penalize such individuals who are or have been victimized by such violence, or individuals who are at risk of further domestic violence.**

**Certification:** The Department of Human Services will establish and enforce standards and procedures to:

- Screen and identify individuals receiving assistance under this part with a history of domestic violence while maintaining the confidentiality of such individuals;
- Refer such individuals to counseling and supportive services; and
- Waive, pursuant to a determination of good cause, other program requirements such as time limits (for so long as necessary) for individuals receiving assistance, residency requirements, child support cooperation requirements, and family cap provisions, in cases where compliance with such requirements would make it more difficult for individuals receiving TANF assistance to escape domestic violence or unfairly penalize such individuals who are or have been victimized by such violence, or individuals who are at risk of further domestic violence.

## **Trade Adjustment Assistance Assurance**

- 1) **On an annual basis, the CSA will execute TAA Cooperative Financial Agreements and UI Funding Agreements for each fiscal year during the four-year State Planning cycle.**

**Assurance(s) or Attachment(s):** The TAA program is a required partner in the one-stop system, established under WIOA Section 121. Pennsylvania adheres to the signed Governor-Secretary Agreement, in addition to the terms and conditions provided in the Trade Adjustment Assistance

Annual Cooperative Financial Agreement and the Unemployment Insurance Annual Funding Agreement, executed each fiscal year between the state and ETA.

## **SCSEP Assurance**

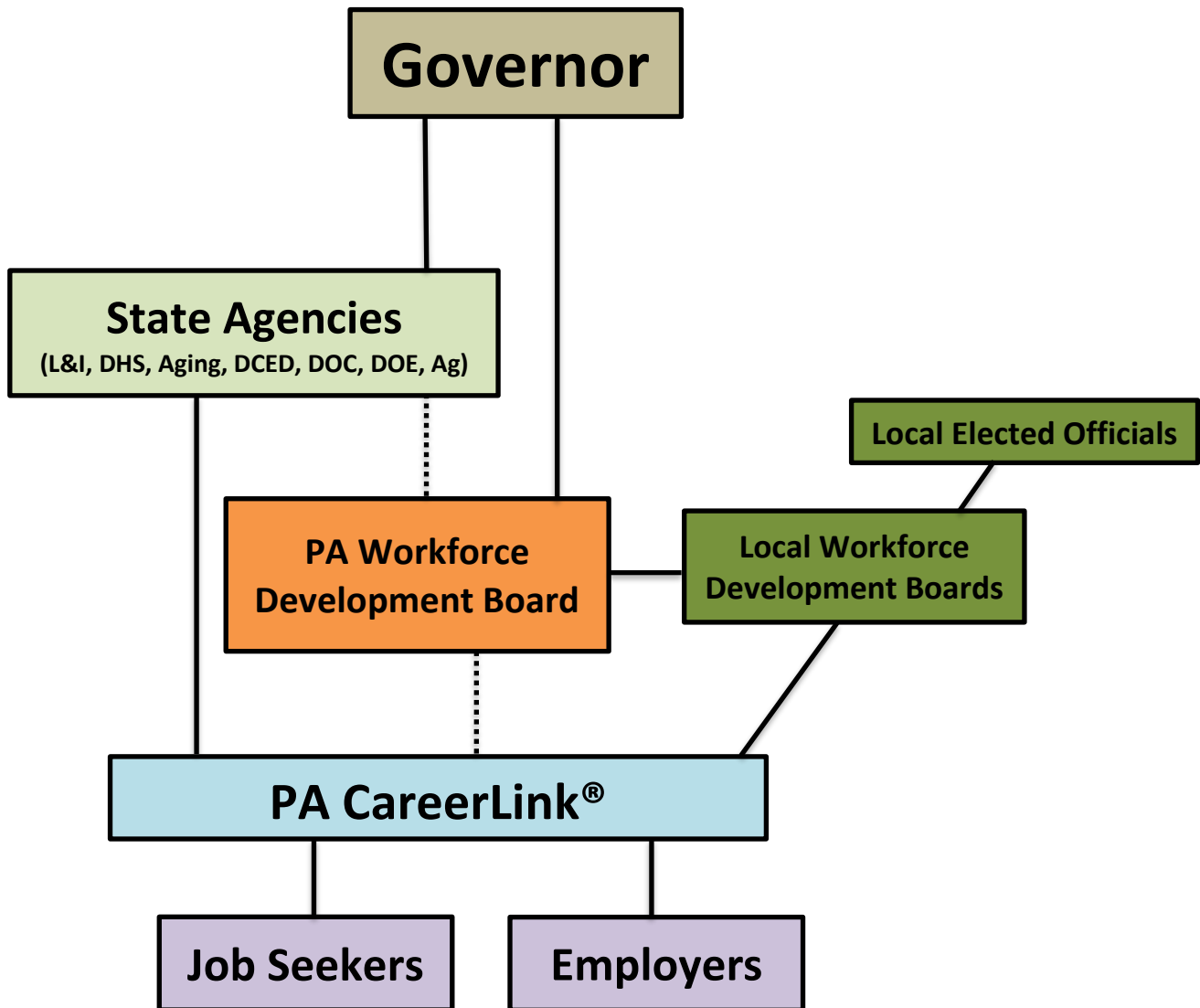
- 1) **Where SCSEP is included in the Combined Workforce Plan, the State established a written policy and procedure to obtain advice and recommendations on the State Plan from representatives of the State and area agencies on aging; State and Local Boards under WIOA; public and private nonprofit agencies and organizations providing employment services, including each grantee operating a SCSEP project within the State, except as provided under Section 506(a)(3) of OAA and 20 CFR 641.320(b); Social service organizations providing services to older individuals; Grantees under Title III of OAA, Affected Communities, Unemployed older individuals, Community-based organizations serving older individuals; business organizations; and labor organizations.**

**Assurance(s) or Attachment(s):** Working in conjunction with the Pennsylvania Department of Aging, the commonwealth made all of the above entities aware of the posting of the Combined State Plan for public comment and of the procedures by which entities were able to submit public comment.

