



Commonwealth of Pennsylvania
Department of Labor & Industry
Bureau of Workers' Compensation

EDI Forms Solution Testing

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Document History

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1 Introduction

BWC is pleased to introduce the EDI Forms Solution enhancement to the WCAIS application. This enhancement will introduce functionality that will automatically generate certain claim forms when EDI transactions are submitted to BWC. This new feature will be introduced with the WCAIS September 2016 release. After this date, Trading Partners will no longer need to send the below paper forms to BWC because the EDI transaction will be sufficient:

Form	Description
LIBC-495	NOTICE OF COMPENSATION PAYABLE
LIBC-496	NOTICE OF WORKERS' COMPENSATION DENIAL
LIBC-501	NOTICE OF TEMPORARY COMPENSATION PAYABLE
LIBC-502	NOTICE STOPPING TEMPORARY COMPENSATION PAYABLE

The EDI Forms Solution introduces new mandatory data elements for SROI transactions. These new mandatory data elements are listed in the updated Data Element Requirements table that is located at www.dli.state.pa.us/wcais. To facilitate a smooth implementation of this functionality, BWC requires all direct-filing Trading Partners/Transaction Partners to conduct testing with BWC to validate that the necessary updates have been implemented in their systems. These changes will be implemented for all Trading Partners.

2 Testing Approach

During the testing period all EDI Transaction Partners will verify that each Trading Partner is able to meet the requirements set forth within the updated BWC Claims EDI Implementation Guide. Direct filing Trading Partners will complete their own verification to meet the requirements set forth within the updated BWC Claims EDI Implementation Guide. Although approved EDI Transaction Partners, when utilized, are responsible for submission of EDI transactions; it is the responsibility of the Trading Partners to comply with the requirements within this guide, specifically regarding the timing and quality of the information submitted. Please note that the scope of the testing contained in this document is limited to EDI batch file processing. The focus of the testing effort contained in this document will be to ensure that external systems that are interfacing with WCAIS have been updated as required. Testing of the WCAIS EDI Web Portal will be conducted with a BWC selected group of organizations that currently use the EDI Web Portal functionality to manage their claims. The EDI Web Portal testing will be conducted at the testing site in Camp Hill, PA.

Testing between direct-filing Trading Partners and/or EDI Transaction Partners and BWC will follow the steps outlined below. Direct-filing Trading Partners and/or EDI Transaction Partners must follow the same testing procedures to ensure that all Trading Partners are able to comply with BWC's requirements. Prior to testing, the direct-filing Trading Partners and/or EDI Transaction Partners should contact BWC to arrange a testing period. BWC will notify both the direct-filing Trading Partners and/or EDI Transaction Partners if testing is successful and if they are approved to move to

production and begin submitting EDI transactions based on the new business requirements. Trading Partners are responsible for complying with BWC reporting requirements and should undertake testing with their selected EDI Transaction Partners to ensure compliance with the Pennsylvania Workers' Compensation Act and Rules and Regulations.

3 Test Plan Procedures

Testing with direct-filing Trading Partners and/or EDI Transaction Partners and Trading Partners will be conducted from July 5, 2016 to Sept. 2, 2016. There are six steps in the BWC testing process:

1. Schedule Test Period
2. Generation of Notice of Compensation Denial
3. Generation of Notice Stopping Temporary Compensation Payable
4. Generation of Notice of Temporary Compensation Payable
5. Generation of Notice of Compensation Payable
6. Test Completion (Production Status Granted)

Testing will continue until the direct-filing Trading Partners and/or EDI Transaction Partners meets the BWC business requirements. Extra testing steps may be required should the tester not pass a given level of testing. All test transactions must be sent from the direct-filing Trading Partner's/EDI Transaction Partner's EDI system.

A Trading Partner utilizing an EDI Transaction Partner is required to work with the EDI Transaction Partner until successfully completing the testing procedures outlined in this EDI Implementation Guide.

If a direct-filer is unable to successfully complete the testing procedures, the direct-filer will be required to utilize an EDI Transaction Partner or the EDI Claims Web Portal to submit required transactions as indicated in the EDI Implementation Guide.

