## DEPARTMENT OF LABOR & INDUSTRY WORKERS' COMPENSATION OFFICE OF ADJUDICATION

### COMPROMISE AND RELEASE AGREEMENT BY STIPULATION PURSUANT TO SECTION 449 OF THE WORKERS' COMPENSATION ACT

EMPLOYEE SOCIAL SECURITY NUMBER OR WC ID NUMBER	DATE OF INJURY	WCAIS CLAIM NUMBER
EMPLOYEE	EMPLOYER	
First name	Name	
Last name	Address	
Date of birth	Address	
Address	City/Town State	ZIP
Address	County	
City/Town State ZIP	Telephone FEIN	
County	INSURER, FUND or THIRD PARTY ADMI	NISTRATOR (if self-insured)
Telephone	Name	
NOTICE: SUBMIT TO THE ASSIGNED WORKERS'	Address	
COMPENSATION JUDGE.	Address	
TO THE EXTENT THIS AGREEMENT REFERENCES AN INJURY FOR WHICH LIABILITY HAS NOT BEEN RECOGNIZED BY	City/Town State	ZIP
AGREEMENT OR BY ADJUDICATION, THE TERM "INJURY" AS USED IN THIS AGREEMENT SHALL MEAN "ALLEGED INJURY."	County	
	Telephone FEIN	
"FUND" SHALL MEAN THE UNINSURED EMPLOYERS GUARANTY FUND (UEGF), SUBSEQUENT INJURY FUND (SIF),	NAIC code or Insure	er code
SELF-INSURANCE GUARANTY FUND (SIGF) OR THE PREFUND ACCOUNT OF THE SELF-INSURANCE GUARANTY	Insurer/TPA claim #	
FUND.		

This is an agreement in the case of the above listed employee and the above listed employer, insurer, Fund or third party administrator in regards to an injury or occupational disease under the Workers' Compensation Act only.

1.	State the <b>date of injury</b> or occupational disease.		-	-
		MM	DD	YYYY

2. State the **average weekly wage** of the employee, as calculated under Section 309. \$\_\_\_\_\_. /wk

3. State the **weekly compensation rate** paid or payable. \$\_\_\_\_\_. /wk

4. State the precise **nature of the injury** and whether the disability is total or partial.

5. State the amount of benefits paid or due and unpaid to the employee or dependent up to the date of this agreement or death. Wage Loss: \$\_\_\_\_\_ .\_\_\_ Specific Loss: \$\_\_\_\_\_ .\_\_\_ Medical: \$\_\_\_\_\_ .\_\_\_

- 6. Is this Compromise and Release Agreement a resolution of **wage loss benefits** for the injury referenced in paragraphs 1 and 4? Yes No
- 7. Is this Compromise and Release Agreement a resolution of **medical benefits** for the injury referenced in paragraphs 1 and 4? Yes No
- 8. Is this Compromise and Release Agreement a resolution of **specific loss benefits** for the injury referenced in paragraphs 1 and 4? Yes No
- 9. Does this claim arise out of the death of an employee? Yes No If **yes**, complete and attach a **Death Claim Supplement**.
- 10. Summarize all **wage loss**, **specific loss** and **medical benefits** to be paid in conjunction with this Compromise and Release Agreement:

11. Is there an actual or potential lien for subrogation under Section 319? Yes No If **yes**, state (if known) the total amount of compensation, including medicals, paid or payable, which would be allowed to the employer or insurer.

12. Are there any current child or spousal support orders in place against the employee? Yes No

Verification pursuant to Special Rules of Administrative Practice and Procedure before Workers' Compensation Judges, Rule 131.111(c), must be submitted into evidence as required by Act 109 of 2006 and in the manner prescribed by the adjudicating Workers' Compensation Judge.

If yes, provide details:

13. List all benefits received by, or available to the employee: e.g., Social Security (disability or retirement), private health insurance, Medicare, Medicaid, etc.