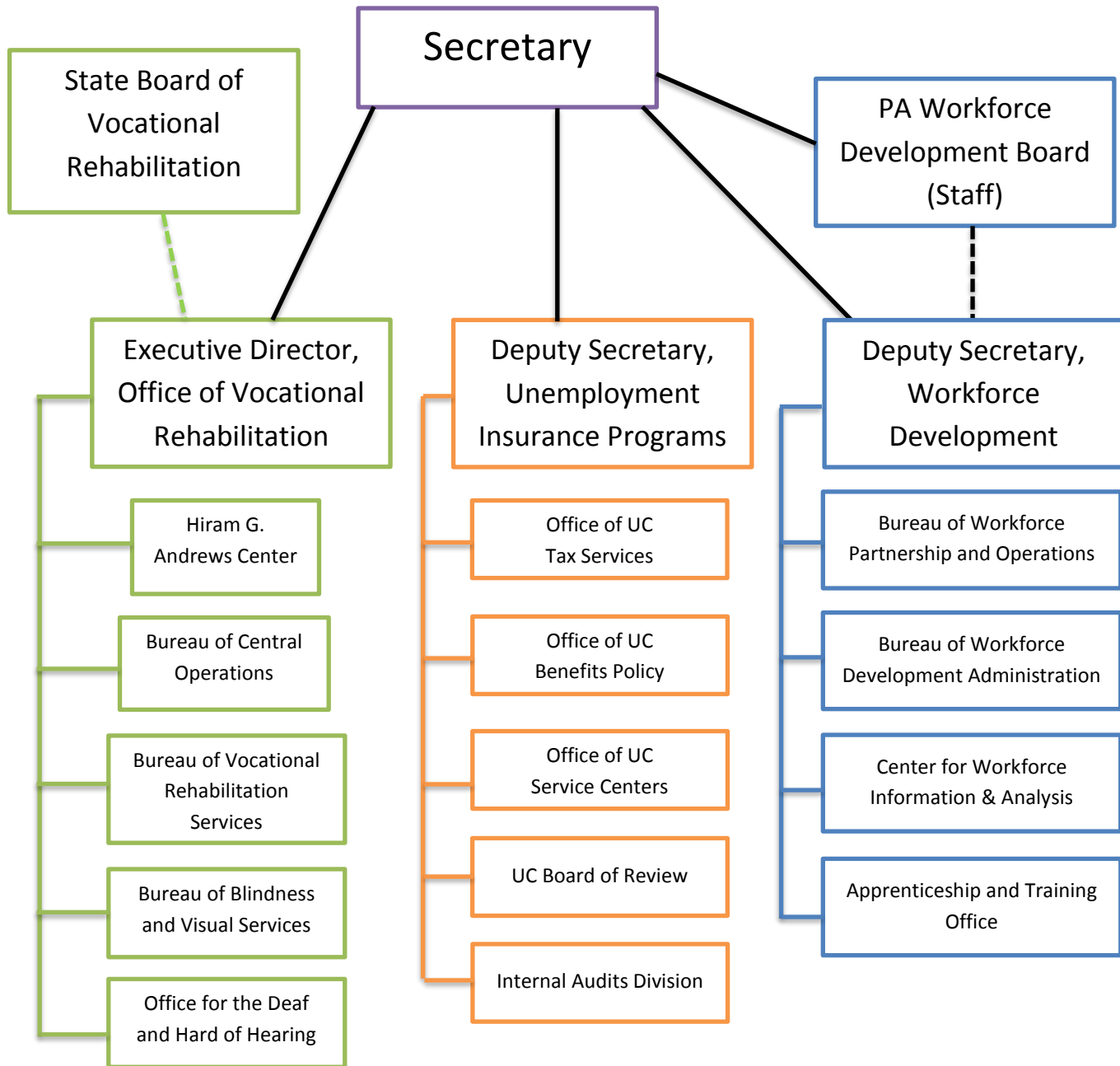


## Section VI – Appendices

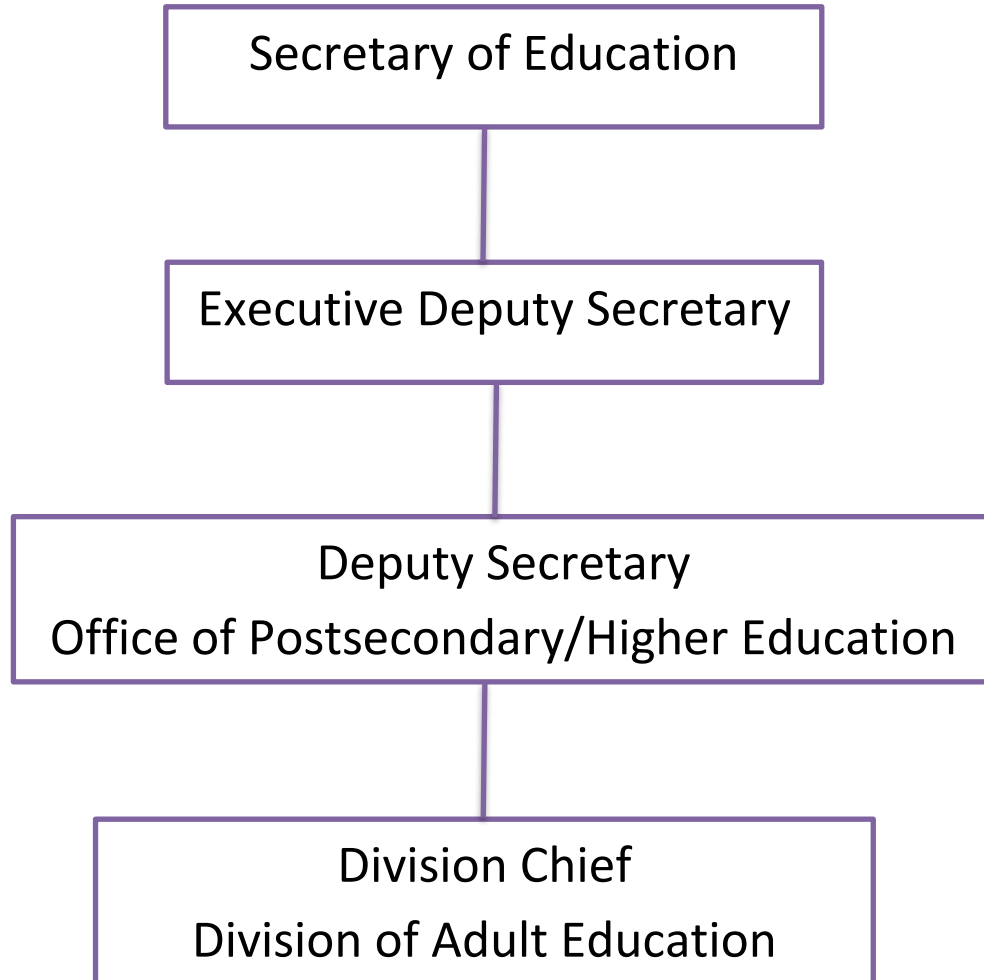
### Appendix I – Pennsylvania Workforce Development System



## Appendix II – Labor & Industry Workforce Programs Organizational Chart



### Appendix III – Adult Basic Education Organizational Chart



## **Appendix IV – Local Workforce Development Areas**

1. Allegheny County Workforce Development Area
2. Berks County Workforce Development Area
3. Bucks County Workforce Development Area
4. Central Workforce Development Area - consisting of Centre, Clinton, Columbia, Lycoming, Mifflin, Montour, Northumberland, Snyder and Union Counties
5. Chester County Workforce Development Area
6. Delaware County Workforce Development Area
7. Lackawanna County Workforce Development Area
8. Lancaster County Workforce Development Area
9. Lehigh Valley Workforce Development Area - consisting of Lehigh and Northampton Counties
10. Luzerne-Schuylkill Counties Workforce Development Area - consisting of Luzerne and Schuylkill Counties
11. Montgomery County Workforce Development Area
12. North Central Workforce Development Area - consisting of Cameron, Clearfield, Elk, Jefferson, McKean and Potter Counties
13. Northern Tier Workforce Development Area - consisting of Bradford, Susquehanna, Sullivan, Tioga and Wyoming Counties
14. Northwest Workforce Development Area - consisting of Clarion, Crawford, Erie, Forest, Venango and Warren Counties
15. Philadelphia Workforce Development Area
16. Pocono Counties Workforce Development Area - consisting of Carbon, Monroe, Pike and Wayne Counties
17. South Central Workforce Development Area - consisting of Adams, Cumberland, Dauphin, Franklin, Juniata, Lebanon, Perry and York Counties
18. Southern Alleghenies Workforce Development Area - consisting of Bedford, Blair, Cambria, Fulton, Huntingdon and Somerset Counties
19. Southwest Corner Workforce Development Area - consisting of Beaver, Greene and Washington Counties
20. The City of Pittsburgh Workforce Development Area
21. Tri-County Workforce Development Area - consisting of Armstrong, Butler and Indiana Counties
22. West Central Workforce Development Area - consisting of Lawrence and Mercer Counties
23. Westmoreland-Fayette Workforce Development Area - consisting of Fayette and Westmoreland Counties