The forms/agreements that continue to be required per the Pennsylvania Workers' Compensation Act, Rules and Regulations must be filed with BWC during the testing period.

3.1 Step 1: Schedule Test Period

Each test submitter is required to contact the BWC EDI Test Coordinator to schedule a test period. The BWC EDI Test Coordinator will review testing guidelines with the test submitter, address any questions and confirm the scheduled time frames in which each direct-filing Trading Partner/EDI Transaction Partner will submit test files.

You may contact the BWC EDI Test Coordinator by utilizing the Customer Support functionality from the 'Help' hyperlink on your Dashboard in WCAIS and choosing 'Submit a Question'. You will need to choose the 'Employer/Insurer' category and 'EDI Generated Form Solution' subcategory to direct your inquiry in a prompt manner.

In each of the following test processes the Claim Administrator will submit a FROI test file(s) that will establish the test claims that will be utilized in subsequent test steps. The Claim Administrator will send these FROI test files on day 1 of testing, wait for the corresponding Acknowledgment file that will contain the Jurisdiction Claim Number (JCN) and then use this JCN for subsequent processing in those test cases where subsequent processing steps are required.

Successful completion of the following test cases will be determined by the following two main criteria:

1. The correct LIBC form generated for the EDI transaction that was submitted to BWC.
2. All the data elements on the generated LIBC form populated correctly and there is no missing information.

3.2 Step 2: Generation of Notice of Compensation Denial (LIBC-496)

The purpose of this process is to verify if the Claim Administrator has implemented the necessary changes to their system that would trigger the generation of the Notice of Compensation Denial (LIBC-496) based on the following EDI transaction/maintenance type codes:

- FROI 04
- SROI 04
- FROI 02
- SROI 02

FROI 04

1. The Claim Administrator must submit a FROI 04 transaction with Full Denial Reason Code (DN0198) '2.B.'. NCD # 1 is generated.

SROI 04

1. The Claim Administrator must submit a FROI 00 to create a new test claim.
2. The Claim Administrator follows up with a SROI 04 with Full Denial Reason Code (DN0198) '4.A.' and Denial Reason Narrative. NCD # 5 is generated and the narrative field under # 5 is populated.

FROI 02

1. The Claim Administrator must submit a FROI 02 to change the claim created in Step 2 with Full Denial Reason Code (DN0198) '3.A.'. NCD # 3 is generated.

SROI 02

1. The Claim Administrator must submit a SROI 02 to change the claim created in Step 2 with Full Denial Reason Code (DN0198) '3.I.'. NCD # 3 is generated.

3.3 Step 3: Generation of Notice Stopping Temporary Compensation Payable (LIBC-502)

The purpose of this process is to verify if the Claim Administrator has implemented the changes that would result in the generation of the Notice Stopping Temporary Compensation Payable (LIBC-501) based on the following EDI transaction/maintenance type codes:

- SROI 04
- SROI PD

SROI 04

1. The Claim Administrator must submit a FROI 00 to create a new test claim.
2. The Claim Administrator must submit an IP transaction with Agreement to Compensate Code (DN0075) 'W'.
3. The Claim Administrator follows up with a SROI 04 with Full Denial Reason Code (DN0198) '4.A.'

SROI PD

1. The Claim Administrator must submit a FROI 00 to create a new test claim.
2. The Claim Administrator must submit a PY transaction with Agreement to Compensate Code (DN0075) 'L' and Claim Type code (DN0074) "M".
3. The Claim Administrator must submit an IP transaction with Agreement to Compensate Code (DN0075) 'W'.
4. The Claim Administrator follows up with a SROI 04 with Full Denial Reason Code (DN0198) '5.A.'

3.4 Step 4. Generation of Notice of Temporary Compensation Payable (LIBC-501)

The purpose of this process is to verify if the Claim Administrator has implemented the changes that would result in the generation of the Notice of Temporary Compensation Payable (LIBC-501) based on the following EDI transaction/maintenance type codes:

- SROI IP
- SROI PY
- SROI AP
- SROI RB
- SROI RE
- SROI 02
- SROI PD
- SROI CA

SROI IP

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) = 'W' and making sure to populate new Mandatory Conditional Data Elements.