14.	This Compromise and Release Agreement addresses the interests of <b>Medicare</b> in accordance with the Medicare Secondary Payer Statute (42 U.S.C. Section 1395(y)):
	(a) Manner in which Medicare's interests have been addressed:
	(b) Amount allocated: \$
	(c) Manner in which conditional payments have been addressed:
15.	Check as appropriate:
	A vocational evaluation of the employee was completed in conjunction with this Compromise and Release Agreement on
	A copy of this report must be attached.
	-OR-
	A vocational evaluation of the employee has been waived by mutual agreement of the parties.
16.	State the <b>issues</b> involved in this claim and the reasons why the parties are entering into this agreement.
17.	A copy of the fee agreement between employee and counsel must be attached. State the amount of the fee: \$
18.	Litigation costs in the total amount of \$ shall be the responsibility of

19. State additional terms and provisions, if any:

<u>REMINDER TO PARTIES</u>: Upon approval of the agreement, please promptly withdraw all appeals which are also resolved by this agreement.

#### **EMPLOYEE'S CERTIFICATION**

- 1. I certify that I have read this entire agreement, or to the best of my knowledge, information and belief (if applicable) this agreement has been read to me, and I understand all the contents of this agreement as well as the full legal significance and consequences of entering into this agreement to compromise and release my workers' compensation benefits under the Pennsylvania Workers' Compensation Act only.
- 2. I understand that, if this agreement is approved, I will receive only the benefits mentioned in this agreement, unless the agreement provides specifically for additional amounts. I understand that my employer, its insurance company or its administrator will never have to pay any other workers' compensation benefits under the Pennsylvania Workers' Compensation Act for the injury.
- 3. Except for the amounts of benefits listed in this agreement, I have been offered nothing of value to convince me to sign this agreement.
- I have been represented by an attorney of my own choosing during this case. My attorney has explained to me the content of this agreement and its effects upon my rights to workers' compensation benefits under the Pennsylvania Workers' Compensation Act. \_\_\_\_\_\_ (Employee's initials)

-OR-

I have <u>not</u> been represented by an attorney of my own choosing. However, I have been told that I have the right to be represented by an attorney of my own choosing in this proceeding. I have made my own decision not to have an attorney represent me. \_\_\_\_\_\_ (Employee's Initials)

5. Unless specifically stated in this agreement, I understand that this agreement is a compromise and release of a workers' compensation claim under the Pennsylvania Workers' Compensation Act only, and is not considered an admission of liability by employer and/or insurer and/or administrator and/or Fund.

#### DO NOT SIGN THIS DOCUMENT UNLESS YOU UNDERSTAND THE FULL LEGAL SIGNIFICANCE OF THIS AGREEMENT

All parties have read this agreement and agree to its contents. We understand that under this agreement, <u>all petitions are</u> <u>resolved unless specifically agreed to herein</u>. A list of any petitions or issues that remain open after approval of the Compromise and Release Agreement must be provided in this agreement.



Employee's signature

Employee's counsel signature

Fund/Employer/Insurer/Third Party Administrator's signature

Fund/Employer/Insurer/Third Party Administrator counsel's signature

Fund/Employer/Insurer/Third Party Administrator counsel's signature

# THE COMPROMISE AND RELEASE AGREEMENT IS NOT VALID AND BINDING UNLESS APPROVED BY A WORKERS' COMPENSATION JUDGE WHOSE ROLE IS TO DETERMINE THAT THE EMPLOYEE UNDERSTANDS THE FULL LEGAL SIGNIFICANCE OF THIS AGREEMENT.

Any individual filing misleading or incomplete information knowingly and with the intent to defraud is in violation of Section 1102 of the Pennsylvania Workers' Compensation Act, 77 P.S. §1039.2, and may also be subject to criminal and civil penalties under 18 Pa. C.S.A. §4117 (relating to insurance fraud).

Employer Information Services 717.772.3702 Claims Information Services toll-free inside PA: 800.482.2383 local & outside PA: 717.772.4447 Hearing Impaired PA Relay 7-1-1 **Email** ra-li-bwc-helpline@pa.gov



Auxiliary aids and services are available upon request to individuals with disabilities. Equal Opportunity Employer/Program