## **Appendix V – Designated Planning Regions**

1. Central Planning Region – consisting of Centre, Clinton, Columbia, Lycoming, Juniata, Mifflin, Montour, Northumberland, Snyder and Union Counties
2. Lehigh Valley Planning Region – consisting of Lehigh and Northampton Counties
3. North Central Planning Region – consisting of Cameron, Clearfield, Elk, Jefferson, McKean and Potter Counties
4. Northeast Planning Region – consisting of Carbon, Lackawanna, Luzerne, Monroe, Pike, Schuylkill and Wayne Counties
5. Northern Tier Planning Region – consisting of Bradford, Susquehanna, Sullivan, Tioga and Wyoming Counties
6. Northwest Planning Region – consisting of Clarion, Crawford, Erie, Forest, Lawrence, Mercer, Venango, and Warren Counties
7. South Central Planning Region – consisting of Adams, Cumberland, Dauphin, Franklin, Lancaster, Lebanon, Perry and York Counties
8. Southeast Planning Region – consisting of Berks, Bucks, Chester, Delaware, Montgomery and Philadelphia Counties
9. Southern Alleghenies Planning Region – consisting of Bedford, Blair, Cambria, Fulton, Huntingdon and Somerset Counties
10. Southwest Planning Region – consisting of Allegheny, Armstrong, Beaver, Butler, Greene, Fayette, Indiana, Washington and Westmoreland Counties

## Appendix VI – Jobs for Veterans State Grant Hire and Mandatory Training Completion Dates

DVOP Specialist		Hire Date	Training Deadline
Donna	Acker	5/4/2009	10/31/2010
Richard	Boyer	3/31/2014	9/27/2015
Robert	Clark	6/28/2010	12/25/2011
Jill	Counts-Weaverling	9/30/2012	3/29/2014
Thomas	Cramer	9/28/2015	3/26/2017
Vicki	Curtis	8/10/2007	2/5/2009
Robert	Eddy	8/17/2015	2/12/2017
Shannon	Eidem	4/5/2010	10/2/2011
Ian	Emmett	8/24/2015	2/19/2017
Roy	Evans	1/5/2015	7/3/2016
William	Fallon	7/3/2015	12/29/2016
David	Grey	6/1/2015	11/27/2016
Shawn	Griffin	6/9/2014	12/6/2015
Robert	Haefner	10/27/2014	4/24/2016
Patrick	Hayden	10/5/2015	4/2/2017
John	Haydu	4/29/2013	10/26/2014
Carl	Heilbrun	6/15/2015	12/11/2016
Randal	Hollenbach	3/22/2010	9/18/2011
Samuel	Jocuns	5/3/2010	10/30/2011
Samuel	Jones	7/16/2001	1/12/2003
Don	Kelsey	3/4/2013	8/31/2014
Catherine	Miller	10/7/2009	4/5/2011
Ryan C.	Paglia	12/3/2007	5/31/2009
Porter	Peaden III	6/22/2002	12/19/2003
Loretta	Reeger	2/8/2010	8/7/2011
Jeffrey	Rhein	11/25/2002	5/23/2004
Thomas	Sheehan	5/12/2014	11/8/2015
George	Tanner	7/20/2015	1/15/2017
George	Warholc	1/4/2004	7/2/2005
Clifford W.	White	1/14/2008	7/12/2009

LVER		Hire Date	Training Deadline
Raymond	Bates	9/27/2003	3/25/2005
Joanne	Bell	4/18/2009	10/15/2010
Gregory	Crooks	9/4/2002	3/2/2004
Sarita	DeJesus	5/15/2010	11/11/2011
Tim	French	6/1/2009	9/21/2018
John	Gardner	10/5/201/	9/21/2018
Patrick	Hoagland	6/26/2010	12/23/2011
Vincent	Jones	10/18/2010	4/15/2012
Boyd (Hugh)	McCullough	9/24/2005	3/23/2007

Douglas	Monroe	10/19/2015	4/16/2017
Charles	Montalbano	1/17/1988	7/15/1989
Alan (Herbert)	Smith	11/3/2007	5/1/2009
Byron	Sutton	10/1/2012	11/27/2018
John	Swartz	9/18/2012	7/30/2017
Gene (Carl)	Young	7/14/2007	1/9/2009

**Appendix VII – Statewide Employment Projections for the Top 25 Industries  
with the Highest Percentage of Workers Aged 55-plus**

NAICS Code	Industry Title	Empl. (2014)	Proj. Empl. (2024)	Volume Empl. Change (2014-24)	Percent Empl. Change (2014-24)
	<b>TOTAL JOBS</b>	<b>6,106,280</b>	<b>6,452,200</b>	<b>345,920</b>	<b>5.7%</b>
4855	Charter Bus Industry	2,460	2,330	-130	-5.3%
8122	Death Care Services	7,210	7,320	110	1.5%
4854	School & Employee Bus Transportation	24,140	25,920	1,780	7.4%
8131	Religious Organizations	74,810	73,590	-1,220	-1.6%
4231	Motor Vehicle/Part Merchant Wholesalers	20,390	20,710	320	1.6%
3151	Apparel Knitting Mills	250	210	-40	-16.0%
4531	Florists	3,330	2,750	-580	-17.4%
4853	Taxi & Limousine Service	2,260	1,910	-350	-15.5%
3131	Fiber, Yarn & Thread Mills	310	270	-40	-12.9%
3152	Cut & Sew Apparel Manufacturing	3,860	2,280	-1,580	-40.9%
4871	Scenic/Sightseeing Transportation, Land	610	650	40	6.6%
4852	Interurban & Rural Bus Transportation	680	620	-60	-8.8%
4859	Other Ground Passenger Transportation	3,980	4,250	270	6.8%
6112	Junior Colleges	19,730	19,530	-200	-1.0%
3132	Fabric Mills	2,230	1,580	-650	-29.1%
2213	Water, Sewage & Other Systems	2,240	2,390	150	6.7%
8141	Private Households	32,220	32,970	750	2.3%
3325	Hardware Manufacturing	650	510	-140	-21.5%
3311	Iron & Steel Mills & Ferroalloys	13,430	12,870	-560	-4.2%
8139	Professional & Similar Organizations	18,550	18,210	-340	-1.8%
5312	Offices of Real Estate Agents & Brokers	7,240	6,620	-620	-8.6%
3333	Commercial & Service Industry Machinery	2,720	2,380	-340	-12.5%
3221	Pulp, Paper & Paperboard Mills	3,310	3,080	-230	-6.9%
3326	Spring & Wire Product Manufacturing	3,040	2,640	-400	-13.2%
3312	Purchased Steel Product Manufacturing	6,530	6,230	-300	-4.6%

Source: 2014-24 Long-Term Industry Employment Projections



## Appendix VIII – Statewide Employment Projections for the Top-Employing Occupations in the Industries with the Highest Percentage of Workers 55-plus

