**Flow Charts:**

*Initial Transaction Reporting (FROIs)* (For injuries on or after 8/20/2013)

- **FROI 00 or 04** is submitted when injury is reported.  
  00 makes the claim FROI status.  
  **04** makes the claim Comp Denied status. This transaction will generate an LIBC 496 Notice of Workers’ Compensation Denial in WCAIS.

- **FROI 02** is submitted when an update is needed to correct data submitted on the FROI 00/04.  
  No status update occurs but party or injury information may be updated. A new LIBC forms will generate if the 02 follow an 04.

- **FROI 01** is submitted when adjuster realizes the FROI was submitted under incorrect jurisdiction.  
  Claim status is updated to FROI- Cancelled.

- **FROI AQ/AU** is submitted when a new TPA takes over an existing claim in the carrier’s system.  
  No status update is made or LIBC form is Generated but the new TPA is associated to the claim and has access to it in WCAIS.
Subsequent Transaction Reporting (SROIs)

Within 21 days of knowledge of the disability, the claims adjuster will submit either an NCP, NCD, or NTCP via an EDI transaction.

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A SROI 04 is submitted to generate the NCD. This transaction will make the claim Comp Denied.

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A SROI IP with Claim Type code "I" is submitted to generate either an indemnity NCP or NTCP. If the Agreement to Compensate code is "L" it will generate an NCP, and the claim status will become Compensable. If the Agreement to Compensate code is "W" then an NTCP will generate and the claim status will become Temporary.

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A SROI PD or SROI PY with Claim Type code "M" is submitted to generate either a medical only NCP or NTCP. If the Agreement to Compensate code is "L" an NCP will generate and the claim status will become Medical Only. If the Agreement to Compensate code is "W" an NTCP will generate and the claim status will become Temporary.

If the previous form was an NTCP (Agreement to Compensate code "W") , the adjuster must accept or stop and deny the claim within the 90 day temporary window or the claim will convert.

If a SROI IP. "I". "L" is filed it will make the claim Compensable and generate an NCP.*

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If a SROI PD or SROI PY "B" "L" is filed it will make the claim Medical Only and generate a medical only NCP.*

If a SROI 04 is filed it will make the claim Comp Denied and generate a Stopping and NCD.

If you followed the medical only claim ("L", "M") with a SROI IP "I", "W" to make the claim Temporary (indemnity), an indemnity TNCP will generate.

If you file the SROI 02 "L" "I" to accept the indemnity, an indemnity NCP will generate and the claim will become Compensable status.

If the IP, PY, or 04 had missing or incorrect data, a SROI 02 may be filed to update this data. The SROI 02 should not be used to update party or injury details but may update wage information and other SROI coding. If the Agreement to Compensate code is updated from "W" to "L", the claim status will be updated to Compensable and an NCP will generate.

*If an Award/Order Date is used, no LIBC form will generate and either an Agreement is expected or a WCJ decision was rendered.

Claim Type code "B" is effective September 2017
Subsequent Transactions when a claimant returns to work

After you have accepted liability for the claim on either an NCP ("L" "I") or Agreement, you may need to amend the wage information when the claimant returns to work.

Once the claimant has returned to work full time with no loss of wages a Notification of Suspension or Modification or Supplemental Agreement will be filed. The SROI S1** should be filed to put the claim in Suspended status in WCAIS.

*** If the claim was Medical Only then no SROI Sx** may be filed.

If the claimant returns to work part time and/or for less than the pre-injury wages, you will submit either a Notification of Suspension or Modification or Supplemental Agreement to modify the wages. You will also submit a SROI RE. No status update will occur.

Once all outstanding medical and indemnity bills have been paid and if the claimant no longer has any disability, a Final Statement of Account will be submitted to the claimant. A SROI FN should be submitted to put the claim in Closed status in WCAIS.

If there are any late medical bills, a SROI PY is submitted. No claim status update will occur and no additional FN will be accepted.

If the claimant’s suffers a recurrence of the injury and is losing time, a Supplemental Agreement to reinstate benefits is filed. A SROI RB should be filed to make the claim Compensable. The Agreement to Compensate code should be "L" and the Claim Type code should be "I".
Alternate flows:

For injuries reported to BWC that occurred on or before 8/19/2013

Claims reported to us via a FROI 00 or LIBC form prior to 8/20/2013 are considered legacy claims and a FROI or SROI UR is required before any other transaction will accept.

Legacy Claims

If no LIBC forms (NCP, NCD etc...) were submitted but a legacy FROI 00 was reported, then a FROI UR should be submitted so you may continue to submit subsequent transaction to us.

If the legacy claim had LIBC forms filed on it, then a SROI UR should be filed to allow subsequent transactions to accept. Adjusters should use the LIBC forms in their system to determine the correct current status and submit the transaction with the coding to make the WCAIS claim match the status in your system.