SROI PY

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit a PY transaction with Agreement to Compensate Code (DN0075) = 'W' and making sure to populate new Mandatory Conditional Data Elements.

SROI AP

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an AP transaction with Agreement to Compensate Code (DN0075) = 'W' and making sure to populate new Mandatory Conditional Data Elements.

SROI RB

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) = 'W' and making sure to populate new Mandatory Conditional Data Elements.
3. Claim Admin uses claim from Step 1 to submit a SROI 04 transaction with Full Denial Reason Code (DN0198) '4.A.'
4. Claim Admin submits a SROI RB transaction with Agreement to Compensate Code (DN0075) = 'W' to reinstate benefits and making sure to populate new Mandatory Conditional Data Elements.

SROI PD

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit a SROI PD with Agreement to Compensate code (DN0075) "W" and Claim Type Code (DN0074) 'M'.

SROI RE

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) 'W' making sure to populate new Mandatory Conditional Data Elements.
3. Claim Admin uses claim from Step 1 to submit a SROI RE lowering the Average Wage (DN0286) and Calculated Weekly Compensation Amount (DN0134).

SROI 02

1. Claim Admin submits a FROI 00 to create a new test claim.

2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) 'W'.
3. Claim Admin uses claim from Step 1 to submit a SROI 02 updating the Initial Date Disability Began. SROI

SROI CA

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) 'W'.
3. Claim Admin uses claim from Step 1 to submit a SROI CA increasing the Average Wage (DN0286) and Calculated Weekly Compensation Amount (DN0134).

3.5 Step 5: Generation of Notice of Compensation Payable (LIBC-495)

The purpose of this process is to verify if the Claim Administrator has implemented the changes that would result in the generation of the Notice of Compensation Payable (LIBC-495) based on the following EDI transaction/maintenance type codes:

- SROI IP
- SROI PY
- SROI AP
- SROI RB
- SROI 02
- SROI CA
- SROI PD

SROI IP

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) = 'L' and making sure to populate new Mandatory Conditional Data Elements.

SROI PY

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit a PY transaction with Agreement to Compensate Code (DN0075) = 'L' and making sure to populate new Mandatory Conditional Data Elements.

SROI AP

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an AP transaction with Agreement to Compensate Code (DN0075) = 'L' and making sure to populate new Mandatory Conditional Data Elements.

SROI RB

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) = 'W' and making sure to populate new Mandatory Conditional Data Elements.
3. Claim Admin uses claim from Step 1 to submit an SROI 04 transaction with Full Denial Reason Code (DN0198) '4.A.'
4. Claim Admin submits a SROI RB transaction with Agreement to Compensate code (DN0075) "L" to reinstate benefits and making sure to populate new Mandatory Conditional Data Elements.

SROI 02

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) 'L'.
3. Claim Admin uses claim from Step 1 to submit a SROI 02 with an amended Initial Date Disability Began.

SROI CA

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit an IP transaction with Agreement to Compensate Code (DN0075) 'L'.
3. Claim Admin uses claim from Step 1 to submit a SROI CA increasing the Average Wage (DN0286) and Calculated Weekly Compensation Amount (DN0134).

SROI PD

1. Claim Admin submits a FROI 00 to create a new test claim.
2. Claim Admin uses the claim created in Step 1 to submit a SROI PD with Agreement to Compensate code (DN0075) "L" and Claim Type Code (DN0074) 'M'.

3.6 Step 6: Testing Completion

This is the final step of testing between direct-filing Trading Partners and/or EDI Transaction Partners and BWC. During this step direct-filing Trading Partners and/or EDI Transaction Partners will be given formal approval by BWC to proceed with its system updates to their respective production environments. Additional test cycles will need to be conducted if direct-filing Trading Partners and/or EDI Transaction Partners are unable to meet the new business requirements. Direct-filing Trading Partners and/or EDI Transaction Partners will not be allowed to submit EDI transaction files in production to BWC if these business requirements are not met.