SOC Code	Occupational Title	Educ. Level	Empl. (2014)	Proj. Empl. (2024)	Percent Empl. Change (2014-24)	Total Annual Open's
53-3022	Bus Drivers, School or Special Client	ST OJT	28,160	30,120	7.0%	566
37-2012	Maids & Housekeeping Cleaners	ST OJT	57,290	62,130	8.4%	1,851
21-2011	Clergy	BD	15,530	15,640	0.7%	345
43-6014	Secretaries	ST OJT	113,770	115,080	1.2%	1,330
39-9011	Childcare Workers	ST OJT	48,120	50,370	4.7%	1,646
43-9061	Office Clerks, General	ST OJT	131,500	133,850	1.8%	3,071
21-2021	Directors, Religious Activities & Education	BD+	9,000	8,970	-0.3%	243
37-2011	Janitors & Cleaners	ST OJT	94,780	99,830	5.3%	2,387
49-9071	Maintenance & Repair Workers, General	LT OJT	64,440	68,190	5.8%	2,064
43-3031	Bookkeeping & Accounting Clerks	PS+	71,160	66,880	-6.0%	698
39-9021	Personal Care Aides	ST OJT	62,170	75,310	21.1%	1,818
41-4012	Sales Representatives	MT OJT	70,970	73,680	3.8%	1,731
13-1075	Labor Relations Specialists	BD+	5,580	5,180	-7.2%	136
53-3041	Taxi Drivers & Chauffeurs	ST OJT	9,980	11,220	12.4%	313
53-3021	Bus Drivers, Transit & Intercity	MT OJT	5,090	4,990	-2.0%	67
53-3033	Light Truck or Delivery Services Drivers	ST OJT	38,350	39,580	3.2%	775
37-3011	Landscaping & Groundskeeping Workers	ST OJT	44,700	47,440	6.1%	1,080
25-2011	Preschool Teachers	AD	15,990	17,130	7.1%	581
53-7062	Laborers & Material Movers	ST OJT	116,690	124,540	6.7%	4,257
11-1021	General & Operations Managers	BD+	63,410	67,290	6.1%	1,993
25-3021	Self-Enrichment Education Teachers	WK EXP	13,490	15,240	13.0%	429
43-4051	Customer Service Representatives	ST OJT	108,520	114,820	5.8%	3,302
51-6031	Sewing Machine Operators	ST OJT	4,830	3,690	-23.6%	29
27-2042	Musicians & Singers	LT OJT	4,790	4,790	0.0%	139
43-4171	Receptionists & Information Clerks	ST OJT	42,860	45,010	5.0%	1,370
51-8021	Stationary Engineers & Boiler Operators	LT OJT	3,810	3,820	0.3%	105
25-9041	Teacher Assistants	PS	45,050	46,560	3.4%	1,230
43-1011	Supervisors - Office & Admin Support	WK EXP	55,550	58,460	5.2%	1,130
43-6011	Executive Secretaries Admin Asst's	WK EXP	24,490	22,930	-6.4%	258
51-4023	Rolling Machine Setters/Oprs/Tndrs	MT OJT	2,750	2,540	-7.6%	68
39-4021	Funeral Attendants	ST OJT	2,110	2,070	-1.9%	46
41-9022	Real Estate Sales Agents	MT OJT	14,260	14,550	2.0%	129
51-1011	Supervisors - Production Workers	WK EXP	28,010	28,140	0.5%	456
27-2041	Music Directors & Composers	BD+	3,220	3,180	-1.2%	93
41-2031	Retail Salespersons	ST OJT	195,950	202,340	3.3%	7,431
49-3031	Bus, Truck & Diesel Engine Mechanics	LT OJT	12,910	14,630	13.3%	395
27-3031	Public Relations Specialists	BD	10,320	10,960	6.2%	187
27-1023	Floral Designers	MT OJT	2,620	2,380	-9.2%	62

**Appendix VIII continued – Statewide Employment Projections for the Top-  
Employing Occupations in the Industries with the Highest Percentage of  
Workers 55-plus**

<b>SOC Code</b>	<b>Occupational Title</b>	<b>Educ. Level</b>	<b>Empl. (2014)</b>	<b>Proj. Empl. (2024)</b>	<b>Percent Empl. Change (2014-24)</b>	<b>Total Annual Open's</b>
13-2011	Accountants & Auditors	BD	59,330	65,170	9.8%	2,168
51-4031	Cutting, Punching & Press Machine Oprs	MT OJT	9,390	7,930	-15.5%	119
49-3023	Automotive Techs & Mechanics	PS	38,370	39,650	3.3%	1,156
51-9198	Helpers - Production Workers	ST OJT	23,200	23,010	-0.8%	757
33-9032	Security Guards	ST OJT	43,860	47,260	7.8%	957
51-9196	Paper Goods Machine Oprs	MT OJT	7,680	7,230	-5.9%	108
43-5071	Shipping, Receiving & Traffic Clerks	ST OJT	26,230	25,770	-1.8%	570
51-9061	Inspectors, Testers & Sorters	MT OJT	20,130	20,650	2.6%	558
51-2092	Team Assemblers	MT OJT	36,670	37,900	3.4%	911
21-1012	Educational & Vocational Counselors	MD	11,090	11,600	4.6%	283
51-4121	Welders, Cutters, Solderers & Brazers	MT OJT	18,820	19,930	5.9%	652
51-4021	Extruding & Drawing Machine Oprs	MT OJT	5,870	4,880	-16.9%	144
49-9041	Industrial Machinery Mechanics	LT OJT	15,790	19,230	21.8%	754
43-5081	Stock Clerks & Order Fillers	ST OJT	79,260	81,320	2.6%	2,722
53-7061	Cleaners of Vehicles & Equipment	ST OJT	11,870	12,860	8.3%	513
53-3031	Driver/Sales Workers	ST OJT	19,460	20,400	4.8%	425
53-1031	Supervisors - Transport'n & Vehicle Oprs	WK EXP	7,790	8,240	5.8%	295
25-2021	Elementary School Teachers	BD+	52,680	53,310	1.2%	1,227
51-4191	Heat Treating Equipment Oprs	MT OJT	1,460	1,250	-14.4%	25
51-4041	Machinists	LT OJT	19,830	22,230	12.1%	813
11-9033	Education Administrators, Postsecondary	MD+	5,460	5,860	7.3%	198
41-9021	Real Estate Brokers	WK EXP	2,110	2,120	0.5%	16
49-1011	Supervisors - Mechanics & Installers	WK EXP	16,540	17,570	6.2%	433
53-7064	Packers & Packagers, Hand	ST OJT	33,860	35,330	4.3%	1,047
31-1014	Nursing Assistants	PS	79,130	88,350	11.7%	2,708
13-1161	Market Research Analysts	BD	24,180	28,340	17.2%	704
51-6063	Textile Knitting & Weaving Machine Oprs	ST OJT	1,180	810	-31.4%	19
43-5032	Dispatchers	MT OJT	7,240	7,770	7.3%	233
11-9061	Funeral Service Managers	AD+	690	700	1.4%	16
21-1093	Social & Human Service Assistants	ST OJT	23,790	27,030	13.6%	790
13-1131	Fundraisers	BD	2,380	2,620	10.1%	56
53-7051	Industrial Truck & Tractor Operators	ST OJT	27,970	29,790	6.5%	878
13-1121	Meeting, Convention & Event Planners	BD	3,300	3,650	10.6%	74
51-4051	Metal-Refining Furnace Oprs/Tndrs	MT OJT	1,640	1,720	4.9%	60
Source: 2014-24 Long-Term Occupational Employment Projections						