If the FROI UR has a denial reason code and no Agreement to Compensate code then once the transaction accepts, the claim will become Comp Denied status in WCAIS.

If the FROI UR doesn’t have a denial reason code then the claim will become FROI status in WCAIS.

If claim wasn’t previously Compensable (from a legacy Agreement or NCP) or Suspended/Closed (from a legacy decision, Supplemental Agreement, or Notification of Suspension or Modification) then the adjuster may include a denial reason code to make the claim Comp Denied in WCAIS. No NCD will generate.

If the claim was Compensable from a legacy NCP or Agreement, the adjuster may leave the claim Compensable by submitting the UR with Agreement to Compensate code "L" and Claim Type code "I" (no NCP will generate). If the claim is no longer open, then the adjuster may submit the UR with a suspension date and narrative to make the claim in Suspended status or use the Claim Status code C to make the claim Closed in WCAIS.

If the claim was Medical Only from a legacy NCP or Agreement then the adjuster may leave the claim as such by submitting the UR with Agreement to Compensate code "L" and Claim Type code "M" (No NCP will generate). If the claim is no longer open and all outstanding medical bills have been paid, the adjuster may use Claim Status code C to make the claim Closed in WCAIS.

For injuries reported to BWC that occurred on or before 8/19/2013
Alternate Flows: Settlements

- **When you are settling a claim in WCAIS, a SROI PY will always be submitted**

  - If the claim is Comp Denied and you are not admitting to liability, then you may submit a SROI PY with a settlement code. The claim status will remain Comp Denied. Do not follow this transaction with an Sx since there is no open liability.

  - If you are not settling in full, a SROI PY may be submitted with the settlement payment listed. No status update will occur. You will follow this with the appropriate SROI Sx once the indemnity has ceased.

  - If you are settling indemnity and medical or just indemnity, a SROI PY with Settlement should be followed by a SROI SD. The claim will then become Suspended in WCAIS.

  - If only the indemnity was settled on the SROI PY with Settlement code, then no further transactions are expected after the SD until medical ceases and all outstanding medical bills have been paid, at which time a SROI FN may be filed.

  - If there are outstanding payments made after the claim becomes Suspended or Closed, a SROI PY may be submitted with the payment information. No additional status change will occur.

- **If the claim is Compensable from an NCP, converted NTCP, or Agreement then you may either settle a portion or the entirety of the claim on the SROI PY (with Settlement code). No claim status update will occur.**
**List of transactions not in flow chart above:**

**Remaining Suspension Transactions:**

**SROI S3** - Suspension, Administrative Non-Compliance (failure to return form LIBC 760)

**SROI S4** - Suspension, Claimant Death (proof required- Death Certificate or Coroner’s Report to be uploaded)

**SROI S5** - Suspension, Incarceration

**SROI S7** - Suspension, Benefits Exhausted (500 weeks of partial are up or all dependants have aged out or the widow has remarried and is no longer receiving benefits. A signed Supplemental Agreement for Death must be uploaded.)

**SROI S8** - Suspension, Jurisdiction Change

**SROI SD** - Suspension, Directed by Jurisdiction (submitted in accordance with suspension via a judge’s order.)

**SROI SJ** - Suspension, Pending Appeal or Judicial Review (submitted in accordance with an Interlocutory decision.)

**Additional Transactions:**

**SROI AP** - Acquired/Payment (First payment transaction submitted after a new TPA takes over an existing claim.)

**SROI AB** - Add Concurrent Benefit Type (signed Agreement or circulated decision must be on claim.)

**SROI CA** - Change in Benefit Amount (To be filed for a reduction of wages due to a recalculation of the average weekly wage based upon a benefit offset, credit against future payments, or if there is a change in a dependant’s status for a fatal claim.)

**SROI CB** - Change in Benefit Type (To be filed when the previously reported benefit type code is updated not due to a return to work event, if the benefit type changes from Total Temporary to Temporary Partial as a result of an Impairment Rating Evaluation, or if the employee dies as a result of injuries after payments have already been reported.

**SROI P1** - Partial Suspension, RTW or Med Determined/Qualified to RTW (Suspending specific loss but indemnity payments continue or indemnity suspended but specific loss continues. An LIBC 751 or 337 may be submitted.)

**SROI P4** - Partial Suspension, Employee Death (If the death isn’t related to the injury and specific loss benefits continue to be paid to dependant(s).)

**SROI P7** - Partial Suspension, Benefits Exhausted (Concurrent benefits are suspended.)

**SROI PJ** - Partially Suspended Pending Appeal or Judicial Review (A supersedeas decision is granted by the judge.)