## Appendix IX – On-The-Job Training (OJT)

### Statewide Employment Projections for Short- and Moderate-Term OJT Occupations with 500 or More Annual Openings

SOC Code	Occupational Title	Educ. Level	Empl. (2014)	Proj. Empl. (2024)	Percent Empl. Change (2014-24)	Total Annual Openings
41-2031	Retail Salespersons	ST OJT	195,950	202,340	3.3%	7,431
41-2011	Cashiers	ST OJT	147,700	146,970	-0.5%	6,284
35-3021	Combined Food Preparation & Serving Workers	ST OJT	134,410	150,800	12.2%	5,983
35-3031	Waiters & Waitresses	ST OJT	98,340	103,090	4.8%	5,208
53-7062	Laborers & Freight, Stock & Material Movers	ST OJT	116,690	124,540	6.7%	4,257
43-4051	Customer Service Representatives	ST OJT	108,520	114,820	5.8%	3,302
43-9061	Office Clerks, General	ST OJT	131,500	133,850	1.8%	3,071
31-1011	Home Health Aides	ST OJT	57,620	72,840	26.4%	2,824
43-5081	Stock Clerks & Order Fillers	ST OJT	79,260	81,320	2.6%	2,722
37-2011	Janitors & Cleaners	ST OJT	94,780	99,830	5.3%	2,387
37-2012	Maids & Housekeeping Cleaners	ST OJT	57,290	62,130	8.4%	1,851
39-9021	Personal Care Aides	ST OJT	62,170	75,310	21.1%	1,818
41-4012	Sales Representatives	MT OJT	70,970	73,680	3.8%	1,731
39-9011	Childcare Workers	ST OJT	48,120	50,370	4.7%	1,646
35-3011	Bartenders	ST OJT	31,540	35,000	11.0%	1,531
47-2061	Construction Laborers	ST OJT	43,720	50,300	15.1%	1,530
43-4171	Receptionists & Information Clerks	ST OJT	42,860	45,010	5.0%	1,370
43-6014	Secretaries	ST OJT	113,770	115,080	1.2%	1,330
35-2021	Food Preparation Workers	ST OJT	35,800	37,680	5.3%	1,185
35-9031	Hosts & Hostesses	ST OJT	14,850	15,860	6.8%	1,155
37-3011	Landscaping & Groundskeeping Workers	ST OJT	44,700	47,440	6.1%	1,080
53-7064	Packers & Packagers, Hand	ST OJT	33,860	35,330	4.3%	1,047
43-3071	Tellers	ST OJT	24,930	21,590	-13.4%	975
33-9032	Security Guards	ST OJT	43,860	47,260	7.8%	957
35-9021	Dishwashers	ST OJT	21,910	21,630	-1.3%	947
33-3051	Police & Sheriff's Patrol Officers	MT OJT	28,110	28,150	0.1%	931
51-2092	Team Assemblers	MT OJT	36,670	37,900	3.4%	911
35-9011	Dining Room & Cafeteria Attendants	ST OJT	15,230	16,440	7.9%	882
53-7051	Industrial Truck & Tractor Operators	ST OJT	27,970	29,790	6.5%	878
45-2092	Farmworkers & Laborers, Crop/Nursery/Greenhouse	ST OJT	30,140	29,770	-1.2%	802
43-3021	Billing & Posting Clerks	MT OJT	27,130	29,460	8.6%	800
21-1093	Social & Human Service Assistants	ST OJT	23,790	27,030	13.6%	790
53-3033	Light Truck or Delivery Services Drivers	ST OJT	38,350	39,580	3.2%	775
35-3022	Counter Attendants	ST OJT	11,780	12,390	5.2%	770
41-3099	Sales Representatives, Other Services	MT OJT	26,420	28,400	7.5%	761
51-9198	Helpers--Production Workers	ST OJT	23,200	23,010	-0.8%	757
39-3091	Amusement & Recreation Attendants	ST OJT	14,860	16,020	7.8%	738
41-3021	Insurance Sales Agents	MT OJT	20,020	21,300	6.4%	654

## Appendix IX continued – On-The-Job Training (OJT)

### Statewide Employment Projections for Short- and Moderate-Term OJT Occupations with 500 or More Annual Openings

SOC Code	Occupational Title	Educ. Level	Empl. (2014)	Proj. Empl. (2024)	Percent Empl. Change (2014-24)	Total Annual Open's
51-4121	Welders, Cutters, Solderers & Brazers	MT OJT	18,820	19,930	5.9%	652
51-9111	Packaging & Filling Machine Operators	MT OJT	16,110	16,590	3.0%	622
35-2012	Cooks, Institution & Cafeteria	ST OJT	18,870	20,100	6.5%	622
43-5071	Shipping, Receiving & Traffic Clerks	ST OJT	26,230	25,770	-1.8%	570
53-3022	Bus Drivers, School or Special Client	ST OJT	28,160	30,120	7.0%	566
47-2073	Operating Engineers	MT OJT	20,910	23,070	10.3%	565
51-9061	Inspectors, Testers, Sorters, Samplers & Weighers	MT OJT	20,130	20,650	2.6%	558
43-4081	Hotel, Motel & Resort Desk Clerks	ST OJT	8,260	9,320	12.8%	533
43-6013	Medical Secretaries	MT OJT	21,950	24,810	13.0%	517
53-7061	Cleaners of Vehicles & Equipment	ST OJT	11,870	12,860	8.3%	513
35-3041	Food Servers, Nonrestaurant	ST OJT	13,430	15,180	13.0%	504

Source: 2014-24 Long-Term Occupational Employment Projections

## Appendix X – Educational Attainment Abbreviations

Short-term or Moderate-term training (**ST OJT** or **MT OJT**) – basic tasks and skills are learned through a period of on-the-job training. A high school diploma may be required.

Long-term training (**LT OJT**) – a high school diploma and at least one year of on-the-job training or an apprenticeship.

Related work experience (**WK EXP**) – a high school diploma and training gained through hands-on work in a similar occupation.

Postsecondary training (**PS** or **PS+**) – training is gained through a postsecondary training program. Some period of related work experience may be required.

Associate Degree (**AD** or **AD+**) – degree completed after two years of full-time schooling beyond high school. Some period of related work experience may be required.

Bachelor's Degree (**BD** or **BD+**) – degree completed after four years of full-time schooling beyond high school. Some period of related work experience may be required.

Master's Degree (**MD** or **MD+**) – degree completed after two years of full-time schooling beyond a bachelor's degree. Some period of related work experience may be required.

Doctoral (**PhD**) or First Professional Degree (**PROF**) – degree programs requiring 3-6 years of education at the college or university level beyond a four-year bachelor's degree.

## Appendix XI – Ratio of Income to Poverty for the Population 55 and over, Pennsylvania Counties: 2011-2015

Source: U.S. Bureau of the Census; 2011-2015 American Community Survey

Prepared by the Pennsylvania State Data Center

Geography	Total Population for Whom Poverty Status is Determined	Total Population 55 and over	Population 55 years and over:			
			Under 125 Percent of the Poverty Level		At or Above 125 Percent of the Poverty Level	
			Number	Percent	Number	Percent
Pennsylvania	12,365,782	3,732,294	471,893	12.6%	3,260,401	87.4%
Adams	97,392	31,548	2,767	8.8%	28,781	91.2%
Allegheny	1,198,017	377,940	47,941	12.7%	329,999	87.3%
Armstrong	67,215	23,744	3,298	13.9%	20,446	86.1%
Beaver	167,154	58,097	6,820	11.7%	51,277	88.3%
Bedford	48,309	16,688	2,571	15.4%	14,117	84.6%
Berks	400,930	114,652	13,486	11.8%	101,166	88.2%
Blair	123,466	40,630	5,775	14.2%	34,855	85.8%
Bradford	61,229	20,465	2,915	14.2%	17,550	85.8%
Bucks	618,044	189,626	13,857	7.3%	175,769	92.7%
Butler	180,319	56,387	5,593	9.9%	50,794	90.1%
Cambria	131,376	47,856	7,233	15.1%	40,623	84.9%
Cameron	4,802	1,943	283	14.6%	1,660	85.4%
Carbon	63,589	21,730	2,613	12.0%	19,117	88.0%
Centre	141,001	34,928	3,021	8.6%	31,907	91.4%
Chester	496,754	137,058	9,473	6.9%	127,585	93.1%
Clarion	37,834	12,031	1,665	13.8%	10,366	86.2%
Clearfield	75,612	25,534	3,995	15.6%	21,539	84.4%
Clinton	37,178	11,440	1,490	13.0%	9,950	87.0%
Columbia	62,629	19,908	3,068	15.4%	16,840	84.6%
Crawford	83,568	27,887	3,877	13.9%	24,010	86.1%
Cumberland	227,889	70,483	4,895	6.9%	65,588	93.1%
Dauphin	266,644	76,515	9,079	11.9%	67,436	88.1%
Delaware	540,677	154,174	16,075	10.4%	138,099	89.6%
Elk	30,909	10,938	1,216	11.1%	9,722	88.9%
Erie	267,554	79,215	10,778	13.6%	68,437	86.4%
Fayette	130,893	45,118	8,052	17.8%	37,066	82.2%
Forest	3,651	2,396	352	14.7%	2,044	85.3%
Franklin	149,819	46,109	5,033	10.9%	41,076	89.1%
Fulton	14,576	4,830	694	14.4%	4,136	85.6%
Greene	33,508	11,256	1,719	15.3%	9,537	84.7%
Huntingdon	40,695	14,081	2,105	14.9%	11,976	85.1%
Indiana	81,959	26,149	3,311	12.7%	22,838	87.3%
Jefferson	43,886	14,676	2,253	15.4%	12,423	84.6%
Juniata	24,513	7,869	1,123	14.3%	6,746	85.7%
Lackawanna	205,256	66,857	9,969	14.9%	56,888	85.1%
Lancaster	515,777	147,024	13,741	9.3%	133,283	90.7%

Lawrence	86,768	30,375	4,219	13.9%	26,156	86.1%
Lebanon	132,541	41,121	4,186	10.2%	36,935	89.8%
Lehigh	347,353	97,855	11,268	11.5%	86,587	88.5%
Luzerne	308,091	100,510	14,317	14.2%	86,193	85.8%
Lycoming	110,999	35,267	4,324	12.3%	30,943	87.7%
McKean	39,659	13,120	1,766	13.5%	11,354	86.5%
Mercer	107,882	37,713	4,706	12.5%	33,007	87.5%
Mifflin	45,807	15,224	2,039	13.4%	13,185	86.6%
Monroe	165,258	47,767	5,882	12.3%	41,885	87.7%
Montgomery	792,534	232,012	19,116	8.2%	212,896	91.8%
Montour	17,712	5,939	681	11.5%	5,258	88.5%
Northampton	288,852	89,709	8,439	9.4%	81,270	90.6%
Northumberland	89,094	30,695	4,415	14.4%	26,280	85.6%
Perry	44,978	13,711	1,233	9.0%	12,478	91.0%
Philadelphia	1,512,394	358,643	90,896	25.3%	267,747	74.7%
Pike	55,881	19,505	1,909	9.8%	17,596	90.2%
Potter	17,093	6,233	1,021	16.4%	5,212	83.6%
Schuylkill	139,127	46,788	6,496	13.9%	40,292	86.1%
Snyder	37,707	11,698	1,455	12.4%	10,243	87.6%
Somerset	71,725	25,743	3,759	14.6%	21,984	85.4%
Sullivan	6,188	2,687	386	14.4%	2,301	85.6%
Susquehanna	41,945	14,863	1,976	13.3%	12,887	86.7%
Tioga	41,028	13,931	2,121	15.2%	11,810	84.8%
Union	35,565	11,562	1,233	10.7%	10,329	89.3%
Venango	52,729	18,850	2,281	12.1%	16,569	87.9%
Warren	39,977	14,379	1,689	11.7%	12,690	88.3%
Washington	203,244	69,065	7,880	11.4%	61,185	88.6%
Wayne	47,904	18,032	2,187	12.1%	15,845	87.9%
Westmoreland	353,277	127,298	13,322	10.5%	113,976	89.5%
Wyoming	27,466	9,143	1,247	13.6%	7,896	86.4%
York	430,380	125,074	13,308	10.6%	111,766	89.4%

## **Appendix XII – Designing the Future: A Workforce Innovation and Opportunity Act Workgroup Meeting Schedule**

### **Performance & Accountability**

60 East Wing, Capitol Building

Monday, May 18, 2015

10:00am to 2:00pm

### **Governance & Planning**

Via Conference Call

Thursday, May 21, 2015

1:00pm to 3:00pm

### **Service Delivery**

60 East Wing, Capitol Building

Wednesday, May 27, 2015

10:00am to 2:00pm

### **Youth Services**

Department of Labor & Industry Building, Room 1710

Monday, June 1, 2015

10:00am to 2:00pm

### **PA CareerLink® Operations**

Office of Vocational Rehabilitation, Forum Place, 8th Floor

Monday, June 1, 2015

10:00am to 1:00pm

### **Eligible Training Provider List Subcommittee (WIOA Performance & Accountability)**

Dept. of Labor & Industry Bldg, 651 Boas Street, Room 1710, Harrisburg, PA 17121

Tuesday, June 2, 2015

1:30pm to 3:30pm

### **Strategies**

Dept. of Labor & Industry Bldg, Room E-100

Tuesday, June 2, 2015

10:00am to 1:00pm



**Service to Individuals with Barriers to Employment**

Dept. of Labor & Industry Bldg, Room 1710

Wednesday, June 3, 2015

10:00am to 2:00pm

**Eligible Training Provider List Subcommittee (WIOA Performance & Accountability)**

Office of Vocational Rehabilitation, Forum Place, 8th Floor

Thursday, June 18, 2015

9:00am to 12:00pm

**Operator Subcommittee (WIOA PA CareerLink® Operations)**

Via Conference Call

Friday, June 19, 2015

1:00pm to 3:00pm

**Partner Roles & Responsibilities Subcommittee (WIOA PA CareerLink® Operations)**

Via Conference Call

Monday, June 22, 2015

10:00am to 12:00pm

**Career Pathways Subcommittee (WIOA Strategies)**

Via Conference Call (PASSHE Bridge: 717-540-7416)

Monday, June 22, 2015

3:00pm to 4:00pm

**Infrastructure & Shared Costs Subcommittee (WIOA PA CareerLink® Operations)**

Via Conference Call

Tuesday, June 23, 2015

10:00am to 12:00pm

**Sector Strategies Subcommittee (WIOA Strategies)**

Tuesday, June 23, 2015

Dept. of Labor & Industry Bldg, 651 Boas Street, Room E-100, Harrisburg, PA 17121

10:00am to 1:00pm

**Service Delivery**

CAB Bldg, 901 North 7<sup>th</sup> Street, Suite 101, Harrisburg, PA 17102

Wednesday, June 24, 2015

10:00am to 2:00pm

**Eligible Training Provider List Subcommittee (WIOA Performance & Accountability)**

Dept. of Labor & Industry Bldg, 651 Boas Street, Room 1710, Harrisburg, PA 17121

Wednesday, June 24, 2015

1:00pm to 3:00pm

**Operator Subcommittee (WIOA PA CareerLink® Operations)**

Via Conference Call

Friday, June 26, 2015

10:00am to 12:00pm

**Governance & Planning**

Appalachian Brewing Company, 50 N. Cameron Street, Harrisburg PA, 17101

Monday, June 29, 2015

1:00pm to 4:00pm

**Infrastructure & Technology Subcommittee (WIOA PA CareerLink® Operations)**

Via Conference Call

Tuesday, June 30, 2015

10:00am to 12:00pm

**Youth Services**

Dept. of Labor & Industry Bldg, 651 Boas Street, Room E-100, Harrisburg, PA 17121

Tuesday, June 30, 2015

10:00am to 2:00pm

**Job Seekers Subcommittee (WIOA Service Delivery)**

Via Conference Call

Wednesday, July 1, 2015

10:00am

**Strategies**

Dixon University Center, 2986 N. Second Street, Harrisburg, PA 17110

Tuesday, July 7, 2015

10:00am to 2:00pm

**Service to Individuals with Barriers to Employment**

Dept. of Labor & Industry, 651 Boas Street, Room 12A, Harrisburg, PA 17121

Wednesday, July 8, 2015

9:00am to 12:00pm

**PA CareerLink® Operations**

Technology Center in Innovation Park, 200 Innovation Blvd., State College, PA 16803

Thursday, July 9, 2015

10:00am to 1:00pm

**Employer Engagement Subcommittee (WIOA Service Delivery)**

Via Conference Call

Friday, July 10, 2015

11:00am

**Job Seekers Subcommittee (WIOA Service Delivery)**

Via Conference Call

Wednesday, July 15, 2015

10:00am

**Employer Engagement Subcommittee (WIOA Service Delivery)**

Via Conference Call

Friday, July 17, 2015

11:00am to 12:00pm

**Youth Services**

Dept. of Labor & Industry Bldg, 651 Boas Street, Room E-100, Harrisburg, PA 17121

Tuesday, July 21, 2015

10:00am to 2:00pm

**Service Delivery**

PA Workforce Development Association, 205 House Avenue, Suite 101 Camp Hill, PA 17011

Wednesday, July 22, 2015

10:00am to 2:00pm

**Performance & Accountability**

Dept. of Labor & Industry, 651 Boas Street, Room 1700, Harrisburg, PA 17121

Wednesday, July 22, 2015

1:00pm to 3:00pm

**Service to Individuals with Barriers to Employment**

PA Department of Corrections, 1920 Technology Parkway, Mechanicsburg, PA 17050

Thursday, July 30, 2015

9:00am to 12:00pm

**Governance & Planning**

PA Workforce Development Association, 205 House Avenue, Suite 101 Camp Hill, PA 17011

Thursday, July 30, 2015

1:00pm to 4:00pm

**Governance & Planning**

Technology Center in Innovation Park, 200 Innovation Blvd., Room 243, State College, PA 16803

Monday, August 10, 2015

1:00pm to 4:00pm

**Strategies**

HACC Midtown Campus, 1523 North 4<sup>th</sup> Street, Harrisburg, PA

Wednesday, August 12, 2015

10:00am to 1:00pm

**Service Delivery**

PA Workforce Development Association, 205 House Avenue, Suite 101 Camp Hill, PA 17011

Thursday, August 20, 2015

10:00am to 2:00pm

## **Appendix XIII – Sector Strategies and Workforce Intermediaries**

The commonwealth will use sector strategies as its major means of linking workforce development and economic development. The U.S. Department of Labor (U.S. DOL) defines Sector Strategies as: industry-focused approaches to workforce and economic development that improve access to good jobs and increase job quality in ways that strengthen an industry's workforce. U.S. DOL is actively promoting sector strategies as "a proven framework" that WIOA incorporates into state and regional planning requirements. This new federal emphasis on sector-based workforce development strategies signals an important opportunity for Pennsylvania.

Since shortly after the implementation of the 1998 Workforce Investment Act, Pennsylvania has been a leader in making sector-based approaches integral to its design and delivery of workforce services for employers and workers. Since 2005, more than 130,000 Pennsylvanians have participated in training programs sponsored by Pennsylvania's employer-driven Industry Partnerships. This record of success led to bipartisan support in Harrisburg and in 2011 state legislators unanimously voted to put the commonwealth's Industry Partnership program into statute. Pennsylvania has also implemented sector strategies through multi-employer apprenticeship programs, which are strongest in the construction industry. However, Pennsylvania also has longstanding and innovative manufacturing apprenticeship programs that touch multiple employers. Some of these are legally single-employer apprenticeships in which classroom education and other apprenticeship elements are coordinated for multiple employers by an industry association or non-profit intermediary.

Given the potential of robust Industry Partnerships and other multi-employer workforce intermediaries to solve coordination problems in the labor market and improve outcomes for employers, workers, and regional economies, Pennsylvania aims under WIOA to go well beyond its sectoral initiatives to date. With the goal of achieving a flexible and non-bureaucratic approach to supporting sector strategies and sectoral workforce partnerships, Pennsylvania has adopted the Next Generation Sector Partnership (Next Gen) model. The Next Gen model calls for partnerships of businesses, from the same industry and in a shared labor market region, to work with workforce development, economic development, education, organized labor, and community organizations to address workforce and other competitiveness needs of the targeted industry sector. Next Gen Sector Partnerships are employer-driven and put business at the center of the table to drive the agenda of the partnership. By transitioning Industry Partnerships to the Next Gen model, Pennsylvania will foster a large-scale sector initiative that is flexible and responsive to the shifting workforce and competitiveness needs of groups of employers in each sector as opposed to another new workforce silo.

By embracing the Next Gen model, Pennsylvania also seeks to strengthen the role of sectoral partnerships as coordinating entities on the full range of workforce and competitiveness issues, not just incumbent worker training. Industry Partnerships were funded initially to deliver incumbent worker training because that was where employers saw the biggest gaps. In practice, however, Pennsylvania's Industry Partnerships also addressed common workforce challenges related to entry-level workers, the recruitment of low-income and other targeted groups, and even, on occasion, the reemployment of Dislocated Workers. Next Gen Sector Partnerships will focus on meeting the overall workforce, economic, and educational needs as defined by employers. Apprenticeship programs, the oldest and best funded sectoral workforce partnerships in Pennsylvania (reliant mostly on private funds), are often thought of primarily as training new workers. However, they also serve a wide range of coordinating functions for their employers: incumbent worker training, supervisory training, sophisticated safety training and, in some cases, pre-apprenticeship programs for in-school and out-of-school youth, sometimes with

community based partners. Some education and training programs operated by schools and academic institutions also deserve support because they meet the criteria for effective sectoral workforce partnerships: they have deep engagement of employers in a sector (e.g. York College's engineering programs serving local manufacturers) that keeps curriculum current, expands work-based learning (e.g., internships and summer jobs), leads to industry certification as well as academic credit and results in careers for young people and great employees for Pennsylvania's high-wage companies.

Going forward, Pennsylvania will invest in sectoral workforce intermediaries that:

- Can serve as general-purpose employer-engagement partners for programs dealing with all workforce groups, including high-school and out-of-school youth, college students, dislocated and other unemployed workers, veterans, low-income workers, TANF recipients, persons with disabilities, and ex-offenders re-entering the workforce, as well as incumbent workers who are not included in any of these categories.
- Are well- and sustainably funded by a mix of private and public funds.
- Are effectively run, with a large and demonstrable impact on outcomes for employers, for individuals receiving services, and for all Pennsylvanians because they increase productivity, competitiveness, and the number of jobs that pay.

To accomplish these goals, Pennsylvania will:

- **Expand state and LWDB support for Next Generation Industry Partnerships, multi-employer apprenticeships, and other sectoral workforce intermediaries.** Pennsylvania state appropriations for Industry Partnerships went from \$20 million in fiscal year 2008-09 to less than \$2 million since fiscal year 2011-12. State discretionary and American Recovery and Reinvestment Act (ARRA) funds were used to further augment support for IPs through 2011 and 2012. Starting in 2016, Pennsylvania used some of its WIOA state discretionary dollars for IPs, multi-employer apprenticeships, and other multi-employer workforce intermediaries and hopes to continue that practice with Next Generation Industry Partnerships.
- **Seek sustainable public-private support for workforce intermediaries.** Building powerful effective Next Generation Sector Partnerships and other sectoral workforce intermediaries requires supplementing annual state appropriations with funding sources that are not dependent on the state budget process. The commonwealth will explore several possible sources.
- **Leverage local, state, federal and philanthropic funds for workforce development, including sector strategies, career pathways and innovative youth programs.** Pennsylvania's governor has a long history of civic engagement in which he learned the importance of building partnerships and leveraging resources from many funding sources to get a project completed or the job done right. Pennsylvania's workforce system also has more experience than that of any other state in the past decade in leveraging philanthropic funds (e.g., from the National Fund for Workforce Solutions) to ensure that low-income and other priority populations, as well as employers, benefit from sectoral partnerships. Pennsylvania will pursue partnerships and funding from nonprofit foundations, local governments, and the federal government to make state tax dollars stretch further.
- **Seek funding for workforce partnerships from multiple agencies.** To date, Pennsylvania's Industry Partnership and apprenticeship programs have connected to state government through the PA Department of Labor & Industry (L&I). As the U.S. Department of Labor definition of sector strategies makes explicit, however, sectoral approaches make sense for economic development as well as workforce development. In Pennsylvania, L&I and the PA Community & Economic Development have worked hand-in-hand to roll-out the Next Gen model through a series of ten regional sector summits in each of Pennsylvania's PREP regions. Sector strategies are also an

effective way to serve a variety of constituencies who are primarily under the purview of other state agencies: young people (PA Department of Education), TANF and SNAP recipients (PA Department of Human Services), employers (PA Department of Community and Economic Development), farmers and agricultural workers (Department of Agriculture), ex-offenders (Department of Corrections), and older individuals (PA Department of Aging). The fact that effective sector strategies require cooperation across many agencies is further reflected in Pennsylvania's Industry Partnership statute and in the Next Gen model. Pennsylvania will encourage the funding of sector partnerships by multiple agencies and through Next Generation Industry Partnerships the state workforce development board will work with state, regional and local partnerships to ensure agency collaboration at all levels.

- **In partnership with sectoral intermediaries and LWDBs, develop and implement a comprehensive sector partnership performance management and continuous improvement system.** Beginning in 2005-06, Pennsylvania developed a multi-pronged performance management system for Industry Partnerships that included required annual reports from Industry Partnerships, tracking of wage and placement outcomes from individuals trained with Industry Partnership dollars, and a program of training and capacity building for Industry Partnerships. The latter included the "PA Sector Academy," sector-specific peer learning opportunities, and, in the case of health care and manufacturing, compilation of Industry Partnership best-practice toolkits. While cutbacks in Industry Partnership funding have slowed its development, Pennsylvania will reinvigorate this performance management system, with appropriate fine-tuning to include apprenticeships and multi-employer, sector-specific pipeline programs for youth.

This accountability system should be a tool that provides an ongoing feedback loop to sector partnerships and their coordinators and supports peer learning and practitioner-state dialogue about "what are we trying to do?" and "is it working?" This system should also be used to help more sector partnerships undertake higher-order initiatives with high net benefits for employers, workers and job seekers, and the state. Such initiatives may include spreading best practices, developing industrywide credential and career pathway systems, creating industry-specific job matching systems that help Dislocated Workers with industry-specific skills (e.g., precision machining and industrial maintenance in manufacturing) find reemployment, or managing programs that help people from targeted groups access careers that pay and obtain the skills and attitudes needed to succeed in well-managed companies.

Components of an enhanced sector partnership performance management system could include:

- Sector partnership standards that spell out explicitly the full range of activities in which a high-performance multi-employer partnership can engage.
- A revitalized program of capacity-building and peer learning for Next Generation Sector Partnership coordinators and interested employer members, with varied offerings for new coordinators, those with some experience (e.g., PA Sector Academy), and experienced practitioners (e.g., self-directed peer learning with technical assistance from national experts). Pennsylvania will hold monthly peer networking calls for Next Gen Industry Partnerships and held a Next Gen Sector Partnership Academy in September 2017 to help regions take existing and emerging Next Gen Sector Partnerships to the next level. The capacity-building and peer learning efforts led by State Workforce Development Board and other state agency staff will inform key state-level policies and strategies impacting workforce development, education and economic development priorities and funding decisions.

- An explicit and public proposal-scoring system for Next Generation Sector Partnership proposals that allows the state to encourage high priority activities with a high return.
- A modified Next Generation Sector Partnership Annual Report that balances the goal of making the report short and easy to complete quickly with the goal of making it a more powerful tool for supporting Next Generation Sector Partnerships to take on initiatives with greater potential to improve outcomes for both employers and workers/job seekers.
- Collection of quantitative performance data from partnerships, with quick-turnaround access to data for the partnerships, including how they compare with their peers.
- Competitive grants to multiple Next Generation Sector Partnerships in a specific cluster (e.g., advanced manufacturing, health care, logistics and transportation) that agree jointly to develop and use sector-specific benchmarking tools that track the impacts of strategic investments on employer outcomes. (Outcomes might include turnover in long-term care, scrap rates and uptime in manufacturing, and on-time delivery in logistics and transportation.) These tools would be another way to encourage sector partnerships to tackle higher-order organizational improvement issues with a potential to increase the return on investment.
- Develop a certification process for Next Gen Sector Partnerships. Businesses often use organizational certifications, such as ISO standards, as tools for improving organizational performance. Workforce intermediaries could similarly benefit from a certification process. Certification might be particularly valuable to improve partnership governance, e.g., by making partnerships more employer-driven and less staff-dependent. Certifying partnerships would also open up the possibility of using certification as the basis for eligibility for partnerships to qualify for additional or continued state funding.